Petition for Injunction Exhibit Index

## EXHIBIT R – Hearing Transcript and Exhibits in Case 18-CA-151245 Part 1 of 2

Document Description	Date	Bates Number in PDF
Transcript, Volume 1 of 3	December 15, 2015	P 00193
Transcript, Volume 2 of 3	December 16, 2015	P 00438
Transcript, Volume 3 of 3	December 17, 2015	P 00640
General Counsel Exhibits, Volume 1 of 4	December 15-17, 2015	P 00890
General Counsel Exhibits, Volume 2 of 4	December 15-17, 2015	P 01167
General Counsel Exhibits, Volume 3 of 4	December 15-17, 2015	P 01321
General Counsel Exhibits, Volume 4 of 4	December 15-17, 2015	P 01480

OFFICIAL REPORT OF PROCEEDINGS

BEFORE THE

NATIONAL LABOR RELATIONS BOARD

In the Matter of:

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Pages: 1 through 244 (Volume 1 of 3)

Date: December 15, 2015

Place: Rochester, Minnesota

Veritext National Court Reporting Company 1250 Eye Street NW - Suite 1201 Washington, DC 20005 (888)777-6690

P 00193

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Charging Party.

The above entitled matter came on for trial pursuant to notice, before The Honorable Sharon L. Steckler, Administrative Law Judge, in Conference Room 3101A of the Olmstead County Government Center, 151 - 4th Street SE, Rochester, Minnesota, on Tuesday, December 15, 2015, at 9:10 a.m.

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23	(Appearances continued on page 3.)
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1	$\underline{A} \ \underline{P} \ \underline{P} \ \underline{E} \ \underline{A} \ \underline{R} \ \underline{A} \ \underline{N} \ \underline{C} \ \underline{E} \ \underline{S} \ (continued):$
2	On behalf of the Respondent:
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23	
24	
25	
	77

4

1			<u>I</u> N	<u> E X</u>		
2	WITNESSES	DIRECT	CROSS	REDIRECT	RECROSS	VOIR DIRE
3	Michael Henry	37				
4						
5	Martin Goff	129	178	230		144
6						153
7						173
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23						
24						
25						

1	E	X	Н	I	В	I	Т	S
	_	_	_	_	_	_	_	_

2	OPENING STATEMENT:		PAGE:
3	By Mr. Wiese, on behalf o	f the NLRB,	22
4	Counsel for the General C	ounsel	
5	By Mr. Terrell, on behalf	of the Respondent	29
6			
7	EXHIBIT	IDENTIFIED	IN EVIDENCE
8	General Counsel's		
9	1(a) - (o)		8
10	2		51
11	3		65
12	5		70
13	6(a)		54
14	6(g)		73
15	9	79	80
16	10(a) - (1)		98
17	11		112
18	12		113
19	13		113
20	14		115
21	18		127
22	19		162
23	20		171
24	21		172
25			

## $\underline{\mathbf{E}} \ \underline{\mathbf{X}} \ \underline{\mathbf{H}} \ \underline{\mathbf{I}} \ \underline{\mathbf{B}} \ \underline{\mathbf{I}} \ \underline{\mathbf{T}} \ \underline{\mathbf{S}} \ \text{(continued)}$

2	EXHIBIT	IDENTIFIED	IN EVIDENCE
3	General Counsel's		
4	22		174
5	23(a)		148
6	23(b)		150
7	23(c)		151
8	23(d)		152
9	23(e)		153
10	23(f)		154
11	28		45
12	29 (Offered only - pg 240)		
13	30		45
14	37		15
15			
16	Joint		
17	1		16
18			
19			
20			
21			
22			
23			
24			
25			
		l Court Reporting Co	

- 1 PROCEEDINGS
- 9:10 a.m.
- JUDGE STECKLER: We'll be on the record.
- 4 Good morning.
- 5 This hearing is in order. This is formal trial before the
- 6 National Labor Relations Board in Richfield Hospitality, case
- 7 18-CA-151245.
- 8 The Administrative Law Judge presiding is Sharon L.
- 9 Steckler. I am assigned to the Washington, D.C. Office of the
- 10 Division of Judges. Any communication should be addressed to
- 11 that office; and any requests for extensions of time should be
- 12 addressed to Chief Judge Giannasi or Deputy Chief Judge Amchan.
- 13 Will the counsel and other representatives please state
- 14 their appearances for the record?
- 15 For General Counsel, please?
- 16 MR. WIESE: Tyler J. Wiese, W-I-E-S-E.
- MS. BURGESS: And Nichole L. Burgess, B-U-R-G-E-S-S.
- 18 JUDGE STECKLER: For the Charging Party?
- 19 MR. GOFF: Martin Goff, M-A-R-T-I-N G-O-F-F.
- 20 JUDGE STECKLER: And for the Respondent.
- MR. TERRELL: Karl M. Terrell, T-E-R-R-E-L-L.
- 22 MR. HENRY: Michael Henry, Kahler Hospitality Group.
- 23 MR. STOKES: Arch Stokes, A-R-C-H S-T-O-K-E-S.
- JUDGE STECKLER: If at any time settlement discussions
- 25 are desired during, before or after trial, I will be glad to

- 1 grant a reasonable recess for that purpose. Trial developments
- 2 can sometimes change attitudes and make settlements possible.
- 3 Accordingly, I am advising you now before I have heard any
- 4 testimony that I intend to offer you the opportunity for
- 5 settlement discussions at two specific stages of the trial:
- 6 first, at the conclusion of the General Counsel's case; and,
- 7 second, at the conclusion of the trial. If, by inadvertence, I
- 8 overlook the matter, please call it to my attention.
- 9 I invite you to bear in mind as the trial proceeds, that
- 10 opportunities for discussion of settlement may occur and are
- 11 available at any time.
- 12 Thank you.
- Mr. Wiese, would you please introduce the formal papers and
- 14 other pleadings. I will dispose of any preliminary motions
- 15 after that.
- 16 MR. WIESE: Okay, thank you, Your Honor.
- 17 So GC Exhibit 1 is the formal papers. An index and
- 18 description of those documents has been provided to all parties.
- 19 So I would offer GC Exhibit 1.
- 20 MR. TERRELL: No objection.
- JUDGE STECKLER: GC 1 is admitted.
- 22 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 1(a) through 1(o).)
- JUDGE STECKLER: And just for the record, it's through
- 24 1(o), with "O" being an index and description of the formal
- 25 documents.

- Okay, now has everybody filled out the appearance sheet or
- 2 given the Court Reporter a card?
- 3 MS. BURGESS: Yes, Your Honor.
- 4 JUDGE STECKLER: Okay, very good.
- 5 At this time, I'll take preliminary motions, but I
- 6 understand the next order of business is compliance with
- 7 subpoenas.
- 8 MR. WIESE: Well, Your Honor, I guess at this point, there
- 9 are -- we did receive documents responsive to most of the
- 10 requests in my subpoena. I think we're still waiting on
- 11 documents responsive to requests 2 through 4 of the subpoena, 10
- 12 through 12 and 18 through 20, and also request 22.
- 13 JUDGE STECKLER: And, Mr. Terrell, do you have those
- 14 documents?
- MR. TERRELL: Yes, we did produce a ton of documents
- 16 yesterday and we do have additional documents this morning.
- 17 JUDGE STECKLER: Okay.
- 18 And are there any other documents that need to be produced
- 19 to you?
- 20 MR. TERRELL: I do not believe so.
- JUDGE STECKLER: Okay, so at this time, how many pages are
- 22 we talking about in response to the General Counsel's subpoena?
- MR. TERRELL: Say again?
- JUDGE STECKLER: How many pages are we expecting for the
- 25 rest of the response to the subpoena?

- 1 MR. TERRELL: Roughly, a third of the stack here.
- 2 JUDGE STECKLER: And were you able to respond also to the
- 3 new subpoena that was issued on Friday?
- 4 MR. TERRELL: We have stipulated -- that subpoena related
- 5 only to individuals proposed or --
- 6 JUDGE STECKLER: Supervisory status.
- 7 MR. TERRELL: -- managers or agents, and we have stipulated
- 8 to all of those.
- 9 JUDGE STECKLER: Okay.
- 10 If you'll be so kind to produce it to the General Counsel
- 11 and we'll take about a half an hour to review.
- 12 And we'll go off the record.
- 13 (Off the record.)
- 14 JUDGE STECKLER: We'll be back on the record.
- During this time, Respondent produced documents to General
- 16 Counsel.
- 17 Any further comments about the subpoena issues?
- MR. WIESE: Yes, Your Honor, there's still -- and actually
- 19 before I do that, I think for completeness of the record, I'd
- 20 like to offer the subpoena that we're talking about as General
- 21 Counsel Exhibit 37.
- MR. TERRELL: Do we have a copy of GC 1 for our table.
- MR. WIESE: General Counsel Exhibit 1?
- MR. TERRELL: Yes. I didn't receive a copy.
- MR. WIESE: No, only the index.

- 1 MS. BURGESS: I gave you two copies I think of the index
- 2 and description.
- 3 MR. TERRELL: You did? I think I handed it back to the
- 4 Court Reporter, because I thought it was the Court Reporter's
- 5 copy.
- 6 (Pause.)
- 7 MR. TERRELL: If you've got an extra copy of the index, I'd
- 8 like that.
- 9 JUDGE STECKLER: I have an extra copy of the index here.
- 10 MR. TERRELL: Okay. Thank you, Judge.
- 11 JUDGE STECKLER: Okay, Mr. Wiese.
- 12 MR. WIESE: Out of the requests that I mentioned earlier,
- 13 requests 3, 4, 10, 20 and 22 remain unsatisfied.
- 14 MR. TERRELL: Allow me to comment on that.
- 15 JUDGE STECKLER: Please.
- 16 MR. TERRELL: Subpoena item number 3, which is documents
- 17 which refer in any way to union representative access, I've
- 18 represented to Mr. Wiese that we have searched for e-mails. We
- 19 believe that there is one e-mail. We have simply not been able
- 20 to locate at this point, but we're continuing to look.
- 21 Item 4, documents referring in any way to decisions to
- 22 cease granting wage increases after the expiration of the prior
- 23 collective bargaining agreement, basically the same response.
- 24 We believe that there was one e-mail exchange between Mr. Henry
- 25 and someone with the union. We have looked for that e-mail, and

- 1 we've been unsuccessful in locating it. Mr. Wiese represented
- 2 that he is aware of the -- or he believes he has an e-mail that
- 3 fits that category in his possession, so we'd like to look at
- 4 it. That may be the e-mail that we have in mind, and, if so,
- 5 then that would take care of that item.
- 6 Go ahead.
- 7 MR. WIESE: Your Honor, I think we're going to be
- 8 introducing that e-mail early in the hearing.
- 9 MR. TERRELL: Okay, number 10: "Copies of all disciplines
- 10 and terminations issued to employees due to attendance issues."
- 11 We have given General Counsel a pretty hefty stack -- we have
- 12 tasked folks at the hotel to continue looking. There may be
- 13 others.
- Number 20 -- is that the next one?
- 15 MR. WIESE: I believe so.
- 16 MR. TERRELL: "Pie charts" -- we have -- during the course
- 17 of the bargaining, numerous individual -- by individual
- 18 employees, pie charts were produced across the table as part of
- 19 the employer's proposal. Those pie charts were received by the
- 20 union and taken by the union on the day of bargaining. Those
- 21 documents had been produced in response to our subpoena to us by
- 22 the Union. General Counsel has seen those documents. We have
- 23 the pie charts in the courtroom.
- In addition, there was an additional group of the
- 25 individual pie charts pertaining to the Marriott Hotel and those

- 1 were also delivered to the union during the course of
- 2 bargaining; however, when the union departed from the bargaining
- 3 room, they left those behind. We have held those in our
- 4 possession and we have brought them today and we've provided
- 5 them to General Counsel.
- In addition to those individual pie charts, several of the
- 7 proposals that were given to the union during the course of
- 8 bargaining contained attached by position pie charts; and those
- 9 have also been produced attached to the proposals that we have
- 10 produced to the General Counsel yesterday.
- 11 Twenty-two: "The seniority lists" -- the seniority lists
- 12 are maintained by departments in the four hotels. We have
- 13 gathered all of those seniority departmental lists. We're
- 14 waiting for one more: the kitchen department at the Kahler
- 15 Grand, and we have tasked someone to provide that to us so we'll
- 16 have that probably later today.
- 17 JUDGE STECKLER: How much later today?
- MR. TERRELL: We'll have somebody bring it to us to by
- 19 lunch.
- 20 JUDGE STECKLER: Mr. Wiese, do you need those -- that
- 21 document for your case in chief this morning?
- MR. WIESE: No, I do not, Your Honor.
- JUDGE STECKLER: Okav.
- MR. WIESE: And the only item I would like to respond to is
- 25 item 20, the pie charts. In our view, I guess it's still an

- 1 open item. I mean, these pie charts were in Respondent's
- 2 possession. We have no information about when they were
- 3 discarded -- destroyed, so, in our view, that subpoena request
- 4 is still open.
- 5 MR. TERRELL: Well, we've represented to Mr. Wiese that the
- 6 pie charts were of course created electronically based on a
- 7 template by position. The individual who created those pie
- 8 charts did not save the individual pie charts as they were being
- 9 created electronically.
- JUDGE STECKLER: Will that individual be testifying in this
- 11 hearing?
- MR. TERRELL: We're not planning to, but she is available
- 13 and she could testify to that.
- MR. WIESE: I may subpoena her.
- JUDGE STECKLER: It would be helpful for her to testify, so
- 16 to that effect, if you're planning on relying -- it sounds like
- 17 both sides want to rely on the pie charts.
- 18 MR. TERRELL: Yes, the pie charts, as they were created in
- 19 hard copy form and then they were delivered to the union in the
- 20 bargaining room.
- 21 MR. WIESE: Mr. Terrell, who is that individual?
- MR. TERRELL: Leslie Hohmann.
- MR. WIESE: Okay.
- 24 COURT REPORTER: Leslie --
- MR. TERRELL: Hohmann, H-O-H-M-A-N-N.

- 1 MR. WIESE: Did I offer General Counsel Exhibit 37?
- 2 JUDGE STECKLER: No.
- 3 MR. WIESE: I'll offer that at this time.
- 4 MR. TERRELL: No objection.
- 5 JUDGE STECKLER: All right, GC 37 is admitted.
- 6 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 37.)
- JUDGE STECKLER: Okay, regarding item 3, there might be one
- 8 e-mail, you said, Mr. Terrell, and you're continuing to look.
- 9 At what point do we know whether you have that e-mail?
- 10 MR. TERRELL: I think by tomorrow. If we have it, if we
- 11 can locate it, we'll provide it by tomorrow.
- 12 JUDGE STECKLER: Okay, and if there are no others relevant
- 13 to item 10, we'll move along, regarding the pie charts, we're
- 14 going to get some testimony on that hopefully and the seniority
- 15 department.
- 16 Anything else, Mr. Wiese?
- MR. WIESE: I believe the only other thing is request 10
- 18 for the attendance records, which I think we covered --
- 19 JUDGE STECKLER: Okay.
- 20 MR. WIESE: -- and should be here by noon if I heard right.
- JUDGE STECKLER: I think noon was the seniority list.
- MR. WIESE: Oh, excuse me.
- 23 JUDGE STECKLER: But item 10, there may be others -- still
- 24 looking --
- 25 MR. TERRELL: We'll do our best to see if we have

- 1 additional ones by tomorrow.
- JUDGE STECKLER: Okay, and, if necessary, General Counsel
- 3 will have the opportunity to examine on those as well.
- 4 MR. WIESE: Okay.
- 5 JUDGE STECKLER: Okay.
- 6 Any other preliminary matters?
- 7 MR. WIESE: Yes, Your Honor, just a handful.
- 8 The first is I have copies of Joint Exhibit 1. This is a
- 9 stipulation that was agreed to by the parties this morning. It
- 10 includes bargaining dates, bargaining locations, supervisors and
- 11 agents for Respondent and also bargaining participants -- and
- 12 the parties have had a chance to review and sign it.
- 13 COURT REPORTER: Can we go off the record?
- 14 JUDGE STECKLER: Off the record.
- 15 (Off the record.)
- 16 JUDGE STECKLER: We'll be back on the record. Thank you.
- 17 MR. WIESE: So I'll offer Joint Exhibit 1.
- 18 JUDGE STECKLER: Any objections?
- 19 MR. TERRELL: No objections.
- JUDGE STECKLER: Mr. Goff?
- 21 MR. GOFF: No objections.
- JUDGE STECKLER: Thank you.
- Joint Exhibit 1 is admitted.
- 24 (EXHIBIT RECEIVED: JOINT 1.)
- JUDGE STECKLER: Thank you for stipulating to some facts

- 1 that will help the trial move along a little quicker.
- 2 Any other preliminary matters?
- 3 MR. WIESE: Yes, Judge.
- 4 I'm showing the parties what's been marked as General
- 5 Counsel Exhibit 29. These are electronic copies of the several
- 6 thousand pages of pie charts.
- 7 And the parties have not had a chance to review these
- 8 documents, and I understand that, and I'm not offering it at
- 9 this time. I wanted it to be on the record as --
- 10 JUDGE STECKLER: Thanks.
- 11 So this is the jump drive or thumb drive with the documents
- 12 on there. And on Friday, I understand that on Friday you
- 13 presented them by e-mail.
- 14 MR. WIESE: Yes, Your Honor.
- 15 JUDGE STECKLER: Okay. So at the appropriate time, you'll
- 16 offer it.
- 17 MR. WIESE: Yes.
- 18 JUDGE STECKLER: Okay.
- 19 MR. TERRELL: Let me just note for the record that what is
- 20 on the jump drive, as I understand the representation, is the
- 21 complete set of the pie charts that the Union pulled together
- 22 and in response to Respondent's subpoena, but provided first to
- 23 General Counsel. And then General Counsel provided them to us.
- 24 And we were not present during the delivery from the Union to
- 25 the General Counsel. General Counsel then e-mailed us what he

- 1 represents is also contained on this jump drive, and we have not
- 2 had a chance to go through the thousands of pages to confirm
- 3 accuracy against the hard copies of the pie charts that we
- 4 received. And, in addition, this jump drive would not contain
- 5 the additional individual pie charts for the Marriott Hotel
- 6 which I referred to a few moments ago.
- Were we on the record at that point?
- 8 JUDGE STECKLER: Yes.
- 9 MR. TERRELL: Okay, that I referred to that were given to
- 10 the Union during the course of bargaining. But at the end of
- 11 the day, when the Union departed from the bargaining room, they
- 12 left those Marriott individual pie charts behind. We retained
- 13 possession of them, and we have produced that subset to General
- 14 Counsel today.
- 15 JUDGE STECKLER: Okay.
- 16 So at the appropriate time, we can discuss whether these
- 17 are the correct copies or not.
- 18 MR. TERRELL: Yes, I think so.
- 19 JUDGE STECKLER: Okay.
- 20 MR. WIESE: And, Your Honor, I don't know if I'll be able
- 21 to supplement those while we're here, but I can either enter the
- 22 paper copies while we're on the record or I can supplement the
- 23 jump drives at a later date.
- JUDGE STECKLER: Okay, we can see as the trial progresses
- 25 what we'll have to do with those documents.

- 1 Any other preliminary matters, Mr. Wiese?
- 2 MR. WIESE: Yes, Judge.
- 3 We -- the General Counsel would like to request
- 4 sequestration of witnesses.
- 5 MR. TERRELL: And we agree.
- 6 JUDGE STECKLER: Okay, I agree too.
- 7 A sequestration order is being issued in this proceeding.
- 8 This means that all persons who are expected to be called as
- 9 witnesses in this proceeding, other than a person designated as
- 10 essential to the presentation of the party's case will be
- 11 required to remain outside the courtroom whenever testimony or
- 12 other proceedings are taking place.
- 13 A limited exception applies to witnesses who are alleged
- 14 discriminatees in this matter. They may be present in the
- 15 courtroom at all times other than when witnesses for the General
- 16 Counsel or the Charging Party are giving testimony regarding the
- 17 same events that the alleged discriminatees are expected to
- 18 testify about.
- 19 The sequestration order also prohibits all witnesses from
- 20 discussing any other witness or any possible witness -- the
- 21 testimony he or she has already given or will be expected to
- 22 give. Likewise, counsel for a party may not disclose to any
- 23 witness the testimony of any other witnesses. Counsel may,
- 24 however, may inform his or her witness of the content of
- 25 testimony given by any opposing party's witness to prepare to

- 1 rebut that witness testimony.
- 2 It is the responsibility of counsel to see that they and
- 3 their witnesses comply with this sequestration rule. The Judge
- 4 may also ask if here if that any person essential to the
- 5 presentation of any party's cause to be designated to remain in
- 6 the courtroom. Do we have any essential parties to remain in the
- 7 courtroom?
- 8 MR. WIESE: Yes, Judge. I mean, we have Mr. Goff on behalf
- 9 of the Charging Party.
- 10 JUDGE STECKLER: And he made an entry of counsel.
- 11 So are there any discriminatees who are staying?
- 12 MR. WIESE: No.
- 13 JUDGE STECKLER: Anybody for the Respondent?
- 14 MR. TERRELL: No essential witnesses. Mr. Stokes, who is
- 15 co-counsel here, may testify at some point related to the
- 16 collective bargaining that he participated in.
- 17 JUDGE STECKLER: Okay, but they have made entries of
- 18 appearance as well.
- 19 MR. TERRELL: Right.
- 20 JUDGE STECKLER: Okav.
- In that case, are we ready to move to opening statements?
- 22 Any preliminary witnesses for -- any preliminaries for you, Mr.
- 23 Terrell?
- MR. TERRELL: Forgive me?
- JUDGE STECKLER: Any preliminary motions from you, Mr.

- 1 Terrell?
- 2 MR. TERRELL: No. Your Honor.
- 3 MR. WIESE: Judge, I guess I'm a little confused about
- 4 what's going on over here, since is Mr. Henry and Mr. Stokes
- 5 allowed at their table?
- 6 MR. TERRELL: Mr. Henry is the Respondent's representative,
- 7 corporate representative. Mr. Stokes is counsel.
- 8 MS. BURGESS: But to the extent that Mr. Stokes is going to
- 9 be testifying as a witness about the bargaining, it seems that
- 10 he should not be allowed to stay under the sequestration order.
- 11 If he's not going to testify, that's fine obviously.
- MR. TERRELL: No, he's counsel, and he will also -- he may
- 13 testify as to collective bargaining because he was a participant
- 14 in collective bargaining. This is common standard practice
- 15 under Board practice.
- 16 JUDGE STECKLER: He's in-house counsel, correct?
- 17 MR. TERRELL: Yes.
- JUDGE STECKLER: Then he gets to stay. Even though he may
- 19 be a witness, he is still counsel.
- 20 MR. TERRELL: Correct.
- JUDGE STECKLER: And then Mr. Stokes is their
- 22 representative at the table, if I understand --
- MR. TERRELL: Mr. Henry.
- JUDGE STECKLER: Mr. Henry, I'm sorry.
- 25 (Pause.)

- 1 MR. WIESE: Judge, just one more thing before we give
- 2 opening statements or before I give my opening statement.
- 3 I'm serving a subpoena on Respondent regarding Leslie
- 4 Hohmann, the creator of the pie charts.
- 5 JUDGE STECKLER: Okay, and Mr. Terrell has that in his
- 6 possession.
- Any other preliminary matters before we proceed?
- 8 MR. WIESE: Not from the General Counsel, Your Honor.
- 9 JUDGE STECKLER: Mr. Wiese, you may proceed with your
- 10 opening statement, please.
- 11 OPENING STATEMENT
- 12 MR. WIESE: Your Honor, this is a case about a successor
- 13 employer who wants what it wants, and who plans to get what it
- 14 wants by whatever means necessary. This employer entered
- 15 bargaining with predetermined goals, goals it was determined to
- 16 achieve without regard to the Union that has represented
- 17 employees for decades, and the obligations required of it under
- 18 the National Labor Relations Act.
- 19 The bargaining even in this case consists in four hotels:
- 20 The Kahler Grand Hotel, the Marriott Hotel, the Kahler Inn and
- 21 Suites and the Residence Inn. Employees at these properties
- 22 have been represented by Unite HERE or its predecessors since at
- 23 least the 1960s.
- 24 The Respondent in this case, Richfield Hospitality, is a
- 25 hotel management company. The evidence will show that

- 1 Respondent assumed management of the four hotels at issue in
- 2 this case sometime in 2013, which the Union and the predecessor
- 3 employer were operating under an existing collective bargaining
- 4 agreement. Respondent assumed this existing agreement; and,
- 5 although not flawless, the parties operated in relative harmony
- 6 for a period of time. This harmony, however, began to erode at
- 7 the beginning of 2015, when the time came to negotiate a new
- 8 collective bargaining agreement.
- 9 The evidence will demonstrate that Respondent, during the
- 10 course of this bargaining, has undermined and denigrated the
- 11 Union through certain proposals, and has essentially sought to
- 12 mold itself as a non-union employer. The evidence will show
- 13 that Respondent has made several specific attacks on the
- 14 bargaining rights of the Union members and the ability of
- 15 employees to be represented effectively by the Union. This
- 16 includes:
- 17 First, a wage proposal that consists of literally thousands
- 18 of pages of individual pie charts. The evidence will show that
- 19 these pie charts are internally flawed, do not accurately
- 20 represent even the current wages of employees; and, in fact,
- 21 show differing wage rates, different wage increases, and, in
- 22 some cases, even wage decreases for employees in the same
- 23 classification in the same year. The evidence will also show
- 24 that these pie charts are, in fact contradicted by the written
- 25 terms of the employer's last, best and final contract offer of

- 1 March 24, 2015. The evidence will further show that the Union
- 2 pointed out these flaws on numerous occasions at the bargaining
- 3 table, while simultaneously making the legitimate argument that
- 4 a several thousand page wage offer simply cannot be policed from
- 5 a practical perspective. In response to these arguments, the
- 6 evidence will show that Respondent doubled-down by, among other
- 7 things, sending notices to employees saying that they should go
- 8 the Union to ask for their pie charts to see the Respondent's
- 9 reasonable offer. Finally, the evidence will demonstrate that
- 10 as of the date of this hearing, Respondent no longer even
- 11 possesses the pie charts that encompass its wage offer.
- 12 Second, the evidence will demonstrate that the Respondent
- 13 made an unexplained proposal to cause employees who took leave
- 14 for union events longer than 3 days to risk losing their
- 15 seniority. Now this is distinct from every other leave proposal
- 16 in the employer's offers. This proposal primarily affects
- 17 employees who wish to participate in union conventions, which,
- 18 while only 3 days in length, are always in locations that
- 19 required additional travel days. As a result, under this
- 20 proposal, any employ who wished to participate in the Union's
- 21 convention would risk losing their seniority. The evidence will
- 22 further demonstrate that when the Union questioned the employer
- 23 about this proposal at the bargaining table, the Respondent
- 24 simply responded that this is what it wanted.
- Third, on top of these proposals, the evidence will

- 1 demonstrate the extreme discourtesy shown by the Respondent
- 2 toward the Union representatives. This discourtesy was
- 3 manifested by the Respondent's abject disrespect for the time of
- 4 the Union representatives and employee committee members present
- 5 at bargaining. The evidence will show that Respondent was late
- 6 to at least eight of the 11 formal bargaining sessions listed on
- 7 the stipulation; and that on several of these occasions, it was
- 8 more than an hour late. The evidence will also demonstrate that
- 9 on at least three occasions, Respondent left the table to on
- 10 caucus, but, in fact, never returned.
- 11 Exacerbating all this conduct has been the Respondent's
- 12 recent posture in negotiations. After the unfair labor
- 13 practices were filed this spring, the evidence will show that
- 14 the parties took a hiatus in bargaining of these hotels. This
- 15 fall, after a contract was reached in a related bargaining nit
- 16 between the parties, the Union and Respondent met again and had
- 17 some success at the bargaining table. This success, the
- 18 evidence will show, was short lived. The parties next
- 19 bargaining session schedule for October 20, 2015, was cancelled
- 20 by the respondent late in the afternoon of October 19th. After
- 21 several attempts to press Respondent for bargaining dates, the
- 22 Respondent, through HR representative, Michael Henry, told the
- 23 Union that the Respondent was not going to meet again until the
- 24 Union proposed a better offer. Now keep in mind, this is all
- 25 occurring while Respondent's last, best and final offer of March

- 1 24th, with the pie charts that we've already talked about was
- 2 still on the table and after Complaint issued in this case.
- Beyond the allegations related to bargaining, the evidence
- 4 will demonstrate the Respondent's simultaneous efforts away from
- 5 the table to undermine the Union. Most notably, after the
- 6 expiration of the parties' predecessor collective bargaining
- 7 agreement in February 2015, the Respondent ceased paying time of
- 8 service, or what I'll call "step increases" to employees. These
- 9 increases were based on time served; so, for example, after an
- 10 employee had worked a year, they were supposed to receive a wage
- 11 increase and has been receiving those wage increases under the
- 12 prior contract on top of the increases that came into effect on
- 13 the anniversary date of the contract. Now this was a calculated
- 14 attempt by Respondent to undermine the Union.
- The evidence will demonstrate that Respondent opened pre-
- 16 negotiation discussions in 2014, by presenting the Union with a
- 17 wish list of regressive proposals. Most notably, one of these
- 18 proposals called for the elimination of these very same step
- 19 increases. When the Union did not agree to eliminating the step
- 20 increases at the table, Respondent simply implemented them. And
- 21 exacerbating this conduct, the evidence will show that in
- 22 numerous conversations with employees, Respondent's
- 23 representatives have placed the blame for the elimination of the
- 24 step increases squarely on the Union, saying that it's the
- 25 Union's fault that employees aren't receiving the step increases

- 1 because they aren't accepting Respondent's March 24th offer.
- 2 Roughly contemporaneous with the elimination of these step
- 3 increases, Respondent dramatically limited the Union's ability
- 4 to communicate with employees. Several witnesses will testify
- 5 that managers told them they could no longer post union flyers
- 6 in locations where union flyers had been posted for years at
- 7 several of the hotel properties. In addition, Union
- 8 representative, Linda Henry, will testify to numerous other
- 9 occasions where she was told both with management and in the
- 10 presence of other employees that she could no longer access
- 11 break rooms and areas of the hotels that she had also been
- 12 visiting for years.
- 13 Coupled with this conduct directed at the Union, Respondent
- 14 also targeted also targeted several prominent union supporters
- 15 during these --
- 16 JUDGE STECKLER: Just one moment.
- 17 (Pause.)
- 18 JUDGE STECKLER: Go ahead.
- 19 MR. WIESE: Your Honor, coupled with this conduct directed
- 20 at the Union at the bargaining table and away from the
- 21 bargaining table, Respondent also targeted several prominent
- 22 union supporters during these negotiations; namely, the evidence
- 23 will demonstrate that Respondent inexplicably refused to provide
- 24 work hours to the employee Union Vice President, Kelli Johnston,
- 25 the only employee Union Vice President in the entire bargaining

- 1 unit; and that it also issued a frankly ridiculous discipline to
- 2 union steward, Graham Brandon.
- 3 Now the evidence will demonstrate that the allegations that
- 4 I've spoken of are only the "highlights," if you want to call
- 5 them that, of Respondent's unlawful course of action, and that
- 6 there are also numerous independent violations of Section
- $7 \quad 8(a)(1) \text{ of the Act.}$
- 8 So what we have here is an inexplicable and ungovernable
- 9 wage offer:
- 10 A proposal targeting active union employees;
- 11 Consistent disrespect for the Union and employee's time at
- 12 the bargaining table;
- 13 Conditioning further negotiations on the Union presenting a
- 14 new proposal;
- 15 Unlawfully eliminating a wage increase for employees and
- 16 blaming the Union for it;
- 17 Cutting off long-time access and posting rights for union
- 18 representatives;
- 19 Disciplining prominent union employees.
- 20 Your Honor, these are not the actions of a Respondent who
- 21 is bargaining in good faith and respecting the rights of
- 22 employees under the National Labor Relations Act. They are the
- 23 actions of a Respondent who has flagrantly disregarded its
- 24 obligations under Federal Labor Law. And, at the end of this
- 25 case, it will be clear that this case involves an employer who

- 1 wants what it wants and is willing to do whatever it takes to
- 2 get it.
- JUDGE STECKLER: Thank you.
- 4 Are any of the people in the room witnesses?
- 5 MR. TERRELL: Say it again?
- 6 JUDGE STECKLER: Are any of the people left in the room
- 7 still witnesses?
- 8 (Pause.)
- 9 JUDGE STECKLER: I just wanted to make sure.
- 10 Okay, Mr. Terrell, you may proceed.
- 11 OPENING STATEMENT
- 12 MR. TERRELL: I'll just respond briefly, Your Honor.
- 13 There was extensive bargaining of this contract. We
- 14 stipulated to eight or 10 dates. We will put into evidence the
- 15 bargaining notes. The bargaining notes show extensive, careful,
- 16 detailed bargaining. Every single provision in the proposals
- 17 were discussed at the table. This is classic good-faith
- 18 bargaining.
- 19 Mr. Wiese uses the phrase, "the employer wants what it
- 20 wants." Well, both parties negotiate from their respective
- 21 economic positions. Was there hard bargaining? Yes, there was
- 22 hard bargaining. Were there economic necessities that generated
- 23 the hard bargaining? Yes, there were, and Your Honor will hear
- 24 testimony on that particular issue.
- Then Mr. Wiese referred to the wage proposal as consisting

- 1 of a thousand or thousands of pie charts; but the wage proposal
- 2 itself was very simple and very straightforward. They were
- 3 annual increases, a schedule of those increases showing the
- 4 dollar amounts by position, by hotel is attached to the
- 5 proposals that the employer put on the bargaining table. It's
- 6 very straightforward. It did not include what Mr. Wiese refers
- 7 to as the "step increases." The employer's proposal -- its
- 8 proposal was to eliminate the anniversary date increases, and
- 9 they were not step increases, they were anniversary date
- 10 increases over a 4-year period in the previous contract. The
- 11 employer's wage proposal was simplified. It was a schedule of
- 12 annual increases. The pie charts were provided in an effort to
- 13 communicate fully how the employer's proposal would work. The
- 14 individual pie charts were also provided to that same effect,
- 15 and the committee members in the bargaining room were given an
- 16 opportunity to look at their own pie charts' errors and some of
- 17 the pie charts were identified and they were corrected. It was
- 18 all part of an exchange. It was the employer's intent and
- 19 purpose in providing these pie charts to effectively communicate
- 20 so that everyone would understand exactly how their individual
- 21 real wage pie charge would play out over the 5 years of the
- 22 proposed term that the employer proposed in bargaining.
- 23 There is an allegation relating to -- we're having
- 24 difficulty understanding it, but it relates to leave for union
- 25 conventions. There was a proposal by the employer to limit the

- 1 number of days. We respectfully submit when Your Honor looks at
- 2 the previous contract and looks at the proposal, the proposal
- 3 cannot be read the way the General Counsel is reading it as to
- 4 indicate a threat of loss of seniority.
- 5 And it should also be noted that while every other leave
- 6 that employees are potentially entitled to under the old
- 7 collective bargaining and under the proposals as made, those
- 8 leaves are discretionary with the employer.
- 9 When the Union requests employees to take leave for a union
- 10 convention, the old contract made that mandatory, it wasn't a
- 11 matter of employer discretion. The employer did not change that
- 12 aspect of the union convention leave proposal. So the union
- 13 leave proposal does stand in distinction to every other type of
- 14 leave in that it's a leave that can be taken without the
- 15 employer's discretion, and that did not change with the
- 16 employer's proposals.
- 17 Mr. Wiese has referred to tardy -- or the Respondent's
- 18 negotiators showing up late or leaving early. We reject that
- 19 allegation and we're confident that the testimony will establish
- 20 that. Now did the parties on both sides engage in caucuses? Of
- 21 course. That's the way collective bargaining works. Do
- 22 caucuses sometimes take longer than maybe expected? That
- 23 happens all the time. There were a lot of documents, as we have
- 24 already learned, that were produced at the table that were
- 25 sometimes brief delays in order to get documents together. At

- 1 the end of the day, there will be no evidence of extreme
- 2 discourtesy or discourtesy at all. And the parties -- the
- 3 Respondents were not late and did not leave early.
- 4 There was a hiatus in bargaining from April through the
- 5 summer; and then the parties at the Respondent's request met
- 6 again in September. During that hiatus, the Respondent
- 7 successfully negotiated a collective bargaining agreement with
- 8 Textile Care Services. The Respondent filed a unit
- 9 clarification petition in March of 2015. The previous contract
- 10 included the four hotels plus a separate laundry facility. The
- 11 Respondent filed a UC Petition to separate the Textile care
- 12 service, as a separate bargaining unit with separate bargaining;
- 13 that during the initial stages of bargaining at issue in this
- 14 case, proposals were made for the hotels and for Textile Care
- 15 Services. The decision I believe came down in April or May to
- 16 separate the Textile Care Services unit. The decision I
- 17 believe came down in April or May to separate the Textile Care
- 18 Services unit. The bargaining with Textile Care Services
- 19 continued over the summer with the same union, of course, and
- 20 there was an agreement that was successfully reached. This is
- 21 not an employer that goes into bargain9ing as Mr. Wiese has
- 22 suggested lacking the intent to reach agreement. We reached
- 23 agreement at Textile Care Services because the parties were able
- 24 to agree on the positions that Respondent took. There are
- 25 unique issues, however, relating to the hotel and that's what

- 1 has prevented an agreement up to this point -- economic reality,
- 2 not a lack of intent to reach agreement. Respondent has always
- 3 had the intent to reach agreement and has bargained accordingly.
- 4 When the parties return in September, once again at the
- 5 Respondent's request, there was -- Mr. Weise referred to some
- 6 success at the table. The proposals and the testimony and the
- 7 bargaining notes will reflect clearly that the Union did not
- 8 make any new moves, did not make any material changes to its
- 9 previous position, and that has remained the case. The Union is
- 10 stuck on its position, has been stuck on its position, has not
- 11 made moves that have been responsive to the Respondent's
- 12 positions at the table. The Respondent had moves early on, but
- 13 has been very clear in communicating in a very careful and
- 14 thorough way what its position is on several key items; and the
- 15 parties, although no impasse or implementation has occurred, the
- 16 Respondent has placed its last, best and final offer on the
- 17 table, and the Union has not made any moves since then.
- 18 Mr. Wiese alleged that it is not the Union's fault-- or
- 19 that the employer somehow communicated that it was the Union's
- 20 fault that the employees were not receiving their step
- 21 increases. First of all, these are not step increases, these
- 22 are anniversary date increases. And when Your Honor looks at
- 23 the expired CBA, Your Honor will see a Schedule A, which
- 24 contains dollar amounts for both annual increases and dollar
- 25 amounts for anniversary date increases. It is clear from the

- 1 face of that collective bargaining agreement that that schedule
- 2 was only in effect during the time of the period of the former -
- 3 during the term of the former collective bargaining agreement,
- 4 which originally expired in August. There was a mutual
- 5 extension to February 28, 2015. Both parties mutually extended
- 6 the contract, there was a quid pro quo in that extension. The
- 7 Union continued to abide by the "no strike" clause, and the
- 8 Respondent, employer, continued to apply the anniversary date
- 9 increases that are contained in the term of that agreement. But
- 10 the face of the document of the former CBA could not be clearer
- 11 that those increases are only in effect during the term of that
- 12 agreement, and we've articulated this in our third affirmative
- 13 defense.
- 14 Mr. Wiese made reference to union flyers or posters. The
- 15 Union has designated union bulletin boards in the appropriate
- 16 locations in all four hotels. The only -- and over the many
- 17 years of the working relationship, at least during the period of
- 18 time that Richfield has been managing this property, there was
- 19 never a problem. Posters and notices were always placed at the
- 20 appropriate location. There were a few incidents where they
- 21 were placed in inappropriate locations. They were placed on
- 22 bulletin boards that are the employer's bulletin board for
- 23 purposes of providing schedule and other work-related
- 24 information when -- that was an inappropriate bulletin board.
- 25 There was a union bulletin board where the Union could

- 1 communicate. They should not be interfering with the employer's
- 2 communication board. There was also an incident at the
- 3 Starbuck's. There's a Starbuck's off the main lobby of the
- 4 Kahler Grand Hotel, which has a public community bulletin board.
- 5 This is -- the Starbuck's is an employer operated franchise
- 6 business within the hotel, and it was inappropriate to put union
- 7 materials there.
- 8 We will have witnesses who will testify to the issues
- 9 involving the employee by the name of Kelli Johnston, and the
- 10 employee by the name of Graham Brandon. Mr. Brandon -- his
- 11 personnel file will come into evidence and it will show that Mr.
- 12 Brandon has a long history of attendance problems. He was given
- 13 yet another discipline. There was a grievance and there was
- 14 some adjustment made. This is the way grievances work in union
- 15 work places, nothing unusual at all. And we will have testimony
- 16 concerning Ms. Johnston's brief interest in working at a
- 17 different bar and Your Honor will conclude that there was no
- 18 targeting, no discrimination.
- 19 That's all we have.
- Thank you.
- JUDGE STECKLER: Mr. Goff, do you have any opening?
- 22 MR. GOFF: I do not.
- JUDGE STECKLER: Okay, thank you.
- Are we ready for our first witness?
- MR. WIESE: Yes, Your Honor.

- 1 JUDGE STECKLER: Mr. Wiese, who would you like to call?
- 2 MR. WIESE: At this time, General Counsel calls Michael
- 3 Henry to the stand.
- 4 (WITNESS SWORN: M8ICHAEL HENRY)
- JUDGE STECKLER: Please take a seat and state your name for
- 6 the record.
- THE WITNESS: Michael Henry, Managing Director for Human
- 8 Resources for the Kahler Hospitality Group.
- 9 MR. TERRELL: Before we go forward, can we just confirm
- 10 that we don't have any witnesses in the room who are going to
- 11 testify.
- 12 JUDGE STECKLER: Yes, I think we did that before. Let's
- 13 check again.
- MR. TERRELL: The same is true.
- 15 Can I get an identification of who is in the room?
- 16 (Discussion with unidentified parties observing in the hearing
- 17 room.)
- MR. TERRELL: Who are you with, Mr. Hill?
- 19 UNIDENTIFIED SPEAKER: What?
- MR. TERRELL: Who are you with?
- 21 UNIDENTIFIED SPEAKER: Who am I with? I'm with Marriott
- 22 Hotel. I work --
- 23 MR. TERRELL: You're not going to testify?
- 24 (No response.)
- 25 JUDGE STECKLER: Let's just -- instead of asking whether --

- 1 (Simultaneous conversation with unidentified speakers.)
- JUDGE STECKLER: Okay, let me just ask, is anybody here
- 3 planning to testify?
- 4 UNIDENTIFIED SPEAKERS: No.
- JUDGE STECKLER: Okay, in that case, we don't need to have
- 6 any other further identification, but keep in mind if your
- 7 observer, you please do not discuss what you've heard in this
- 8 room with anybody else as well.
- 9 Is that sufficient, Mr. Terrell?
- 10 MR. TERRELL: Yes, Your Honor.
- 11 JUDGE STECKLER: Thank you.
- 12 I think Mr. Wiese, we're ready for your direct.
- 13 MR. WIESE: Okay, thank you, Your Honor.
- 14 DIRECT EXAMINATION
- 15 Q BY MR. WIESE: Good morning, Mr. Henry.
- 16 My name is Tyler Wiese. I'm Counsel for the General
- 17 Counsel in this case.
- 18 So, Mr. Henry, could you tell me what your current
- 19 occupation is?
- 20 A I am the Managing Director of Human Resources.
- 21 Q And what do you do in this position?
- 22 A I'm responsible for the daily operation of four hotels,
- 23 overseeing the employee relations, responsible for providing
- 24 consistency and just making sure the policies and procedures are
- 25 followed through effectively and consistent.

- 1 Q And I believe you said you were the Managing Director. Who
- 2 are you the Managing Director for?
- 3 A I work through the employer for Richfield Hospitality for
- 4 the Kahler Hospitality Group, I'm sorry.
- 5 O Okay, no problem.
- 6 MR. WIESE: Your Honor, I request to question this witness
- 7 under 611(c) of the Federal Rules of Evidence.
- 8 JUDGE STECKLER: You may proceed.
- 9 Q BY MR. WIESE: Mr. Henry, how long have you worked in HR
- 10 for?
- 11 A I would say in the Human Resources capacity in hospitality,
- 12 I would say probably about 11 or 12 years.
- 13 O And during that time, where have you worked?
- 14 A I have worked in New York City, I've worked in Washington,
- 15 D.C., I've worked in Houston, Texas.
- 16 O And which employers have you worked for during this time?
- 17 A I worked for Marriott International, I've worked for Noble
- 18 House Hospitality, I had a brief stint with Interstate, worked
- 19 with the Hilton Corporation as well.
- 20 O And in all of these capacities as Human Resources person?
- 21 A Not at all capacities. At the Hilton Corporation, I was
- 22 not in Human Resources. With Marriott, I worked in Human
- 23 Resources. As -- associated with the Maplewood Park Place.
- 24 which is a Senior Living Facility, which I did some work there
- 25 as the Chief of Security and the HR Manager for a short period,

- 1 and then I began at the Noble House Hospital LaTorretta Lake
- 2 Resorts as the Director of Human Resources there.
- 3 Q Mr. Henry, have you dealt with unions in any of these
- 4 positions?
- 5 A Yes, I have.
- 6 Q Which ones?
- 7 A The Hilton New York.
- 8 Q Any others?
- 9 A That's -- and currently.
- 10 Q Right, yes, besides the current -- what did you do at the
- 11 Hilton New York?
- 12 A The Hilton New York, I was the Director of Guest Services.
- 13 Q And what were you interactions with the union in that
- 14 position?
- 15 A I had responsibility for probably about 65 unit employees,
- 16 worked directly with guest services. I have had interaction
- 17 with the shop stewards, working with business agents, working
- 18 through different aspects of understanding the collective
- 19 bargaining agreement.
- 20 O Okay. Were you involved in any negotiations in that
- 21 position?
- 22 A No.
- 23 O So these negotiations are the first collective bargaining
- 24 negotiations that you've been a part of?
- 25 A Yes.

- 1 Q So, Mr. Henry, I'd like to ask you about a couple of Human
- 2 Resources terms that I've seen during my investigation that I'm
- 3 not totally familiar with.
- 4 So could you explain to me how a wage survey works?
- 5 A A wage survey is done annually by industry from our
- 6 industry perspective. We typically will call around to
- 7 different properties and just verify with them exactly each --
- 8 we have a list, so we go by criteria. For example, stewarding,
- 9 housekeeping, housemen, front office manager, front desk
- 10 manager, front desk associate and ask their respective Human
- 11 Resource counterpart as to exactly what they are paying in that
- 12 regard. So we go through each property within our reach and
- 13 within our marketplace to verify exactly what they are paying,
- 14 to make sure that we are competitive.
- 15 Q And so the market for Rochester, would you be talking to
- 16 the other Rochester hotels?
- 17 A That is correct.
- 18 Q Okay.
- 19 Now another term that I've seen is "benchmarking." Could
- 20 you tell me what that means?
- 21 A Benchmarking is similar When you look at the -- you want
- 22 to make sure you're at that particular standard within the
- 23 business, and, as a result, you operate according to that. For
- 24 example, our benchmark for hospitality or for industry
- 25 standards, for example, for the front office would be what you

- 1 pay the work force, where are you within the percentile and to
- 2 make sure that you're managing according to that, to make sure
- 3 you're within the top 75 percent or 25 percent.
- 4 Q Okay. And so that applies to pay and other terms and
- 5 conditions of employment?
- 6 A We try to make sure it does, yes.
- 7 Q Okay, all right.
- Now another term that I've seen is "red-circling." And
- 9 that term, as I understand it, is used for employees who are
- 10 paid over scale, is that right?
- 11 That is correct.
- 12 Q And employees who are red-circled, typically at least,
- 13 don't get wage increases until the scale catches up with them,
- 14 is that correct?
- 15 A That is also correct.
- 16 O Okay, all right.
- 17 So, Mr. Henry, I'd like to talk about the hotels that are
- 18 in this bargaining unit. What hotels are in the bargaining unit
- 19 in this case?
- 20 A Within our bargaining unit, you have the Kahler Grand
- 21 Hotel, the Marriott, Kahler Inn and Suites and the Residence
- 22 Inn.
- 23 O Generally, could you describe each of the hotels, maybe
- 24 highlighting the differences between the four properties?
- 25 A How would you say, the Residence Inn is the smaller of our

- 1 hotels, which has limited services available to it. There's no
- 2 food and beverage in terms of restaurants available. There is a
- 3 breakfast provided at that property. It's the smallest of our
- 4 hotels, which is 89 rooms.
- We have the Kahler Inn and Suites, which is primarily a
- 6 suite product that also has some food and beverage offering, but
- 7 has some limited service associated with it: minimal banquet
- 8 space, doesn't provide room service and other amenities
- 9 associated with full service hotels.
- 10 Then you have the full service Marriott, which is operated
- 11 through Marriott standard, which is I would say a full service
- 12 property, which provides a wide array of services for all of our
- 13 quests. And the Kahler Grand, which is the biggest of the
- 14 hotels, which also has the same or similar services provided
- 15 through that property.
- 16 O How many employees in total are in the bargaining unit?
- 17 A Oh, I don't have a number right off-hand. I would say
- 18 probably about close to 300, if I'm not mistaken.
- 19 O And that's across the four hotels?
- 20 A Across the four properties, yes.
- 21 Q All right. Do you know roughly how many employees are at
- 22 each property?
- 23 A Pretty much, yah, probably about 24 -- 25 at the Residence
- 24 Inn; about 60 or 65 at the Kahler Inn and Suites; would say the
- 25 Marriott, probably about 90; and then the rest primarily the

- 1 Kahler Grand.
- 2 0 And which classifications are covered by the bargain9ing
- 3 unit? Is it all the employees who work at these properties or
- 4 are there employees who are excluded?
- 5 A Not all of the employees working at the hotels are covered
- 6 under the CBA. Typically, you have the Banquet Department,
- 7 which consists of the banquet servers, the housemen, the bar
- 8 attendants. You have the culinary staff, the food and beverage
- 9 staff, that's covered primarily by the unit, which includes the
- 10 cooks or culinary team, the front of the house staff members,
- 11 which are servers, bussers, the bartenders. We have the PBX
- 12 operators at the Kahler Grand that's covered from the front
- 13 office; the bell staff from the Marriott and the Kahler Grand
- 14 Hotel are covered on the CBA; and, of course, the housekeeping
- 15 team and the engineering team.
- 16 O Okay.
- 17 COURT REPORTER: Did you say PBX?
- 18 THE WITNESS: I'm sorry.
- 19 COURT REPORTER: What is that acronym you said?
- THE WITNESS: Oh, PBX, I'm sorry.
- 21 COURT REPORTER: Okay.
- 22 Q BY MR. WIESE: What does that mean? PBX?
- 23 A Oh, my goodness, it's the telephone operators.
- 24 MR. WIESE: Your Honor, permission to approach?
- JUDGE STECKLER: Please.

- 1 MR. WIESE: I'm showing the witness what's previously been
- 2 marked as General Counsel 28 and 30.
- 3 (Witness proffered documents.)
- 4 Q BY MR. WIESE: Let's start with General Counsel Exhibit 28.
- 5 Do you recognize this document?
- 6 A Yes.
- 7 O And what is it?
- 8 A It's an org. chart for the hotels.
- 9 Q Okay. And do you know about when this org. chart is from?
- 10 Do you recognize when you would have seen it before?
- 11 A I've seen it before, I just don't remember when it was
- 12 produced.
- 13 Q And do you know about when this org. chart is from? Do you
- 14 recognize when you would have seen it before?
- 15 A I've seen it before, I just don't remember when it was
- 16 produced.
- 17 O Okay.
- 18 MR. WIESE: Your Honor, I'll offer that this was -- and Mr.
- 19 Terrell can respond if he likes. This is the organizational
- 20 chart that was produced pursuant to the -- or as an exhibit in
- 21 the unit clarification hearing that occurred this spring?
- MR. TERRELL: I recall introducing an org. chart in that
- 23 hearing. I can't verify as I sit here that this is the actual
- 24 one, but I'll take Mr. Wiese's representation that that's
- 25 accurate, and if we need to confirm it, we will.

- 1 JUDGE STECKLER: Okay.
- MR. WIESE: So I'll offer General Counsel Exhibit 28.
- JUDGE STECKLER: General Counsel's 28 is admitted.
- 4 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 28.)
- 5 O BY MR. WIESE: And set that aside, Mr. Henry.
- 6 So I'd like to take a look now at General Counsel Exhibit
- 7 30.
- 8 Do you recognize this document?
- 9 A Yes.
- 10 0 And what is it?
- 11 A It's an organizational chart as well.
- 12 O And do you know when this organizational chart was created?
- 13 A That was -- this was an updated one provided I think this
- 14 week or, yes, this week.
- 15 Q So it would have been current as of this week?
- 16 A That is correct.
- 17 O Okay.
- 18 MR. WIESE: Offer General Counsel Exhibit 30 into evidence.
- 19 MR. TERRELL: No objection.
- 20 JUDGE STECKLER: GC 30 is admitted.
- 21 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 30.)
- 22 Q BY MR. WIESE: So I just want to take a look at General
- 23 Counsel Exhibit 30 here, and see if we can get some explanation
- 24 about it.
- 25 So the lines going up, say from -- well, let's look at the

- 1 HR Department on the right-hand side of that document. It looks
- 2 like Chad Decker and Mary Kay Costello have lines going up to
- 3 you, is that right?
- 4 A That is correct, yes.
- 5 Q Okay. And does that mean that they report to you?
- 6 A Yes.
- 7 Q Okay, all right. And then you have lines going up to
- 8 Richfield Hospitality, is that right?
- 9 A That is correct.
- 10 Q Okay. And who do you report to at Richfield Hospitality?
- 11 A Currently, there's an interim person in that role. Her
- 12 name is Camille Garner.
- 13 O And what is --
- 14 A She's the VP of Human Resources for Richfield Hospitality
- 15 or acting -- the director of -- Vice President of Human
- 16 Resources.
- 17 Q What role does Richfield play Richfield Hospitality play
- 18 in running the hotels?
- 19 A They are the management company responsible for overseeing
- 20 the successful operation of the hotels.
- 21 Q And are you employed directly by Richfield Hospitality?
- 22 A Yes.
- 23 O Okay.
- What about Mr. Decker and Ms. Costello? Are they employed
- 25 by Richfield?

- 1 Q Okay.
- 2 All right, in your role as the -- was it Director of HR?
- 3 Is that right?
- 4 A Yes.
- 5 Q Okay. In your role as the Director of HR, do you report to
- 6 Javon Bea?
- 7 A No, I report to Camille Garner, right now.
- 8 Q Do you speak with Mr. Bea?
- 9 A Occasionally.
- 10 O How often?
- 11 A I don't know, just occasionally.
- 12 Q Okay. Weekly?
- 13 MR. TERRELL: Objection: asked and answered.
- 14 THE WITNESS: I can't say weekly.
- 15 JUDGE STECKLER: I'll allow it.
- Go ahead.
- 17 Q BY MR. WIESE: Would you like me to repeat the question?
- 18 A You can. You're saying "weekly."
- 19 O Yes.
- 20 A And I'm saying to you that I can't say weekly because I
- 21 don't speak to him weekly, so --
- 22 Q What about once a month?
- 23 A That's a guesstimate -- probably.
- 24 Q Okay, all right.
- 25 And who is Mr. Bea?

- 1 A Mr. Bea is the representing Chair of the ownership group
- 2 for the hotels.
- 3 Q What role, if any, do you currently play in the operations
- 4 of Textile Care Services, the laundry service?
- 5 A None.
- 6 0 None at all?
- 7 A None.
- 8 O Could you describe who owns each of the hotels?
- 9 A Who owns?
- 10 Q Yes, who owns the hotels?
- 11 A The -- my understanding is the hotels are owned by a
- 12 corporation from the -- that's chaired by Javon Bea.
- 13 Q And do you know the individual ownership of each of the
- 14 hotels?
- 15 A I don't understand the question. I'm sorry.
- 16 O Do you know who owns the Kahler Grand Hotel?
- 17 A It's part of -- I don't know the legal name that's
- 18 associated with it, but I do know who is associated with the
- 19 ownership, yes.
- 20 O And who is that?
- 21 A That is Javon Bea, as well as the Bea family and whomever
- 22 else are investors.
- 23 O Okay, and is that the same for all the hotels?
- 24 A I would imagine so, yes.
- 25 (Witness proffered document.)

- 1 O Showing you what's been marked as General Counsel Exhibit
- 2 2, Mr. Henry, do you recognize this document?
- 3 A This looks like a copy of the old CBA.
- 4 O And who is Sunstone Hotel Properties?
- 5 A To my knowledge, Sunstone Hotel Properties was the previous
- 6 ownership of the hotels.
- 7 O And this Collective Bargaining Agreement covers all the
- 8 hotels that we've been talking about, the four hotels, is that
- 9 right?
- 10 A That is correct, yes, from what I can see so far, yes.
- 11 Q So when did Richfield Hospitality come into the picture
- 12 with this bargaining unit?
- 13 A Richfield Hospitality came on board in October of 2013.
- 14 Q And when Richfield Hospitality came on board, it
- 15 voluntarily assumed this Collective Bargaining Agreement. Is
- 16 that right?
- 17 A That's my understanding. It was in existence, so we --
- 18 yah.
- 19 Q You didn't engage in negotiations with the Union?
- 20 A No, not at that time, correct.
- 21 Q All right. And during negotiations, the current
- 22 negotiations we're talking about, the parties extended this
- 23 Collective Bargaining Agreement for 6 months, is that right?
- 24 A There was an extension of the contract from the anticipated
- 25 expiration date of August 31, 2014 through February of 2015, on

- 1 the 28th.
- 2 Q Okay. Now looking at this Collective Bargaining Agreement,
- 3 it covers Textile Care Services as well the hotel properties, is
- 4 that right?
- 5 A Let me make sure. Yes, I can see the amendment in there,
- 6 yes.
- 7 O And at the outset of these current negotiations in 2015,
- 8 the bargaining unit still included Textile Care Services, is
- 9 that right?
- 10 A At the onset, yes.
- 11 Q Okay. And at the outset of these negotiations, Richfield
- 12 wanted the laundry facility to be part of a separate bargaining
- 13 unit, isn't that right?
- 14 A I think as we came on board, if I can answer in this
- 15 matter: Coming on board, I think one of the things that was
- 16 quite evident when you get a chance to understand the dynamics
- 17 of the properties, you realize they are separate entities, they
- 18 are separate units. And, as a result, we wanted to manage the
- 19 properties accordingly.
- 20 O So it was something that you wanted, right?
- 21 A Well, it's something that we were suggesting would be the
- 22 appropriate thing to do in order of how the business model
- 23 presents itself.
- MR. WIESE: Okay, before I go on, I'll offer General
- 25 Counsel Exhibit 2 into evidence.

- 1 MR. TERRELL: No objection.
- 2 JUDGE STECKLER: General Counsel Exhibit 2 is admitted.
- 3 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 2.)
- 4 (Witness proffered the document.)
- 5 O BY MR. WEISE: Showing you what's been marked as General
- 6 Counsel Exhibit 6(a), Mr. Henry, do you recognize this document?
- 7 A Yes.
- 8 0 What is it?
- 9 A It is a side-by-side comparison proposal that was part of
- 10 our bargaining sessions earlier on.
- 11 Q Do you recall which bargaining session this proposal would
- 12 have been made at?
- 13 A Well, you have a date on here that says "1/20, so I would
- 14 imagine that based on that date then, that's when this was
- 15 presented, because I know one we presented the first one at that
- 16 time, yes.
- 17 O And at that time, you were presenting a separate proposed
- 18 contract for Textile Care Services, is that right?
- 19 A That is correct.
- 20 MR. WIESE: I'll offer General Counsel Exhibit 6(a).
- MR. TERRELL: We would like the opportunity to verify the
- 22 accuracy of this document. As the witness indicated, there was
- 23 a side-by-side proposal that looked like this that was proposed
- 24 and delivered across the table on January 20, but we still have
- 25 a question as to whether this is an accurate copy.

- 1 JUDGE STECKLER: How much time do you need to review?
- 2 MR. TERRELL: Ten minutes.
- JUDGE STECKLER: Okay, we'll take a break for 10 minutes.
- 4 That clock is not accurate.
- 5 MS. BURGESS: Your Honor, I did change it.
- 6 JUDGE STECKLER: Oh, you changed it.
- 7 MS. BURGESS: I just changed it, so it should be correct.
- JUDGE STECKLER: It's now accurate, okay.
- 9 It is 10 till 11. We will break until 11 for Mr. Terrell
- 10 to have an opportunity to review the document.
- 11 MR. TERRELL: And I may need to consult with Mr. Henry
- 12 related to that -- just that narrow question. I won't speak
- 13 with him about his other testimony though.
- MR. WIESE: Well, I mean, I would just like a reminder then
- 15 to the witness and --
- 16 JUDGE STECKLER: Yes.
- 17 MR. WIESE: -- to counsel that the sequestration order is
- 18 still in effect.
- 19 MR. TERRELL: We understand the rule, Your Honor.
- 20 I just want to consult with Mr. Henry for purposes for
- 21 verifying whether this exhibit is the accurate exhibit. I'll
- 22 need his assistance in order to do that.
- 23 MR. WIESE: Your Honor, I mean --
- JUDGE STECKLER: Well, let me ask -- I'm sorry, let me ask
- 25 one question. Do you have your own copy?

- 1 MR. TERRELL: We have a copy, yes.
- 2 JUDGE STECKLER: Can you compare the copy without talking
- 3 to Mr. Henry?
- 4 MR. TERRELL: I can do that, but I may need to consult with
- 5 Mr. Henry just to verify.
- 6 JUDGE STECKLER: Well, let's do that first, and then if you
- 7 need to speak with Mr. Henry, we'll go back on the record.
- 8 MR. TERRELL: Okay.
- 9 MR. WIESE: Your Honor, before we go off the record, I
- 10 would also like to point out that Mr. Stokes was at the
- 11 bargaining table as well as --
- 12 JUDGE STECKLER: Yes, but I --
- MR. TERRELL: Well, he actually wasn't at the January 20
- 14 session.
- 15 JUDGE STECKLER: Okay.
- 16 So we'll take a 10-minute break and come back at 1 minute
- 17 after 11.
- 18 (Off the record.)
- 19 JUDGE STECKLER: Back on the record.
- 20 There were off-the-record discussions regarding how to
- 21 handle GC Exhibit 6(a).
- 22 Did the parties reach some type of accommodation?
- MR. WIESE: Yes, Your Honor, I believe that we did.
- But before I talk about GC Exhibit 6(a), I'd just like to
- 25 clarify that I miss-spoke after we went on break. I represented

- 1 that Mr. Stokes was at bargaining on January 20th. As indicated
- 2 by the stipulation, he was not, in fact, at those negotiations.
- But with regard to 6(a), so I believe we reached an
- 4 accommodation whereby the General Counsel Exhibit 6(a) is being
- 5 offered for the purpose of showing that when bargaining opened
- 6 on January 20th, there were separate contract -- there was a
- 7 separate contract offer on the table for Textile Care Services
- 8 while that was still part of the bargaining unit with the
- 9 hotels.
- 10 MR. TERRELL: And could you identify for the witness what
- 11 document you placed in front of him?
- 12 MR. WIESE: This is -- I believe this is that contract
- 13 offer from January 20th.
- MR. TERRELL: For which entity?
- 15 MR. WIESE: For Textile Care Services.
- 16 O BY MR. WIESE: Is that accurate?
- 17 A That is accurate. That's what I have here.
- 18 Q Okay.
- 19 MR. WIESE: So I'll offer it for that purpose.
- 20 JUDGE STECKLER: Any objection to the --
- 21 MR. TERRELL: No objection. No objection.
- JUDGE STECKLER: Thank you.
- 23 Six (a) is admitted.
- 24 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 6(a).)
- 25 Q BY MR. WIESE: So, Mr. Henry, at negotiations on January

- 1 20th, Mr. Stokes wasn't present, was he?
- 2 A No, he was not.
- 3 0 Okay.
- 4 And you had Paul Jewison at those negotiations, is that
- 5 right?
- 6 A That is correct.
- 7 O Okay, and Mr. Jewison is or was the President of Textile
- 8 Care Services, is that right?
- 9 A That is correct.
- 10 Q Okay. And at negotiations on January 20th, the vast
- 11 majority of discussion that day was focused on separating --
- 12 explaining to the Union why the laundry business should be
- 13 separate from the hotel business. Is that right?
- 14 A Not in those terms. What was -- we started the meeting --
- 15 we had made prior to our meeting on the 20th -- had several
- 16 discussions regarding potential and the differences between the
- 17 businesses. We talked significantly about that as we led up to
- 18 that time period, which led us to the proposed contract in
- 19 discussing, "Well, these are our thoughts, this is what we see
- 20 moving forward. Could you take a look at it and see what your
- 21 thoughts are too, as well, to support us."
- 22 Q Mmm-hmm, so this contract offer was just your thoughts
- 23 about moving forward?
- 24 A Yah, because we had discussed it briefly, so we wanted to
- 25 see what we would be able to do with it.

- 1 Q Okay. And, in fact, you told the Union during negotiations
- 2 on January 20th, that you are moving forward with two contracts,
- 3 isn't that right?
- 4 A That we would like to move forward two contracts, yes.
- 5 Q And, in fact, you said you are moving forward with two
- 6 contracts, is that correct? Do you remember saying that?
- 7 A I don't remember saying that.
- 8 COURT REPORTER: You say you "don't" remember?
- 9 THE WITNESS: I don't remember saying that we are moving
- 10 forward, but I know that we said that we would like very much to
- 11 be able to move forward with separate contracts.
- 12 (Witness proffered the document.)
- MR. WIESE: Your Honor, I'm showing the witness what's been
- 14 marked as -- well, actually it hasn't been marked -- it's the
- 15 Respondent's bargaining notes from the January 20, 2015 session,
- 16 and I only have one copy, because I received it pursuant to the
- 17 subpoena last night. But I'd like to show it to the witness and
- 18 see if it refreshes his recollection as to what occurred at
- 19 bargaining that day?
- 20 MR. TERRELL: Give me a minute to get my copy in front of
- 21 me.
- JUDGE STECKLER: Okay.
- 23 (Pause.)
- MR. TERRELL: Okay.
- What number did you give this?

- 1 MR. WIESE: It's not been marked yet.
- 2 Q BY MR. WIESE: So if you look at page 7 of these notes, I
- 3 believe you said you don't remember making the statement that
- 4 "We are moving forward with two contracts."
- 5 MR. TERRELL: Objection: asked and answered twice
- 6 JUDGE STECKLER: I'm going to allow it.
- 7 Go ahead.
- 8 THE WITNESS: I don't remember what my exact statements
- 9 were, but --
- 10 Q BY MR. WIESE: I'm showing you a copy of what's been marked
- 11 -- well, of the bargaining notes from January 20th.
- MR. TERRELL: I'm sorry, what number did you give that?
- 13 MR. WIESE: It has not been marked. It's just been
- 14 identified.
- 15 JUDGE STECKLER: It's just for recollection and refresh.
- 16 He may not enter it at all.
- 17 MR. TERRELL: Okay.
- 18 MR. STOKES: I thought he said GC 5.
- 19 JUDGE STECKLER: No, he didn't.
- 20 O BY MR. WIESE: Are you --
- 21 MR. WIESE: And I apologize for standing so close to your
- 22 witness but --
- 23 O BY MR. WIESE: Are you the "MH" identified in that
- 24 document, is that right?
- 25 A Yes, uh-huh.

- 1 Q Okay. Take a minute to look at -- look at the bottom --
- 2 A Okay.
- 3 Q -- quarter of that page and let me know when you're done.
- 4 (Pause.)
- 5 A I'm done.
- 6 Q Do you now recall making the statement, "We are moving
- 7 forward with two contracts"?
- 8 A Similar to that, yes.
- 9 Q Okay. And do you recall making the statement, "You have
- 10 the document in front of you that we are moving forward with."
- 11 A As it relates to the notes, yes.
- 12 Q Okay. And the document that you're talking about is this
- 13 separate contract offer for Textile Care Services, isn't that
- 14 right?
- 15 A That would be I --
- JUDGE STECKLER: Is that, for the record --
- 17 MR. WIESE: General Counsel Exhibit 6(a).
- JUDGE STECKLER: Okay, just want to make sure it's on the
- 19 record.
- 20 O BY MR. WIESE: So after the parties met on January 20th,
- 21 they met again on January 29th, isn't that right?
- 22 A That is correct.
- 23 O Okay, and at those negotiations on January 29th, the
- 24 parties continue to discuss separating out Textile Care Services
- 25 from the hotel units. Do you recall that?

- 1 A I think we did, yes.
- 2 Q Are you sure or do you just --
- 3 A I think we did, yah.
- 4 Q Okay, all right.
- 5 And do you recall the Union walking out from negotiations
- 6 that day?
- 7 A That they left, yes.
- 8 Q And they kept insisting at negotiations that day that
- 9 separating out the laundry facility from the hotel units was a
- 10 permissive subject of bargaining. Do you remember that coming
- 11 up?
- 12 A I do.
- 13 Q All right. And then after negotiations on January 29th,
- 14 there was an unfair labor practice charge filed. Do you recall
- 15 that?
- 16 A Yes, I do.
- 17 Q Okay, and it was after the negotiations on January 29th
- 18 that Mr. Arch Stokes came in to the bargaining table, is that
- 19 correct?
- 20 A I think so, yes.
- 21 Q Okay. All right, and it was after Mr. Stokes came in to
- 22 the table that the employer filed a "UC" Petition with the Board
- 23 to determine whether Textile Care Services should be part of the
- 24 unit, is that right?
- 25 A Yes, we were hoping that before we filed a "UC" Petition,

- 1 that we would have an amicable agreement before such time.
- 2 Q And you did that by telling the Union that you're moving
- 3 forward with two separate contracts?
- 4 A No, we did that by having conversations about having two
- 5 separate contracts prior to the bargaining session starting.
- 6 MR. WIESE: All right, Your Honor, the following line of
- 7 questions is in support of Complaint allegations 6(c) and -- or
- 8 excuse me, yes, 6(c) and 12(1) of the General Counsel's
- 9 Complaint.
- 10 O BY MR. WIESE: So, Mr. Henry, while the parties were
- 11 bargaining in 2015, the prior collective bargaining agreement
- 12 that we were talking about is General Counsel Exhibit 2,
- 13 expired, is that right?
- 14 A When we started the negotiation, it was extended through
- 15 February of 2015, yes.
- 16 Q Right, and the parties continued to negotiate after
- 17 February 2015?
- 18 A Yes.
- 19 Q Okay. And the agreement then expired after February 28,
- 20 2015?
- 21 A Yes.
- 22 Q So I'd like to take a look at Appendix A of this agreement.
- JUDGE STECKLER: GC Exhibit 2.
- MR. WIESE: Yes, thank you.
- MR. TERRELL: Excuse me, Tyler.

- 1 What Complaint allegation are you referring to?
- 2 MR. WIESE: I believe it's 6(c) and 12(1),
- 3 Q BY MR. WIESE: So if you turn to -- it looks like it's page
- 4 29 of 52 of the exhibit or (a)(2) -- or actually, let's go to
- 5 (a)(3), page 30 of 52.
- 6 So looking at this document, let's take the second cook at
- 7 the top for the Kahler Hotel. So there are wage rates for 2011,
- 8 2012 and 2013. So if a second cook got hired in 2011, they
- 9 would have been hired at 15.03, according to this, is that
- 10 right?
- 11 A That is correct.
- 12 O And then the same rate in 2012 and 2013 it looks like for
- 13 the hire rate, is that right?
- 14 A If they hired, yes, on the property, it will be the same
- 15 rate, yes.
- 16 O Okay. And then after working for a year, let's say they
- 17 had worked -- their anniversary date came up in 2011, they would
- 18 be making 16.26, is that right?
- 19 A Well, their anniversary date, as it states here, after 12
- 20 months, yes, they would have gotten an increase after 12 months
- 21 to 16.26. And then based on what the contract states here, that
- 22 if they were hired either within that 12-month period of time up
- 23 to September 1st, they would have gotten another increase, yes.
- 24 Q Okay. And so when I'm talking about step increases here,
- 25 the increases that I am talking about are the increases from the

- 1 hire to 12 months and then 12 months to 24 months, 24 months, 42
- 2 months and 42 months to 60 months. Do you understand what I'm
- 3 talking about?
- 4 MR. TERRELL: Respondent -- first, Respondent wants to make
- 5 a continuing objection to the term "step increases." We do not
- 6 believe and contend as a legal matter that these are not step
- 7 increases.
- 8 JUDGE STECKLER: So noted.
- 9 Please proceed, Mr. Wiese.
- 10 O BY MR. WIESE: So when I'm talking about step increases,
- 11 those are the increases that I'm talking about.
- 12 Do you understand?
- 13 A I understand what's on here, yes.
- 14 Q Okay, all right.
- And then the annual increases, when I use that term, I'm
- 16 talking about the shift from the 2011 columns to the 2012
- 17 columns and the increases that occur on the anniversary date of
- 18 the contract. Is that clear?
- 19 A That is clear.
- 20 O Okay, all right.
- 21 So when you assumed this Collective Bargaining Agreement in
- 22 2013, you continued to pay the step increases outlined in this
- 23 contract, is that right?
- 24 A Yah, we continued to pay the increase as indicated in the
- 25 contract, yes.

- 1 Q When you started bargaining with the Union, in fact before
- 2 you began formal bargaining sessions, you told the Union that
- 3 you wanted to eliminate these step increases, didn't you?
- 4 A I said we would like to change how the increases are done,
- 5 yes.
- 6 Q And part of those changes included eliminating these step
- 7 increases, isn't that right?
- 8 A Part of those changes means changing what they have
- 9 established here currently in the contract.
- 10 O But I'm asking about the specific change that we're talking
- 11 about as it relates to step increases. Was one of the proposals
- 12 that the employer made prior to formal negotiations -- did that
- 13 include eliminating step increases?
- 14 A To -- as you acknowledge it, I guess so, yes.
- 15 Q Showing the witness what has been marked as General Counsel
- 16 Exhibit 3.
- 17 (Witness proffered the document.)
- 18 Q Mr. Henry, do you recognize this document?
- 19 A Just give me a minute here, sir.
- 20 O Yes.
- 21 (Pause.)
- 22 A This looks like some prior notes in preparation for
- 23 bargaining.
- 24 A Mmm-hmm. And, in fact, this is what the employer gave to
- 25 the Union, isn't that right? This is something that the

- 1 employer gave to the Union?
- 2 A I don't recall to be honest.
- 3 Q But you do recognize what this document is?
- 4 A Yes, I do recognize the verbiage, yes.
- 5 O And where it says, "proposed language," that's what the
- 6 employer wanted to have included in the upcoming contract, is
- 7 that right?
- 8 A That's look like it, yes.
- 9 Q Okay, all right. And if you look at the second box on the
- 10 first page, it says -- it talks about eliminating rate increases
- 11 at various service points, isn't that right?
- 12 A It says here "Retain the annual increases, however,
- 13 eliminate rate increases at various service points." It does
- 14 say that --
- 15 Q And the service points that we're talking about are the
- 16 step increases, isn't that right?
- 17 A I can't testify to that. I'm not sure. I guess that's
- 18 what they represent here, but I don't know.
- 19 O All right. And the reason you wanted to eliminate those
- 20 step increases because it would be consistent with standard
- 21 practice across various organizations, is that right?
- 22 A That's what it states here.
- 23 0 That's what it states.
- 24 A Yes.
- 25 Q And when you're talking about various organizations, you're

- 1 talking about other hotel properties, is that right?
- 2 A I would imagine so, yes.
- 3 Q Okay. Including non-union hotel properties?
- 4 A I would imagine so.
- 5 MR. WIESE: I'll offer General Counsel Exhibit 3 into
- 6 evidence.
- 7 MR. TERRELL: The only objection we have is these
- 8 handwritten stars on the first page. There's been no testimony
- 9 as to where those stars came from or who put those there, what
- 10 significance they have. So I'll not object to the document, but
- 11 would request that the stars be ignored for purposes of
- 12 accepting this document into evidence.
- 13 MR. WIESE: And, Your Honor, we're fine with that.
- JUDGE STECKLER: Subject to Mr. Terrell's limitation, GC 3
- 15 I admitted.
- 16 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 3.)
- 17 O BY MR. WIESE: Now, Mr. Henry, the Union didn't agree to --
- 18 didn't reach any agreement with you to eliminate the step
- 19 increases, did they?
- 20 A I don't think there was a tentative agreement on anything
- 21 as relates to wages at all or pay rates.
- 22 Q Including the step increases?
- 23 A As I said, there's been no agreement on anything related to
- 24 rates or wages.
- 25 Q And so once the predecessor Collective Bargaining

- 1 Agreement, the one in General Counsel Exhibit 2, expired in
- 2 February, you stopped paying the step increases, isn't that
- 3 right?
- 4 A We didn't have a contract to pay anything on, so I didn't
- 5 pay anything.
- 6 Q But I'd like you to answer my question "yes" or "no," did
- 7 you stop paying those step increases when the contract expired?
- 8 A Well, when the contract expired, we stopped providing
- 9 increases associated with the contract that is no longer in use.
- 10 Q I'll ask the question one more time.
- 11 MR. TERRELL: Objection: asked and answered. He's got his
- 12 answer.
- 13 JUDGE STECKLER: I think I understand the answer.
- 14 MR. WIESE: Okay.
- JUDGE STECKLER: So we'll move on.
- MR. WIESE: We'll move on, Your Honor.
- 17 O BY MR. WIESE: And you did this, you stopped paying the
- 18 increases in the contract without the agreement of the Union, is
- 19 that right?
- 20 A Well, in bargaining session, I'm quite sure that the notes
- 21 will reflect that we also shared with the Union during the
- 22 bargaining session that the contract had expired after the 28th,
- 23 and as a result we have no contract moving forward, which
- 24 reflects the pay increases will stop and then other issue --
- 25 items there were discussed during negotiations.

- 1 Q So you were using the elimination of the step increases as
- 2 leverage against the Union at the bargaining table, is that
- 3 right?
- 4 A No, that's incorrect.
- 5 Q Oh, it's not? But you kept bringing it up to the Union,
- 6 right?
- 7 A No.
- 8 Q As you just said, the bargaining notes reflect that.
- 9 MR. TERRELL: Objection. Let the witness answer.
- 10 The witness was cut off, Your Honor.
- 11 JUDGE STECKLER: He said "no." I think the explanation --
- 12 MR. TERRELL: He was -- there was a comma and there was
- 13 more discussion and then he was cut off.
- 14 JUDGE STECKLER: Both -- since this is a 611(c), he is
- 15 entitled to just get a "no" and move ahead.
- 16 Proceed, Mr. Wiese.
- 17 O BY MR. WIESE: So your testimony is now that the
- 18 elimination of the step increases did not come up at the
- 19 bargaining table?
- 20 A I'm sorry, could you repeat that, please?
- 21 Q Yes.
- 22 So your testimony now is that the elimination of the step
- 23 increases did not come up at the bargaining table?
- 24 A Okay, can you explain what you mean by "the elimination of
- 25 the step increases did not come up during bargaining." I'm

- 1 trying to understand what you're saying.
- 2 Q I'm asking whether at the bargaining table the parties
- 3 discussed -- you know what I'm talking about with the step
- 4 increases, is that right?
- 5 A No, I understand what you're referring to, yes.
- 6 Q Okay. So I'm asking whether the elimination of those step
- 7 increases -- whether that came up at the bargaining table.
- 8 A What came up at the bargaining table is our discussion with
- 9 what we would like to see of what we have proposed in our
- 10 contract. That's what we discussed at the bargaining table.
- 11 Q Okay. So you did discuss it at the bargaining table?
- 12 A We discussed our proposal, yes.
- 13 Q And you also discussed the fact that you had stopped paying
- 14 these step increases after the contract in General Counsel
- 15 Exhibit 2 expired, is that right?
- 16 A Could you repeat that, please.
- 17 O Mmm-hmm.
- 18 So you also discussed the fact that you or Richfield had
- 19 stopped paying these step increases after the expiration of the
- 20 contract, the prior Collective Bargaining Agreement. Did that
- 21 come up at the bargaining table?
- 22 A It did not come up at the bargaining table.
- 23 O All right.
- 24 And so the Union never agreed to eliminate those step
- 25 increases after the expiration of this Collective Bargaining

- 1 Agreement, did they?
- 2 A The Union did not make any arguments to continue with the
- 3 step increases either.
- 4 Q That's not the question that I asked, Mr. Henry.
- 5 A But that's the response.
- 6 O No, but I'm asking whether there was an agreement between
- 7 the parties to eliminate the step increases after the expiration
- 8 of the contract the prior Collective Bargaining Agreement, "yes"
- 9 or "no"?
- 10 A There was no agreement to either extend or any arguments to
- 11 extend the step increases.
- 12 MR. WIESE: Your Honor, I would ask that the witness be
- 13 directed to answer my question.
- 14 JUDGE STECKLER: I think I understand the answer.
- 15 Let's just move along.
- 16 O BY MR. WIESE: And, in fact, the Union filed at least one
- 17 grievance over the elimination of these step increases, didn't
- 18 they?
- 19 A There was an e-mail that I either received from either
- 20 Brian or Nancy associated with an associate who came to them
- 21 with regard to their increase, to my recollection.
- 22 Q Showing you what's been marked as General Counsel Exhibit
- 23 5.
- 24 (Witness proffered the document.)
- 25 Q So, Mr. Henry, do you recognize this document?

- 1 A Yes, I do.
- 2 Q And is this the e-mail that you were referring to --
- 3 A Yes.
- 4 Q -- a second ago about the elimination of the step
- 5 increases?
- 6 A This is the e-mail I was referring to when you asked me the
- 7 question regarding an associate who was impacted by not getting
- 8 an increase, yes.
- 9 MR. WIESE: I will offer General Counsel Exhibit 5 -- the
- 10 top exchange, I -- we can exclude it if you'd like.
- 11 MR. TERRELL: I have no objection to the e-mail from
- 12 Michael Henry and the ones that preceded it.
- 13 What's this at the top? It's an e-mail from Martin to
- 14 Tyler. The top e-mail from Goff to Wiese has no relevance to
- 15 the case.
- 16 JUDGE STECKLER: I don't think we would consider it as part
- 17 of the case.
- 18 GC 5 is admitted.
- 19 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 5.)
- 20 Q BY MR. WIESE: So, Mr. Henry, you've had employees speak to
- 21 you about the elimination of their step increases, haven't you?
- 22 A I have had employees speak to me about the fact that they
- 23 have not gotten an increase, yes.
- 24 Q Right. And how many employees?
- 25 A I don't have a good number in my head right now; roughly, I

- 1 don't know, quite a few.
- 2 Q So did you say "quite a few"?
- 3 A Quite a few, yes.
- 4 Q More or less than 10?
- 5 A Oh, I would say probably more than 10.
- 6 Q More or less than 20?
- 7 A Kind of put myself in a box if I said that, I don't know,
- 8 I'm not sure.
- 9 Q But certainly more than 10?
- 10 A Yes.
- 11 Q All right.
- 12 Do you remember the names of any employees who have talked
- 13 to you about this?
- 14 A Ashley, who is referenced in here. Who else? A few other
- 15 associates who are no longer with us at the property.
- 16 Q Do you remember when you spoke with Ashley?
- 17 A I remember having a conversation with her, yes.
- 18 Q And where did that conversation take place?
- 19 A She was in my office.
- 20 O And she came up to you asking you about why she wasn't
- 21 getting her step increase?
- 22 A No, she came to me and said that Brian told me -- told her
- 23 to come to me to talk to me about the increase, getting her an
- 24 increase.
- 25 Q And you told her that she wasn't going to be getting an

- 1 increase? Did you?
- 2 A What I shared with her was that we have left an offer on
- 3 the table with an increase associated with it, and once the
- 4 Union signs it, the increase will be in effect.
- 5 Q And is this essentially the same thing that you told to the
- 6 other more than 10 employees that you talked to?
- 7 A Yes.
- 8 O Okay. All right.
- 9 So now, Mr. Henry, I'd like to talk to you a little bit
- 10 about contract negotiations. You served as the employer's lead
- 11 negotiator at several sessions, is that right?
- 12 A That is correct, yes.
- 13 Q Okay. And those were the sessions that Mr. Stokes wasn't
- 14 at, is that correct?
- 15 A That is correct.
- 16 O Okay.
- 17 MR. WIESE: Your Honor, I'm showing the witness what's
- 18 previously been marked as General Counsel Exhibit 6(g).
- 19 (Witness proffered the document.)
- 20 O BY MR. WIESE: Do you recognize this document?
- 21 A It looks very familiar.
- 22 Q And what do you recognize it as?
- 23 A The changes on here represents I guess a proposal that we
- 24 put on the table for -- during our bargaining session.
- 25 Q And do you recall when this specific proposal would have

- 1 been made?
- 2 A This would probably be somewhere in about March.
- 3 Q If you have chance to look at this -- I would actually
- 4 direct your attention to page 50 of 150, this offer.
- 5 A You said 5-0?
- 6 Q Yes, yup, 5-0?
- 7 A Okay.
- 8 Q There's a signature page back there, is that right?
- 9 A Yes, that's right.
- 10 Q And does this help you identify when this contract offer
- 11 was made?
- 12 A This will be a signature page, because we probably -- this
- 13 was our last and final offer that we put on the table I would
- 14 imagine.
- 15 Q Okay. So this is the last, best and final contract offer
- 16 of March 24th, is that right?
- 17 A It looks like it.
- MR. WIESE: I'll offer General Counsel Exhibit 24 -- or,
- 19 excuse me, 6(g).
- 20 MR. TERRELL: No objection. We just reserve the right to
- 21 review it more closely, to insure that it's an accurate copy,
- 22 but no objection.
- 23 JUDGE STECKLER: Okay, 6(q) is admitted.
- 24 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 6(g).)
- 25 Q BY MR. WIESE: Mr. Henry, you gave this to the Union

- 1 representatives who were present that day at negotiations on
- 2 March 24th, is that right?
- 3 A Yes.
- 4 Q And you hand-delivered it to them?
- 5 A I think this was hand-delivered, yes.
- 6 Q And, in fact, you gave it to them as they were getting on
- 7 the elevator to leave negotiations that day. Do you recall
- 8 that?
- 9 A I recall that, yes, that we gave it to them when they were
- 10 on their way out, yes.
- 11 Q While they were in the elevator, correct?
- 12 A No, they were in the hallway.
- 13 Q Okay, on their way to the elevator?
- 14 A Yes.
- 15 Q So the Union -- you called this the employer's last, best
- 16 and final offer, and that's what this is, is that right?
- 17 A Yes.
- 18 Q And the Union has made contract offers since March 24th,
- 19 isn't that right?
- 20 A They have not made contract offers, their proposed
- 21 amendment to the contract, but not contract offers, no.
- 22 Q Okay. And when you say contract offer, you are talking
- 23 about a full, comprehensive contract.
- 24 A That's what I thought you were referring to.
- 25 Q Oh, yah, and then it's my apologies.

- 1 A Okay.
- 2 Q But the Union has made proposals since March 24th, is that
- 3 right?
- 4 A Yes.
- 5 Q And in these proposals, the -- well, you've rejected these
- 6 proposals in total, haven't you?
- 7 A Yes.
- 8 Q Since March 24th, you haven't declared impasse, have you?
- 9 A No, but Brian has.
- 10 Q Okay.
- 11 Well, Mr. Henry, I'd like to take a look at this March 24th
- 12 contract offer a little more closely.
- 13 MR. WIESE: And, Your Honor, this line of questions is
- 14 going to be in support of Complaint allegations 12(b) through
- 15 (d).
- 16 MR. TERRELL: Twelve --
- MR. WIESE: "B" as in "bear" through "D" as in "dog."
- 18 MR. TERRELL: Okay.
- 19 Q BY MR. WIESE: So I'd like to take a look at Appendix A of
- 20 this proposal, which I believe starts on page 52 of the
- 21 document.
- 22 MR. TERRELL: And, Tyler, when you say page 52, you are
- 23 referring to page 52 of 150?
- 24 MR. WIESE: Right, yes.
- 25 MR. TERRELL: So it's not the original document number, but

- 1 the Bates stamp number you've added.
- 2 MR. WIESE: That's correct.
- 3 MR. TERRELL: Okay.
- 4 MR. WIESE: And, actually, if you flip over, it looks like
- 5 Appendix A starts on page 51 of the document.
- 6 MR. TERRELL: Right.
- 7 Are we on the record?
- 8 COURT REPORTER: Yes.
- 9 MR. TERRELL: Thank you.
- 10 Q BY MR. WIESE: So this is the employer's most recent offer
- 11 on wages, is that right?
- 12 A That is correct.
- 13 Q And in Appendix A, all of the employees it looks like are
- 14 scheduled to receive wage increases across the term of the
- 15 contract, is that right?
- 16 A I'm sorry, could you repeat that, please?
- 17 O Employees are scheduled to receive -- based on Appendix A,
- 18 employees are scheduled to receive wage increases during the 5-
- 19 year term of the contract?
- 20 A Yes.
- 21 Q And you could take a minute to look at this if you need to,
- 22 but none of the employees or the classifications listed in
- 23 Appendix A -- none of those employees are scheduled to get wage
- 24 decreases during the contract, are they?
- 25 A No one is scheduled to get a wage decrease, no.

- 1 Q And, in fact, you represented to the Union at the
- 2 negotiating table that with the exception of the banquet
- 3 servers, that no employees were scheduled to receive decreases
- 4 during the term of the employer's proposed contract. Do you
- 5 recall saying that?
- 6 A Yes.
- 7 Q Okay, and this is, in fact, reflected in Appendix A?
- 8 A Correct.
- 9 Q Now in order to demonstrate the economics of the employer's
- 10 wage proposals, the employer presented pie charts for each
- 11 individual employee in the bargaining unit.
- 12 A Correct.
- 13 Q And these pie charts showed how the employer's wages and
- 14 benefits in its proposal would apply to each employee in the
- 15 bargaining unit, is that correct?
- 16 A That is correct.
- 17 Q And, in fact, you stand by the accuracy of these pie
- 18 charts, don't you?
- 19 A As best as we possibly can, yes.
- 20 O And you would say that these pie charts accurately display
- 21 how the employer's offer affects each employee in the bargaining
- 22 unit, is that correct?
- 23 A Primarily, yes.
- 24 O Yes or no?
- 25 JUDGE STECKLER: Wait a minute.

- I was going to ask what does "primarily" mean?
- 2 THE WITNESS: Because I -- with regards to -- I know that
- 3 there were some changes within the meeting when we had
- 4 negotiations with regards to those folks who would receive
- 5 uniforms, those folk who were not part of the uniform aspect of
- 6 it. So there were a small percentage associated, like 20 cents
- 7 that goes towards uniform allowances that some of the Union
- 8 members don't get uniforms. So they were saying that that
- 9 should not be reflected in their total remuneration So that's
- 10 what I --
- 11 JUDGE STECKLER: Thank you.
- 12 Mr. Wiese, you may continue.
- 13 Q BY MR. WIESE: Besides uniforms, would you stand by their
- 14 accuracy?
- 15 A Yah, I would say that, yes.
- 16 O Okay. And you've, in fact, told the Union on multiple
- 17 occasions that they need to show these pie charts to the
- 18 individual employees, so that employees can see how this March
- 19 24th contract offer would apply to them. Is that right?
- 20 A We encourage that, yes.
- 21 Q And, in fact, you have given individual notices to each
- 22 employee in the bargaining unit telling them that they should go
- 23 to the Union and ask for their pie chart. Is that right?
- 24 A What is correct is that since we started -- I just said
- 25 we've had over 10 folks that have stopped by the office asking

- 1 about increases. And one of the things that we have done is in
- 2 order for us to -- for them to fully understand what their total
- 3 compensation package is, that it was associated with the pie
- 4 charts to go to speak with the Union to get that information, so
- 5 they can reflect what their wage increase would be as they move
- 6 forward.
- 7 O And --
- 8 MR. WIESE: Would you mark it.
- 9 COURT REPORTER: GC --
- 10 MR. WIESE: GC 9.
- 11 (EXHIBIT MARKED: GENERAL COUNSEL'S 9.)
- 12 (Witness proffered the document.)
- 13 Q BY MR. WIESE: Showing the witness what's previously been
- 14 marked as General Counsel Exhibit 9, do you recognize this
- 15 document?
- 16 A Yes.
- 17 O And this is the notice that you gave to employees about the
- 18 individual pie charts. Is that correct?
- 19 A That is correct.
- 20 O And what you told employees was that the pie charts
- 21 reflected exactly what management's last, best and final
- 22 proposal is for each member of the bargaining unit, is that
- 23 correct?
- MR. TERRELL: Objection: misstating the document, I
- 25 believe. No, I'll withdraw the objection.

- 1 JUDGE STECKLER: Okay, go ahead, Mr. Wiese.
- 2 MR. WIESE: Okav.
- 3 Q BY MR. WIESE: And what you were telling employees is that
- 4 their pie charts reflect exactly what management's last, best
- 5 and final proposal is for each member of the bargaining unit, is
- 6 that right?
- 7 A Yes.
- 8 0 Okay.
- 9 MR. WIESE: I'll offer General Counsel Exhibit 9.
- 10 MR. TERRELL: No objection.
- 11 JUDGE STECKLER: GC 9 is admitted.
- 12 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 9.)
- 13 Q BY MR. WIESE: So, Mr. Henry, there's a separate pie chart
- 14 for each employee for each year of the employer's March 24th
- 15 proposal. Is that right?
- 16 A Yah, I think we created one, yes.
- 17 O All right.
- 18 And you mentioned earlier there were maybe a little under
- 19 300 employees in the bargaining unit?
- 20 A Somewhere there, yes.
- 21 Q And you were -- the March 24th proposal is a 5-year
- 22 contract, is that right?
- 23 A Yes.
- 24 Q So I think we would be talking about --
- 25 A Fifteen hundred.

- 1 Q -- 1,500, maybe a little --
- 2 A Somewhere around there.
- 3 Q Yes. And, in fact, for some of those employees, there is
- 4 more than one pie chart for each year, is that right?
- 5 A For some employees, yes.
- 6 Q Okay. And you -- in fact, you presented these individual
- 7 pie charts to the Union at the negotiating table, is that right?
- 8 A Yes, we did.
- 9 Q Okay, all right. And you did this in paper form?
- 10 A Yes.
- 11 Q In approximately two bankers boxes?
- 12 A I can't remember how many, but, yes, we did --
- 13 Q All right, but several boxes, is that right?
- 14 A Yes.
- 15 Q Okay, all right. And these pie charts were only provided
- 16 in paper form, is that right?
- 17 A That's what we -- yes.
- 18 Q And only one copy was provided to the Union?
- 19 A We went through -- if I'm not mistaken, yah.
- 20 O And so it's this proposal, these individual pie charts,
- 21 that you expect the Union to agree to as part of your last, best
- 22 and final offer?
- 23 A Well, not what we expect the -- what we presented to the
- 24 Union is a representation of what each bargaining group would be
- 25 paid as new hires as they move forward, yes.

- 1 Q Now, Mr. Henry, you were responsible for producing the
- 2 documents responsive to a subpoena that I issued to you, is that
- 3 correct?
- 4 A Yes.
- 5 Q And one of the documents that I requested was true and
- 6 accurate copies of the pie charts that were provided to the
- 7 Union. Is that right?
- 8 A If memory -- I don't have the document in front of me, but
- 9 I think so, yes.
- 10 Q In fact, it should be up there. I can provide it to you if
- 11 you'd like.
- MS. BURGESS: The subpoena.
- 13 MR. WIESE: Yes, General Counsel Exhibit 37.
- JUDGE STECKLER: I have a copy here. I'm handing it to Mr.
- 15 Henry now.
- 16 (Witness proffered the document.)
- 17 Q BY MR. WIESE: And if you look at the second page, request
- 18 20 -- it's actually on the 4th page. That request is for the
- 19 pie charts that were provided by the employer to the Union. Is
- 20 that right?
- 21 A Yes.
- 22 Q And you did not, in fact, produce these pie charts to me,
- 23 did you?
- 24 A No, we did not.
- 25 Q And that's because you didn't have any copies of the pie

- 1 charts, is that right?
- 2 A Correct.
- 3 Q Despite the fact they are a part of our March 24th offer to
- 4 the Union, is that right?
- 5 A Well, the pie charts are associated with every single
- 6 employee that is currently employed under the bargaining unit as
- 7 a reflection of what we discussed in the bargaining unit and was
- 8 supposed to be distributed to all of those bargaining members at
- 9 the time.
- 10 O So it's part -- it reflects what employees are going to be
- 11 paid under your last, best and final offer, is that right, the
- 12 pie charts?
- 13 A Yes, they do.
- 14 Q And so you don't have these pie charts, despite the fact
- 15 that they reflect what employees are going to be paid under your
- 16 last, best and final offer?
- 17 A No, we don't.
- 18 Q And you're aware that there was an unfair labor practice
- 19 filed over this bargaining in May, aren't you?
- 20 A An unfair labor practice filed in --
- 21 Q In May of this year -- are you aware that there was an
- 22 unfair labor practice charge filed by the Union related to what
- 23 was going on at the bargaining table?
- 24 A I'm aware of all the charges, yes.
- 25 Q Okay, all right. And that these were subject to a

- 1 Complaint that issued in September of this year. Are you aware
- 2 of that fact?
- 3 A I don't understand, I'm sorry. Subject to a --
- 4 Q Okay. Well, anyways, that these pie charts are part of the
- 5 litigation and the reason that we're here today?
- 6 A Yes.
- 7 Q And yet you destroyed these pie charts, isn't that right?
- 8 MR. TERRELL: Objection.
- 9 THE WITNESS: Excuse me?
- 10 MR. TERRELL: Miss-states his previous testimony about the
- 11 pie charts.
- 12 MR. WIESE: I didn't refer to any previous --
- 13 JUDGE STECKLER: Could you rephrase, Mr. Wiese?
- 14 Q BY MR. WIESE: You got rid of these pie charts, didn't you?
- 15 A No, I did not.
- 16 MR. TERRELL: Objection.
- 17 I'll withdraw it. He answered.
- 18 THE WITNESS: No, I did not.
- 19 JUDGE STECKLER: He said he didn't. That's the answer.
- 20 O BY MR. WIESE: Then where are they?
- 21 A You have them or the Union has them.
- 22 Q The only copy?
- 23 A Right, so they were not destroyed. The Union has them.
- 24 Q Were they handwritten?
- 25 A They were typed.

- 1 Q So where is the electronic copy?
- 2 A We don't have copies of those.
- 3 Q So you got rid of the electronic copy?
- 4 A No, we did not. We provided the material and provided it
- 5 to the Union at negotiations and they have copies of it.
- 6 Q What happened to the file that these were created with?
- 7 A I don't know.
- 8 Q And so when did you get rid of that file?
- 9 MR. TERRELL: Objection to the term "get rid of."
- 10 O BY MR. WIESE: When did this file cease to exist?
- 11 A Again, I go back to my previous statement. We provided the
- 12 documentation and gave it to the Union during negotiations for
- 13 them to distribute it to every single member of their union
- 14 body, plain and simple. You have copies of them.
- 15 Q The only copies.
- 16 A Copies, nonetheless.
- 17 O Showing the witness what has been marked as General Counsel
- 18 Exhibit 10(a) through (1).
- 19 (Witness proffered the document.)
- 20 O You can take a minute to look at these, but we'll go
- 21 through them one by one.
- 22 So taking a look at -- let me know when you are ready, Mr.
- 23 Henry.
- 24 A Yup, just go ahead.
- 25 Q Okay. So taking a look at General Counsel Exhibit 10(a),

- 1 this is a pie chart for Andrew Vacura, Lead Cook, is that right?
- 2 A Yes.
- 3 Q And just going through the pie charts, the biggest section
- 4 of the pie chart on the left-hand side, that's 20.58; that's
- 5 base pay and overtime, is that right?
- 6 A Yes.
- 7 O And if you go through this pie chart, if you go in two
- 8 pages, page 3, it's the pie chart for 2016, is that right?
- 9 A That is correct.
- 10 O And there -- the biggest portion of the pie chart, the
- 11 20.89 section, is also base pay and OT, is that right?
- 12 A That is correct.
- 13 Q Ad if you look at the other years in the contract, 2017,
- 14 2018, 2019 and 2020, that's the same thing. That section of the
- 15 pie chart is for base rate and overtime, is that right?
- 16 A That's what is reflected, yes.
- 17 O Okay. So you're projecting, in fact, overtime for
- 18 employees in 2020 as part of your offer, is that right?
- 19 A That is correct.
- 20 O And if you look at the top right, again, we can look at the
- 21 first page here. It says "TRW equals \$30.10."
- 22 A Yes.
- 23 O What is the term "TRW"?
- 24 A Total -- it represents total wages and benefits for an
- 25 associate.

- 1 Q Okay. Do you know what each letter in that mean?
- 2 A I have it written down somewhere.
- 3 Q Okay, but you're aren't sure today?
- 4 A I don't have it with me to speak, it's just what I
- 5 represented to you just now, total wages and benefits.
- 6 Q All right. So looking at the bottom of this document, so
- 7 where it says base pay, OT, vacation, holiday, do you see what
- 8 I'm talking about?
- 9 A Yup.
- 10 Q Okay. So one of the things that's included in this pie
- 11 chart is jury duty, is that right?
- 12 A That is correct.
- 13 Q Okay. Now employees don't take jury duty each year, do
- 14 they?
- 15 A Not always.
- 16 O Okay. And what about -- and then next to that there's
- 17 bereavement leave, is that right?
- 18 A That is correct.
- 19 Q Okay, and employees don't necessarily take bereavement
- 20 leave each year, do they?
- 21 A I would hope not, no.
- 22 Q And it also includes taxes, if you go over a couple -- a
- 23 couple lines.
- 24 A Yah.
- 25 Q And these are the taxes that are paid by the employer,

- 1 isn't that right?
- 2 A That is correct.
- 3 Q Okay, and Workers Comp, right next to that, that's also
- 4 something that's paid by the employer, isn't that right?
- 5 A That is correct.
- 6 Q Okay, along with uniforms?
- 7 A Yes.
- 8 Q All right. And the uniforms are, in fact, tax deductible
- 9 for the employer, aren't they?
- 10 A Could very well be.
- 11 Q So you aren't sure of the answer to that?
- 12 A No, I'm not sure.
- 13 Q Did all of these terms, the employer's taxes, the Workers
- 14 Comp. -- these are included as part of the employee's wages, is
- 15 that right?
- 16 A Yes, total wages.
- 17 Q Right. But the -- at least the employee's compensation.
- 18 A Yes.
- 19 Q So if you turn to page 2 of this document, the -- in the
- 20 table on the left hand side of the page where it says base pay,
- 21 and then going across underneath "hourly rate," do you see what
- 22 I'm talking about?
- 23 A Yes.
- 24 Q It says 18.82, is that right?
- 25 A That is correct.

- 1 Q And that's different than the base pay and overtime figure
- 2 listed next to it in the pie chart, isn't that right?
- 3 A That is correct.
- 4 Q And if we flip through this document, you can see that Mr.
- 5 Vacura is schedule to get wage increases, according to both his
- 6 base pay and the -- base pay and overtime section of the pie
- 7 chart, is that right?
- 8 A That's correct.
- 9 Q Okay, and so from 2015 to 2016, it looks like it's maybe
- 10 about a 30 cent raise?
- 11 A Yah, it's about.
- 12 Q Roughly.
- 13 A Mmm-hmm.
- 14 Q So let's take a look at General Counsel Exhibit 10(b),
- 15 which should be the next document.
- 16 Do you have it?
- 17 A Ten(b)?
- 18 Q Yes, 10(b). It should be right behind 10(a), in the stack,
- 19 the next stapled -- stapled set of charts.
- 20 A Uh-huh.
- 21 Q So this is a pie chart for Felipe Cruz Garcia. Is that
- 22 right?
- 23 A Yes.
- 24 Q And like Mr. Vacura, he is a Lead Cook. Is that right?
- 25 A Yes.

- 1 Q And if you take a second to compare the base rates and the
- 2 base pay and overtime sections of the pie charts, Mr. Garcia is
- 3 making a different wage rate for each year of the contract that
- 4 Mr. Vacura, is that correct?
- 5 A That is correct.
- 6 Q And he is also receiving different wage increases than Mr.
- 7 Vacura during the course of that contract, is that right?
- 8 A That's reflected here, yes.
- 9 Q All right.
- 10 We can go to the next document, GC Exhibit 10(c). So this
- 11 is a pie chart for Tum Chan. He's also a Lead Cook, isn't he,
- 12 Mr. Henry.
- 13 A Yes.
- 14 Q And you can compare if you need to, but Mr. Chan is making
- 15 a different wage rate than both Mr. Vacura and Mr. Garcia, is
- 16 that right?
- 17 A That is also correct.
- 18 Q All right.
- 19 Turning your attention to General Counsel 10(d), this is a
- 20 pie chart for Bonifica Schnell, is that right?
- 21 A That's correct.
- 22 Q And she's the Dish Machine Operator, is that right?
- 23 A Correct.
- 24 Q And if you turn to the page 12 of the contract, it has her
- 25 hourly rate according to the --

- 1 MR. TERRELL: Contract?
- 2 MR. WIESE: Or, excuse me, thank you, Mr. Terrell.
- 3 Q BY MR. WIESE: This proposal in 2020, her hourly rate is
- 4 10.65, is that right?
- 5 A Let me go back to that, hold on.
- 6 You said in Exhibit 6? Is that what you're talking about,
- 7 GC6?
- 8 Q No, I'm talking about -- I'm still talking about 10(d)
- 9 A Okay.
- 10 Q And so looking at page 12 of the pie chart --
- 11 A Okay.
- 12 Q -- across from "base pay" underneath "hourly rate," it says
- 13 that she is scheduled to make 10.65. Is that right?
- 14 A All right, you said page 12?
- 15 Q Yes.
- 16 A This page 12 right here?
- 17 Q Yah, the pie chart should say "2020" underneath it?
- 18 A Yah.
- 19 O Okay.
- 20 A It says 11.49 here.
- 21 Q Yes, I'm talking about in the table though, the hour --
- 22 A Okay.
- 23 O -- her base hourly rate --
- 24 A Okay.
- 25 Q -- says 10.65, is that right?

- 1 A Yes.
- 2 MR. TERRELL: 10.65.
- 3 Q BY MR. WIESE: And if you turn to General Counsel Exhibit
- 4 6(g), the Appendix, Appendix A of that agreement that we talked
- 5 about earlier.
- 6 MR. TERRELL: I'm sorry, we're on 6(g)?
- 7 MR. WIESE: Yes.
- 8 MR. TERRELL: Page what now?
- 9 MR. WIESE: That's the March 24th offer.
- 10 MR. TERRELL: Right.
- 11 MR. WIESE: Appendix A of that offer.
- 12 MR. TERRELL: Page 50 -- 51?
- 13 Q BY MR. WIESE: So if we look at page 52. And if you go
- 14 down about halfway down the machine, it says "Dish Machine O-P-
- 15 E-R and Porter, do you see what I'm talking about?
- 16 A I see it, yah.
- 17 O Okay, all right.
- 18 If you go across to 2020, that wage rate is --
- 19 A 11.08.
- 20 0 -- is 11.08, okay.
- 21 And it's the same, in fact, for all the Dish Machine and
- 22 Porters that are listed in the contract, isn't that right?
- 23 A That is correct.
- 24 O Okay.
- 25 All right, now let's take a look at General Counsel Exhibit

- 1 10(e). this is for Michael Lindell, a houseman. Could you
- 2 point to me, looking at Appendix A of General Counsel Exhibit
- 3 6(g), the March 24th contract offer that we were looking at,
- 4 could you show me where a houseman is listed in that Appendix?
- 5 A Should be the Houseperson -- Housekeeper.
- 6 Q Okay, so it's another term for -- "houseman" is another
- 7 term for "Housekeeper"?
- 8 A Mmm-hmm, that's how have been called, yah.
- 9 Q But "houseman" isn't specifically isn't listed in that
- 10 Appendix, is it?
- 11 A No, it's not.
- 12 O All right.
- So now let's take a look at the next three exhibits, GC
- 14 10(f), (e) --
- 15 A And if I could clarify too as well, it's in the prior
- 16 contract -- it's not listed in there either.
- 17 O Okay.
- 18 A Okay.
- 19 Q Looking at General Counsel Exhibits 10(f), (g) and (h) --
- 20 so these are all three Dish Room employees, isn't that right?
- 21 A Yes.
- 22 Q And looking through these, all of these employees have
- 23 different wage rates for each year of the contract, is that
- 24 right?
- 25 A Absolutely.

- 1 Q And they all have different wage increases, isn't that
- 2 right?
- 3 A Yes.
- 4 Q And if we look at page 3 of General Counsel Exhibit 10(g) -
- 5 in fact, that employee is receiving a wage decrease from 2016
- 6 to 2017, isn't he?
- 7 A These documents are very different from the ones that we
- 8 were looking at before that has the -- if I could state that.
- 9 So I'm not sure exactly where the inaccuracy is coming from,
- 10 because I'm not able to see the calculations associated with it.
- 11 So these documents are different from the ones that we were
- 12 looking at in GC 10(a) and GC 10(h), (j) and (g) that you're
- 13 referring to, because they don't have the same notation or
- 14 calculation associated with them. So I can't refer to them
- 15 because I don't -- it does state it here, but they are different
- 16 documents. They are different from the ones that we have there,
- 17 so I don't know where the other information is associated with
- 18 these documents.
- 19 O Well, Mr. Henry, this is the only copy we have, so this is
- 20 what we're going to have to deal with, so --
- 21 A I'm not able to then accurately answer your question
- 22 without further documents.
- 23 Q Well, just looking at the document on its face, if you look
- 24 at the -- on page 2 of the document, I mean -- I guess I mean
- 25 the document speaks for itself, but it says on page 2 the TRW is

- 1 23.57, and then it goes down to 23.58 [sic] on page 3, isn't
- 2 that right?
- 3 A 23.38, yah, I see that, yah.
- 4 Q Okay, all right.
- 5 And the base in OT goes down from 14.28 to \$14.00. Isn't
- 6 that right?
- 7 A I see that on there too, but as I mentioned before, there's
- 8 no way for me to verify or validate those statements when I'm
- 9 not able to see the calculations on the charts.
- 10 JUDGE STECKLER: And just to clarify, because you don't
- 11 have a copy saved as the employer?
- 12 THE WITNESS: We don't have a general copy saved with all
- 13 these documentations. These materials were produced for all the
- 14 folks associated with the bargaining unit. So once they were
- 15 all done, we moved on to the next associate, based on their rate
- 16 of pay. We typed that information in, got that done, got it
- 17 printed out and moved on to the next associate, because we were
- 18 in a time crunch to get everything prepared during the
- 19 bargaining table -- during the bargaining session. So we don't
- 20 have a general revised copy saved somewhere that we can pull for
- 21 ourselves.
- 22 JUDGE STECKLER: Were there underlying documents that the
- 23 data -- the person who was inputting the data used to generate
- 24 these documents?
- 25 THE WITNESS: Just the person's wages, based on what is in

- 1 the negotiated contract prior.
- 2 COURT REPORTER: Negotiated contract --
- 3 THE WITNESS: Prior, the previous contract.
- 4 Q BY MR. WIESE: So you don't know why Mr. Suljevik would be
- 5 schedule to receive a wage decrease in 2017?
- 6 A Yah, I don't know why that came up that way, but no one is
- 7 supposed to.
- 8 O Okay, all right.
- 9 So turning your attention to General Counsel Exhibit --
- 10 actually, let's look at General Counsel Exhibits 10(i) through
- 11 (1). And looking at the last page of Mr. Lammer's pie chart,
- 12 General Counsel Exhibit 10(i), in 2020, Mr. Lammer is scheduled
- 13 to receive a wage decrease according to this pie chart, is that
- 14 right?
- 15 A That's what's indicated on here. Again, as I said, I'm not
- 16 sure why this anomaly exists.
- 17 O All right. Turning your attention to General Counsel
- 18 Exhibit 10(j) --
- 19 THE WITNESS: Granted, Your Honor, if I may --
- 20 MR. WIESE: Your Honor, there isn't a question before the
- 21 witness.
- 22 JUDGE STECKLER: There's no question -- I'm sorry, Mr.
- 23 Henry, there's no question out for you right now. There will be
- 24 plenty of time where Mr. Terrell is going to ask you probably a
- 25 ton of questions, am I correct, Mr. Terrell?

- 1 MR. TERRELL: I'm sorry?
- 2 JUDGE STECKLER: You're going to ask him a ton of questions
- 3 probably about this document.
- 4 MR. TERRELL: At some point, yes.
- 5 JUDGE STECKLER: So Mr. Terrell will take of you later,
- 6 don't worry.
- 7 THE WITNESS: Okay.
- 8 Q BY MR. WIESE: And turning to page 5 of Exhibit 10(j), that
- 9 employee is schedule to receive a wage decrease in 2019, isn't
- 10 that right?
- 11 A From this information, yes, it seems that way.
- 12 Q Turning your attention to General Counsel Exhibit 10(j) or
- 13 19(k), excuse me, it looks like this one does have the
- 14 calculations you were talking about. Are these additional pages
- 15 the calculations you were talking about?
- 16 A Yes.
- 17 O Okay. If you turn to page 11 of that exhibit, looking in
- 18 the upper right-hand corner below the "TRW," it says that Mr.
- 19 Hall is scheduled to receive a 17.95 percent decrease in 2020,
- 20 is that right?
- 21 A That's what it says here.
- 22 Q The same year that other employees are receiving increases,
- 23 isn't that right?
- 24 A The same year that other employees seem to be given
- 25 decreases as well, yes.

- 1 Q Right, but several employees are receiving increases that
- 2 year, isn't that right?
- 3 A Yes.
- 4 Q Okay. And turning your attention to General Counsel
- 5 Exhibit 10(1), looking at page 3 of that document, Mr. Dubois is
- 6 scheduled to receive a wage decrease that year as well, isn't
- 7 that right?
- 8 A Hold on a second.
- 9 (Pause.)
- 10 A Scheduled to be given an increase?
- 11 Q A decrease, on page 3.
- 12 A In 2020?
- 13 (Pause.)
- 14 A Yes, that's the case here.
- MR. WIESE: Offer General Counsel Exhibits 10(a) through
- 16 (1).
- 17 MR. TERRELL: No objection.
- JUDGE STECKLER: GC 10(a) through 10(l) are admitted into
- 19 the record.
- 20 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 10(a) through 10(1).)
- 21 Q BY MR. WIESE: Now you represented to the Union during
- 22 negotiations that with the exception of the banquet servers, no
- 23 employees would have their wages decreased. Isn't that right?
- 24 A That is correct.
- 25 Q And at negotiations on March 16th, and you can look at the

- 1 stip, if you need to verify this, but that day, several Banquet
- 2 Servers came to the bargaining table. Do you recall that?
- 3 A I recall that, yes.
- 4 Q All right. And during negotiations that day --
- 5 MR. TERRELL: I'm sorry, what day was that?
- 6 MR. WIESE: March 16th.
- 7 MR. TERRELL: Thank you.
- 8 Q BY MR. WIESE: And the reason that the Banquet Servers came
- 9 to negotiations that day or your understanding of that reason is
- 10 because their wages were going to be decreased, is that right?
- 11 A I'm not sure why they were there, but that could be very
- 12 much a part of it, absolutely.
- 13 Q During negotiations that day, the topic of service charges
- 14 came up, didn't it?
- 15 A I think so, yes.
- 16 O Now a service charge is something that is charged to
- 17 banguet customers, is that right?
- 18 A Typically, yes.
- 19 Q And, historically, what had happened in the past is that a
- 20 service was going to -- or at least a portion of that service
- 21 charge when to the Banquet Servers, is that right?
- 22 A Yah, the majority of the service charges at the time, yes.
- 23 O And, in fact, the service charge formed a large portion of
- 24 the Banquet Servers' wages, is that right?
- 25 A It's a part of their compensation, yes.

- 1 Q Okay. And what the -- the employer was proposing that it
- 2 wanted to change what it was going to do with the service
- 3 charges, is that correct?
- 4 JUDGE STECKLER: Just a second, Mr. Wiese.
- 5 Let me back up to that last question. You said the
- 6 question was whether it was a large part of the compensation,
- 7 and you said it was part of the compensation.
- 8 Approximately how much of the compensation does it make up?
- 9 THE WITNESS: It varies, though, Your Honor, because each
- 10 function is different. It depends on how many people are
- 11 working, that the service charge gets divided among all those
- 12 people that are working; so, yes, it does present a percentage
- 13 of their compensation, because they do get an hourly rate as
- 14 well, in addition to some of the compensation associated with
- 15 there being -- so there are times that it's half or less of
- 16 their compensation for that pay.
- 17 JUDGE STECKLER: Okay.
- 18 Mr. Wiese, you may ask your question. I'm sorry.
- 19 JUDGE STECKLER: Are we back on the record?
- 20 COURT REPORTER: We are.
- JUDGE STECKLER: Okay.
- 22 MR. WIESE: And before I go any further, I am going to be
- 23 asking Mr. Henry about statements that were made by Mr. Stokes
- 24 at the bargaining table, or may have been made by Mr. Stokes,
- 25 and I would like to make a request that Mr. Stokes leave the

- 1 hearing room just during this portion of the testimony, and then
- 2 be recalled back.
- MR. TERRELL: Your Honor, I don't know of any authority for
- 4 that proposition. I have been through dozens of Board
- 5 proceedings where counsel was -- counsel for purposes of the ULP
- 6 trial and was also a negotiator at the table during bargaining,
- 7 and I've never -- I don't think there's any authority for what
- 8 Mr. Wiese is proposing here.
- 9 JUDGE STECKLER: I tell you what, let's take a 10-minute
- 10 break, let me consider it. And when we come back, I'll give you
- 11 an answer.
- 12 So come back at about 12:20.
- 13 (Off the record.)
- 14 JUDGE STECKLER: Thank you, we're back on the record.
- Before we broke, the question was whether Mr. Stokes can
- 16 stay in during a discussion of what he did during the -- stated
- 17 during the negotiations.
- 18 As I recall, Mr. Wiese, you asked for him to be excluded.
- 19 Mr. Terrell objected, saying he knows no precedence for this.
- 20 A person who is essential to a party's presentation has to
- 21 be shown that he is essential. He is counsel, we've allowed him
- 22 to stay in; however, I think the better part of determining
- 23 credibility at this point would be to exclude him hearing what
- 24 Mr. Henry is about to testify to. He will be back in after that
- 25 time and be able to listen to the rest of the testimony.

- 1 MR. TERRELL: Well, Your Honor, we object to your ruling in
- 2 this regard and ask you to reconsider. We submit, respectfully,
- 3 that to have Mr. Stokes sequestered would be a denial of
- 4 counsel, a denial of due right. It is also highly unusual. I
- 5 don't think there's anything anywhere in the rules or procedure
- 6 that calls for piecemeal sequestration or periodic
- 7 sequestration; and Mr. Stokes is here an active participant in
- 8 this trial.
- 9 I can speak to my own experience, having gone through
- 10 numerous Board trials. It is common practice -- I'm sure there
- 11 is Board law for the proposition that it is common that the
- 12 witness sequestration rule does not apply to the unique
- 13 circumstances of Board law practice where it is very common for
- 14 counsel who represents an employer at a ULP trial who was -- in
- 15 a g(5) case who was also -- or a(5) case, who was also the
- 16 negotiator during the bargaining at issue. So we take objection
- 17 and respectfully ask you to reconsider your ruling, because it
- 18 is a denial of counsel, Your Honor.
- 19 And I'll note again -- I'll note, in addition, Nicole
- 20 Burgess is sitting here at the GC table. Ms. Burgess was the
- 21 Hearing Officer for the UC Petition that we filed. There's
- 22 already been testimony about the UC Petition. And we did not
- 23 move to exclude or sequester Ms. Burgess during that
- 24 questioning. And we may call her as a witness related to the UC
- 25 Petition. So there would be an inconsistency --

- JUDGE STECKLER: What's going to be the relevance of the UC
- 2 Petition at this point?
- 3 MR. TERRELL: The UC -- there's already been a lot of
- 4 testimony relating to that. It is part of the bargaining that
- 5 took place during the bargaining of this contract, the first
- 6 several proposals; in fact, all of the proposals up through the
- 7 last, best and final by the employer on March 24, included
- 8 proposals relating to the Unit Clarification Petition that was
- 9 filed and subsequently ruled on.
- 10 Mr. Wiese, in his questioning, is obviously seeking some
- 11 argument to support his theory I think as he put it that "the
- 12 employer wants what it wants." And his questioning suggested
- 13 that that is part of his argument related to the UC -- related
- 14 to the Respondent's position regarding the clarification and
- 15 separation of the units.
- 16 The fact of the matter is, the UC Petition was filed, it
- 17 was ruled upon by the Regional Director of Region 18, and the
- 18 Regional Director found merit in the separation and
- 19 clarification of the two units. That's an essential fact in
- 20 this case. We're going to be putting that into evidence. And
- 21 Ms. Burgess was the Hearing Officer in that UC Petition hearing.
- 22 She made the findings of fact that informed the decision that
- 23 was made by the Regional Director.
- 24 JUDGE STECKLER: I think the process for UC Petitions is
- 25 that the RD appoints a Hearing Officer. The Hearing Officer

- 1 does not draft the decision, someone else in the Region, who is
- 2 a deep dark secret, drafts the decision, and then the Regional
- 3 Director says, "yes -- no -- maybe." And the Regional Director
- 4 is the ultimate decision maker in those cases.
- 5 MR. TERRELL: I understand that, but nonetheless, Ms.
- 6 Burgess was the Hearing Officer, was intimately involved in the
- 7 -- closely involved in the UC Petition proceedings.
- 8 JUDGE STECKLER: Are you saying that the transcript for the
- 9 UC proceedings would not be sufficient to support your issues
- 10 here?
- 11 MR. TERRELL: At this point, I don't know. We haven't
- 12 seen the entire General Counsel's case at this point.
- JUDGE STECKLER: Have you issued a subpoena to Ms. Burgess?
- 14 MR. TERRELL: We have not, but we are now.
- 15 JUDGE STECKLER: Oh, you are now.
- 16 And okay --
- 17 MR. STOKES: Your Honor, may I be heard just for a second
- 18 just to supplement something.
- 19 JUDGE STECKLER: Certainly, sir.
- 20 MR. STOKES: When Counsel for the General Counsel
- 21 affirmatively stated that I was present at a January meeting
- 22 when he knew that I was not --
- 23 JUDGE STECKLER: Wait a minute, he withdrew that.
- MR. STOKES: May I just please finish what I'm saying?
- When he made that comment, he had not withdrawn that at

- 1 that time, that is not correct. In an off-the-record
- 2 discussion, I commented to him, "Please do not represent to Her
- 3 Honor that I was present at a meeting when I was not." He said,
- 4 "I'm sorry and I will correct it." He corrected it after I
- 5 pointed it out to him off the record. I don't know what he's
- 6 going to do behind my back. All I know is that I caught him --
- 7 JUDGE STECKLER: We're not here to discuss this.
- 8 MR. STOKES: I've caught him once.
- JUDGE STECKLER: Sir, we're not here to discuss it.
- I was going to grant the motion that you stay, and I will
- 11 let you stay, but please be advised you're on a very short leash
- 12 on this.
- We'll proceed.
- 14 Go ahead, Mr. Wiese.
- 15 And I don't want to hear any more comments like that.
- MR. STOKES: Well, Your Honor --
- 17 JUDGE STECKLER: No, sir, you stop right there.
- 18 MR. STOKES: I don't want to be said "I'm on a leash."
- 19 JUDGE STECKLER: No, sir. No, sir, you're not on a leash.
- 20 And you would have had an opportunity to testify contrary
- 21 to that. Now the fact that he went and corrected his mistake on
- 22 the record, it's done, it's finished.
- Is there anything else you'd like to bring up, Mr. Stokes,
- 24 before we continue?
- 25 MR. STOKES: I am offended by Your Honor's saying that I'm

- 1 like a dog on a leash.
- JUDGE STECKLER: No, sir, no, sir, I said, "You're on a
- 3 short lease," I didn't say "you're like a dog on a leash."
- 4 Don't misconstrue my -- don't --
- 5 MR. STOKES: That's what a "leash" refers to.
- 6 JUDGE STECKLER: Sir, this is -- this is --
- 7 MR. STOKES: That's what a "leash" refers to and you know
- 8 it and I know it. And I'm offended by your using that phrase to
- 9 me. I don't mind you telling me to be quiet; I don't mind your
- 10 overruling me; I don't mind you telling me not to talk; but I'm
- offended by Your Honor -- you're the Judge here -- saying, "You
- 12 are on a short leash." That refers to a dog and you know it and
- 13 I know it.
- 14 JUDGE STECKLER: Sir --
- MR. STOKES: It's the way -- look it up in Webster's.
- JUDGE STECKLER: Sir, it' an expression in our Lexicon.
- 17 MR. STOKES: Look it up in Webster's.
- 18 MR. STOKES: It's an expression in our Lexicon in this
- 19 country.
- 20 Mr. Terrell, I'm asking you to police your witness. Is
- 21 there any further explanation needed?
- MR. TERRELL: You ruled, Your Honor.
- JUDGE STECKLER: I ruled in your favor.
- MR. TERRELL: I understand.
- 25 JUDGE STECKLER: And I did not need to hear any further on

- 1 it.
- 2 Is that clear?
- 3 MR. STOKES: Yes, Your Honor, it is.
- 4 MR. WIESE: May I proceed?
- JUDGE STECKLER: You may proceed.
- 6 Q BY MR. WIESE: Mr. Henry, we were talking about service
- 7 charges for Banquet Servers.
- 8 And at negotiations on March 16, if you recall, the
- 9 employer -- or do you recall whether the employer was looking to
- 10 change what it wanted to do with service charges?
- 11 A I can't remember what our proposals exact were at this
- 12 point, but I know that there were some changes associated with
- 13 it, yes.
- 14 Q The employees were concerned about the changes being made
- 15 to the service charges, weren't they?
- 16 A Yes.
- 17 O And at negotiations that day, do you recall Mr. Stokes and
- 18 Mr. Bill Bunce going back and forth between the two of them over
- 19 the topic of service charges?
- 20 A I know that there was some explanation as to exactly
- 21 service charges, where they are today, where they came about.
- 22 Mr. Stokes gave some history associated with services charges
- 23 and how they came about as well. And then we talked primarily
- 24 as well regarding our reasoning or rationale behind, you know,
- 25 looking to change and to be more competitive within the market.

- 1 Q To be competitive with non-union hotels, is that right?
- 2 A To be competitive within the market.
- 3 Q With non-union hotels?
- 4 A Well, there --
- 5 MR. TERRELL: Objection: asked and answered.
- 6 THE WITNESS: --- there are not only union hotels that are
- 7 involved in the banquet business here in this market.
- 8 O BY MR. WIESE: And one of the individuals on your side of
- 9 the table who responded to Mr. Stokes was Mr. Bill Bunce, is
- 10 that right?
- 11 A Yes.
- 12 Q And Bill was the one -- if you recall, he was the one who
- 13 as talking about whether there would be a service charge for --
- 14 that the employer would be charging. Do you recall that?
- 15 A Without my notes, I can't remember exactly what transpired,
- 16 but I know that there was conversations, yes.
- 17 O I guess -- I mean, the notes will speak for themselves.
- So, Mr. Henry, there are employees who are currently making
- 19 more than the wage scale in Appendix A of the employer's March
- 20 24th offer, is that right?
- 21 A Yes.
- 22 Q And so that would mean they are being paid over that scale,
- 23 is that right?
- 24 A That's correct.
- 25 Q All right.

- If you turn to page 41 of that contract, specifically, I'm
- 2 looking at Section 111.
- 3 (Pause.)
- 4 Do you see it?
- 5 A Yah.
- 6 Q Okay. And that section says that "Employees being paid
- 7 more than the maximum rate for their job classification shall
- 8 continue to be paid that red-circled rate, " is that right?
- 9 A That's what it says here, yes.
- 10 Q And we went over "red-circle" before. Do you recall that?
- 11 A Except for banquet employees it says here.
- 12 O Yes.
- 13 A You left out that part.
- 14 Q Right, I did, I did.
- So, again, and excluding the banquet employees and service
- 16 charges and whatever was said about those --
- 17 A Yes, yes. If I could clarify too, as well, based on your
- 18 question that you just asked --
- 19 O Well, I'll finish asking my question.
- 20 A Okay.
- 21 Q And then your counsel can have you clarify.
- 22 So what it says is that "Employees being paid more than
- 23 maximum rate for their job classification shall be continued to
- 24 be paid that red-circled rate," isn't that right?
- 25 A Okay, it says, "Employees being paid more than the maximum

- 1 rate for their job classification shall be continued to be paid
- 2 that red-circled rate except for banquet employees. Banquet
- 3 employees shall be paid the hourly rate set forth on the
- 4 schedule of wages."
- 5 To answer your question, banquet employees today are being
- 6 paid over the red-circled rate. So they are being paid more
- 7 than what their rate would be indicated here in the index. So
- 8 that is something that is standard.
- 9 Currently, too, as well, when it comes down on to
- 10 associates being red-circled, there are currently employees red-
- 11 circled under the existing contract while the expired contract
- 12 that are red-circled currently -- many employees that are
- 13 associated with our bargaining group. Our proposal indicates
- 14 that we intend to give them an increase too, as well, because
- 15 the market has changed that. So that is something that we have
- 16 truly acknowledged and we recognize that it is something that
- 17 needs to happen too, as well, as we move forward.
- 18 Q So your testimony is that under the prior contract for
- 19 employees paid over scale, they were being red-circled?
- 20 A Yah, we have a lot of people being red-circled right now.
- 21 Housekeeping staff have been red-circled; 13.82 has been their
- 22 top rate.
- 23 O All right. Well, we know what "red-circled" means.
- 24 A Yah.
- 25 Q It speaks for itself.

- 1 So turning your attention to the most recent negotiations
- 2 this fall --
- MR. WIESE: And, Your Honor, this is in support of
- 4 Complaint allegation 12(m).
- 5 Q BY MR. WIESE: The parties met for negotiations around the
- 6 end of September of this year. Do you recall that?
- 7 A Yes.
- 8 Q And at the end of these negotiations, the parties scheduled
- 9 another negotiating session for October 20th, is that correct?
- 10 A That is correct, yes.
- 11 Q And on the afternoon of October 19th, you cancelled those
- 12 negotiations, didn't you?
- 13 A Yes.
- 14 O Showing the witness what has been marked as General Counsel
- 15 Exhibit 12 --
- 16 JUDGE STECKLER: Thank you.
- 17 MR. WIESE: Actually, I'm going to take that back.
- 18 I need 11.
- 19 MS. BURGESS: Okay.
- 20 O BY MR. WIESE: Showing the witness what has been marked as
- 21 General Counsel Exhibit 11, and again, excluding the top portion
- 22 of that e-mail chain, do you recognize this document?
- 23 (Witness proffered the document.)
- 24 A Yes.
- 25 Q And this is, in fact, the e-mails that you sent to the

- 1 Union on October 19th, cancelling negotiations on October 20th?
- 2 A This is the e-mail that I sent to the Union responding to
- 3 their proposal and every line item on their proposal; and our
- 4 rationale of why we should meet or should not meet on the 20th.
- 5 So the stipulation in this e-mail was that if they have
- 6 something that we could bring back to the table, to discuss it
- 7 so we can go forward.
- 8 Q So what your e-mail is saying on October 19th is that you
- 9 aren't going to meet the Union unless they present something new
- 10 to the table, is that right?
- 11 A That's your interpretation, yes.
- 12 Q Well, that's what it says.
- 13 A Okay.
- 14 MR. WIESE: I'll offer General Counsel Exhibit 11 into
- 15 evidence.
- 16 MR. TERRELL: No objection.
- 17 JUDGE STECKLER: General Counsel 11 is admitted.
- 18 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 11.)
- 19 Q BY MR. WIESE: Showing you what's been marked as General
- 20 Counsel Exhibit 12.
- 21 (Witness proffered the document.)
- 22 Q And, again, excluding the top e-mail, but looking at this
- 23 e-mail chain, the bottom e-mail appears to be the same one
- 24 that's in General Counsel Exhibit 11 -- but the top e-mail. Do
- 25 you recognize that?

- 1 A Yes
- 2 Q And that's the Union's request for further negotiating
- 3 rights, isn't that right?
- 4 A That's correct.
- 5 MR. WIESE: Offer General Counsel Exhibit 12.
- 6 MR. TERRELL: No objection.
- 7 JUDGE STECKLER: General Counsel's 12 is admitted.
- 8 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 12.)
- 9 Q Showing you what's been marked as General Counsel Exhibit
- 10 13.
- 11 (Witness proffered the document.)
- 12 Q And, again, excluding the top e-mail, do you -- and the
- 13 first e-mail on this from October 19th is in as General Counsel
- 14 Exhibit 11, and the second e-mail from November 4th is the same
- 15 as in General Counsel 12. That e-mail from November 9th -- do
- 16 you recognize that 3-mail?
- 17 A I do.
- 18 Q And this is, in fact, another request for bargaining dates
- 19 from the Union, isn't that right?
- 20 A Yes.
- 21 MR. WIESE: I'll offer General Counsel Exhibit 13.
- 22 MR. TERRELL: No objection.
- JUDGE STECKLER: Thirteen is admitted.
- 24 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 13.)
- 25 Q BY MR. WIESE: Showing you what's been marked as General

- 1 Counsel Exhibit 14.
- 2 (Witness proffered the document.)
- 3 Q So, again, looking at the e-mail chain, the first e-mail is
- 4 an e-mail from General Counsel Exhibit 11, from October 19th.
- 5 But the e-mail above that -- do you recognize that?
- 6 A The one on November 11, 2015?
- 7 Q Yes.
- 8 A Yes.
- 9 Q And excluding the top e-mail?
- 10 A Mmm-hmm.
- 11 Q Okay. And so this is your response to the Union's request
- 12 for negotiating dates, is that right?
- 13 A That is correct.
- 14 Q And attached to this document -- or the e-mail, I believe,
- 15 references an attachment.
- 16 A Yes.
- 17 O And the attachment that is referenced is the document on
- 18 pages three through 11 of General Counsel Exhibit 14. Is that
- 19 right?
- 20 A That is correct.
- 21 Q And this is your response to the Union's proposal that was
- 22 made at negotiations on September 24th?
- 23 A That is correct.
- 24 MR. WIESE: I'll offer General Counsel Exhibit 14 into
- 25 evidence.

- 1 MR. TERRELL: No objection.
- JUDGE STECKLER: General Counsel 14 is admitted.
- 3 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 14.)
- 4 Q BY MR. WIESE: In your response on November 11th, you told
- 5 the Union that you weren't going to meet with them unless they
- 6 presented a new proposal, isn't that right?
- 7 A I said I have attached -- I'll read that for you. My
- 8 response was "After very careful review of the Union's counter-
- 9 proposal presented at the September 24, 2015, there is nothing
- 10 we have seen or that they have said over the past few months of
- 11 negotiation that dictates quid pro quo. We have seen your
- 12 position to the proposed changes to tighten up the effective
- 13 operation of the hotels and to make us more competitive in the
- 14 Rochester market and with the Rochester competition. As we
- 15 continue to manage in the competitive Rochester market, we must
- 16 make changes to impact the fact that we are the only union
- 17 hotels.
- We went through each of the line items that our responses
- 19 are attached -- and our responses are attached. Based on the
- 20 status of the negotiations and the fact that you have not given
- 21 us any significant reason to change our proposal, we do not feel
- 22 the need for an additional meeting to discuss the same things we
- 23 have already discussed several times over the last several
- 24 months.
- 25 If you bring us something that is significant enough for us

- 1 to move off of our last, best and final offer, then let us
- 2 know."
- 3 Q So what you were looking for from the Union was a proposal
- 4 to make the Kahler Hotels more like the non-union hotels. Is
- 5 that right?
- 6 A No.
- 7 MR. WIESE: Did I offer General Counsel Exhibit 14. I
- 8 don't believe that I did. I'll offer it at this time.
- 9 JUDGE STECKLER: Do you have any objection, Mr. Terrell?
- 10 MR. TERRELL: It's offered -- yah, no objection.
- 11 JUDGE STECKLER: Okay.
- 12 Fourteen is -- I believe was already entered. Just to be
- 13 sure.
- 14 MR. WIESE: All right.
- 15 Q BY MR. WIESE: Mr. Henry, the parties don't have any
- 16 further negotiations scheduled, do they?
- 17 A Not at this time.
- 18 Q And the reason for that is because the Union won't give you
- 19 a new proposal, is that right?
- 20 A No, the reason for that is because we are asking for us to
- 21 be able to sit and discuss a reasonable proposal that we'll be
- 22 able to manage our business needs as effectively as we possibly
- 23 can.
- 24 Q So your last proposal was on March 24th? Is that right?
- 25 A Correct.

- 1 Q And since then, the Union has presented multiple proposals,
- 2 is that right?
- 3 A No, they have given us additions to what we already have on
- 4 the table, and they have asked us to make some changes to a few
- 5 things that are currently in the proposal, but they have not
- 6 provided with a new proposal, no.
- 7 MR. WIESE: All right, well, the documents will speak for
- 8 themselves.
- 9 Q BY MR. WIESE: So the employer's last, best and final offer
- 10 from March 24th, encompasses the pie charts that we discussed,
- 11 right?
- 12 A Correct.
- 13 O And it also includes a proposal limiting union leave to
- 14 employees to only 3 days, isn't that right?
- 15 A That's part of the proposal. And as you mentioned, the pie
- 16 charts, as we go forward, one thing I'm remiss not to share --
- 17 MR. WIESE: Your Honor, I'm going to object. This is non-
- 18 responsive.
- 19 MR. TERRELL: Your Honor, he -- allow the witness to
- 20 explain.
- 21 MR. WIESE: I'm not talking about pie charts, I'm talking
- 22 about union leave right now.
- 23 MR. TERRELL: He was responding to the previous question
- 24 that was about union -- about the pie charts, Your Honor.
- The witness is entitled to explain his answer; and a "yes"

- 1 or "no" often isn't a complete answer, so he's entitled to
- 2 explain his answer.
- JUDGE STECKLER: Okay, let's go ahead.
- 4 Mr. Henry, could you please continue your thought on the
- 5 pie charts, and then let's move on to the union leave issue.
- 6 THE WITNESS: Absolutely.
- With regards to the pie charts, as we've been talking about
- 8 them, you've gone through the differences that are associated
- 9 with the pie charts and how it impacts the decrease that you've
- 10 seen in some of those wages; one of the things that we must -- I
- 11 must point out to you is the fact that during our negotiations
- 12 at the bargaining table, the folks who were sitting at that
- 13 table had a chance to be able to look at every single one of
- 14 those pie charts as it impacts them personally. We were able to
- 15 make significant changes at that time as it reflects the pie
- 16 charts. We continued to work through that whole process and
- 17 manage through that, because that was something that was
- 18 discussed at the negotiation table, which forced us to be able
- 19 to go back and forth and get that material brought forward to
- 20 the table.
- 21 So some of those changes that you're saying and the
- 22 anomalies associated with some of those pie charts are items
- 23 that we can certainly work towards once we have this contract
- 24 negotiated and finalized so we can manage through those things.
- 25 So that does not tell you that -- this definitively this is

- 1 where exactly everything sits, because we have the
- 2 responsibility of making sure that our associates are taken care
- 3 of.
- 4 JUDGE STECKLER: Let me make sure I'm understanding, Mr.
- 5 Henry.
- 6 So you would work -- could you work on the alleged
- 7 anomalies before the contract was negotiated -- it was
- 8 completed?
- 9 THE WITNESS: Yah, what happened was we went to the
- 10 bargaining table with information with regards to what we
- 11 believe our total wages and benefits are for all of the
- 12 associates. When we got to the bargaining table, we presented
- 13 the proposals. As we went through the pie charts, the question
- 14 was asked at the bargaining table, how does that impact every
- 15 single one of my associated currently covered under the CBA,
- 16 because what we were proposing is the new hires and everything
- 17 else and the wages as it moved forward. So when they did that,
- 18 we went back and we made sure that every single one of these pie
- 19 charts reflected every single member sitting around the
- 20 bargaining table, so we made the adjustments. We went back and
- 21 brought them in and then the adjustments and changes were made.
- 22 When it came on to benefits, we talked about the bereavements
- 23 leaves, the different things that were associated -- the
- 24 uniforms. Some folks don't get uniforms, others get uniforms,
- 25 so we made the adjustments to those and we went through the

- 1 whole process.
- JUDGE STECKLER: After March 24th?
- 3 THE WITNESS: Before March 24th, yes.
- 4 JUDGE STECKLER: Okay. So after March 24th, was there any
- 5 --
- 6 THE WITNESS: The documents that we presented -- all the
- 7 documents that were there -- you've got to remember, Your Honor,
- 8 it's over 1,500 documents that were presented, because we were
- 9 trying to cover every single one of those bargaining employees.
- 10 JUDGE STECKLER: Okay.
- 11 THE WITNESS: So, yes, there were some errors associated
- 12 with some of those.
- 13 JUDGE STECKLER: All right.
- 14 THE WITNESS: And we have no problem going back through and
- 15 making those adjustments if they were brought to our attention.
- 16 Well, the ones that were brought to our attention, we made
- 17 changes to.
- JUDGE STECKLER: Okay, when did you make those changes?
- 19 THE WITNESS: Right then and there when it was brought to
- 20 our attention.
- JUDGE STECKLER: Were you able to do a pie chart on that
- 22 person at the table?
- 23 THE WITNESS: Absolutely.
- JUDGE STECKLER: Did you send e-mail of it or print out a
- 25 copy of it?

- 1 THE WITNESS: We got copies of it. It was on Leslie's
- 2 computer at the time. She brought it over for the specific
- 3 person. She was able to type the information in, got it on the
- 4 screen, we were able to look at it. Those that we were able to
- 5 -- when she was not in the room, we went over, we gave her the
- 6 information that we needed to have changed. She went and typed
- 7 it up, presented it to us, we came back and we distributed it
- 8 around the table.
- 9 JUDGE STECKLER: And tell me again who "Leslie" is?
- 10 THE WITNESS: Leslie Hohmann is our finance person.
- 11 JUDGE STECKLER: Okay, okay.
- 12 And now, Mr. Wiese, your question on the union leave. Or
- 13 unless you want to come back on what I just questioned about?
- MR. WIESE: No. We'll get to the Union's response later.
- 15 Q BY MR. WIESE: So in addition to these pie charts and the
- 16 wage rate -- wage scale in the contract, the March 24th offer
- 17 also contains a proposal limiting union leave to employees to
- 18 only 3 days, doesn't it?
- 19 A Yes.
- 20 O Just to be clear, you are the one who is demanding a new
- 21 proposal before the parties sit down at the bargaining table?
- 22 A No, we're asking --
- 23 MR. WIESE: Your Honor, I'm going to ask that counsel for
- 24 Respondent not talk to the witness while he's testifying.
- MR. TERRELL: I talked to the witness?

- JUDGE STECKLER: You might be a little better if you guys
- 2 pass notes or try to keep it a little quieter.
- 3 Go ahead, Mr. Wiese.
- 4 MR. TERRELL: Your Honor, in asking your question, just
- 5 clarify what page you're talking about. I don't think it's
- 6 clear from your question.
- 7 O BY MR WIESE: Well, as of today, based off of your e-mails
- 8 to the Union, in November, you are the one who is demanding that
- 9 the Union present a new proposal before the parties sit down and
- 10 bargain again. Is that right?
- 11 A No, that's not right.
- 12 Q All right, well, okay.
- JUDGE STECKLER: Let me make sure I'm understanding this
- 14 also.
- 15 You want the Union to present you with a complete package.
- 16 Is that what I'm understanding?
- 17 THE WITNESS: What we are asking for, Your Honor, is that
- 18 the -- we have seen several proposals that has come from the
- 19 Union, and all the proposals, in addition to what we've
- 20 presented -- nothing has changed over the months that we've
- 21 negotiated. So we are saying is we've gone through their
- 22 suggestions to us. We have not seen any difference in which --
- 23 we've gone through all this documentation --
- JUDGE STECKLER: Okay.
- 25 THE WITNESS: We've keyed several portions of it. We have

- 1 not seen anything change in there in terms of making some
- 2 adjustments.
- JUDGE STECKLER: Okay. And there's -- so you're saying
- 4 there's nothing left to discuss, is that what I'm understanding?
- 5 THE WITNESS: Based on what they presented to us over the
- 6 last several months, yes, Your Honor.
- 7 JUDGE STECKLER: Okay. I just wanted to clarify that.
- 8 Okay, Mr. Wiese, could you tell me which page the union
- 9 leave proposal was on, please?
- 10 MR. WIESE: Of the contract?
- JUDGE STECKLER: Of the proposed contract in 6(g)?
- MR. WIESE: Yes, it's -- using the pagination that I added,
- 13 it's on page 29 and then goes over to page 30 of 150.
- 14 JUDGE STECKLER: Okay. Okay.
- 15 Please continue.
- 16 O BY MR. WIESE: So, Mr. Henry, we might be arguing semantics
- 17 here. When you -- for example, looking at what's attached to
- 18 General Counsel Exhibit 14, pages 3 through 11, it's titled
- 19 "Unite HERE Local 21 Counter Proposal," is that a proposal?
- 20 A That's what they typed it as. Yes, they are adding things
- 21 to different sections of what we have already presented.
- 22 Q And would you characterize that as just a proposal or not?
- 23 A It's suggestions on what they would like to have seen added
- 24 to our proposal.
- 25 Q But, in your view, is that a proposal?

- 1 A No, it' not a proposal.
- 2 MR. TERRELL: Objection.
- 3 MR. WIESE: Okay.
- 4 MR. TERRELL: Asked and answered.
- 5 O BY MR. WIESE: And so --
- 6 MR. WIESE: May I proceed, Judge.
- 7 JUDGE STECKLER: Please.
- 8 Q BY MR. WIESE: So when I asked you if your meeting was
- 9 conditional on the Union presenting a proposal, you were talking
- 10 about something like General Counsel Exhibit 6(q), an entire
- 11 contract proposal, is that right?
- 12 A No, that's not what I'm asking for. I think, if I may
- 13 elaborate, yes, you pointed out that this is my first
- 14 negotiations. We are fully aware of that. But I think
- 15 importantly, more than anything else, is that as we go to the
- 16 table, one of the things that my responsibility is is to make
- 17 sure we're managing within this market as effectively as we
- 18 possibly can be.
- 19 What we've presented now -- quite a bit of items here that
- 20 is associated with the current contract that we're very much in
- 21 agreement with. All we're saying is that if there's nothing
- 22 else that is associated with the additions that they have
- 23 brought to the table, they propose at the table, then we can
- 24 move on with signing the current contract that we have on the
- 25 table. If there is something else that they would like to bring

- 1 to the table, then, yes, certainly, by all means, bring it to
- 2 the table so we can discuss it -- that's different from what
- 3 they presented so far.
- 4 Q So because the Union is not presenting what you want to
- 5 see, you aren't going to be --
- 6 A It's not what I want to see, it's what they would like to
- 7 bring to the table.
- 8 Q But that's the reason that you aren't meeting with them,
- 9 because they aren't presenting something that you would like to
- 10 see.
- 11 A No, because they have not presented anything that will
- 12 change our positions currently, based on what we've presented.
- 13 O To what you would like your position to be.
- 14 A Those are your words, sir.
- 15 Q Well, no, I'm asking the question.
- 16 JUDGE STECKLER: I think we have covered this sufficiently.
- 17 Mr. Wiese, please proceed.
- 18 Q BY MR. WIESE: Showing you what has been marked as General
- 19 Counsel Exhibit 18.
- 20 O Do you recognize this document?
- 21 A Give me a minute here, if you don't mind.
- 22 Q Mm-hmm.
- 23 (Pause.)
- 24 A Yes.
- 25 Q And this document is a position statement provided by Mr.

- 1 Terrell, is that right?
- 2 A Yes.
- 3 Q He is your attorney for purposes of responding to the
- 4 Unfair Labor Practice charges in this case?
- 5 A Correct.
- 6 Q Did you have a chance to review this document before it was
- 7 submitted to me?
- 8 A Yes.
- 9 MR. WIESE: I will offer General Counsel 18.
- 10 MR. TERRELL: Objection on relevance.
- I mean, the position's taken prior to -- and this case is
- 12 going to be decided on the evidence that comes into the record
- 13 during this case, not on positions or statements made during the
- 14 investigation stage. If he wants to use it to impeach prior
- 15 testimony, that's one thing, perhaps, but this is not relevant.
- 16 This is not material or probative.
- 17 JUDGE STECKLER: What about all these attachments, Mr.
- 18 Terrell?
- 19 MR. TERRELL: Well, he hasn't asked any questions about
- 20 these attachments, so they are just pieces of paper right now.
- JUDGE STECKLER: Do the documents speak for themselves?
- MR. TERRELL: I am sorry?
- JUDGE STECKLER: Do the documents speak for themselves?
- MR. TERRELL: I have never seen a document talk, Your
- 25 Honor. I mean, the --

- 1 JUDGE STECKLER: Well, as a manner of speaking.
- 2 MR. TERRELL: -- the documents, we don't know anything
- 3 about these documents. It is improper to just simply put
- 4 documents into the record without tying it in some way to an
- 5 issue that is relevant to the case, and that hasn't been
- 6 established by the questions that Mr. Wiese just asked this
- 7 witness.
- 8 JUDGE STECKLER: I am going to admit GC 18, and I will take
- 9 a running objection on that.
- 10 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 18.)
- 11 MR. TERRELL: You did what?
- 12 JUDGE STECKLER: I am admitting it, and you can have a
- 13 running objection on it.
- 14 MR. TERRELL: Okay.
- MR. WIESE: I don't have anything further at this time,
- 16 Your Honor.
- 17 JUDGE STECKLER: Okay. We have got about 5 until 1. Do
- 18 you want a lunch break?
- MR. TERRELL: We want a lunch break.
- MR. WIESE: Sure, yes.
- JUDGE STECKLER: Okay.
- How much lunch break would you like? An hour?
- 23 MR. TERRELL: Forty-five minutes, an hour.
- MR. WIESE: Yes, 45 minutes would be good.
- 25 JUDGE STECKLER: Okay, so we will reconvene at 1:40?

- 1 MR. WIESE: Although is there a place to eat around here?
- 2 MS. BURGESS: There's that cafeteria all the way downstairs
- 3 and that would probably be the closest --
- 4 MR. WIESE: Oh, okay --
- JUDGE STECKLER: Let's go off the record.
- 6 (Whereupon, at 12:55 p.m., the hearing was recessed for lunch,
- 7 to reconvene at 1:40 p.m. in the same place.)
- 8 JUDGE STECKLER: We're back on the record after lunch
- 9 period.
- 10 General Counsel, do we have copies of General Counsel 9?
- 11 COURT REPORTER: She just gave me copies.
- JUDGE STECKLER: Oh, she did, okay, excellent. Okay, we're
- 13 covered on that.
- 14 Any other housekeeping matters before we proceed?
- MR. TERRELL: I think I have one of the documents requested
- 16 by Mr. Wiese from the subpoena.
- 17 (Pause.)
- JUDGE STECKLER: Okay, Mr. Wiese, in light of receiving
- 19 that document, do you have any further questions for Mr. Henry?
- 20 MR. WIESE: I do not have any further questions for Mr.
- 21 Henry.
- JUDGE STECKLER: Okay.
- 23 MR. WIESE: We will call him in our case in chief.
- 24 (Witness excused from the stand.)
- JUDGE STECKLER: Okay, that is fine.

- 1 In that case, General Counsel, please call your next
- 2 witness.
- 3 MR. WIESE: Your Honor, at this time, the Counsel for the
- 4 General Counsel calls Martin Goff to the stand.
- 5 JUDGE STECKLER: Will you please raise your right hand?
- 6 (WITNESS SWORN: MARTIN GOFF)
- 7 JUDGE STECKLER: Please have a seat and state your name for
- 8 the record.
- 9 THE WITNESS: Martin Goff, G-O-F-F.
- 10 DIRECT EXAMINATION
- 11 Q BY MR. WIESE: Good afternoon, Mr. Goff.
- 12 A Good afternoon.
- 13 0 What is your current occupation?
- 14 A I am the Organizing Director and the Vice President of
- 15 Local 17 in Minneapolis.
- 16 O How long have you been a Vice President for Local 17?
- 17 A Since 1995.
- 18 Q Did you work for Local 17 before becoming a vice president?
- 19 A Yes, I was a staff organizer.
- 20 O And when did you begin working as a staff organizer?
- 21 A Early January 1991.
- 22 Q Did you hold any positions before that?
- 23 A Not with the Union.
- 24 Q Okay, fine. So what are your job duties in your current
- 25 position as the Vice President for Local 17?

- 1 A I oversee all new organizing. I assist Nancy Goldman, who
- 2 is our President, with negotiations. I have five contracts that
- 3 I administer. I am the business agent for five shops. I help
- 4 strategize when we have a dispute, and I do the initial work
- 5 with the NLRB if we have charges to be filed or something like
- 6 that.
- 7 O How many bargaining units are there in Local 17's
- 8 jurisdiction?
- 9 A Counted them last night -- 53.
- 10 O All right, and what industries are covered?
- 11 A Hotels; restaurants; the sporting complexes both in
- 12 Minneapolis and St. Paul, all three of them -- a fourth very
- 13 soon with the Vikings stadium -- catering. We have the
- 14 concourses at the airport, all the concourses at the airport.
- 15 We have the in-flight catering -- that's -- they make food that
- 16 goes overseas or if you are taking long flights. I think that
- 17 is about it.
- 18 Q All right.
- 19 And what is the geographic scope of Local 17?
- 20 A Minneapolis, St. Paul, and Bloomington and the general
- 21 area.
- 22 Q How many employees are there in this Local?
- 23 A Employees or members?
- 24 Q Member, thank you.
- 25 A Members -- 35, maybe 3,700 full time members; another 2,500

- 1 or so on-call or part-time members.
- 2 Q In your time working with Local 17, how many collective
- 3 bargaining agreements have you negotiated?
- 4 A Hundreds.
- 5 Q And how many agreements have you negotiated in the last
- 6 calendar year?
- 7 A In a little more than a year, about 42.
- 8 Q So let's talk about the bargaining unit in this case. Are
- 9 you familiar with this bargaining unit?
- 10 A Somewhat, yes, not as familiar as mine own, but --
- 11 Q And so what is your role, as the vice president of Local
- 12 17, with this bargaining unit?
- 13 A Well, Brian Brandt, who is the President of Local 21 here
- 14 in Rochester, asked Nancy Goldman to be the lead negotiator.
- 15 And I, generally speaking, assist Nancy on all negotiations.
- 16 Q And how long have you provided this support to the
- 17 bargaining unit here?
- 18 A I think this would be the second contract, maybe third.
- 19 O Do you know how long employees have been organized at these
- 20 hotels?
- 21 A I think we have found contracts going back to either '64 or
- 22 '65 -- 1964, 1965.
- 23 O Since 1964, do you know how many different owners the
- 24 hotels have had during that time?
- 25 A I don't really know how many different owners.

- 1 Q Okay.
- 2 A I know of several, but I --
- 3 Q So are these your first negotiations with Richfield
- 4 Hospitality at this property?
- 5 A Yes.
- 6 Q And when did these negotiations begin?
- 7 A January 20, 2015.
- 8 Q Before we go any further, I am going to hand you what has
- 9 been marked as Joint Exhibit 1.
- 10 (Witness proffered document.)
- 11 Q And I would actually like to have you look at General
- 12 Counsel Exhibit 3.
- 13 MR. TERRELL: Which one is 3?
- 14 MR. WIESE: It is the "wish list," is what I call it.
- 15 Q BY MR. WIESE: Mr. Goff, do you recognize this document?
- 16 A This document? I am sorry.
- 17 O Yes, yes.
- 18 A Yes, I do.
- 19 0 What is it?
- 20 A Well, we called it the "wish list." It was given to us, I
- 21 think, Javon Bea.
- 22 Q And do you know -- recall when you got this document?
- 23 A I believe that it was given to Nancy Goldman, myself, and
- 24 Brian Brandt at a meeting with Javon Bea and Bill Bunce in their
- 25 office.

- 1 Q Okay.
- 2 A Or in their outer office.
- 3 Q And do you recall when that happened, approximately?
- 4 A I believe it was March of '14.
- 5 0 Okay.
- 6 A I am not positive.
- 7 O All right. So turning your attention to the beginning of
- 8 formal negotiations, on January 20, 2015, what topic did the
- 9 parties discuss at those negotiations?
- 10 MR. TERRELL: On just the 20th -- the question is limited
- 11 to --
- 12 MR. WIESE: Right, yes, yes, limited to the 20th.
- 13 THE WITNESS: Well, proposals were made, but the topic that
- 14 was most discussed was the division of the bargaining unit,
- 15 which was a single bargaining unit, into three parts. And that
- 16 would have been two of the hotels; and another two of the
- 17 hotels, which were full-service hotels; and then TCS, which is
- 18 an industrial laundry.
- 19 Q BY MR. WIESE: And was this -- was January 20th the only
- 20 date that this was brought up?
- 21 A No, it was brought up on the 29th, as well. That meeting
- 22 lasted less than an hour, because I had said to Michael Henry
- 23 that this was a subject -- permissive subject of bargaining, and
- 24 that he told us that he wouldn't negotiate any further unless we
- 25 agreed to breaking up the unit.

- 1 MR. WIESE: So, Your Honor, the following line of questions
- 2 relates to Complaint paragraph 12(a).
- 3 Q BY MR. WIESE: Now, Mr. Goff, looking at the stipulation,
- 4 it appears that the parties met for 11 bargaining sessions.
- 5 Were there any issues with the employer's negotiators arriving
- 6 on time for any of these sessions?
- 7 A Seven or eight of these, the employer was late.
- 8 O All right, I would like to go through each session, and to
- 9 the best of your recollection, if you could tell me what time
- 10 they were scheduled to start and what time the employer's
- 11 negotiators arrived? So let's start with January 20th. Do you
- 12 recall whether the employer's negotiators were late for that
- 13 session?
- 14 A No, they were on time.
- 15 Q And what about the negotiations on January 29th?
- 16 A My recollection is that they were on time on that day, as
- 17 well.
- 18 Q And what about on the next session, on February 5th?
- 19 A February 5th they were due to come in at 10:00, and they
- 20 arrived at 10:25 a.m.
- 21 Q And what about on February 13th?
- 22 A On February 13th, we were due to start at 10, but had
- 23 gotten an e-mail the night before, asking to delay until 10:30.
- 24 They came in at 10:30.
- 25 Q Was this the only time that you got an e-mail like this?

- 1 A Yes, that I know of, yes.
- 2 O Okay. And turning to the next negotiations on February
- 3 26th, what time were those negotiations scheduled to begin?
- 4 A Those were supposed to start at 10 o'clock.
- 5 Q And what time did the employer actually arrive that day?
- 6 A Ten fifty-five a.m.
- 7 O And what about the next session, on February 27th?
- 8 A We were supposed to start at 11:30 a.m., the employer
- 9 arrived at 1:20 p.m.
- 10 O And what about the next negotiations on March 16th?
- 11 A March 16th we were supposed to start at 9:30 a.m., and the
- 12 employer came in at 10:10.
- 13 O Okay. And what about on March 24th?
- 14 A The -- we were supposed to start at 9:30. The employer
- 15 came in at 10:45.
- 16 JUDGE STECKLER: I am sorry, could you repeat that?
- 17 THE WITNESS: I am sorry. The employer -- we had it set
- 18 for 9:30 in the morning and the employer came in at 10:45 -- I
- 19 am sorry, 10 in the morning.
- 20 MR. TERRELL: I am sorry, now you confused me.
- 21 THE WITNESS: Yes, I am sorry. In -- we started at -- we
- 22 were supposed to start at 9:30 and they came in at 10:45. That
- 23 is what I first stated, I am sorry.
- MR. TERRELL: Ten twenty-three? Are you reading from
- 25 something?

- 1 THE WITNESS: I am just looking at the dates that he gave
- 2 me on this.
- MR. TERRELL: All right, what was it again for March 24th,
- 4 is that --
- 5 MR. WIESE: He is --
- 6 THE WITNESS: March 24th, we were supposed to start at
- 7 9:30; we started at 10:45.
- 8 Q BY MR. WIESE: All right, Mr. Goff, let's turn to the next
- 9 session on April 16th. Was the employer late for those
- 10 negotiations?
- 11 A On April 16th, we were supposed to start at 9:30, and the
- 12 employer came in at 10:33.
- 13 Q Okay, all right. And what about negotiations on April
- 14 28th? Do you recall whether the employer was late that day?
- 15 A They were. We were supposed to start at 10 a.m.; the
- 16 employer came in at 10:20 a.m.
- 17 O And what about the parties' most recent session on
- 18 September 24, 2015? Do you recall whether the employer was late
- 19 that day?
- 20 A I don't remember exactly, but I don't believe they were
- 21 late. If they were, it wasn't very -- it wasn't very late.
- 22 Q So looking at the issue of the employer's tardiness more
- 23 broadly, did the Union representatives even object to this at
- 24 the bargaining table?
- 25 A Nancy Goldman did, at times.

- 1 Q And how often do you remember Ms. Goldman bringing this up
- 2 at the table?
- 3 A A number of times. She would say things like, you know,
- 4 "Your time is not more valuable than ours." Or, "I am going to
- 5 give you a warning for being late," that type of thing.
- 6 0 Okay.
- 7 A It was never really responded to.
- 8 Q And do you remember anybody from the employer's side of the
- 9 negotiating table ever providing an answer when Nancy would
- 10 object to this?
- 11 A I don't believe so, no.
- 12 Q And was there ever any notice provided by the employer,
- 13 prior to the negotiating session, that they were going to be
- 14 late?
- 15 A On February 13th, when they e-mailed us the night before.
- 16 Q Besides February 13th, was there any notice?
- 17 A Not to my recollection, no, I'm sorry.
- 18 Q Were there ever any occasions where you remember the
- 19 employer -- the employer's negotiators -- leaving negotiations
- 20 without telling the Union that negotiations were done for the
- 21 day?
- 22 A I can think of three, off-hand.
- 23 O When was the first time you remember this happening?
- 24 A April 16th, I believe.
- 25 Q All right, well, tell me what happened on April 16th?

- 1 A Actually, I think it was March 24th. But April 16th --
- 2 O Is --
- 3 A I am sorry?
- 4 Q Is there anything I can show that would help you remember
- 5 which day it was?
- 6 A Maybe if I had my notes?
- 7 0 Okay.
- 8 (Pause.)
- 9 MR. TERRELL: What are you showing him?
- 10 MR. WIESE: I am showing him a copy of his notes.
- 11 MR. TERRELL: Okay, well, you need to show me first.
- 12 MR. WIESE: Well, I am refreshing his recollection.
- MR. TERRELL: Fine, but I need to know what it is you are
- 14 showing the witness.
- JUDGE STECKLER: I think he is entitled to take a quick
- 16 look.
- 17 MR. WIESE: Okay.
- MR. TERRELL: So what are you showing him?
- 19 MR. WIESE: I am showing him his bargaining notes.
- 20 (Witness proffered document.)
- 21 Q BY MR. WIESE: Mr. Goff, take a minute to look at this
- 22 document and tell me when your memory has been refreshed?
- 23 MR. TERRELL: And what was the question?
- MR. WIESE: I was asking him if reviewing his notes would
- 25 help to refresh his recollection, and he said it would.

- 1 THE WITNESS: Yes.
- 2 O BY MR. WIESE: Is your memory refreshed?
- 3 A I would say somewhat refreshed, yeah.
- 4 MR. TERRELL: Can we now take the notes away?
- JUDGE STECKLER: Mr. Goff, could you refresh my memory,
- 6 too? What date are we talking about?
- 7 THE WITNESS: March 24th.
- 8 JUDGE STECKLER: Thank you.
- 9 Q BY MR. WIESE: All right. Mr. Goff, tell me what you
- 10 remember happening on March 24th?
- 11 A We had discussed side letters, fixing up some side letters
- 12 that were in the past agreement. I don't think there was real
- 13 dispute about what was in those or what might need to be
- 14 changed. The employer wanted to take a 20-minute caucus to just
- 15 clean them up and come back with something.
- 16 O And, Mr. Goff, before you go any further: What period of
- 17 time are we talking about on March 24th?
- 18 A That was about -- I think we took a break -- it was around
- 19 12:10, 12:15 in the afternoon.
- 20 O Mm-hmm.
- 21 A They took a break. We called several times, because they
- 22 were taking more than 20 minutes. They came back, 2:40 I think
- 23 it was, in the afternoon. When they came back, the side letters
- 24 had not been changed or worked on. We discussed some cursory
- 25 issues, including things that don't matter anymore, like the --

- 1 how do you say it -- Elizabethan room, and some seniority issues
- 2 that took place there. Also about housekeepers going into rooms
- 3 before room inspectors, because there were concerns about tips.
- 4 And I think that took about another 30 to 40 minutes, and then
- 5 we broke again.
- 6 Q And when you broke again, who called for that caucus?
- 7 A The employer.
- 8 Q Okay. And did they say what that caucus was for?
- 9 A Well, actually, maybe mutual.
- 10 0 Okay.
- 11 A Nancy Goldman and Arch Stokes -- they still hadn't come to
- 12 a conclusion on getting the letters cleaned up. There were a
- 13 number of appendixes, some of them really outdated, and so they
- 14 were going to go back and do that. They left us for the rest of
- 15 the day, even though, in the morning, we had told them we had to
- 16 leave at 4. We stayed until about 4:15 or 4:20, after several
- 17 calls to their secretary, who said that they would be there soon
- 18 they were making some copies. As we were getting into the
- 19 elevator and the door closing, we were handed what ends up now
- 20 to be what was deemed as the final -- last, best, and final
- 21 offer.
- 22 Q Did you have a chance to review that last, best, and final
- 23 offer?
- 24 A I know Nancy --
- 25 MR. TERRELL: Wait a minute, objection to the question

- 1 because it is not limited in time.
- 2 COURT REPORTER: It is not what?
- 3 MR. TERRELL: Not limited in time.
- 4 COURT REPORTER: Thank you.
- 5 MR. TERRELL: You said, "Did you have a chance to review
- 6 that document?"
- 7 JUDGE STECKLER: I think that is the follow-up question.
- 8 MR. TERRELL: Okay.
- 9 THE WITNESS: Yes, I did have a chance to review it.
- 10 O BY MR. WIESE: And when did you review that document?
- 11 A Right after negotiations, very briefly, in the parking lot,
- 12 but mostly in the ride home. I was driving and Nancy Goldman
- 13 was going over it with me.
- 14 O Um-hmm.
- 15 A We noticed that there really weren't any differences to the
- 16 agreement, other than that there was a signature page. The
- 17 letters of agreement had not been changed; they were exactly the
- 18 same. And there were now a number of pie charts included. And
- 19 I believe them to be -- the initial pie charts, or at least some
- 20 of the initial pie charts we got on the first day that we got
- 21 pie charts.
- 22 Q All right. Did the employer leave negotiations again, like
- 23 this, after March 24th?
- MR. TERRELL: Objection to qualifying "like this." I don't
- 25 know what "like this" means.

- 1 JUDGE STECKLER: Can you rephrase just a little bit?
- 2 MR. WIESE: Mm-hmm.
- JUDGE STECKLER: Thank you.
- 4 Q BY MR. WIESE: Were there ever any times, after March 24th,
- 5 where the employer left negotiations early without telling the
- 6 Union?
- 7 A On two occasions that I can remember.
- 8 Q Okay, and when was the next one after March 24th?
- 9 A It was April 16th.
- 10 O And what happened at the end of negotiations on April 16th?
- 11 A The parties had had some discussion during the morning. I
- 12 believe the Union had made some new proposals. Michael Henry
- 13 was negotiating for the company on this occasion. He left the
- 14 room, and 40, maybe 50 minutes later, Brian Brandt called him to
- 15 ask him when they were coming, I think because we were deciding
- 16 whether we had time to take lunch or whatever. And he said that
- 17 they were done for the day and that they weren't coming back.
- 18 Q And what happened after Brian talked to Mr. Henry? What
- 19 did the Union do that day?
- 20 A That might be the day that we leafleted, but we left.
- 21 Q Okay. And after April 16th, did the same thing happen
- 22 again at negotiations?
- 23 A On April 28th.
- 24 Q And what happened at the end of the day on April 28th?
- 25 A Pretty much the same thing. We had gone back and forth a

- 1 little bit. It was -- Michael Henry, again, was the negotiator
- 2 for the company. They left for a caucus. More than an hour
- 3 later, I believe, Brian Brandt, the President of Local 21,
- 4 called Michael Henry. And he also said the same thing, that
- 5 they were done for the day. So we had been left sitting there -
- 6 and we left.
- 7 Q Sir, I am showing you what has been marked as 23(a) and
- 8 (b).
- 9 (Witness proffered documents.)
- 10 Q BY MR. WIESE: All right, so starting with 23(a), do you
- 11 recognize this document?
- 12 A Mmm --
- 13 Q And you can take a minute to look through it -- looking at
- 14 23(a) --
- 15 A Oh, I am sorry, is that this one?
- 16 Q -- yes.
- 17 A The little one?
- 18 Q Yes.
- 19 A I am sorry, didn't see the numbers there for a second,
- 20 sorry. This is -- these are the Union's proposals.
- 21 Q And when is this first proposal from?
- 22 A Excuse me?
- 23 O When is this first proposal from? Do you recognize --
- 24 A Yes, 1/20, January 20th of 2015.
- 25 Q And what about the proposal on General Counsel Exhibit --

- 1 MR. WIESE: I will offer General Counsel Exhibit 23(a).
- 2 MR. TERRELL: Can I voir dire, please?
- JUDGE STECKLER: Go ahead.
- 4 VOIR DIRE EXAMINATION
- 5 O BY MR. TERRELL: Looking at GC 23(a), on the first page
- 6 there, it looks like some handwriting. Is this part of the
- 7 exhibit?
- 8 A Oh, I am sorry, I didn't know you were talking to me.
- 9 Q Okay, on the first page of GC 23(a) there's some
- 10 handwritten words or letters in the top left corner. Do you
- 11 know whose handwriting that is?
- 12 A I do not. I mean, it looks slightly like mine, but I am
- 13 not positive that it is mine.
- 14 Q On the second page, there are three handwritten notations
- 15 at the top. It looks like "ampersand" or "tic-tac-toe" symbol,
- 16 "hash tag," whatever you want to call it, with a "1." Do you
- 17 recognize that handwriting?
- 18 A That is -- I don't know, no, it is definitely not mine.
- 19 O And then, over on the right, it says "hours," the word
- 20 "hours" underlined twice. Do you recognize that handwriting?
- 21 A I do not.
- 22 Q Were these two items that I just asked you about, on the
- 23 second page, were those handwritten entries on the document at
- 24 the time that you handed it to the employer in the bargaining
- 25 room?

- 1 A I don't know, but I don't believe so.
- 2 Q Okay. And then, going back to the first page, the
- 3 handwritten notation that appears there, was that handwritten
- 4 notation on a blank piece of paper that was handed to the
- 5 employer when this document was given to the employer?
- 6 A Not that I know of, no.
- 7 O Okay. And then, on the second page, going back to the
- 8 second page, you see the date -- someone has handwritten in
- 9 "1/20/15." Do you recognize that handwriting?
- 10 A It may be Nancy Goldman's, but I really don't know.
- 11 Q Was that on the document at the time that it was handed to
- 12 the employer in the bargaining session?
- 13 A I am not sure.
- 14 Q On page 3, typed, are "Items 1, 2, 3, and 4," and then
- 15 below "4," handwritten, is the entry "2. Period of discipline
- 16 notices to be reduced to one year." Do you know whose
- 17 handwriting that it?
- 18 A I can't say for sure, but I do believe that might be Nancy
- 19 Goldman's.
- 20 O Was that handwritten entry on the document when it was
- 21 handed to the employer?
- 22 A My recollection is this was an addition to our proposal,
- 23 something she had missed, so I believe this was given to the
- 24 employer at the time.
- 25 Q It was an addition to the proposal?

- 1 A When we were going through the proposals, I think she
- 2 realized she had forgotten to type something in. I think that is
- 3 what this is.
- 4 Q Okay, you are not sure, but you think this is Nancy
- 5 Goldman's handwriting?
- 6 A Exactly.
- 7 O And so she would have written this. If she, in fact, was
- 8 the one who wrote this, she would have written this on the
- 9 document that she retained, and that you then gave to counsel
- 10 for the General Counsel to mark as an exhibit, correct?
- 11 A I am assuming.
- 12 Q Did Nancy go around the table and handwrite in on the copy
- 13 that the employer had?
- 14 A Normally, if she -- I can only go by what I have seen her
- 15 do in the past -- if she realizes --
- 16 Q I am not asking about what she did in the past.
- 17 A Okay.
- 18 Q I am asking you about what happened there that day.
- 19 A I really don't know, can't say.
- 20 Q So you don't know whether this was on the document that the
- 21 employer received or not, do you?
- 22 A I don't.
- 23 O Nor do you know if any of the other handwritten entries we
- 24 have talked about were on the document that the employer
- 25 received. You don't know that, do you?

- 1 A I can't say that for sure, no.
- 2 O Okay. Page 5, the top, at the right, on item number 9,
- 3 someone has written in here, "over nights." You don't know who
- 4 wrote that, either, do you?
- 5 A I am sorry, what page?
- 6 Q Page 5, item 9 at the top, over on the right, someone has
- 7 handwritten the words "over nights." You don't know who wrote
- 8 that, do you?
- 9 A I don't.
- 10 Q Nor do you know if that was on the document that was given
- 11 to the employer, correct?
- 12 A I really don't know.
- 13 O Okay.
- 14 MR. TERRELL: We object to this document because there are
- 15 so many handwritten entries on it. We don't know who made the
- 16 handwritten entries. We don't know if it was given to the
- 17 employer in this form or not.
- JUDGE STECKLER: I have a couple of questions, Mr. Goff.
- 19 Where did you get the document from?
- 20 THE WITNESS: This is the document that we used as a
- 21 proposal, so Nancy Goldman, the President of Local 17 in
- 22 Minneapolis, would have generated this.
- JUDGE STECKLER: Regarding the additions of the handwritten
- 24 items on here, is this what is kept in your records at the Union
- 25 office?

- 1 THE WITNESS: It would be, yes.
- 2 JUDGE STECKLER: And is this something that you keep in
- 3 your normal course of business?
- 4 THE WITNESS: We would keep all proposals, yes.
- JUDGE STECKLER: So this is the Union's copy?
- 6 THE WITNESS: I believe it is, yes.
- JUDGE STECKLER: Okay, so this doesn't necessarily mean
- 8 that this is exactly what you gave the employer, but the
- 9 typewritten proposals are what you gave to the employer on
- 10 January 20th?
- 11 THE WITNESS: Yes.
- 12 MR. WIESE: Your Honor, at this point, I mean -- so
- 13 actually this was an inadvertent error on my part. The top --
- 14 page 1 is actually my handwriting. That shouldn't have made it
- 15 on the document. And then, as far as the rest of the
- 16 handwriting goes, I mean, I am not offering it for the truth of
- 17 any of those handwritten sections in the contract. So what I
- 18 would propose is removing page 1 from that exhibit and then
- 19 offering this contract proposal for the truth of the matter
- 20 asserted, excluding the handwritten notes that may be in there.
- 21 MR. TERRELL: That is fair.
- JUDGE STECKLER: Okay, General Counsel 23(a) is admitted,
- 23 with those exclusions.
- 24 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(a).)
- JUDGE STECKLER: Please proceed, Mr. Wiese.

- 1 Q BY MR. WIESE: So, Mr. Goff, turning your attention to
- 2 General Counsel Exhibit 23(b).
- 3 A Yes.
- 4 Q Do you recognize this document?
- 5 A This looks like a -- what would appear to be a full
- 6 proposal, a full contract --
- 7  $\bigcirc$  Mm-hmm.
- 8 A -- generated at Local 17.
- 9 Q Do you recall about when -- or was this a proposal that was
- 10 given to the employer?
- 11 A I believe it was, yes.
- 12 Q Okay. And do you recall about when this proposal would
- 13 have been given to the employer?
- 14 A I think that it probably was given some time after the
- 15 first meeting and the second meeting, because the first meeting,
- 16 we didn't really get to any meat and potatoes. The second
- 17 meeting, we left after an hour or less because they wouldn't
- 18 negotiate. So it was either around February 5th or February
- 19 13th.
- 20 O Okay.
- 21 MR. WIESE: I will offer General Counsel Exhibit 23(b),
- 22 with the same stipulation that we talked about with regard to
- 23 General Counsel Exhibit 23(a) and the handwriting on that
- 24 document.
- 25 JUDGE STECKLER: Okay, Mr. Terrell is taking a quick look

- 1 so we will hold for just a second here.
- 2 (Pause.)
- 3 MR. TERRELL: Okay, with the understanding, no objection.
- 4 JUDGE STECKLER: Okay, with that stipulation, 23(b) is
- 5 admitted.
- 6 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(b).)
- 7 Q BY MR. WIESE: Showing you what has been marked as 23(c)
- 8 and (d). Starting with General Counsel Exhibit 23(c), could you
- 9 identify this document?
- 10 (Witness proffered documents.)
- 11 A This is a proposal for insurance and wages made by the
- 12 Union.
- 13 Q And when do you remember this proposal being given to the
- 14 employer -- or was it -- excuse me -- was this proposal given to
- 15 the employer?
- 16 A Yes.
- 17 O And do you remember about when that would have been?
- 18 A I would imagine at the beginning of negotiations. I
- 19 believe it was the 20th of January.
- 20 O The -- Mr. Goff, directing your attention to the top of
- 21 that document, is the date on there -- does that look like the
- 22 date?
- 23 A Oh, I see, yes. "Local 21 Wage/Benefit Proposal of
- 24 February 19, 2015."
- 25 Q So, having looked at that --

- 1 A Oh, okay, I remember.
- 2 0 -- does that help you remember when it would have been --
- 3 A I do. I am sorry.
- 4 Q Go ahead.
- 5 A We didn't get into these subjects until later --
- 6 Q Okay.
- 7 A -- yeah.
- 8 0 Okay.
- 9 JUDGE STECKLER: Why does it say "Local 21"?
- 10 THE WITNESS: Local 21, Your Honor, is the local here in
- 11 Rochester and Local 17 is in Minneapolis. But the President of
- 12 Local 17 and myself, from Minneapolis, we helped them negotiate.
- 13 So, I know it is a little confusing, but Local 21 is the local
- 14 here, and Brian Brandt, in the back of the room, is the
- 15 president.
- 16 JUDGE STECKLER: Okay.
- 17 MR. WIESE: I will offer General Counsel Exhibit 23(c).
- 18 MR. TERRELL: No objection.
- 19 JUDGE STECKLER: Twenty-three(c) is admitted.
- 20 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(c).)
- 21 Q BY MR. WIESE: Turning your attention to General Counsel
- 22 Exhibit 23(d). And you can take a minute to look at the
- 23 document and let me know when you are ready.
- 24 A Yes.
- 25 Q Okay. Do you recognize this document, Mr. Goff?

- 1 A I do.
- 2 O And what is it?
- 3 A It is a proposal from the Union, on February 27th, and it
- 4 is regards to new classifications and promotions, and their
- 5 probationary period.
- 6 Q I will offer General Counsel Exhibit 23(d).
- 7 MR. TERRELL: No objection.
- 8 JUDGE STECKLER: General Counsel 23(d) is admitted.
- 9 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(d).)
- 10 O BY MR. WIESE: Showing you what has been marked as General
- 11 Counsel 23(e) and (f). Starting with General Counsel Exhibit
- 12 23(e), do you recognize this document, Mr. Goff?
- 13 (Witness proffered documents.)
- 14 A Yes.
- 15 O And what is it?
- 16 A It is a counter-proposal to the Company from Local 21.
- 17 O And when was that counter-proposal made?
- 18 A In April -- April 16th of 2015.
- 19 MR. WIESE: I will offer General Counsel Exhibit 23(e),
- 20 again with the same caveats as to the handwriting that applied
- 21 to the previous General Counsel Exhibits 23.
- 22 MR. TERRELL: There's a lot of handwriting on this.
- MR. WIESE: This is the best I got.
- MR. TERRELL: So Your Honor's view would be to ignore any
- 25 of the handwritten entries when taking this document -- exhibit

- 1 -- into consideration?
- JUDGE STECKLER: Yes, sir.
- 3 MR. TERRELL: With that understanding, no objection.
- 4 JUDGE STECKLER: GC Exhibit 23(e) is admitted.
- 5 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(e).)
- 6 Q BY MR. WIESE: And then turning your attention, finally, to
- 7 General Counsel Exhibit 23(f). Do you recognize this document,
- 8 Mr. Goff?
- 9 A I do. It is a proposal that the Union made, in the
- 10 September negotiations, to Michael Henry.
- 11 Q And then looking at the italics in response, those
- 12 sections, do you recognize what those are?
- 13 A These are responses from Michael Henry, to the Union,
- 14 regarding its proposals -- its overall proposals.
- MR. WIESE: I will offer General Counsel Exhibit 23(f) into
- 16 evidence.
- 17 MR. TERRELL: A quick voir dire.
- 18 VOIR DIRE EXAMINATION
- 19 O BY MR. TERRELL: This document has been red-lined? Do you
- 20 know who did the red-lining?
- 21 A Let me look at it again. Is there a particular page you
- 22 are having me look at?
- 23 Q Well, the whole document is in, you know, red-line review
- 24 mode. And there's just a couple of comments in the margin. I
- 25 am just asking if you know who did the red-lining?

- 1 A I believe that anything that is in italics came from the
- 2 Company, or Michael Henry. And it looks -- at least for the
- 3 first one I am looking at -- that the comment here is regarding
- 4 a typo, it is in the italics part, because that is the response
- 5 --
- 6 Q But you don't know whether --
- 7 A -- I am sorry.
- 8 Q -- I mean, do you know whether Michael Henry put that
- 9 comment in there, or whether somebody who received this from the
- 10 Union put that comment in there?
- 11 A I don't believe anyone that received this put that comment
- 12 in there.
- 13 O All right.
- MR. TERRELL: Apart from the red-line comments, we have no
- 15 problem with the document.
- 16 MR. WIESE: Right. And that's fine excluding those on the
- 17 --
- 18 JUDGE STECKLER: Because it points out some spelling
- 19 errors. GC Exhibit 23(f) is admitted as stated.
- 20 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 23(f).)
- 21 MR. WIESE: So, Your Honor, the following line of questions
- 22 is in support of Complaint allegation 12(b).
- 23 O BY MR. WIESE: Mr. Goff, I would like to talk to you about
- 24 negotiations regarding Union leave for employees. Prior to
- 25 these current negotiations, what was the prior contract proposal

- 1 for Union leave for employees? And you can take a look at
- 2 General Counsel Exhibit 2, if you would like, it is the prior
- 3 contract.
- 4 A Yes. You said the "prior contract," I have --
- 5 COURT REPORTER: Here is 2.
- 6 (Witness proffered document.)
- 7 THE WITNESS: -- the Union's proposal -- thank you. Do you
- 8 know what page that might be found on?
- 9 Q BY MR. WIESE: Yes, I was just looking for that.
- 10 (Pause.)
- MR. WIESE: Oh, here we go.
- 12 Q BY MR. WIESE: So using the pagination that I have added,
- 13 it is on page 12 of 52.
- 14 A Twelve of 52?
- 15 Q Yes.
- 16 A This is meal periods on this particular thing.
- 17 O Using this --
- 18 A I am sorry.
- 19 Q -- the pagination that is at the bottom of the document, so
- 20 where it says, you know, 1 of 52, page 12 of 52, in the lower
- 21 right-hand corner?
- 22 A Oh, 12 of 52, I am sorry.
- 23 O It is all right.
- 24 A I was looking at page 12.
- 25 Q Yeah.

- 1 JUDGE STECKLER: Here's my copy.
- 2 THE WITNESS: I have it.
- JUDGE STECKLER: You have it, okay.
- 4 THE WITNESS: Thank you. I was looking at page 12 instead
- 5 of --
- 6 O BY MR. WIESE: Okay. And --
- 7 A So, yes, I see it.
- 8 Q So looking at that, what was the prior proposal for union
- 9 leave for employees?
- 10 A Past proposal?
- 11 Q Or the past contract, thank you.
- 12 A That the employer agreed to grant necessary time off,
- 13 without pay or loss of seniority rights, for any employees
- 14 designated to go to Union convention, or other Union business.
- 15 The employer required a two-week notice. And as long as there
- 16 wasn't a disruption to the employer's business, it would be
- 17 approved.
- 18 O And the Union convention that is referenced in this
- 19 proposal, what is that Union convention?
- 20 A Every five years our International Union holds a delegate
- 21 convention. Delegates come from all parts of the United States,
- 22 Canada, Guam, and Puerto Rico to discuss Union matters, agree on
- 23 a number of subjects like dues increases, any changes to the
- 24 constitution. And that happens, like I said, every five years.
- 25 Q How long is that convention?

- 1 A Normally, it is three days.
- 2 Q And are there travel days associated with going to the
- 3 convention?
- 4 A I have never been to a convention like that that's been
- 5 close to home, so there are travel days, a day there and a day
- 6 back.
- 7 Q And turning your attention to -- well, actually, we don't
- 8 even need to do that. What was the employer proposing to do
- 9 with regard to Union leave in its proposals?
- 10 A The employer was proposing to limit the time off, or leave,
- 11 to go to a Union convention or other Union activities, to three
- 12 days. And if an employee was not returned back to work within
- 13 three days, or right after the three days, there would be a loss
- 14 of seniority to them.
- 15 Q And when did this topic come up in negotiations?
- 16 A I believe it was in the very first proposal. I am not sure
- 17 that we talked about it until probably February 5th or possibly
- 18 February 13th.
- 19 O And why was this proposal an issue for the Union?
- 20 MR. TERRELL: Wait, wait, why was the proposal initiated by
- 21 the Union?
- 22 MR. WIESE: Why was it an issue for the Union?
- MR. TERRELL: Oh, an issue for the Union, sorry.
- 24 THE WITNESS: We like our members to be able to participate
- 25 in delegate meetings, conventions. We think it is important for

- 1 not only their expansion as a member, but it lends them a
- 2 democratic voice at our International convention. And so we
- 3 find it really important to have people be allowed to do this
- 4 once every five years.
- 5 Q BY MR. WIESE: And how would this proposal have meant
- 6 employees risking losing their seniority?
- 7 A I think it put a chilling effect on it. I wouldn't go if I
- 8 was going to lose my seniority, that's my money, that's how I
- 9 get to work. So I think that it would actually have a chilling
- 10 effect on anyone wishing to go to a convention. Our last one
- 11 was in Boston.
- 12 Q Did the Union object to this Union leave proposal when it
- 13 was brought up at the bargaining table?
- 14 A Strenuously.
- 15 Q And when did the Union first object?
- 16 A When it was first brought up, which I believe was probably
- 17 the 5th, but I am not positive, but I believe it was the 5th. I
- 18 think we might have seen it before then, but we were definitely
- 19 always against it.
- 20 O And did anyone from the employer's side of the table ever
- 21 provide an explanation of why this Union leave provision was
- 22 being sought?
- 23 A They wanted it.
- 24 0 Who said that?
- 25 A Michael Henry.

- 1 O Do you recall about when he said that?
- 2 A Either on the 5th or the 13th, I believe.
- MR. WIESE: Your Honor, the following line of questions is
- 4 in support of Complaint allegations 12(c) and (d).
- 5 O BY MR. WIESE: So, Mr. Goff, I would now like to talk with
- 6 you about the parties' negotiations over wages. But first I
- 7 would like to talk to you about the prior wage provisions in the
- 8 contract. Could you explain how the wages worked in the prior
- 9 contract?
- 10 A Well, it was done on what we generally call a "wage grid."
- 11 So when someone is hired, they started at a start rate. After a
- 12 certain amount of time, usually 12 months, they go to a new
- 13 rate, 2 years, 36 months -- it is different in different
- 14 contracts, but I believe in this contract we went up to 60
- 15 months, if I recollect. But there were also -- so you would get
- 16 a raise basically on your longevity, but then the contract
- 17 itself, year to year, had wage increases. So there was a wage
- 18 increase in the contract year, as well. So you may get as many
- 19 as two raises in a year, depending on when you got hired.
- 20 O And when the topic of wages came up during these
- 21 negotiations, what was the employer proposing to do with the
- 22 wage structure?
- 23 A The employer was proposing to increase the start rate, I
- 24 believe maybe in all classifications, certainly in many of the
- 25 classifications. They were proposing to do away with what we

- 1 call the "steps" -- the 12-month, 24-month, the 36-month -- and
- 2 simply have wage increases based on the contract year, 2014,
- 3 2015, 2016, whatever.
- 4 O Mm-hmm.
- 5 A Also, in that proposal, that proposal was made strictly for
- 6 people who had not been hired yet, for prospective new hires.
- 7 And our concern about it was that starting at a higher rate was
- 8 one thing, but then having wage increases every contract year
- 9 flattened out the increases. And so it was what we would call a
- 10 two-tier wage system.
- 11 (Witness proffered document.)
- 12 O So, for example, looking at what has been marked as General
- 13 Counsel Exhibit 6(g), and if you look at pages -- let's go 52 of
- 14 150, again using those numbers in the bottom right-hand corner.
- 15 A Okay, 52, oh, yes, okay.
- 16 O So using this appendix, could you describe how this kind of
- 17 two-tier wage system would be -- would have worked?
- 18 A Well, if I look at the top line, which would be a second
- 19 cook, their new 2015 starting rate would be \$15.50. It looks
- 20 like that would be the same starting rate in 2016 as well. They
- 21 would go up 16 cents in '17 and so on over the course of five
- 22 years. But those are, it looks to me, the only wage increases.
- 23 O And is it your understanding that this -- that these wage
- 24 rates that we are talking about here -- these are only for new
- 25 hires?

- 1 A That is my understanding, yes.
- 2 Q And what about the wages for the current employees, who are
- 3 already working there?
- 4 A I asked that question a number of times, because Michael
- 5 Henry stated that wage increases would be given on merit.
- 6 Q And do you recall when he said that -- or when that topic
- 7 came up?
- 8 A I believe it was February 13th, or possibly February 26th.
- 9 Q How many times did you ask Mr. Henry about the merit
- 10 increases?
- 11 A Between Nancy Goldman and myself, I know it was a minimum
- 12 of eight times. We had had asked -- and what I specifically
- 13 asked was: "Is there a floor to this, and is there a ceiling?
- 14 If it is going to be done on merit, how does that look to our
- 15 present members? We know what you are offering for prospective
- 16 new members, but we are very unclear about what the present
- 17 workers would get." So we wanted to know, is there a base wage,
- 18 let's say 1 percent, and then you get merit on top of that, and
- 19 does that go up to, what, 5 percent? We didn't know. And so
- 20 that was a major question of ours.
- 21 Q And in response to the questions about merit increases, did
- 22 the employer provide any information?
- 23 A We asked how merit increases would be given, and Michael
- 24 Henry said that the merit increases would be given based on an
- 25 evaluation. And so we asked for a copy of the evaluation form

- 1 that they intended to you.
- 2 (Witness proffered document.)
- 3 Q Showing you what has been marked as General Counsel Exhibit
- 4 19. So looking at, I guess, starting on page 3 and then going
- 5 back to page 5, do you recognize what this document is?
- 6 A Page 3, page 4, and page 5 is the evaluation form. They
- 7 call it "Performance Management Process." But it was the form
- 8 that they provided to the Union in order -- I guess people would
- 9 be rated on this in order to get their raises.
- 10 MR. WIESE: I will offer General Counsel Exhibit 19.
- 11 MR. TERRELL: No objection.
- 12 JUDGE STECKLER: General Counsel 19 is admitted.
- 13 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 19.)
- 14 O BY MR. WIESE: Did the Union have any issues with this
- 15 specific performance review?
- 16 A I think we generally -- well, we did. First of all, we
- 17 generally have issues with performance reviews in the respect
- 18 that they are subjective, and each manager has a different
- 19 viewpoint about each employee and they are subjective. So, just
- 20 on the fact of that, we don't care for them very much. But,
- 21 more specifically, if people were going to be rated through this
- 22 procedure in order to get a merit increase, I would have to
- 23 assume that there's points attributed to each of the issues that
- 24 are listed on this form, and it doesn't fit every
- 25 classification. One size does not fit all at a hotel.

- 1 Dishwashers don't see guests, generally speaking, where servers
- 2 and bartenders do. Certain classifications are more likely to
- 3 be able to give ideas or add or subtract to the efficiency of a
- 4 hotel. Like a cook can watch their product and add to the
- 5 efficiency of a hotel, where other classifications really may
- 6 not be able to do that. So this particular review really, in a
- 7 way, hemmed in some people into getting either low scores or no
- 8 scores, and that would possibly affect their evaluation, which
- 9 thereby would affect their wage increase. So, yeah, we had a
- 10 problem with it.
- 11 Q Do you remember whether these concerns were raised at the
- 12 bargaining table?
- 13 A They were.
- 14 O And who raised them?
- 15 A Nancy Goldman and -- and I, I believe I did.
- 16 Q And do you remember about when that happened during the
- 17 bargaining?
- 18 A I believe that was -- I would say it was either the 27th of
- 19 February, in that area, or the first meeting we had in March,
- 20 which would have been the 16th, I believe, the 16th of March.
- 21 Q And when -- as negotiations progressed -- when did the pie
- 22 charts come into the picture?
- 23 A Late March, I believe, the pie charts came in, after this
- 24 merit increase was discussed and we received this information,
- 25 the pie charts were brought in, initially, as a way to answer

- 1 our questions about the floor and the ceiling. We had made a
- 2 point of asking, many times, about the floor and the ceiling,
- 3 and initially we were told that the pie charts would be our
- 4 answer. The pie charts quickly turned from an answer about a
- 5 question, how it would affect our members, to the actual wage
- 6 proposal by management, and we never heard about the merit
- 7 increases again.
- 8 Q Did anyone from the Union ever request individual pie
- 9 charts for each employee?
- 10 A Absolutely not.
- 11 Q So if you could take a look at General Counsel Exhibit
- 12 10(a)?
- 13 A Yes.
- 14 Q And this is just a sample pie chart. So what were the
- 15 Union's issues with the contract?
- 16 A Well, as I recall, the initial pie charts that were brought
- 17 into negotiations -- excuse me -- were pie charts specific to
- 18 the people who were in the room, meaning the Union worker
- 19 committee. The workers looked at those pie charts and quickly
- 20 determined for themselves that there were a lot of mistakes in
- 21 those, sometimes in the amount of wage somebody was getting. I
- 22 think there was even one person, who was a server, and it didn't
- 23 reflect the increase in minimum wage, for example. It also --
- 24 it showed that people who were on-call or worked less than full
- 25 time, or the full time definition for benefits in the contract,

- 1 were attributed with things like vacation and holiday, and the
- 2 things that they were not be eligible for unless they were a
- 3 full time regular worker. So we had concerns with very first
- 4 charts that we saw.
- 5 O And how were these concerns addressed?
- 6 A At the bargaining table. Nancy Goldman and I brought it up
- 7 to Arch Stokes, who looked at them, recognized that there were
- 8 issues with them. He turned to -- I want to say it was Leslie,
- 9 but I am not positive who the finance person at the time was,
- 10 sitting at table -- but I think it was Leslie, and said "Can we
- 11 correct these? We have got to get these corrected, " you know,
- 12 "The Union's right." And those were then shoved to the side.
- 13 MR. TERRELL: Those were what?
- 14 THE WITNESS: I am sorry, those were put to the side,
- 15 because they were going to be corrected.
- 16 Q BY MR. WIESE: Did the Union receive another set of pie
- 17 charts?
- 18 A We did.
- 19 O And when?
- 20 A I believe it was the next negotiating session. We received
- 21 two big boxes of pie charts.
- 22 Q And were there issues with the second set of pie charts
- 23 that you received?
- 24 A Besides the enormity of them, I believe there was as many
- 25 as 450 workers, give or take a few. Many of the pie charts,

- 1 they were set up for a five-year period, so there were as many
- 2 as 13 pages per worker, which is just a little under 6,000 pages
- 3 total. So we had concerns with the amount of information being
- 4 provided to us. But we also had issues with some of the math
- 5 and some of the things that were attributed to people. This was
- 6 presented to us -- there's a big block that is presented as base
- 7 pay and overtime, but the overtime couldn't be broken out. So
- 8 we didn't know how much was base pay and overtime. We also
- 9 didn't know how the overtime was calculated, especially going
- 10 into the future. We can understand how it might be calculated
- 11 to the past, or even to the present, to that date, but to the
- 12 future -- we couldn't understand that. Everyone was attributed
- 13 with things like jury duty and funeral pay, and a number of
- 14 other things, but the math, in many cases, didn't add up,
- 15 including the fact that, in the future, for a number of people,
- 16 the state minimum wage was not included. It has gone up several
- 17 times in the last year or so, and it is due to go up again in
- 18 August.
- 19 O And when you said there were 450 employees at the time, who
- 20 -- which properties were in the bargaining unit?
- 21 A Well, total, because we also got them for TCS. So the
- 22 unit, I believe by then, was broken into two groups. But still,
- 23 for us, 200 and -- or 450 people -- in the hotels there was 250,
- 24 give or take people.
- 25 Q And these concerns that you were talking about, with the

- 1 overtime and the bereavement leave and the jury duty, were these
- 2 concerns raised at the table at the time you got the second pie
- 3 charts?
- 4 A Yes, they were.
- 5 0 Who raised them?
- 6 A Nancy Goldman.
- 7 Q How did the employer respond to that?
- 8 A "Martin asked for these as an answer."
- 9 0 Who said that?
- 10 A Arch Stokes.
- 11 JUDGE STECKLER: I am sorry, could you repeat that?
- 12 THE WITNESS: I am sorry, Arch Stokes.
- 13 JUDGE STECKLER: Before that, what did he say?
- 14 THE WITNESS: Oh, he said that Martin had asked for these
- 15 pie charts as an answer to his inquiry about -- about a floor
- 16 and a ceiling to wage increases on merit.
- 17 JUDGE STECKLER: Okay, thank you.
- 18 THE WITNESS: You are welcome.
- 19 O BY MR. WIESE: Besides these pie charts and the wage offer
- 20 in appendix A of the employer's March 24th offer, were wages
- 21 being reduced for any current employees?
- 22 A The pie charts had what looked like to us to be some
- 23 reductions. But, specifically speaking, the banquet servers
- 24 were being asked to take as much as a 50 percent wage decrease.
- 25 Q And how were their wages being changed?

- 1 A Banquet servers normally would get the state minimum wage,
- 2 and then a portion of the service charge, which would be the
- 3 majority of their earnings. It was being proposed by the
- 4 employer, initially, if I recollect correctly, that those
- 5 workers would get \$12 per hour. I believe, at the next session
- 6 they changed it to 15, which would still be somewhere between 35
- 7 and 50 percent of a wage decrease.
- 8 Q Do you recall a negotiating session where several banquet
- 9 servers attended?
- 10 A I do.
- 11 Q And do you recall the topic of service charges coming up
- 12 that day?
- 13 A Yes.
- 14 Q Okay. What was discussed regarding service charges?
- 15 A Well, the company said that they would probably still
- 16 charge a service charge, but that they would keep that for
- 17 themselves, that they wanted to remain competitive. That Joe
- 18 Powers as one of their competitors, also Gus Chafoulias another
- 19 competitor, didn't have to pay what they were paying. And they
- 20 -- let me see -- I think that's about it, I can't -- I'm --
- 21 Q Just drawing a blank right now?
- 22 A I am sorry.
- 23 MR. WIESE: Well, let's move on. So looking at, Your
- 24 Honor, this line of questioning is in support of Complaint
- 25 allegations 12(e) through (h). Mr. Goff --

- 1 MR. TERRELL: I am sorry, 12 what, please?
- 2 MR. WIESE: (e) through (h).
- 3 Q BY MR. WIESE: All right, actually before we move on, do
- 4 you recall there being any disagreement, on the employer's side
- 5 of the table, regarding the service charges, that day when the
- 6 banquet servers were at the bargaining table?
- 7 A Yeah.
- 8 Q Tell me what you recall about that.
- 9 A Yes. The proposal was to do away with the service charge,
- 10 except that the Company keeps the service charge.
- 11 Q And who was proposing that? Who was talking about that?
- 12 A Arch Stokes, and I believe Bill Bunce was part of this
- 13 conversation. But at one particular time, when Arch was
- 14 speaking about that was their proposal and that's how they
- 15 intended to do it, Bill Bunce chimed in and said that -- that
- 16 the Company, at times, would decide if part of the service
- 17 charge was attributed to workers. And my recollection is Art
- 18 Stokes looked a little befuddled by that. They had a little
- 19 discussion between them, and Bill Bunce reiterated that the
- 20 employer may, at times, give a portion of the service charge to
- 21 workers. That was not their proposal, but that is what they
- 22 said at the table.
- 23 O And who would decide whether employees would get a portion
- 24 of the service charge, according to Mr. Bunce?
- 25 A The Company would.

- 1 Q Was there ever any further clarification about what would
- 2 happen with service charges?
- 3 A No, there never was.
- 4 0 All right.
- 5 MR. WIESE: So now, Your Honor, I would like to turn to a
- 6 line of questioning in support of Complaint allegations 12(e)
- 7 through (h).
- 8 O BY MR. WIESE: And Mr. Goff, I would like to talk about
- 9 some of the information requests in this case. Did the Union
- 10 ever request information from the employer about the cost of
- 11 health care?
- 12 A Yes.
- 13 (Witness proffered document.)
- 14 O BY MR. WIESE: Showing you what has been marked as General
- 15 Counsel Exhibit 20. Just take a minute. Are you done reviewing
- 16 the document?
- 17 A I have seen something like this -- yes.
- 18 Q What is this document?
- 19 A It is a chain of e-mail letters between Nancy Goldman and
- 20 Michael Henry, copying various people. And it concerns the
- 21 employer's quantification of the health care proposal.
- 22 Q And looking at the figures in the e-mail starting on page
- 23 1, and going over to page 2, it looks like the employer provided
- 24 some information about the cost of health care. What was the
- 25 issue with this information that was provided?

- 1 A Yes. This is what the employer provided, and it is
- 2 information regarding health care coverage, but it includes non-
- 3 Union participants in the employer's health care plan. They
- 4 were not broken out separately, so we didn't know what the cost
- 5 that our members generated were -- was -- for this health care
- 6 plan.
- 7 O And was this something that the Union brought to the
- 8 employer's attention?
- 9 A Yes.
- 10 Q Were those numbers for the -- the Union-member only cost
- 11 for the health care, was that information ever provided?
- 12 A Never.
- 13 MR. WIESE: Offer General Counsel Exhibit 20.
- 14 MR. TERRELL: No objection.
- JUDGE STECKLER: General Counsel Exhibit 20 is admitted.
- 16 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 20.)
- 17 O BY MR. WIESE: Did the Union ever request information about
- 18 the cost of the employer's vacation proposals?
- 19 A Yes, we did.
- 20 (Witness proffered document.)
- 21 Q Showing you what has been marked as General Counsel Exhibit
- 22 21.
- 23 O Okay. Mr. Goff, do you recognize this document?
- 24 A Yes, it is an e-mail from Nancy Goldman to Michael Henry
- 25 and Arch Stokes.

- 1 O And what is this e-mail about?
- 2 A About the quantification value for a proposal that was
- 3 accepted by the Union on vacation.
- 4 MR. WIESE: I will offer General Counsel Exhibit 21.
- 5 MR. TERRELL: Hold on.
- 6 JUDGE STECKLER: Without the little bit at the top
- 7 regarding "from Martin to Tyler"?
- 8 MR. WIESE: Yes.
- 9 MR. TERRELL: No objection.
- 10 JUDGE STECKLER: General Counsel 21 is admitted.
- 11 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 21.)
- 12 (Witness proffered document.)
- 13 Q BY MR. WIESE: Showing you what has been marked as General
- 14 Counsel Exhibit 22. Do you recognize this document?
- 15 A Yes, it is a chain of e-mails between Nancy Goldman and
- 16 Michael Henry.
- 17 O And looking at the second e-mail in that chain, that starts
- 18 on the bottom of page 1 and goes over to page 2, what is that --
- 19 yeah, dated October 20, 2015, what is that?
- 20 A This is an e-mail from Nancy Goldman to Michael Henry, once
- 21 again asking for quantifications of the cost to the employer for
- 22 vacation for the five-year proposed contract.
- 23 Q And so the response -- the section listed "Response," is
- 24 that what the employer -- the information the employer had given
- 25 you previously -- or had given you about the cost of that

- 1 vacation proposal?
- 2 A This bottom part seems to be the response of what was
- 3 called a ballpark estimate of the vacation costs at \$84,000 per
- 4 year.
- 5 Q And when did you get that ballpark estimate about, do you
- 6 recall?
- 7 A This is October 20th.
- 8 Q And are you aware, was that before or after a Complaint
- 9 issued in this case?
- 10 A That was after the Complaint was issued by the Labor Board.
- 11 MR. WIESE: I will offer General Counsel Exhibit 22.
- 12 MR. TERRELL: I need a clarification on GC 22.
- 13 VOIR DIRE EXAMINATION
- 14 O BY MR. TERRELL: The e-mail at the bottom, from Nancy
- 15 Goldman to Michael Henry, Nancy writes, "Michael, is this for
- 16 each year or five years?" And then what appears below that is
- 17 cut-and-pasted out of the Response that the company gave to the
- 18 Union's September 24 Response, is that right?
- 19 A I am not sure what you mean by cut-and-pasted, if you could
- 20 --
- 21 Q All right. There's an exhibit that has come into evidence,
- 22 and it was the -- it is GC 23(f).
- 23 A GC 23(f).
- 24 Q Okay, do you have that in front of you?
- 25 A I do, yes.

- 1 0 All right. The non-italicized text in this document, it
- 2 constitutes the Union's proposal made on September 24, correct?
- 3 A I believe so, yes.
- 4 Q And the italicized response is below that by the Union --
- 5 excuse me, by the Respondent -- forgive me -- by Mr. Henry?
- 6 A Yes.
- 7 Q In response to your 9/24 proposal?
- 8 A I believe so, yes.
- 9 Q And on the fourth page of this exhibit you see, at the top
- 10 of the page, "Number 90 discussed," and then below that,
- 11 "Response."
- 12 A Ninety response, yes.
- 13 Q Okay, so that piece, at the top of page 4, is cut-and-
- 14 pasted into this e-mail by Nancy to Michael Henry on October 20?
- 15 A I believe -- I believe she probably -- from the answer that
- 16 she got -- must have done that.
- 17 O I am just trying to understand this document.
- 18 A It appears to be that, I didn't generate it.
- 19 Q Okay.
- 20 MR. TERRELL: No objection.
- JUDGE STECKLER: General Counsel 22 is admitted.
- 22 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 22.)
- 23 CONTINUED DIRECT EXAMINATION
- 24 Q BY MR. WIESE: Just a couple of follow-up questions.
- 25 Looking at General Counsel's 23(f), Mr. Goff?

- 1 A Yes.
- 2 Q So that's the Union's proposal from September 24th, does
- 3 that look right?
- 4 A It was the proposal we made on the last day that we saw
- 5 that, yes.
- 6 Q And then this response, the responses below from the
- 7 employer, did you get those after you had made the proposal?
- 8 A Yes.
- 9 MR. WIESE: No further questions.
- 10 Did you --
- 11 MS. BURGESS: Can we go off the record for just a second,
- 12 Your Honor?
- 13 JUDGE STECKLER: I will give you about two minutes.
- 14 (Off the record.)
- 15 JUDGE STECKLER: On the record.
- 16 MR. WIESE: Just a handful of brief questions, Your Honor.
- 17 Q BY MR. WIESE: So after the expiration of the prior
- 18 collective bargaining agreement in February, are you aware
- 19 whether the employer stopped providing step increases for
- 20 employees?
- 21 A Yes, they did stop.
- 22 Q Did the Union ever agree with the employer to stop
- 23 providing those increases?
- 24 A No, we did not. We brought it up at the table more than
- 25 once.

- 1 MR. WIESE: Okay, nothing further.
- JUDGE STECKLER: Mr. Terrell, do you need a few minutes?
- 3 MR. TERRELL: I would like to see the Jencks affidavit, if
- 4 there is one.
- 5 MR. WIESE: All right, let the record reflect that I am
- 6 providing a copy of Mr. Goff's affidavit provided to the Board.
- 7 It is 26 pages in length.
- 8 MR. TERRELL: This will take me a while to read.
- 9 JUDGE STECKLER: Let's see, can you --
- 10 MR. TERRELL: I am sorry?
- 11 JUDGE STECKLER: We will go back on the record at 3:40.
- MR. TERRELL: Three forty? Okay.
- 13 MR. WIESE: Your Honor, I also, I guess, in the interests
- 14 of full disclosure, I also have -- so I have bargaining -- I
- 15 know that Mr. Terrell just requested the affidavit, but I have
- 16 bargaining notes from Mr. Goff that are also, I believe,
- 17 required to be produced under Jencks, and then also e-mails that
- 18 are -- also e-mails that have been redacted with the names of
- 19 employees who aren't testifying in this case, from Mr. Goff.
- 20 MR. TERRELL: Okay, so these are exhibits to this
- 21 affidavit?
- 22 MR. WIESE: No, they are just -- they are statements that
- 23 fall under the scope of Jencks, that --
- MR. TERRELL: Okay.
- MR. WIESE: -- that aren't affidavits.

- 1 MR. TERRELL: Okay.
- 2 Are there any other affidavits?
- 3 MR. WIESE: No.
- 4 MR. TERRELL: This stack of e-mails is about an inch and a
- 5 half thick.
- 6 MR. WIESE: I think there's 130 of them.
- 7 MR. TERRELL: A hundred and thirty?
- 8 MR. WIESE: Or a 130 pages.
- 9 MR. TERRELL: A hundred and thirty pages.
- 10 And then the handwritten notes are double-sided, two-sided,
- 11 pretty hard to read.
- 12 MR. WIESE: I think they're about 40 pages.
- 13 MR. TERRELL: I am going to need at least -- well, I am
- 14 going to need until 4 o'clock, Your Honor, less than an hour?
- JUDGE STECKLER: I think that would be appropriate.
- 16 MR. TERRELL: Okay.
- 17 JUDGE STECKLER: We will go off the record until 4.
- 18 (Off the record.)
- 19 JUDGE STECKLER: We'll be back on the record.
- 20 Mr. Terrell, I think you were about to cross-examine, Mr.
- 21 Goff.
- MR. TERRELL: Thank you.
- JUDGE STECKLER: Now, Mr. Goff, we took a break, so you're
- 24 still under oath.
- 25 Thank you, sir.

- 1 CROSS-EXAMINATION
- 2 O BY MR. TERRELL: Good afternoon, Mr. Goff.
- 3 A Good afternoon.
- 4 Q Before the so-called formal negotiations began on January
- 5 20, there were a number of discussions in 2014 regarding the
- 6 upcoming collective bargaining, correct?
- 7 A I attended three meetings.
- 8 Q Okay. Now there was an initial meeting with several of the
- 9 executives of the hotel, in which the topic of food and beverage
- 10 costs were discussed. Do you recall those meetings?
- 11 A I do. That was the second meeting I attended.
- 12 Q Okay. Were you in the meeting with Javon Bea, in that
- 13 meeting?
- 14 A Which was the earlier meeting, yes.
- 15 Q Okay. And, again, they talked about the food and beverage
- 16 cost and they talked about their competition here in the
- 17 Rochester area, right?
- 18 A The first meeting was more about the competition. The
- 19 second meeting was a presentation by the employer through a
- 20 computer generated --
- 21 Q Power point presentation?
- 22 A -- power point presentation, yes.
- 23 O Showing various costs?
- 24 A Yes.
- 25 Q And they talked about the competition. The competition

- 1 being a company called "Canadian Honker," right?
- 2 A Yes.
- 3 Q And you referred to Joe Powers when you were talking about
- 4 the discussion regarding the hotels' competition, and you
- 5 referred to -- Joe Powers is Canadian Honker, right?
- 6 A That's my understanding. He's the owner or operator.
- 7 Q Right, and he's in direct competition with the Kahler
- 8 Hotels, isn't he because he provides convention or banquet
- 9 services in the Rochester area?
- 10 A Not in a hotel setting, but he does do convention or
- 11 catering of some sort. I don't know exactly what his
- 12 circumstances --
- 13 Q Well, you're aware also that the Kahler Hotels also do off-
- 14 site banquet service. You're aware of that, aren't you?
- 15 A Yes.
- 16 O Okay. So they are in direct head-to-head competition in
- 17 that regard?
- 18 A As far as I understand, yes.
- 19 Q And so customers who want to purchase banquet services --
- 20 they could have their banquet in a big room in the hotel or they
- 21 could have their banquet in a big room somewhere else, right?
- 22 A Yes or outside I suppose as --
- 23 Q And these customers are purchasing banquet services.
- 24 A That's my understanding on it.
- 25 Q And it was discussed with you, the fact that Canadian

- 1 Honker as well as Gus Chafoulias, who is an operator of other
- 2 hotels in the city -- you talked about those competitors as
- 3 well, correct?
- 4 A They do, and, yes, I mentioned that.
- 5 Q Right, right. And they made it clear to you that their
- 6 competition -- that they were struggling to compete with these
- 7 other competitors, Gus Chafoulias, Joe Powers, doing business as
- 8 Canadian Honkers. They discussed that with you, didn't they?
- 9 A Yes.
- 10 O And they explained that because of that severe competition,
- 11 they -- the company, the Kahler Hotels, the employer, needed to
- 12 make changes in its labor costs. They have made that point.
- 13 I'm not asking if you agreed with it or not, I'm only asking
- 14 isn't it true that they discussed this with you?
- 15 A They mentioned and talked about their concerns, yes.
- 16 O Okay. And, in fact, he gave you what -- or the employer
- 17 gave you what you have referred to as "a wish list"?
- 18 A Yes.
- 19 Q Okay. And the wish list was aimed at trying to reduce
- 20 these costs to become more competitive, correct?
- 21 A I don't know that that's what the whole wish list was
- 22 concerned with, but --
- 23 O But that was certainly included within that wish list,
- 24 right?
- 25 A At least some of it, yes.

- 1 Q And, of course, I'm not saying you agreed with it or had to
- 2 accept it; and, of course, you didn't, right? But it's a good
- 3 thing that they gave it to your early, isn't it? It gave you
- 4 more time to think about their proposal, gave you more time to
- 5 plan on how you were going to respond.
- 6 MR. WIESE: Objection: compound question.
- 7 MR. TERRELL: I'll break it down.
- 8 JUDGE STECKLER: Thank you.
- 9 Q BY MR. TERRELL: It's a good thing, isn't it, that they gave
- 10 this to you early, isn't it?
- 11 A I didn't see that was any benefit at all.
- 12 Q It gave you more time to think about their proposal, right?
- 13 A Well, we didn't know what their proposal was at that time.
- 14 O Well, he told you in his wish list what he wanted. I'm not
- 15 saying it was a complete proposal, but he told you what he was
- 16 looking for, didn't he?
- 17 A Are you talking about the first meeting in the wish list or
- 18 --
- 19 Q I'm talking about the wish list that was provided to you --
- 20 MR. WIESE: Your Honor --
- 21 MR. TERRELL: -- at some point in 2014.
- JUDGE STECKLER: Would it be helpful if we had the list in
- 23 front of us?
- MR. TERRELL: Yes, it was an exhibit
- 25 You saw it. I'm not going to ask any specific questions

- 1 about the document.
- 2 MR. WIESE: Okav.
- 3 Q BY MR. TERRELL: I'm just -- you remember -- you know the
- 4 document I'm referring to.
- 5 A I do. I just want to be clear that you're talking about
- 6 the first meeting or the second, because they were drastically
- 7 different type of meetings.
- 8 Q Well, they were they both in 2014, right?
- 9 A I believe so, yes.
- 10 Q In fact, they were quite early in 2014, weren't they?
- 11 A I don't --
- 12 O Like in March.
- 13 A I think it was March, yah.
- 14 Q Okay. And in one of those meetings, they gave you the wish
- 15 list?
- 16 A Yes.
- 17 O Okay. And so you knew up front well in advance of the
- 18 formal bargaining what they were looking for.
- 19 A Yes, and some of that had changed, but yes.
- 20 O And that's an advantage to you, is it not? I'm not saying
- 21 that you agree with it, but it's an advantage for you to know
- 22 early on what your opponent wants, so that you can plan your
- 23 counter-proposals, correct?
- 24 A I guess I didn't see it as an advantage or a disadvantage,
- 25 it was simply information that Javon Bea wanted to impart upon

- 1 us.
- 2 Q Okay, now, apart from those very early meetings in March of
- 3 2014, prior to 2015, this contract had always been negotiated by
- 4 Brian Brandt of Local 17 here in Rochester, correct?
- 5 A No.
- 6 Q Well, you never attended any prior negotiations, correct?
- 7 A Correct.
- 8 Q Did Local 21 become involved in any of the prior
- 9 negotiations to your knowledge? To your knowledge, because you
- 10 weren't here.
- 11 A Well, I was intimately involved with the Local. I know who
- 12 was here. Brian Brandt was not the negotiator.
- 13 O Okay, but the contract before 2015, before the bargaining
- 14 sessions had started on January 20, 2015, those negotiations
- 15 prior to that were always negotiated by Local 17 here in
- 16 Rochester, right?
- 17 A Only one or two other. I attended one -- I attended one
- 18 just before this present one, and I attended one -- either the
- 19 one before that or the one before that with a different leader
- 20 of this Local and his attorney.
- 21 Q Okay. You attended, but it was a Local 17 negotiated
- 22 contracts, the previous contracts, correct?
- 23 A No, only the Sunstone.
- MR. WIESE: Just for clarity of the record, are you talking
- 25 -- when you say "Local 17," are you talking about the Rochester

- 1 Local or the Minneapolis.
- 2 Q BY MR. TERRELL: Local 17 is the Rochester Local, right?
- 3 A No.
- 4 0 Local 21 is --
- 5 A Local 21 is the Rochester.
- 6 Q Okay, forgive me, I miss-spoke then.
- 7 A Okay.
- 8 O So Local 21 is the Rochester Local.
- 9 A Yes.
- 10 Q And Local 17 are the city slickers from Minneapolis, right?
- 11 A Yes, we are.
- 12 Q Okay. So the city slickers came down in January to
- 13 negotiate this contract in 2015?
- 14 A Yes.
- 15 Q Okay. And all of those negotiations were attended by you
- 16 and by your President, Nancy Goldman.
- 17 A Correct.
- 18 Q The two of you basically took over the negotiations from
- 19 Local 21?
- 20 A Well, yes, we --
- 21 Q Okay.
- 22 A Yes.
- 23 O And you indicated that you were only somewhat familiar with
- 24 the bargaining unit here in Rochester. Do you recall that
- 25 testimony?

- 1 A I do.
- 2 Q Okay. Now at the end of the day, at the end of the
- 3 negotiations, isn't it true that there were really only a
- 4 handful of major changes that the employer was seeking --
- 5 MR. WIESE: Objection: vague.
- 6 MR. TERRELL: -- specific -- I haven't finished my
- 7 question.
- 8 Q BY MR. TERRELL: Specifically, they changed the wage
- 9 structure, right? Of course, they proposed wages going forward,
- 10 correct?
- 11 A They proposed a wage proposal, yes.
- 12 Q Okay. And they did propose a major change in how banquet
- 13 servers are to be compensated?
- 14 A Yes.
- 15 Q They didn't change the health insurance benefit, correct?
- 16 Or they didn't propose a change in the health insurance benefit,
- 17 correct?
- 18 A I don't believe -- I think they were looking for something
- 19 else. That's what we were told. I don't know that they made
- 20 any direct proposals to make any changes.
- 21 Q Let's talk about that health insurance proposal. I mean,
- 22 the health insurance provision as it existed in the old
- 23 contract. The old contract is General Counsel Exhibit 2, and
- 24 I'll tell you where it is.
- JUDGE STECKLER: It's here.

- 1 (Witness proffered the document.)
- 2 Q BY MR. TERRELL: So on page -- and I'm referring to the
- 3 pagination at the bottom right corner of the document, page 19
- 4 and 52 is Article 11 "Insurance Benefits." Do you see that?
- 5 A Yes, I do.
- 6 Q Now, again, this is the contract negotiated in 2011 for the
- 7 period 2011 to 2014, right?
- 8 A Yes.
- 9 Q And did I hear you say you attended maybe one meeting in
- 10 the negotiation of this contract?
- 11 A The Sunstone agreement?
- 12 O Yes.
- 13 A No, I attended those meetings. I had -- what I was
- 14 referring to is even further back history. I attended some
- 15 meetings with another leader of this Local and his lawyer.
- 16 Q So you were -- you sat in on the meetings in 2011 to
- 17 negotiate this 2011 -- 2014 contract?
- 18 A Yes, I did.
- 19 Q Okay. So you're familiar, I would assume, with this
- 20 provision on health insurance?
- 21 A Fairly much, yes.
- 22 Q Okay. And this provision, it's fair to say, gives
- 23 virtually total control to the employer to determine the benefit
- 24 as well as the cost contributions by the employer, correct?
- 25 A Yes, it does.

- 1 Q And it also even allows the employer to modify or even
- 2 eliminate the benefits, correct? I'll draw your attention to
- 3 the fourth line -- or third line, third going into the fourth
- 4 line, "The employer has the right to modify or eliminate these
- 5 benefits, including providers, and increase employee
- 6 contributions to same, " correct?
- 7 A That's what it says, yes.
- 8 Q Okay, and your union agreed to this? Or, rather, I should
- 9 say, Local 17, with some assistance -- Local 21 with some
- 10 assistance from Local 17, agreed to this provision, correct?
- 11 A Yes.
- 12 O Okay. The only limitation really is that whatever the
- 13 employer under this provision going forward during the term of
- 14 the contract, whatever it provided for the non-union -- or for
- 15 the non-union employees -- whatever it provided for the non-
- 16 union employees would have to be provided for the union
- 17 employees.
- 18 Q Could you restate that?
- 19 A Yes, I'm sorry, I mangled that.
- 20 O Isn't it true the only restriction in this Article 11 on
- 21 insurance benefits, is that whatever the employer provided to
- 22 the non-union employees, the employer had to provide the same to
- 23 the union employees. And I'll draw your attention to the next
- 24 sentence: "Said changes or increases in contributions shall be
- 25 the same as those applicable to all other employees of the

- 1 employer."
- 2 A Yes, I was just reading that line, yes.
- 3 Q Okay.
- 4 A And your union also waived in 2011 the ability to grieve or
- 5 arbitrate concerning the health benefit or any decision made
- 6 regarding the health benefit during the term of this agreement.
- 7 A That would be 11.2, yes.
- 8 Q Okay. Unless there was an express violation of the
- 9 specific terms.
- 10 A Correct.
- 11 Q Okay, all right.
- Now the employer in the negotiations in 2015, also made a
- 13 proposal, as you appear to have understood it, relating to wages
- 14 by which the employer would retain the right to give increases
- 15 based on merit review. That was your understanding I believe as
- 16 you testified to what the employer at one point in these
- 17 negotiations was proposing.
- 18 A My understand was that that was the wage proposal for
- 19 present employees.
- 20 Q Okay. And that was a proposal that the employer made,
- 21 right?
- 22 A Yes, it was.
- 23 O Okay. And you could have and I suppose -- and you did make
- 24 the counter-proposal, correct?
- 25 A I believe we did, yes.

- 1 Q All right. And neither has been agreed to or implemented
- 2 at this time, correct?
- 3 A Correct.
- 4 Q So it's just a proposal, right, that the employer made?
- 5 A That was one of several.
- 6 O Okay. And then you, yourself, at the table, raised some
- 7 questions about how do we understand where the floor is or the
- 8 ceiling, with respect to the increases that might be granted
- 9 through this review process.
- 10 A I did, and I believe Nancy Goldman did as well.
- 11 Q Okay. And you asked for that?
- 12 A Yes.
- 13 Q Okay. And whether you liked the pie charts or not, they
- 14 were provided to you and it was explained they were provided to
- 15 you as a means of assisting and answering that question that you
- 16 had. That's true, isn't it?
- 17 A Not exactly.
- 18 Q I'm not asking you if you liked it or not --
- 19 A I understand.
- 20 O All right.
- 21 A But, no, that is not exactly --
- 22 Q Okay.
- 23 A -- the way it was brought to our attention.
- 24 Q You rattled off these dates and times that you say the
- 25 employer was late, and I really quite impressed how you did

- 1 that. You had no notes in front of you. You must have crammed
- 2 for the test before coming in here today.
- 3 A I looked at notes and other things.
- 4 Q Okay. Did you look at anything other than the bargaining
- 5 notes?
- 6 A Partly my recollection, and also like my appointment book,
- 7 recollections of conversations I had with Nancy on the way back
- 8 from negotiations.
- 9 Q So it's really basically just your recollections, right?
- 10 A That, along with notes and other materials.
- 11 Q Now you made a comment at one time, at one point that you
- 12 don't recall the employer giving an explanation or an answer why
- 13 they were late, but on some of those occasions, at least, it was
- 14 evident what they were doing -- preparing the pie charts for one
- 15 thing.
- 16 A We didn't ask to sit there while they prepared pie charts.
- 17 We were there to negotiate.
- 18 Q Let me ask you this: During all these bargaining sessions,
- 19 and there were -- how many were there, the formal sessions in
- 20 2015? There were --
- 21 A Eleven.
- 22 Q -- 11 of them from January 20 all the way to September 24.
- 23 And some of them were full day sessions or nearly full day
- 24 sessions?
- 25 A Some were, yes.

- 1 Q Okay. And several times during the course of that
- 2 bargaining, you went through every single provision in the
- 3 contract, or in the proposal by the employer, correct?
- 4 A Unfortunately, yes.
- 5 Q All -- unfortunately -- okay, well, you talked about them,
- 6 right? You had an opportunity to raise issues with respect to
- 7 each and every one of the 146 enumerated paragraphs in the
- 8 document, correct?
- 9 A Well, I guess --
- 10 Q That's a "yes" or "no" question, sir.
- 11 You had an opportunity to go through each and every one of
- 12 the 146 enumerated paragraphs in the proposal, correct?
- MR. WIESE: Objection, Your Honor: vague. I don't know
- 14 what proposal we're talking about.
- MR. TERRELL: The employer's proposal, the one you have
- 16 referred to as the last, best and final offered on March 24, as
- 17 Exhibit 6(q), and it has 146 enumerated paragraphs.
- 18 Q BY MR. TERRELL: Are you familiar with that document, sir?
- 19 A I am.
- 20 O Okay. And you went through on several occasions each and
- 21 every one of those enumerated paragraphs, correct?
- 22 A Yes.
- 23 O Okay. And also the Appendixes Though, I think, A, B, C, D,
- 24 E, F Appendixes -- eight of them, is that right?
- 25 A Let's see, there's "" --

- 1 Q A, B, C, D, E, F, G, H, I, J -- 10 Appendixes.
- 2 A And here's a "K."
- 3 Q A "K" -- 11.
- 4 Now attached to that proposal, Exhibit 6(g), were pie
- 5 charts, right?
- 6 A I'm sorry, 6(g)?
- 7 O Six-G.
- 8 A Give me a second.
- 9 I don't know if I have a 6(g).
- 10 (Pause.)
- 11 (Witness proffered the document.)
- 12 THE WITNESS: I have a 6(a). Hold on.
- 13 O BY MR. TERRELL: It's a thick one.
- JUDGE STECKLER: Okay, I've got a 6(g) here.
- 15 THE WITNESS: Okay, thank you. I don't know that I still
- 16 have that one.
- 17 O BY MR. TERRELL: There were pie charts attached to that as
- 18 well, correct?
- 19 A Yes.
- 20 Okay, and these were by position pie charts as opposed to
- 21 pie charts with the name of an individual employee on the pie
- 22 chart, correct?
- 23 A That's what it appears to be, yes.
- 24 Q Yes. So, for example, if you look at page 82 of 150, in
- 25 Exhibit 6(q), the first pie chart refers to a preventative

- 1 maintenance employee. If you flip through the next one, it's a
- 2 housekeeper, and the next one is an apprentice -- I suppose
- 3 that's an apprentice in the Engineering Department and so forth,
- 4 correct?
- 5 A Yah, I'm not quite sure what page you're on, but I see that
- 6 they do have --
- 7 Q I'm simply trying to get you to agree with my observation
- 8 that the bargaining proposals that the employer made contained
- 9 pie charts that were by position, correct?
- 10 A It appears to be, yes.
- 11 Q Okay, then the pie charts with the individual names on them
- 12 -- they were submitted separately, correct?
- 13 A Yes.
- 14 Q During the course of the bargaining, yes?
- 15 A We got pie charts with individuals.
- 16 O Okay, now you testified that the last, best and final
- 17 offer, which was the one in front of you, the March 24 proposal,
- 18 was handed to you as you were leaving the bargaining session.
- 19 You were in the hallway. Do you recall that testimony?
- 20 A Yes, we were in the elevator.
- 21 Q Okay. And that was on March 24, right?
- 22 A Correct.
- 23 O Okay. And you had previous to that received a
- 24 comprehensive proposal from the employer during the February 26
- 25 27 bargaining sessions, correct?

- 1 A I believe we did.
- 2 Q Okay. And there were maybe some changes between the
- 3 February 26 -- 27 proposal and the March 24 proposal, but by and
- 4 large, they were pretty much --
- 5 MR. WIESE: Objection, Your Honor: vague and best
- 6 evidence.
- 7 MR. TERRELL: I haven't finished the question yet. I mean,
- 8 maybe I'll make it clear by the time I get to the end.
- 9 JUDGE STECKLER: Finish the question.
- 10 MR. TERRELL: I'll rephrase.
- 11 O BY MR. TERRELL: Do you recall differences between the
- 12 February 26 proposal and the March 24 proposal?
- 13 A I don't know if I recall any -- the specific differences.
- 14 I've --
- 15 Q There were differences, you just don't recall them as you
- 16 sit there without looking through the documents. Is that a fair
- 17 statement?
- 18 A Off-hand, I couldn't answer.
- 19 Q It's a fair statement, though, that they were pretty
- 20 similar.
- 21 A Generally speaking, they were similar, yes.
- 22 Q All right, and then so you had the March 24 proposal and
- 23 you talked about reading it in the car on the way home and
- 24 talking about it in the car on the way back to Minneapolis, back
- 25 to the big city.

- 1 A The big city, yes. Nancy Goldman -- I was driving, so I
- 2 wasn't reading it.
- 3 Q Okay
- 4 A Nancy Goldman was thumbing through it.
- 5 O She was reading the Exhibit?
- 6 A No, she was thumbing through it and --
- 7 Q You were talking about it?
- 8 A Yah, talking about it is fair enough.
- 9 Q And then you probably took it home with you and you read it
- 10 at home?
- 11 A No.
- 12 Q Stayed up late at night and poured through every single
- 13 paragraph, right?
- 14 A There was so much paper coming back and forth, no, I did
- 15 not.
- 16 Q Maybe the next day, you read it.
- 17 A No.
- 18 Q You read it the next day, right, Martin?
- 19 A I did not.
- 20 O Okay, you read it though, right?
- 21 A I went through it.
- 22 Q You went through it.
- 23 A Yes.
- 24 Q And then you talked about it in the bargaining session on
- 25 April 16th. You met again on April 16. That was the next

- 1 bargaining day per our stipulation.
- 2 A Right.
- 3 Q You had it in front of you, you were able to talk about it,
- 4 right?
- 5 A Yes.
- 6 Q Okay. And, again on April 28th, right?
- 7 A Yes.
- 8 Q And, again on September 24th?
- 9 A Correct.
- 10 Q Yah, September 24th.
- 11 That you had it for a good -- April, May, June, July,
- 12 August, September -- you had it for a good 5 months, didn't you?
- 13 A We had it for 5 months.
- 14 Q Right.
- 15 A And then you made what you called "a proposal" on April
- 16 16th, right?
- 17 A I believe that's the date.
- 18 Q And that's in the record at Exhibit 23(e). Why don't you
- 19 get that in front of you.
- 20 A Twenty-three-E?
- 21 Q E -- "E" as in "eagle.
- 22 (Witness proffered the document.)
- 23 A Yes.
- 24 Q Okay. And at the top it says "Unite HERE Local 21 Counter-
- 25 Proposal April 16, 2015." Do you see that?

- 1 A Yes, I do.
- 2 O Okay. And these enumerations on the left -- the first is
- 3 4, then it's 5 -- 31, 37 and so forth. These refer to the
- 4 numbers of the provisions in the employer's proposal of March 24
- 5 that we've been talking about that's in the record as Exhibit
- 6 6(g), right?
- 7 A Six-G, the one -- as far as I know, yes.
- 8 Q Okay, well, let's just double check that and make sure
- 9 we're not "whistling Dixie" as they say.
- 10 So in your April 16 counter-proposal, your first paragraph
- 11 refers to number 4, with a little heading there, "Temporary
- 12 Employees." And I am looking at Exhibit 6(g) on page 13 of 150
- 13 and paragraph 4 is temporary employees. Is that correct?
- 14 A Section 4 does say "Temporary Employees."
- 15 Q Okay. So on April 16, you were making a counter-proposal
- 16 to paragraph number 4 in the employer's last, best and final of
- 17 March 24, correct?
- 18 A It looks like so, yes.
- 19 O Okay. So there's your proposal and I'm not too concerned
- 20 at this moment about the substance of it, but that was your
- 21 proposal on April 16 --
- 22 A I believe so, yes.
- 23 O -- with regard to paragraph 4.
- 24 A Yes.
- 25 Q Okay. And then you made a proposal regarding number 5.

- 1 Number 5 refers -- you don't refer to the sub-heading in your
- 2 proposal, you refer to number 5. But if you go to the
- 3 employer's proposal, number 5 refers to union insurance
- 4 benefits.
- 5 A I'm going to assume that's what it --
- 6 Q No, I want you to look at it. Look at page 13 of Exhibit
- 7 6(g).
- 8 A Yes.
- 9 Q Okay. And you see the topic in paragraph number 5 on that
- 10 page is "Union Insurance Benefits." Do you see that?
- 11 A I see that as Section 5, yes.
- 12 Q Right. And on April 16, your response to the proposal was
- 13 "reject any change."
- 14 A Yes, number 5.
- 15 Q You rejected any change.
- 16 A It says, "number 5," yes.
- 17 Q Right. And then number 31, you don't identify the topic,
- 18 but you do provide the number, number 31 and your response on
- 19 April 16 was "reject any change." Is that correct?
- 20 A Probationary period, yes.
- 21 Q So you rejected any change to the probationary period.
- 22 A Correct.
- 23 O Number 37 -- again, you don't refer in your April 16
- 24 proposal to the topic, but you refer to the number, number 37.
- 25 If you go back to the employer's proposal, number 37 deals with

- 1 the topic of 18 months no discipline. I think this is what a
- 2 slate wiped clean type proposal?
- 3 MR. WIESE: Your Honor, I'm going to object at this point.
- 4 This is cumulative and I'd also object to relevance.
- 5 MR. TERRELL: Talking about --
- 6 JUDGE STECKLER: Where are you going with it, Mr. Terrell?
- 7 Maybe that will help out.
- 8 MR. TERRELL: This is part of the 8(a)(5) allegation. I'm
- 9 going to be comparing what was proposed on April 16th to what
- 10 was proposed or supposedly proposed later in September.
- We're looking at this proposal dated April 16th. It's
- 12 somewhat ambiguous because they just have numbers without any
- 13 reference to content, so I'm just trying to clarify by referring
- 14 back to the employer's proposal what the substance of these
- 15 proposals on April 16th were.
- 16 JUDGE STECKLER: Okay, I'm going to allow and let's see
- 17 where it goes.
- 18 MR. TERRELL: Okay, all right.
- 19 O BY MR. TERRELL: So with respect to item 37, the company
- 20 proposed 18 months, you counter-proposed reducing that from 18
- 21 to 12 months, correct?
- 22 A To subset, yes.
- 23 O Okay.
- 24 Forty-two relates to the grievance and arbitration
- 25 procedure, and you proposed the addition of some language, is

- 1 that correct?
- 2 A I believe so.
- 3 Q Okay, paragraph 51 -- in the employer's proposal, 51
- 4 relates to leave of absence and your response on April 16, in
- 5 Exhibit 23(e) was "reject any change to current language." Is
- 6 that correct?
- 7 A Yes.
- 8 Q Okay. And then 54 -- that relates to the union leave. And
- 9 you rejected the limit of three working days.
- 10 A Yes, three working days and the loss of seniority.
- 11 Q So you rejected the limit of three working days?
- 12 A And loss of seniority, yes.
- 13 Q Well, it doesn't say that, does it? It just says "reject
- 14 of three working days."
- 15 A That was the employer's proposal though.
- 16 O And that was the only difference -- well, I'll get back to
- 17 that a little bit later. I want to get through this first.
- So on April 16, all you did was reject the limit of three
- 19 days. You didn't propose as an alternative, 10 days or 6 days
- 20 or 12 days, did you?
- 21 A I don't know if these are meant to be headings and subject
- 22 matter to be discussed or if this is actually an answer to every
- 23 aspect of every cause.
- 24 Q Well, this is your document, sir.
- 25 A I understand.

- 1 Q And you called it a counter-proposal and you gave it and
- 2 dated it April 16, right?
- 3 A Yes.
- 4 Q And you were referring in this document to number 54, which
- 5 is a reference to paragraph number 54 in the employer's last,
- 6 best and final on March 24, right?
- 7 A Yes.
- 8 Q And you rejected the employer's limit of 3 days, but you
- 9 did not propose a different number of days, did you?
- 10 MS. BURGESS: Objection, Your Honor. First of all, the
- 11 document speaks for itself in terms of what the Union's position
- 12 was. So going through each item and having him read what the
- 13 document says is really -- I mean, there's --
- MR. TERRELL: Well, I have --
- MS. BURGESS: And, also, he attempted to clarify when he
- 16 was, you know, answering his question, that they had an
- 17 additional objection, which isn't reflected on the document.
- 18 That's what his testimony is for. And so that is being miss-
- 19 stated as well.
- JUDGE STECKLER: Okay. I heard Mr. Stokes' comment about
- 21 one lawyer at a time too.
- MR. TERRELL: That was my objection.
- JUDGE STECKLER: That was going to be your objection next.
- 24 Could you rephrase the question a little bit, Mr. Terrell?
- 25 MR. TERRELL: Sure.

- 1 Q BY MR. TERRELL: Again, drawing your attention to your
- 2 document that you provided at the table on April 16, 2015,
- 3 Exhibit GC 23(e), you did not propose -- it's self-evident.
- 4 MR. TERRELL: Counsel is correct, the document does speak
- 5 for itself.
- 6 Q BY MR. TERRELL: You did not propose in this document any
- 7 different number of days, did you?
- 8 A No.
- 9 Q And you didn't verbally propose a different number of days
- 10 either, did you, across the table?
- 11 A I doubt we did.
- 12 Q Okay.
- 13 Sixty-six -- again, looking at your document of April 16,
- 14 you rejected the deletion of daily overtime.
- 15 A Yes.
- 16 Q Number 76 -- you rejected any change to current language in
- 17 our paragraph number 76.
- JUDGE STECKLER: Could you say that again, please, Mr.
- 19 Terrell?
- 20 O BY MR. TERRELL: In the Union's document, dated April 16,
- 21 which is Exhibit 23(e) in response to the employer's proposal at
- 22 paragraph 76 of the employer's proposal marked Exhibit 6(g), the
- 23 Union rejected any change to current language, correct?
- 24 A Rejected any change, yes, to current language, yes.
- 25 I was trying to understand what you were saying there for a

- 1 second.
- 2 Q Okay. And number 79, the next one -- I'm going down your
- 3 April 16th proposal.
- 4 A I understand.
- 5 Q Number 79 -- you rejected any change to the no-cost meals,
- 6 correct?
- 7 A Yes.
- 8 O Number 90 -- you have a reference on discussion -- we'll
- 9 come back to that.
- 10 Number 91 -- you have another reference that you wanted to
- 11 discuss, whatever the topic is in paragraph 91.
- 12 Paragraph 93 -- you wanted to add some language, correct?
- 13 A Yes.
- 14 Q And you also wanted to reject a deletion that the employer
- 15 had made, correct?
- 16 A Yes.
- 17 Q Number 100 -- you wanted to add some language, you wanted
- 18 to add a cap it looks like?
- 19 A I believe we wanted to add no cap.
- 20 O Okay, and so forth and so on.
- Okay, I'm going to now compare this document to what you
- 22 call the proposal made on September 24. And I'll draw your
- 23 attention to the next exhibit, 23(f).
- 24 A Give me a second.
- 25 (Pause.)

- 1 A I found it.
- 2 Q Okay, now on -- we've talked about this exhibit 23(f)
- 3 earlier, and I want to make sure we're singing in the same key
- 4 here. This document -- the italicized portions of this document
- 5 are the responses by Mr. Henry, correct?
- 6 A I believe that to be so.
- 7 O Okay. So everything above each of the italicized responses
- 8 and non-italics is the -- was in the original document from the
- 9 Union that was labeled, as shown at the top, "Unite HERE Local
- 10 21 Counter-Proposal 9/24/2015."
- 11 A I believe so, yes.
- 12 Q Okay. And it tracks almost identically your April 16
- 13 proposal in terms of the numbers, for example, if you still have
- 14 23(e) in front of you --
- 15 A I do, yes.
- 16 Q And you have 23(f) in front of you.
- 17 A Yes, and 23(f), yes, I'm sorry.
- 18 Q Okay. And both of them, the first thing -- the first item
- 19 you refer to in both was paragraph number 4, "Temporary
- 20 Employees," correct?
- 21 A Yes.
- 22 Q And your proposal did not change between April 16 and April
- 23 -- and September 24, did it?
- 24 A I don't believe so.
- 25 Q It's the exact same language, right?

- 1 A Yes.
- 2 O Okay, we're up to the next one, number 31. On April 16,
- 3 you said "reject any change." On 9/24, you said "reject 90-day
- 4 probationary." That's to say there's no change there, is there?
- 5 A No.
- 6 Q Your position did not change.
- 7 A It did not.
- 8 Q The next one is number 37. On April 16, you said "reject
- 9 any change" -- number 37, yes. Forgive me, number 37 -- on
- 10 April 16, you said "reduce 18 months to 12 months." On
- 11 September 24, you said the exact same thing, "reduce 18 months
- 12 to 12 months."
- 13 A Yes.
- 14 Q You made no changes.
- 15 A No.
- 16 0 Okay.
- 17 Number 42 is the next one and the exact same language you
- 18 provided on April 16 is what you provided on September 24,
- 19 correct?
- 20 A Yes.
- 21 Q The next one is 51. On April 16, you said "reject any
- 22 change to current language." On September 24, you said the
- 23 exact same thing, "reject any change to current leave language."
- 24 You added the word "leave" to get the little context, but it's
- 25 the same position by the Union, correct?

- 1 A Yes.
- 2 Q Number 54, on April 16, you said "reject limit of three
- 3 working days." On 54, on September 24, you did make a slight
- 4 move. You said, "reject limit of three working days proposed up
- 5 to 6 months."
- 6 A Yes.
- 7 O All right.
- 8 Number 66 is the next one. On April 16, you said "reject
- 9 limit of three working days.
- 10 A I'm sorry, which one?
- 11 Q Forgive me, I miss-spoke -- 66. On April 16, you said,
- 12 "reject deletion of daily overtime." On September 24, you had
- 13 the same position, "reject deletion of daily overtime."
- 14 A I couldn't see the number, excuse me. Yes.
- 15 Q No change, okay.
- 16 Number 76 -- in April, you said, "reject any change to
- 17 current language." In September, you said the same thing,
- 18 "reject any change to current language," correct?
- 19 A Yes.
- 20 O Number 79 -- in April, you said, "change cost to no cost."
- 21 In September, same position, no change. You said, "change cost
- 22 to no cost."
- 23 A Yes.
- 24 Q No change position, correct?
- 25 Number 90 is simply -- in your proposal, it simply says,

- 1 "discuss" --
- JUDGE STECKLER: Hold on a second for the Court Reporter.
- 3 COURT REPORTER: I just didn't hear an answer to change --
- 4 MR. TERRELL: To 79.
- 5 JUDGE STECKLER: Paragraph 79.
- 6 THE WITNESS: Change cost to no cost.
- 7 Q BY MR. TERRELL: There was no change in your position on
- 8 number 79 --
- 9 A It's the same.
- 10 O -- from April to September, correct?
- 11 A It's the same.
- 12 Q Okay. The next one is number 90. All you put was the word
- 13 "discuss" in your April 16 proposal.
- 14 And then in your September proposal --
- 15 A I didn't answer. I didn't know if that was a question.
- 16 Q Okay, forgive me. Let me back up and make sure the record
- 17 is clear.
- In your April 16 proposal at number 90, you simply put
- 19 "discuss," correct?
- 20 A Yes, but I believe because we were asking for a
- 21 quantification.
- 22 Q Right. So let's now look at the September proposal, 23(f).
- 23 You have 90, "discuss." And then in parenthesis, you did add,
- 24 "The Union requested clarification of this proposal," right?
- 25 A Yes.

- 1 Q And that proposal relates to vacation, right? Number 90?
- 2 A I want to make sure before I answer.
- 3 Yes.
- 4 Q And I'm going to come back to that, because you had
- 5 separate testimony on that and some separate e-mails. I'm going
- 6 to come back to that.
- 7 You didn't change your position on vacations, you just
- 8 simply wanted to discuss it and you wanted to discuss it you
- 9 said in April, and you wanted to discuss it in September?
- 10 A Yes.
- 11 Q Number 91 -- excuse me, number -- yah, 91 -- in April, you
- 12 have an entry for 91, and you just say, "discussed." Do you see
- 13 that?
- 14 A On 23(e).
- 15 Q Correct.
- 16 A I see that, yes.
- 17 Q Right. Your September proposal does not include a
- 18 reference to 91.
- 19 A It does not.
- 20 O Okay.
- JUDGE STECKLER: Mr. Terrell, could this possibly be
- 22 handled in the brief instead of making these -- drawing these
- 23 conclusions?
- MR. TERRELL: I'll speed it up.
- JUDGE STECKLER: Okay, thank you.

- 1 MR. TERRELL: I understand what you're asking.
- 2 O BY MR. TERRELL: So whatever discussion needs you had
- 3 regarding paragraph 91, apparently, there was no further need
- 4 for discussion by September. Is that a fair assumption?
- 5 A I'm going to assume it. I don't know why it's not in
- 6 there.
- 7 Q Okay.
- 8 A I'm not sure what the issue was. It's unclear.
- 9 Q Okay, and your April 16 -- can you point to any significant
- 10 changes in your September 24 proposal, Mr. Goff?
- 11 A I'm not sure that I can without going through it, but what
- 12 I can point to is on April 16th, the company left the table and
- 13 never came back. There was no discussion about many of these
- 14 things, so I don't know -- I can't imagine why we would change
- 15 those until we had discussion.
- 16 O Well, we're talking about April 16, but there was another
- 17 meeting on April 28, correct?
- 18 A Yes.
- 19 Q Well -- and so you had -- you gave the company your April
- 20 16 proposal on April 16, and then on April 28, and then you went
- 21 for several more months without any meetings at all, right?
- 22 A Yes, they left on April 28th as well, and ending
- 23 negotiations.
- 24 Q Isn't it true that it was the employer that asked the Union
- 25 to come back to the table on September 24?

- 1 A I don't know.
- 2 O You didn't meet in May?
- 3 A I'm sorry?
- 4 Q You did not meet in May, you did not meet in June, you did
- 5 not meet in July, you did not meet in August. And then the
- 6 Union -- the company asked you back to the table on September
- 7 24, correct?
- 8 A I don't know who asked who. I know -- or why.
- 9 Q And then you made this proposal or what you called a
- 10 proposal on September 24, but you can't point to any significant
- 11 changes or moves from April 16 to September 24.
- MR. WIESE: Objection, Your Honor. The document speaks for
- 13 itself.
- JUDGE STECKLER: I think that will be something to include
- 15 in your brief, Mr. Terrell.
- 16 O BY MR. TERRELL: However the Textile Care Services contract
- 17 was negotiated over the summer months, correct?
- 18 A Correct.
- 19 O With your union?
- 20 A With Local 21, with Nancy Goldman as the negotiator, yes.
- 21 Q Okay, and agreement was successfully reached, correct?
- 22 A Happily, yes.
- 23 O Okay.
- You made a health insurance proposal on February 19, and
- 25 that is in the record at Exhibit 23(c). Do you recall that?

- 1 A I believe there was a proposal. I believe there was a
- 2 three-part proposal. It was Local 17's Union health care, so
- 3 the Minneapolis health care. I believe we asked for a change in
- 4 the present employer's health care on the costs; and I believe
- 5 there was a "Plan B," but I don't -- I'd have to pull this
- 6 document to take a look, but it's my recollection.
- 7 Q All right.
- 8 Am I correct in understanding, after you made your February
- 9 19 insurance proposal, your position on your proposal didn't
- 10 change after that, did it? And I know there was two plans.
- 11 There was a Local 17 plan and a "Plan B" that was in one
- 12 proposal, right?
- 13 A I think we wanted for quantification without non-union
- 14 members being included. How could we make a change?
- 15 Q I'll get to that. I'll get to that.
- But you didn't make any other changes in your insurance
- 17 proposal, did you?
- 18 A I don't recollect.
- 19 O Okay.
- 20 Let's talk about what you just mentioned. You said that
- 21 you wanted the company's proposal that segregated what the
- 22 company pays for union employees versus non-union employees, is
- 23 that right?
- MR. WIESE: Objection, Your Honor: miss-states previous
- 25 testimony.

- 1 MR. TERRELL: I asked him if that was correct. He can
- 2 correct me.
- JUDGE STECKLER: I'm not sure I understood the question,
- 4 Mr. Terrell. Could you repeat it, please.
- 5 MR. TERRELL: I'll withdraw it and rephrase.
- 6 O BY MR. TERRELL: Let me draw your attention to GC 20. This
- 7 is the 5-page e-mail exchange that you previously identified.
- 8 A I have it.
- 9 Q Do you have that in front of you?
- 10 A I do.
- 11 Q And the subject heading, I believe, throughout all of these
- 12 e-mails is "Local 17 and Union National Plan B Health Insurance
- 13 Proposal, "correct?
- 14 A I believe these are two of three proposals made, yes.
- 15 Q Okay, well, right now, I'm just asking you about this chain
- 16 of e-mails. Am I correct in stating for the record that through
- 17 all these e-mail chains, the subject line was, and remained the
- 18 same, "Local 17 and Union National Plan B Health Insurance
- 19 Proposal"?
- 20 A Yes.
- 21 Q Okay. And the e-mail chain starts on the fifth page with
- 22 Michael Henry's e-mail to Nancy Goldman on March 20, and he
- 23 says, "Dear Nancy, We have researched your alternate proposal
- 24 for health care" --
- 25 MR. WIESE: Objection, Your Honor: document speaks for

- 1 itself.
- 2 MR. TERRELL: I'm just laying the foundation for my
- 3 question.
- 4 JUDGE STECKLER: Can you go ahead and ask your question --
- 5 MR. TERRELL: Okay.
- 6 JUDGE STECKLER: -- pointing out what relevant point you
- 7 want him to look at.
- 8 MR. TERRELL: I will.
- 9 Q BY MR. TERRELL: Your -- again, the first e-mail is from
- 10 Michael Henry, and he is referencing your health care proposal
- 11 presented on March 16, correct?
- 12 A On page 5?
- 13 Q Yah, do you see that?
- 14 A I do, yes.
- 15 Q Okay. And he says, "Your proposal has been reviewed and
- 16 rejected. The proposed plan would increase our cost greater
- 17 than a million dollars." And do you recall receiving this?
- 18 A Well, I recall talking about Nancy Goldman about it. She
- 19 received it.
- 20 O And you were copied on the e-mail, weren't you?
- 21 A I'm not sure that I -- I probably -- I received it.
- 22 Q You received it.
- I mean, your name appears here in the "To:" line.
- 24 A I know, I just don't remember, as a lot of times, I walk
- 25 into her office and get it from her --

- 1 Q Okay.
- 2 A -- on this. I saw --
- 3 Q But you were --
- 4 A But I saw it.
- 5 Q You were aware that the employer rejected your proposal,
- 6 and you are aware that the employer told you -- informed you
- 7 that your proposed plan would increase their cost by over a
- 8 million dollars.
- 9 A I was aware of the proposal, I was aware that the employer
- 10 said it was a million dollars without quantitative proof; and
- 11 it is very unclear still to me this day whether non-union
- 12 employees were taken out of that in particular.
- 13 Q We'll get to that. We'll get to that.
- So Nancy, at the bottom of page 4, she asked a very
- 15 reasonable question.
- 16 A On page what? Bottom of --
- 17 O Bottom of page 4. We're still on Exhibit GC 4.
- 18 A Yes, I just didn't hear what page you said.
- 19 O So Nancy asked a very relevant question. She said, "Which
- 20 proposal are you referring to, Unite Care Plan B or the Local 17
- 21 plan, and is the cost of greater than a million dollars for one
- 22 year or for the proposed 5 years?" So she asked that question,
- 23 right?
- 24 A Yes.
- 25 Q And then Mr. Henry responded 6 six days later on Marc 25 --

- 1 no, excuse me, March 31, which is at the bottom of page 3. So
- 2 he responded 6 days later, right?
- JUDGE STECKLER: Mr. Terrell, are we just going to review
- 4 what's in there or are you going to get to the point shortly?
- 5 MR. TERRELL: We'll get to it. I'm laying a foundation.
- 6 THE WITNESS: Okay, so on the bottom of page 3 is what
- 7 you're --
- 8 Q BY MR. TERRELL: Yes, that's Mr. Henry's response to you
- 9 and it was -- it was 6 days after Nancy's question, correct?
- 10 A March 31st -- that's, yes.
- 11 Q Is that a "yes"?
- 12 A Yes, yes.
- 13 Q Okay. And he responded that -- both of the plans were
- 14 greater than a million dollars for over the life of the proposed
- 15 contract.
- 16 A Yes.
- 17 Q So that was the answer to Nancy's question, wasn't it?
- 18 A That was his answer.
- 19 O Okay.
- 20 A And then Nancy responded the same date, "Please send me
- 21 your calculation." That's the next e-mail, right, on March 31,
- 22 at 1:22 p.m., Nancy responded, "Please send me your
- 23 calculation."
- 24 A Oh, yes, yah, I was looking on the wrong -- yah, yes.
- 25 Q All right. And then Michael provided you the calculation

- 1 on April 4, three days -- 4 days later, right?
- 2 A What page are you on now?
- 3 Q At the bottom of the page 1, Michael Henry's e-mail of
- 4 April 4, "Hello, Nancy, Please see the below information," and
- 5 he provided calculations. Correct?
- 6 A There are calculations there, yes.
- 7 Q All right.
- 8 And he showed you that he calculated -- and, of course,
- 9 your proposal would have required contributions based on hours
- 10 worked by employees, correct?
- 11 A I'm not really sure how the "Plan B" works, because that's
- 12 our international plan. The Local -- our Local plan, which we
- 13 actually have two -- one would be based on hours reported on a
- 14 3-month rolling average, and the other plan that we have is a
- 15 set monthly contribution by the employer.
- 16 O Okay. I mean, it appears from Mr. Henry's calculation that
- 17 the Local 17 plan is based on hours worked. Do you agree with
- 18 me? Because if you look at the bottom of page 1, he has the
- 19 calculation, "Regular hours worked in 2014, overtime hours
- 20 worked 2014, other hours worked, 2014" and then he provides what
- 21 appear to be the contribution rates. And then he is -- he
- 22 provided you with a calculation and it shows on the top of the
- 23 second page that the proposed Local 17 cost was \$2.3 million.
- 24 Am I interpreting this correctly?
- 25 A I think so, yes.

- 1 Q Okay. And then the "Plan B" cost -- he provided a separate
- 2 calculation, which may be in the category that you described as
- 3 some sort of fixed number; and he provided you with a
- 4 calculation of \$2.4 million. Is that correct? Do you see that?
- 5 A Other than what you just said about the fixed number, I was
- 6 referring to the two Local 17 plans. I am not sure with the B
- 7 Plan -- is our international plan. I'm not sure how that is
- 8 calculated, by whether it's hours or number, I don't know.
- 9 Q All right. In any event, this is the calculation Mr. Henry
- 10 provided to you, correct?
- 11 A Yes.
- 12 O Okay. And he also gave you the numbers for the actual
- 13 cost. He referred to it as "actual total cost." And you'll see
- 14 in both sets of calculations, he provided you with the number,
- 15 \$939,058.80. Do you see that?
- 16 A I see that.
- 17 Q And he then provided the difference between what your plan
- 18 would cost per his calculation, and what his current actual
- 19 costs were under the company's plan of \$939,000. Do you see
- 20 that?
- 21 A I see that.
- 22 Q Okay. And then -- so Michael sent you that calculation on
- 23 April 4; and then on April 6, which is the last e-mail, Nancy
- 24 responded, correct?
- 25 A On April 6th, you said?

- 1 Q Yes, the first page on page 1 of Exhibit GC 20.
- 2 A Oh, okay.
- 3 MR. WIESE: Your Honor, I'm going to renew my objection.
- 4 JUDGE STECKLER: Yes.
- 5 Mr. Terrell, I've asked you a couple of times to cut to the
- 6 chase here.
- 7 MR. TERRELL: I'm cutting to the chase.
- 8 JUDGE STECKLER: Okay. I did the same thing as a trial
- 9 attorney, I understand, but where are we going with this?
- 10 MR. TERRELL: I'll get there.
- 11 JUDGE STECKLER: Today, please.
- 12 MR. TERRELL: Yes.
- 13 Q BY MR. TERRELL: And this is where you referred to earlier
- 14 not having the difference between what the Union -- let me back
- 15 up.
- 16 You are aware of the fact that the employer provided for
- 17 those union and non-union employees, correct?
- 18 A In their overall health care plan?
- 19 O Yes.
- 20 A It's my understanding that the managers were in the same
- 21 health care plan as the workers.
- 22 Q Okay. And we looked earlier at the insurance benefit
- 23 provision in Article 11 of the expired contract. We talked
- 24 about that, remember, just a few minutes ago --
- 25 A Yes.

- 1 Q -- or a half hour ago. And the only restriction on the
- 2 employer's insurance benefit plan was whatever the non-union
- 3 were given, the union employees had to be given the same. Do
- 4 you recall that?
- 5 A That was in the old contract, yes.
- 6 Q Right. And the company was proposing to remain with the
- 7 same plan.
- 8 A The same expensive plan, yes.
- 9 Q All right. And Nancy's response -- all she was asking for
- 10 was a breakdown between the cost to the employer, of what the
- 11 employer paid for non-union employees versus union employees,
- 12 correct?
- 13 A In her April 6th letter?
- 14 O Yes.
- 15 A Well, what she is saying here is that our plans don't allow
- 16 for non-union participation.
- 17 Q Right. She says, "Thank you; however, neither of our
- 18 proposed plans allow for non-union participation, so your
- 19 figures are somewhat skewed. Perhaps a more accurate
- 20 quantification would have been a more realistic response to such
- 21 an important and costly to the employees issue." So she was
- 22 asking for a breakdown of what the union -- or what the employer
- 23 was providing for the union employees versus what the employer
- 24 paid for the non-union employees, correct?
- 25 A That's correct, we don't represent the non-union.

- 1 0 Fine.
- 2 Had Michael provided that information -- remember, the
- 3 total that was paid by the employer to both union and non-union
- 4 was the \$939,058.80. Remember that?
- 5 A I do.
- 6 O So if Michael had provided an answer to Nancy's question,
- 7 that is, if Michael had deducted from the \$939,058.80 what was
- 8 contributed to the non-union employees, that number would have
- 9 shrunk, wouldn't it?
- 10 A I'm going to suppose so, but -- you're asking me to add
- 11 this all up right --
- 12 Q No, no, no, I'm not asking you a math question.
- 13 A I wasn't that good in math.
- 14 Q I'm just asking you a very simple question. If Michael, as
- 15 Nancy requested, had deducted from the \$939,058.80, that number
- 16 would have shrunk, would have gotten smaller.
- 17 A I would imagine it was. We --
- 18 Q All right.
- 19 A -- don't --
- 20 0 Wasn't that self-evident?
- JUDGE STECKLER: Mr. Terrell, wasn't it self-evident from
- 22 the documents then?
- 23 MR. TERRELL: From the context of the entire understanding
- 24 of what was being asked for.
- 25 Q BY MR. TERRELL: Nancy was asking for a -- wanted a

- 1 breakdown of the difference between what was contributed to the
- 2 union employees and what was contributed to the non-union
- 3 employees. Correct?
- 4 MR. WIESE: Your Honor, objection: asked and answered.
- 5 JUDGE STECKLER: I think it was answered.
- 6 Mr. Terrell, could you move on to something else?
- 7 O BY MR. TERRELL: Michael explained this at the table, did
- 8 he not, that if he reduced -- if he deducted the amount paid for
- 9 the non-union employees, the difference between the Union's
- 10 proposal and the status quo of the employer's proposal would be
- 11 even greater.
- 12 A Say that again.
- 13 O Okay.
- 14 A I lost you on that one.
- 15 Q Isn't it true that at the bargaining table, Mr. Henry
- 16 explained, in response to this very question that Nancy was
- 17 asking in her e-mail -- he had previously explained that this
- 18 calculation was provided, and that if he was to deduct from that
- 19 calculation what the employer contributed to the non-union
- 20 employers -- to the non-union employees, excuse me, the
- 21 difference between the Union's proposal and the employer's
- 22 status quo would be even greater.
- 23 A I quess I'm really still not understanding your question.
- 24 And, I'm sorry, you're losing me there. But are you asking me
- 25 if they deducted the non-union from the numbers that he gave us,

- 1 that the number by nature would be smaller?
- 2 O Correct.
- 3 A I would imagine that it would be. What we wanted to know
- 4 what was that number, because we wanted to make -- we cannot
- 5 make a proper proposal or counter-proposal or even accept their
- 6 proposal without understanding what we're dealing with. And if
- 7 we don't know what we're dealing with, we're just taking a "pig
- 8 in a poke."
- 9 Q The employer told you that its cost was \$939,000, right?
- 10 A They told us that.
- 11 Q Right. And they also told you that that included -- in
- 12 fact, Michael said it in his e-mail that that included what the
- 13 employer contributes to both union and non-union employees.
- 14 MR. WIESE: Objection: asked and answered.
- JUDGE STECKLER: It's also self-evident from the document.
- 16 MR. TERRELL: Go ahead.
- 17 JUDGE STECKLER: No, his objection is sustained.
- 18 MR. TERRELL: Oh, sorry.
- 19 All right, beyond those questions, I think this document
- 20 does speak for itself.
- 21 Q BY MR. TERRELL: I'm going to draw your attention to the
- 22 previous contract, expired contract, Exhibit GC 2, page 12.
- 23 A Actual page 12 or 12 of --
- 24 Q Yes.
- 25 A Okay.

- 1 Q Twelve of.
- 2 A Twelve of?
- 3 Q Yes.
- 4 A Twelve of 52.
- 5 O Right.
- 6 A Okay.
- 7 Q And then the employer's last, best and final, Exhibit 6(g),
- 8 paragraph 54.
- 9 Let me know when you have both of these in front of you.
- 10 A Oh, I'm sorry, you want me to take this proposal and what
- 11 was it, 6(g)?
- 12 Q Six-G, which is the employer's last, best and final.
- 13 (Witness proffered the documents.)
- 14 A And what page do you want me to refer to on 6(g)?
- 15 Q On 6(g), look at page 29.
- 16 (Pause.)
- 17 A Page 40 of 150 or page 29 itself?
- 18 Q Page 29 of 150.
- 19 A Oh.
- 20 (Pause.)
- 21 A Okay, I'm on that page.
- 22 Q Okay, and I'm drawing your attention to paragraph 54 in
- 23 6(g), "Time Off for Union Activity."
- 24 A Okay, yes.
- 25 Q And I'm going to compare that to the old contract, which is

- 1 General Counsel Exhibit 2, on page 12 of 52.
- 2 (Pause.)
- 3 Q Are you at page 12 of 52 in Exhibit GC 2?
- 4 A I am.
- 5 Q Okay. Do you see paragraph 2.
- 6 A Yes, number 2?
- 7 Q Yah, the employer agrees to grant necessary time off
- 8 without pay or loss of seniority? Do you see that?
- 9 A I do.
- 10 O That was the existing language in the contract that was
- 11 negotiated in 2011, correct?
- 12 A Yes.
- 13 Q And that language made a reference to "without pay or loss
- 14 of seniority."
- 15 A Yes, it did.
- 16 O All right, I want you to compare that paragraph to
- 17 paragraph 54 in the employer's proposal and tell me what
- 18 differences you see between those two provisions.
- 19 MR. WIESE: Objection, Your Honor. The document speaks for
- 20 itself.
- 21 JUDGE STECKLER: Sustained.
- 22 Q BY MR. TERRELL: Isn't it true that the only difference is
- 23 that in 54, the employer inserted a 3-day limit?
- 24 MR. WIESE: Same objection.
- JUDGE STECKLER: I think you can argue that on the brief,

- 1 Mr. Terrell.
- 2 MR. TERRELL: I'm sorry.
- JUDGE STECKLER: You can argue that on the brief, Mr.
- 4 Terrell.
- 5 Q BY MR. TERRELL: The loss of seniority language was already
- 6 in the contract, wasn't it, Mr. Goff?
- 7 MR. WIESE: Same objection.
- 8 JUDGE STECKLER: Are you referring to GC 2?
- 9 MR. TERRELL: Yes.
- 10 JUDGE STECKLER: So, it's already there in the document?
- 11 MR. TERRELL: It's in the document.
- 12 JUDGE STECKLER: Sustained.
- 13 MR. TERRELL: Okay.
- 14 Q BY MR. TERRELL: When the employer proposed a limit of 3
- 15 days to union leave activity --
- 16 A Yes.
- 17 0 -- the Union did not make a counter-proposal?
- 18 A No, we did not.
- 19 O Okay.
- 20 Isn't it true that Mr. Henry or Mr. Stokes never said at
- 21 the bargaining table, "If you're not back from the union
- 22 convention in 3 days, you will lose all seniority."
- 23 A Actually, Mr. Henry said that we would decide on a case by
- 24 case basis.
- 25 Q With respect to loss of seniority?

- 1 A Loss of seniority, yes, sir.
- 2 0 Okay.
- 3 MR. TERRELL: The last questions have to do with Exhibit GC
- 4 20 -- 22.
- 5 JUDGE STECKLER: Twenty and 22 or just 22?
- 6 MR. TERRELL: Twenty-two, just 22.
- 7 JUDGE STECKLER: Okay.
- 8 (Witness proffered the document.)
- 9 THE WITNESS: Did you say GC 20 and 22.
- 10 MR. TERRELL: No, just 22.
- 11 THE WITNESS: Okay, yes.
- 12 Q BY MR. TERRELL: Okay, and on the first page, we're looking
- 13 at this e-mail from Nancy Goldman to Michael Henry, and we
- 14 talked about this earlier when we went through a voir dire on
- 15 this document. Nancy had cut and pasted this block at the
- 16 bottom of page 1, where it says, "Paragraph 90 -- discuss union
- 17 requested the quantification of this proposal."
- 18 A It looks like it was taken out of that other document.
- 19 O Right, and that other document is General Counsel Exhibit
- 20 23(f). And if you go to the fourth page of 23(f), you'll see
- 21 this paragraph, which says, "90 discuss union requested the
- 22 quantification of this proposal." And then you see the
- 23 italicized paragraph that starts with the word, "response." And
- 24 that was, again, written by Mr. Henry, correct?
- 25 A I believe so, yes.

- 1 Q Okay.
- 2 A Yah.
- 3 Q And so in this e-mail sent on October 20 by Nancy Goldman
- 4 to Michael, she cut and pasted his response on number 90.
- 5 A That's what it appears to be, yes.
- 6 Q And number 90 refers to the vacation proposal, correct?
- 7 A Yes.
- 8 Q Okay. And when I say, "number 90," I'm referring to the
- 9 employer's proposal on March 24. And the Union had requested a
- 10 quantification.
- 11 A Correct.
- 12 O And the Union had responded that it was difficult and
- 13 impossible to make a quantification of vacation because in the
- 14 future, there are unknown variables, correct?
- 15 A That the employer said that?
- 16 O The employer, when asked for a quantification of the
- 17 company's vacation proposal, the employer indicated that it was
- 18 difficult to make that quantification because you're dealing
- 19 with future unknown variables.
- 20 A I believe that was said and that because of what our
- 21 concerns were about the pie charts and trying to figure out what
- 22 OT in the future would be.
- 23 O Well, I'm talking about the vacation proposal.
- 24 A I understand. I'm just saying in our mind, that's what it
- 25 was.

- 1 Q Right. Because in the future, you don't know -- you cannot
- 2 know how many employees will be at what level of vacation
- 3 entitlement at any given point in the future, correct?
- 4 A I don't believe that we asked for an absolute. I think
- 5 that -- I don't know that we ever asked for an absolute. But
- 6 yes, I do understand the conundrum.
- 7 Q Right, and that was expressed to you at the table in
- 8 response to your request for quantification. It was expressed
- 9 to you that there was a difficulty in making that quantification
- 10 because of the future unknown variables.
- 11 A I can't testify that it was said at the table; it may have
- 12 been. I believe that it was said at some point in some
- 13 fashion.
- 14 Q Okay. But Mr. Henry did, in fact, provide you with a best
- 15 estimate in this document. He gave you a number -- \$84,000; and
- 16 he qualified his quantification accordingly?
- 17 A We have no qualms I don't believe with the ballpark figure,
- 18 as it were; it was the timing of it, it was after the Board had
- 19 made a determination in this case. And, for us, that is the
- 20 Union, to make honest, decent, understandable proposals, we have
- 21 to understand what kind of money we're dealing with; and that
- 22 was our concern in negotiations.
- 23 O And Mr. Henry answered your question, did he not? He
- 24 responded in the final e-mail at the top on Exhibit GC 22, he
- 25 responded, "The information provided is the ballpark estimate of

- 1 the potential yearly cost" --
- MR. WIESE: Objection, Your Honor, the document speaks for
- 3 itself.
- 4 MR. TERRELL: Well, it's a short part of it. I'm just
- 5 asking this witness did he not answer the question that Nancy
- 6 raised in her October 20 e-mail?
- 7 THE WITNESS: A day --
- 8 JUDGE STECKLER: Just a second.
- 9 The document speaks for itself, (a); and, (b), I think this
- 10 is something that you can argue in the brief.
- 11 I think where you're going with this, Mr. Terrell, and
- 12 correct me if I'm wrong, that you're going to -- that the
- 13 information was provided at this point, is that correct?
- 14 MR. TERRELL: Yes.
- 15 JUDGE STECKLER: Okay, I think the document speaks for
- 16 itself in that respect; and I would encourage you to argue this
- 17 on your brief instead.
- 18 MR. TERRELL: Very good, Your Honor.
- 19 O BY MR. TERRALL: This, by the way, was a proposal made by
- 20 the employer that improved the vacation benefit, correct?
- 21 A I believe the employer had made this possibly at the first
- 22 or second meeting.
- 23 O You didn't answer my question.
- 24 A Oh, I'm sorry.
- 25 Q This was a proposal made by the employer and this proposal

- 1 was an improvement made by the employer of the previous vacation
- 2 benefit?
- 3 A Correct.
- 4 Q And you were asking for a quantification of what that
- 5 improved cost would be?
- 6 A Correct.
- 7 O All right.
- 8 MR. TERRELL: I have nothing further.
- 9 JUDGE STECKLER: Do you need a couple of minutes before you
- 10 go to redirect?
- 11 MR. WIESE: That would be wonderful, Your Honor.
- 12 JUDGE STECKLER: How about 5 minutes?
- 13 MR. WIESE: That should be sufficient.
- JUDGE STECKLER: We'll go off the record for 5 minutes and
- 15 everybody takes a deep breath and stretches.
- 16 (Off the record.)
- 17 JUDGE STECKLER: We're back on the record.
- 18 General counsel is ready for redirect.
- 19 Mr. Goff, during the break, you didn't discuss your
- 20 testimony with anybody and you are still under oath.
- 21 REDIRECT EXAMINATION
- 22 Q BY MR. WIESE: So, Mr. Goff, I have a couple of follow-up
- 23 questions based off of questions that were asked by Mr. Terrell.
- So I believe Mr. Terrell might have asked you something
- 25 about the wage charts being a response to your request for a

- 1 floor and ceiling. Do you recall that?
- 2 A I do.
- 3 Q And in your understanding, were these wage charts in
- 4 response to that request?
- 5 A The pie charts, you mean?
- 6 Q Yes, yes.
- 7 A Well, it was stated that, but that isn't what they were.
- 8 O And what were they based off of your understanding?
- 9 A They were new proposals for wages for each individual in
- 10 the group.
- 11 Q So another thing that we -- that Mr. Terrell asked you
- 12 about was, and spent some time going over, was each proposal in
- 13 all of these contract offers, or at least the Union's contract
- 14 offers. Whose idea was it to go through the offers, proposal by
- 15 proposal, for each bargaining session?
- 16 A Well, Arch Stokes wanted to go through the company's
- 17 proposal and the Union's proposal side by side, even though the
- 18 vast majority of the old contract was not in dispute in any way.
- 19 More than that is he wanted to change to a system of sections
- 20 instead of articles with numbers, which made it quite confusing
- 21 -- one of the reasons it took us nearly two days to go through
- 22 the old contract. It took us nearly two days of going through
- 23 because we couldn't mesh up articles to sections in a very easy
- 24 manner.
- 25 Q Did this include going over articles that weren't in

- 1 dispute between the parties?
- 2 A Almost all of them were not in dispute, certainly many of
- 3 them.
- 4 Q Mr. Goff, turning to the vacation request for information,
- 5 why did you request quantification of the employer's vacation
- 6 costs?
- 7 A The employer made a proposal early in negotiations that we
- 8 recognized had money attributed to it. It was a more generous
- 9 vacation package than had been in a previous contract. And from
- 10 our position, if money is going to be put on the table, we will
- 11 accept it and maybe be able to move it around at a later date.
- 12 And, therefore, if the company has a concern about their costs,
- 13 let's say, on health care, we might be able to take that money
- 14 that's already been given to us and move that into health care,
- 15 thereby getting what we want; because, frankly, the vacation
- 16 adjustment was not anything that anyone ever mentioned to us as
- 17 far as our membership goes. So it was really how can we make
- 18 honest, real proposals and make adjustments and be progressive
- 19 without being -- without having the information available to us.
- 20 O Another thing that Mr. Terrell spent a lot of time going
- 21 over was the Union's lack of -- alleged lack of movement between
- 22 its April 16th and September 24th proposals. How much time was
- 23 spent at negotiations on April 16th going over the union's
- 24 proposal? Or let me rephrase.
- 25 Was the Union's proposal, if you remember, on April 16th --

- 1 was that given to the employer at the bargaining table that day?
- 2 A I believe yes, that it was.
- 3 Q And was -- do you recall when in the day it was given to
- 4 the employer?
- 5 A Almost always proposals were traded off pretty early in the
- 6 day unless there was some sort of change to be made, but in the
- 7 morning.
- 8 Q And on April 16, was that a day when the employer left
- 9 negotiations early without providing notice to the Union?
- 10 A We had gone through our proposal. They left to caucus to
- 11 look at our proposal and cancelled the rest of the day.
- 12 Q And then when the parties met on April 28th, what happened
- 13 in negotiations that day?
- 14 A The day was not that long, for one thing. We wanted to go
- 15 again through our proposals. A number of the proposals that we
- 16 made were never addressed in any way, shape or form, other than
- 17 to say "no." And we wanted to talk about proposals that not
- 18 only might be able to get around some of the concerns that the
- 19 employer had -- we were trying to be progressive, which was
- 20 suggested by the company that we look for new and inventive ways
- 21 to make proposals, and we did that. It doesn't mean that they
- 22 had to agree to them, but we never had a deep conversation about
- 23 any of them. And on that last day, the 28th of April, they went
- 24 into caucus once again, and that was that.
- 25 Q Mr. Goff, is -- the Union's health care plan, both I guess

- 1 the International plan and the Local 17 plan -- are those even
- 2 open to non-union employees?
- 3 A No, they are not.
- 4 Q And did you ever receive the quantification costs for the
- 5 Local 17 plan for only union employees? Did you ever receive
- 6 that information?
- 7 A We never did.
- 8 Q And what about for the International plan -- did you ever
- 9 receive that information?
- 10 A No, we did not.
- 11 MR. WIESE: Nothing further, Your Honor.
- JUDGE STECKLER: Do you have any recross?
- 13 MR. TERRELL: No.
- 14 JUDGE STECKLER: Mr. Goff, you will be excused.
- You might be recalled at some point I have a feeling. So
- 16 please do not discuss your testimony with anybody until this
- 17 hearing is over.
- 18 THE WITNESS: I understand.
- 19 JUDGE STECKLER: Thank you, sir.
- 20 (Witness excused.)
- JUDGE STECKLER: That seems like that's it for the day.
- I think General Counsel probably wants back the documents.
- MR. WIESE: Yes.
- MR. TERRELL: I will make a request that the documents
- 25 consisted of pages -- 130 I think he said of e-mails, plus a 26-

- 1 page affidavit. And, you know, I asked for 40 minutes or 50
- 2 minutes. There's no way in the world that we can review that
- 3 much volume of paper in 50 minutes, please prepare for cross-
- 4 examination. We would like to keep these overnight and have a
- 5 look at them overnight.
- 6 MR. WIESE: Your Honor, the General Counsel objects to
- 7 that.
- 8 JUDGE STECKLER: And the witness has been excused, so --
- 9 and you've excused him, so --
- 10 MR. TERRELL: I may call him in my case.
- I mean, the reality is we're dealing with a system that
- 12 doesn't allow for discovery. It's --
- 13 JUDGE STECKLER: I understand the system quite well.
- 14 MR. TERRELL: I know you do. And so you're aware of my
- 15 complaint that due process is seriously jeopardized when we're
- 16 handed this much paper between direct examination and cross-
- 17 examination without unnecessarily slowing down -- it would take
- 18 3 hours to really go through this adequately, but I'm not going
- 19 to ask for 3 hours' delay in the middle of the hearing day. So
- 20 I think it's a reasonable request that we have this --
- JUDGE STECKLER: How much time do you need to review it.
- MR. TERRELL: Overnight.
- 23 JUDGE STECKLER: That's a long time, and you've got to
- 24 sleep sometime.
- MR. TERRELL: Well, I'm going to sleep and I'm going to eat

- 1 dinner.
- 2 JUDGE STECKLER: Yes, and get a couple drinks.
- 3 MR. TERRELL: And I'm going to have a couple drinks, and
- 4 I'm going to talk to witnesses.
- 5 JUDGE STECKLER: Yes, I would --
- 6 MR. TERRELL: So I'm not going to spend all that time on
- 7 it, but, you know --
- 8 JUDGE STECKLER: I understand.
- 9 Give me about 2 minutes to think about this. Let's go off
- 10 the record for 2 minutes.
- 11 (Off the record.)
- 12 JUDGE STECKLER: Back on the record.
- MS. BURGESS: Your Honor, may I be heard on this before you
- 14 rule?
- JUDGE STECKLER: Certainly, go ahead, Mr. Burgess.
- 16 MS. BURGESS: You know the purpose of Jencks is for cross-
- 17 examination. And Respondent has already cross-examined Mr.
- 18 Goff. I'm not sure they would be entitled to it if they recall
- 19 him in their case in chief. And they did just fine, I think,
- 20 with, you know, the review that they had. Having them keep it
- 21 overnight is -- would not be appropriate once the witness has
- 22 been released from the witness stand and the questioning has
- 23 been completed. It's for purposes of cross-examination only,
- 24 and that has been concluded now.
- 25 JUDGE STECKLER: Mr. Terrell, do you have any other

- 1 argument besides due process?
- 2 MR. TERRELL: My due process argument is still the same.
- 3 It is provided for cross-examination, that's the form and format
- 4 of the rule; but it is grossly unrealistic to expect that on
- 5 cross-examination, I can go through this in a meaningful way
- 6 without stopping the hearing for an inordinate period of time.
- 7 I mean, it's absurd that we have to stop for 50 minutes as it
- 8 is, but that's the nature of the beast because there's no
- 9 discovery. But I didn't ask for anything more than 50 minutes.
- 10 But in asking for 50 minutes and taking 50 minutes, I didn't
- 11 have a chance to go through this.
- 12 JUDGE STECKLER: And co-counsel did not go through the --
- 13 MR. TERRELL: This is open, you know, we're beyond the
- 14 investigation stage, they have redacted the employees' names.
- 15 This is material that is relevant to this case, and in the
- 16 interest of due process, I should have an adequate opportunity
- 17 to review and examine this. I'm not going to stop cross-
- 18 examination, but I can call him as a witness in my case.
- 19 JUDGE STECKLER: Yes.
- 20 MS. BURGESS: And that's an objection he should have made
- 21 at the time. If he didn't have enough time to review the
- 22 documents to be prepared for cross-examination, he should have
- 23 made the objection at that point and asked for more time; and
- 24 the General Counsel would have been fine with that.
- 25 MR. TERRELL: It would have been fine stopping the hearing

- 1 for 3 hours.
- 2 MS. BURGESS: It would be up to the Judge's discretion.
- JUDGE STECKLER: I don't think we would have stopped for 3
- 4 hours, but you do have co-counsel there to assist you. So -- or
- 5 at least that's what we were advised earlier. So I think since
- 6 -- if you recall him, you're not entitled to have the documents
- 7 again as your witness.
- If the General Counsel calls him again, then you're
- 9 entitled to look at the documents again.
- 10 MR. TERRELL: Only if the General Counsel calls him.
- 11 JUDGE STECKLER: But when you call him, if you call him as
- 12 your witness, you are not entitled to do so.
- So at this time, I'm requesting --
- MR. TERRELL: What authority is there for that proposition?
- JUDGE STECKLER: Well, what is the authority for yours?
- 16 MR. TERRELL: My authority is that there is in the
- 17 procedure as a gesture toward due process that we have the time
- 18 and we were able to examine what the General Counsel developed
- 19 in the investigation related to the testimony of this witness.
- 20 It is physically impossible, unless we take a break for 3
- 21 hours, for me to go through this vast amount of material and
- 22 properly, in the interests of due process, properly digest what
- 23 is in here that may help my case. And that's not an unimportant
- 24 consideration that this material is provided to help my case. I
- 25 haven't been given under the structure of how this hearing

- 1 operates a due process opportunity to examine these materials.
- 2 MS. BURGESS: Your Honor --
- JUDGE STECKLER: Mr. Terrell, when I asked you if you were
- 4 ready, you said you were. Now, if you needed more time, I'm
- 5 sorry, but you should have asked me at that time.
- 6 MR. TERRELL: So you would have granted me a 3-hour delay?
- 7 JUDGE STECKLER: Not three, but I think between you and Mr.
- 8 Stokes, you could have managed in an hour to an hour and a half.
- 9 So I think at this point, it's better to turn things back
- 10 over. If General Counsel calls him again, you'll have another
- 11 opportunity. And if you need more time, please ask for it, and
- 12 the same for the rest of the hearing.
- 13 MR. TERRELL: Okay, well we preserve respectfully an
- 14 objection on the record to your ruling.
- 15 JUDGE STECKLER: I know, you want a running objection.
- 16 Yes, you do get a running objection to that.
- But we need to set a time for tomorrow morning. At what
- 18 time?
- 19 MR. WIESE: Could I get that affidavit back too?
- 20 MR. TERRELL: Oh, I'm sorry.
- MR. WIESE: Before we get off the record, Your Honor, for
- 22 the evening, I would just like to talk about what sort of
- 23 arrangements are going to be made for entering -- or potentially
- 24 entering General Counsel Exhibit 29, which is the electronic
- 25 copies of all of the pie charts.

- 1 JUDGE STECKLER: Yes.
- MS. BURGESS: So we provided those to Respondent's counsel
- 3 by e-mail on Friday, and that's -- these are just the
- 4 electronic, you know, the zip drive versions of what they've had
- 5 since Friday. Now there are already additional documents here,
- 6 but, you know, our arguments regarding the pie charts are
- 7 limited to the pie charts that are in evidence. So -- and just
- 8 the general macro issue of the pie charts themselves.
- 9 JUDGE STECKLER: So you do not need to admit GC 29? Is
- 10 that what you're saying?
- 11 MR. WIESE: No, Your Honor. I would like General Counsel
- 12 29 to be admitted for any and all relevant purposes.
- MS. BURGESS: For completeness.
- 14 JUDGE STECKLER: I'll tell you what, Mr. Terrell, you've
- 15 got GC 29 now, your little drive.
- 16 MR. TERRELL: They e-mailed it to us, so --
- 17 JUDGE STECKLER: Well, I'm not going to admit it right now.
- 18 What I was going to suggest is that Mr. Terrell, you've got --
- 19 we don't have to admit it right now, we don't have to admit it
- 20 the first thing tomorrow morning.
- 21 When would it be reasonable for us to discuss admission of
- 22 this document, if it's necessary?
- 23 MR. TERRELL: Let us discuss it over night and we'll get
- 24 back to you in the morning.
- JUDGE STECKLER: That's quite all right. So the issue is

- 1 not foreclosed and we'll discuss before we get testimony
- 2 tomorrow morning.
- 3 MR. WIESE: Okay.
- 4 The next issue -- do we have anything else before we
- 5 discuss time tomorrow morning?
- 6 MR. TERRELL: No, not that I know of.
- JUDGE STECKLER: Okay, proposed time?
- 8 MR. TERRELL: You know, we're getting into that part of the
- 9 case where we need to spend time with witnesses, so, I would
- 10 propose 9.
- 11 JUDGE STECKLER: Is that okay with General Counsel, since
- 12 we know now that we can stay past 5?
- MR. WIESE: Yes, I guess having the option to stay past 5,
- 14 I'm fine with starting at 9.
- JUDGE STECKLER: Okay, the only thing I'm going to tell you
- 16 is that I'm not staying past 5:30 tomorrow, okay.
- 17 MR. WIESE: Well --
- JUDGE STECKLER: We'll stay as late as we need to Thursday
- 19 night.
- 20 MR. WIESE: Okay.
- JUDGE STECKLER: Okay.
- 22 So 9 it is and we'll do 9 for the rest of the time we're
- 23 here. Is that acceptable?
- MR. TERRELL: Okay. And then we're ending at 12:30 on
- 25 Friday?

- 1 JUDGE STECKLER: Two.
- 2 MR. TERRELL: Oh, I thought it was 12:30.
- JUDGE STECKLER: Was it 12:30.
- 4 MR. WIESE: Yes, I think we need to be out of the room by
- 5 1.
- 6 MS. BURGESS: I think they need the room at 2.
- JUDGE STECKLER: Oh, okay, I'm sorry.
- 8 MS. BURGESS: So probably need to close by 1-ish or so if
- 9 that's --
- 10 JUDGE STECKLER: Okay, that's -- if that --
- 11 MS. BURGESS: But Mr. Terrell might need to leave, I'm not
- 12 sure.
- 13 JUDGE STECKLER: Okay and you probably have a flight to
- 14 Atlanta?
- MR. TERRELL: Yes, we too would -- if we went to 2, in any
- 16 event, it would be really pressing it for us.
- 17 MS. BURGESS: Would 1 be doable, though, Mr. Terrell?
- 18 MR. TERRELL: Probably.
- 19 JUDGE STECKLER: We can see --
- 20 MS. BURGESS: Yes, we might be finished.
- JUDGE STECKLER: We may be finished early. We'll see how
- 22 it goes.
- 23 (Simultaneous conversation.)
- MR. TERRELL: But we have to leave -- we have to stop at
- 25 12:30, right?

1 JUDGE STECKLER: Let's see how we run and then make a 2 decision on Friday, but I'd like not to go past. We can't be in 3 here too, so 12:30 seems reasonable. 4 MR. WIESE: Okay. 5 JUDGE STECKLER: If it's okay with General Counsel also. 6 MR. TERRELL: Okay. 7 JUDGE STECKLER: So okay, we've reached agreement on 8 something. 9 Speaking of which, the last thing before we get off the 10 record, you guys have started to hearing testimony from both 11 sides a little bit. This is always an opportunity for 12 settlement. If you need a settlement Judge, we can get one. 13 (No response.) 14 JUDGE STECKLER: I'm not seeing any takers. 15 In that case, I'll see you guys at 9 and we'll go off the 16 record. 17 (Whereupon, 5:45 p.m. the trial in the above entitled matter 18 recessed to resume tomorrow, December 16, 2015, in the same 19 place at 9:00 a.m.) 20 21 22 23 24 25

1	$\underline{C} \stackrel{\underline{E}}{=} \stackrel{\underline{R}}{=} \stackrel{\underline{T}}{=} \stackrel{\underline{I}}{=} \stackrel{\underline{F}}{=} \stackrel{\underline{I}}{=} \stackrel{\underline{C}}{=} \stackrel{\underline{A}}{=} \stackrel{\underline{T}}{=}$			
2	This is to certify that the attached proceedings before the			
3	National Labor Relations Board, Region 18, Case 18-CA-151245,			
4	Richfield Hospitality, Inc., as managing agent for Kahler			
5	Hotels, LLC and Unite HERE International Union Local 21, in			
6	Rochester, Minnesota on December 15, 2015, was held according to			
7	the record, and that this is the original, complete and true and			
8	accurate transcript that has been compared to the recording, at			
9	the hearing; and that the exhibits are complete and no exhibits			
10	received in evidence or in the rejected exhibit files are			
11	missing.			
12				
13	Sandra Moberg Walls			
14	Sandra Moberg Walls			
15	Official Reporter			
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## OFFICIAL REPORT OF PROCEEDINGS

## BEFORE THE

## NATIONAL LABOR RELATIONS BOARD

In the Matter of:

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Pages: 245 through 445 (Volume 2 of 3)

Date: December 16, 2015

Place: Rochester, Minnesota

BEFORE THE

NATIONAL LABOR RELATIONS BOARD

In the Matter of:

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

The above entitled matter resumed trial, pursuant to adjournment, before The Honorable Sharon L. Steckler, Administrative Law Judge, in Conference Room 3101A of the Olmstead County Government Center, 151 - 4th Street SE, Rochester, Minnesota, on Wednesday, December 16, 2015, at 9:35 a.m.

1	<u>APPEARANCES</u>					
2	On behalf of the NLRB, Counsel for the General Counsel					
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11	On behalf of the Charging Party:					
12	MARTIN GOFF, Sr. Vice President					
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23	(Appearances continued on page 3.)					
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248

1			<u>I</u> <u>N</u> I	<u>E X</u>			
2	WITNESSES	DIRECT	CROSS	REDIRECT	RECROSS	VOIR	DIRE
3	Graham Brandon	258	305	310			
4	Kelli Johnston	313	328	336	337		
5	Kelly Schroeder	338	365	398			
6	Linda Henry	404					
7							
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1		EXHIBITS	
2	EXHIBIT	IDENTIFIED	IN EVIDENCE
3	General Counsel's		
4	25(a)		416
5	25(b)		419
6	25(c)		427
7	25(d)		431
8	25(e)		434
9	25(f)		436
10	26		321
11	27		266
12	29		250
13	40		284
14	41		284
15			
16	Respondent's		
17	1	400	419
18			
19	Joint		
20	1 (Amended - pg 25	3)	
21			
22			
23			
24			
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- 9:35 a.m.
- JUDGE STECKLER: We're on the record.
- 4 Good morning.
- 5 This is the second day of hearings in Richfield
- 6 Hospitality. When we left yesterday, there was a question
- 7 regarding GC 29, and Respondent's position on that.
- 8 Have you had an opportunity to review that, Mr. Terrell?
- 9 MR. TERRELL: Yes, we'll produce those. Or, I mean, we'll
- 10 --
- 11 JUDGE STECKLER: Admit into the record?
- 12 MR. STOKES: Now you want to confirm though the zip --
- 13 we've been given the same thing?
- MR. TERRELL: They are all -- yes, it's already been given
- 15 to the Court Reporter.
- 16 MS. BURGESS: Uh-huh.
- JUDGE STECKLER: So you have no objection?
- 18 MR. TERRELL: No objection, Your Honor.
- 19 JUDGE STECKLER: Okay.
- 20 GC 29 is admitted.
- 21 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 29.)
- 22 MR. WIESE: So the only remaining issue in relation to that
- 23 are the pie charts that we got yesterday at the hearing, so I
- 24 don't know --
- 25 MR. TERRELL: I want to make a statement on that to that

- 1 effect --
- 2 MR. WIESE: Okav.
- 3 MR. TERRELL: -- when we're on the record.
- 4 JUDGE STECKLER: We are on the record.
- 5 MR. TERRELL: Oh, we are on the record.
- 6 JUDGE STECKLER: Surprise.
- 7 MR. TERRELL: Yes, we -- Respondent does not object to
- 8 admission into evidence of GC 29, which constitutes the
- 9 individual pie charts from the Union, and those are the pie
- 10 charts that were provided to the Union during bargaining. In
- 11 addition to the pie charts on that electronic drive, there is a
- 12 subset of additional individual pie charts that were also
- 13 provided to the Union during bargaining; however, when the Union
- 14 left the bargaining room that day, they left this particular
- 15 subset stack of pie charts behind. Respondent retained those
- 16 pie charts and we produced them yesterday -- or, rather, day
- 17 before yesterday -- to Counsel for the General Counsel. And we
- 18 should introduce those into evidence as well.
- 19 MR. WIESE: Yes, I agree with that. I'm just not -- I
- 20 mean, we can either --
- 21 MS. BURGESS: I mean, having the requisite number of copies
- 22 is the only issue at this point --
- 23 MR. WIESE: Right.
- MS. BURGESS: -- because there's about 13 colored pages for
- 25 each, and I don't recall how many documents there are, but would

- 1 you -- how would you like to handle that, Your Honor? Could we
- 2 --
- JUDGE STECKLER: At some point at a break today or the
- 4 first thing tomorrow morning, if you need to go copy at a FedEx
- 5 office or someplace like that --
- 6 MR. WIESE: Okay.
- 7 JUDGE STECKLER: Mr. Terrell, do you have any objection to
- 8 that plan?
- 9 MR. TERRELL: No.
- 10 MR. WIESE: Okay. All right.
- 11 MS. BURGESS: Could I make a suggestion?
- I don't know if -- your client, I assume, has copy machines
- 13 at the hotel or whatever. Would that be do-able if we just gave
- 14 you those back and you made those copies?
- MR. TERRELL: I suppose so.
- 16 MR. WIESE: Okay.
- 17 JUDGE STECKLER: I'll inquire further.
- 18 MR. WIESE: Okay.
- 19 MS. BURGESS: Okay.
- JUDGE STECKLER: Any other preliminary matters before we
- 21 call a witness?
- 22 MR. WIESE: Two, briefly, Your Honor: The first is with
- 23 regard to Joint Exhibit 1.
- JUDGE STECKLER: I'm sorry, just one moment, sorry.
- Who is the gentleman in the back?

- 1 MR. WIESE: He is going to be my first witness, so I
- 2 suppose --
- JUDGE STECKLER: Yes, I think while we're discussing these
- 4 matters, he should be out of the room.
- 5 MR. WIESE: Okay, okay.
- 6 Graham, if you'll -- thanks.
- 7 (Pause.)
- 8 JUDGE STECKLER: Okay.
- 9 Mr. Wiese, I'm sorry to interrupt you.
- 10 MR. WIESE: No, no, that's -- my apologies, Judge.
- 11 So with regard to Joint Exhibit 1, there were a couple of
- 12 supervisors or agents who didn't have last names. We were able
- 13 to reach agreement on what their last names are. The first is
- 14 for Mattie, last name "unknown," the Restaurant Manager. Her
- 15 last name is Eggimann, which is E-G-G-I-M-A-N-N.
- 16 COURT REPORTER: Mattie?
- 17 MR. WIESE: Yes, Mattie. It's already listed on there, but
- 18 it's M-A-T-T-I-E.
- 19 COURT REPORTER: Thanks.
- 20 MR. WIESE: And the other one is Jeffrey last name
- 21 "unknown". He's a Maintenance Manager. And his last name is
- 22 Burns, B-U-R-N-S. I believe we reached an agreement on that.
- 23 JUDGE STECKLER: Any others that we need to cover.
- MR. TERRELL: No objection.
- 25 JUDGE STECKLER: Okay, Joint Exhibit 1 is amended to

- 1 reflect these changes.
- 2 MR. WIESE: And the last item that I wanted to just check
- 3 in on is the subpoena duces tecum request 3, which is for the
- 4 documents that refer to the Union access at the hotel; 4, which
- 5 refers to documents related to the granting of wage increases;
- 6 and I think there is one e-mail that you said existed that we
- 7 entered -- or we entered an e-mail on that in the record
- 8 yesterday.
- 9 MR. TERRELL: Yes, what exhibit number was that?
- 10 MR. WIESE: That was number 5.
- 11 MR. TERRELL: GC 5?
- MR. WIESE: Yes, GC 5.
- 13 MR. TERRELL: Okay, on subpoena duces tecum request number
- 14 4, the e-mail that came into evidence yesterday as General
- 15 Counsel Exhibit 5 -- that in fact is the e-mail that Mr. Henry
- 16 had in mind that would be responsive to that subpoena.
- 17 MR. WIESE: Okay.
- 18 MR. TERRELL: There are no other e-mails. That's the e-
- 19 mail.
- 20 MR. WIESE: Okay.
- 21 MR. TERRELL: Regarding subpoena duce tecum item number 3,
- 22 e-mails regarding union access, Mr. Henry looked again
- 23 yesterday, could not find it, but he has the hotel's IT
- 24 individual, IT expert, searching for it as we speak.
- 25 MR. WIESE: Okay.

- 1 MR. TERRELL: If we can locate it, we'll produce it, if we
- 2 can't, we can't.
- 3 MR. WIESE: Okay.
- 4 And then the last one I think was number 10, discipline and
- 5 terminations due to attendance issues since September 1st.
- 6 MR. TERRELL: We believe we have produced all that we can
- 7 locate.
- 8 MR. WIESE: Okay.
- 9 MR. TERRELL: And the set that we have produced -- correct
- 10 me if I'm wrong, Michael -- does not include involuntary
- 11 terminations for no-call/no-show.
- 12 MR. MICHAEL HENRY: Correct, it doesn't include --
- 13 COURT REPORTER: You're not on the record. Did you want it
- 14 on the record?
- MR. MICHAEL HENRY: I'm sorry.
- 16 Yes, that does not include associates that were voluntary
- 17 terminated, where they have had two consecutive days of no-
- 18 call/no-show.
- 19 MR. TERRELL: So the set we produced are disciplines for
- 20 attendance violations, which is how we read and understood your
- 21 subpoena, and that's what we produced.
- 22 MR. WIESE: Well, I guess it seems like an employee no-
- 23 call/no-showing would fall under a reasonable definition of an
- 24 attendance issue in my view.
- MR. TERRELL: Well, the hotel -- I -- and Mr. Henry can

- 1 testify to this -- the hotel's practice is to treat a no-
- 2 call/no-show, two no-call/no-shows as an involuntary
- 3 termination.
- 4 MR. WIESE: Well, a voluntary resignation or an involuntary
- 5 termination?
- 6 MR. TERRELL: Involuntary termination.
- 7 MS. BURGESS: Excuse me --
- 8 MR. TERRELL: Excuse me, I miss-spoke, I miss-spoke. I
- 9 meant voluntary termination. In other words, the employee
- 10 doesn't show up for work two days in a row and he or she is
- 11 gone.
- MS. BURGESS: Okay. Well, that's -- I understand that you
- 13 --
- 14 JUDGE STECKLER: I tell you what, Mr. Wiese can respond.
- 15 MS. BURGESS: Okay.
- 16 MR. WIESE: I mean I think under any reasonable definition
- 17 of discipline and terminations due to attendance issues, these
- 18 individuals would fall under that. I mean, regardless of
- 19 whatever term Respondent may use. I quess if I need to, I'll
- 20 amend my subpoena to cover voluntary resignations.
- JUDGE STECKLER: Mr. Terrell, you look like you have a
- 22 solution.
- MR. TERRELL: Well, I'm working on it.
- JUDGE STECKLER: Okay.
- 25 (Pause.)

- 1 MR. TERRELL: We will provide the documentation that we
- 2 have.
- 3 MR. WIESE: Okay.
- 4 MR. TERRELL: And we'll provide that.
- 5 MR. WIESE: All right, thank you. All right.
- 6 Judge, that's all I have for pre-trial.
- 7 JUDGE STECKLER: Okay.
- 8 Mr. Terrell --
- 9 MS. BURGESS: Should we get the witness?
- 10 JUDGE STECKLER: I was going to check if Mr. Terrell had
- 11 any issues to discuss.
- 12 Mr. Terrell, do you have any preliminary issues?
- 13 MR. TERRELL: I'm sorry.
- JUDGE STECKLER: Do you have any preliminary issues?
- 15 MR. TERRELL: I do not.
- 16 JUDGE STECKLER: Okay.
- 17 Ms. Burgess, please get the next -- the witness then.
- 18 (Pause.)
- 19 JUDGE STECKLER: Please come up here.
- 20 Raise your right hand.
- 21 (WITNESS SWORN: GRAHAM BRANDON)
- 22 JUDGE STECKLER: Please state your name for the record.
- 23 THE WITNESS: Graham Brandon.
- JUDGE STECKLER: Mr. Wiese, you may proceed.
- 25 MR. WIESE: Okay.

- 1 DIRECT EXAMINATION
- 2 Q BY MR. WIESE: Mr. Brandon, what is your current
- 3 occupation?
- 4 A I'm a lead cook.
- 5 0 Where do you work?
- 6 A Baha Beach in Biloxi, Mississippi.
- 7 Q Have you previously work in Rochester, Minnesota?
- 8 A Yes, I have.
- 9 Q Where have you worked?
- 10 A I have worked at TGI Fridays as well as the Marriott.
- 11 Q And when did you work at the Marriott?
- 12 A I started May 16th of 2011.
- 13 Q And when did you cease working there?
- 14 A August 10th of this year.
- 15 Q And during your time working at the Marriott, what
- 16 positions did you hold?
- 17 A Initially, I held the Cook position and after a couple of
- 18 years, was promoted to the Lead Cook position.
- 19 Q And how were you selected to the Lead Cook position?
- 20 A I was given the promotion from Chef Pascal Presa based on
- 21 merit.
- 22 Q And about when did that happen?
- 23 A Approximately the summer of 2013, possibly the fall.
- 24 Q As a Lead Cook at the Marriott, what did you do?
- 25 A I supported the cook's line through rushes, whether it be

- 1 lunch, breakfast or dinner. I, a lot of times, ran a hot
- 2 Italian buffet; I did the higher-end prep items, and,
- 3 essentially, assisted the Head Chef in any items that he was
- 4 unable to get to.
- 5 Q While working at the Rochester Marriott, did you have any
- 6 involvement with the Union?
- 7 A Yes, I did.
- 8 0 What specifically?
- 9 A Around the summer of 2013, I became a shop steward.
- 10 O And how many shop steward were there at the Marriott?
- 11 A Other than myself, there was one in the Housekeeping
- 12 Department.
- 13 O What did you do as a shop steward?
- 14 A As a shop steward, essentially, I would answer questions
- 15 about the union contract for both management as well as co-
- 16 workers; if need be, would represent a union co-worker in a
- 17 disciplinary action.
- 18 Q As a union steward, who did you deal with from management?
- 19 A Literally, everyone. Chef Pascal Presa would come to me
- 20 with questions; more often, it would be one of the head chefs at
- 21 the Marriott or one of the front-of-the-house managers or
- 22 supervisors; but ranging from Chef Revard [phonetic] to Molenger
- 23 [phonetic] to Essar to Ulrich, front-of-the-house manager,
- 24 Mattie Eggimann, Jamey Clark [phonetic], a supervisor. I can
- 25 remember all of those very clearly coming to me with questions

- 1 about a union contract.
- 2 O Let's transition to your other roles in the Union. What
- 3 other roles did you have?
- 4 A Yah, shortly after becoming a trustee -- or, I mean, after
- 5 becoming a shop steward, I also took the position as a trustee.
- 6 0 What did you do as a union trustee?
- 7 A As a trustee, I attended executive board meetings and just
- 8 played a further role in the Union, more of a mouthpiece.
- 9 Q And any other involvement with the Union?
- 10 A You know, eventually, this year, I served as a member on
- 11 the negotiation -- the Contract Negotiation Committee.
- 12 Q And what was your role as an employee on the Contract
- 13 Negotiation Committee?
- 14 A In effect, to serve as a witness, to give my input during
- 15 caucus, and, you know, to represent the news that was
- 16 appropriate to share with my union co-workers.
- 17 Q Okay, and how many negotiation sessions did you attend?
- 18 A I would say approximately 10.
- 19 O And just generally, how would you describe negotiation?
- 20 A Negotiations got to be where they were just extremely
- 21 heated. It was a very chaotic, very unpleasant, anxious
- 22 environment; a lot of squabbling back and forth, very little
- 23 conclusions to anything being offered, yah.
- MR. WIESE: So, Your Honor, the following line of questions
- 25 is in support of Complaint allegation 12(d).

- 1 O BY MR. WIESE: So, Mr. Brandon, in the sessions that you
- 2 attended, do you recall the employer ever showing up late?
- 3 A Almost every time, if not every single time.
- 4 Q And how late would the employer show up?
- 5 A Anywhere from a half an hour to I believe the latest would
- 6 have been an hour and a half -- two hours.
- 7 Q Do you remember ever -- remember any union negotiators
- 8 objecting to the employer showing up late?
- 9 A I cannot remember that specifically.
- 10 Q Do you ever recall whether the employer ever gave notice
- 11 that they were going to be late to any bargaining sessions?
- 12 A I mean, typically, we would come in and Nancy or Martin
- 13 would inform us that, you know, the hotel management is running
- 14 a little bit behind; but the half hour oftentimes that was
- 15 originally proposed would turn into much longer.
- 16 O Okay. Are there any instances where you ever recall the
- 17 employer leaving negotiations early without telling the Union?
- 18 A I remember very, very long caucuses that originally were
- 19 going to be an hour that turned into two or three hours. The
- 20 most severe case I remember was the caucus that was taken
- 21 somewhere in early afternoon and management didn't return to the
- 22 conference room until after 5 o'clock when Nancy and Martin had
- 23 already -- they had to leave by that time.
- 24 Q Did you leave with Nancy and Martin that day?
- 25 A Yes, we all left around the same time, yah.

- 1 Q What happened that day as you were leaving?
- 2 A As we were leaving, management did walk in and wanted to
- 3 continue negotiations, but Martin and Nancy already made it very
- 4 clear they had obligations they had to be home for.
- 5 MR. WIESE: So, Your Honor, the following line of
- 6 questions supports Complaint allegations 12(c) and (d).
- 7 O BY MR. WIESE: So, Mr. Brandon, I'd like to talk to you
- 8 about the employer's wage proposal. When do you remember the
- 9 topic of wages coming up in negotiations?
- 10 A It was the latter end of our meetings -- I would have to
- 11 say around March maybe.
- 12 Q Okay.
- 13 A February -- March.
- MR. WIESE: I'm just going to get a copy of the joint
- 15 stipulation in front of you.
- 16 (Witness proffered the document.)
- 17 O BY MR. WIESE: This has the bargaining dates on there.
- 18 A Okay.
- 19 Q In case you want to reference any specific dates.
- 20 A Yah, yah.
- 21 MR. TERRELL: I'm sorry, what document did you hand him?
- MR. WIESE: Just Joint Exhibit 1.
- MR. TERRELL: Okav.
- 24 Q BY MR. WIESE: What do you remember about the employer's
- 25 wage proposals?

- 1 A I remember the wage proposals being very unclear. I know
- 2 that there was a series of pie graphs presented to us. Each
- 3 series had different percentages within the pie graph. Some of
- 4 these percentages represented rather arbitrary items, such as
- 5 bereavement time and some things that none of us had ever used;
- 6 but either way, the percentages would fluctuate and every time
- 7 the pie graph was different.
- 8 Q Do you remember anyone from the employer's side of the
- 9 negotiating table talking about merit increases while you were
- 10 at bargaining --
- 11 A I remember Nancy and Martin bringing that up, that if, you
- 12 know, ownership and management was not too keen on giving
- 13 raises, that perhaps we could do merit-based raises, yah.
- 14 Q And what about from the employer's side of the table, did
- 15 anybody ever bring up merit raises?
- 16 A Not that I recall.
- 17 O And you mentioned pie charts coming in to negotiations.
- 18 Did you get more than one set of pie charts?
- 19 A At least three, I believe.
- 20 O Who presented the pie charts for the employer?
- 21 A Attorney, Arch Stokes.
- 22 Q And how were they presented -- the pie charts?
- 23 A You know, a copy was given to each member of the
- 24 negotiation committee. Everyone at the table had a copy in
- 25 front of them.

- 1 Q Do you remember Mr. Stokes or anybody else from the
- 2 employer's side of the negotiating table explaining what the pie
- 3 charts actually meant or represented?
- 4 A To my understanding, it was to be the following year a
- 5 representation of all of our wages, not just including your
- 6 hourly pay, but an expression of how much insurance is and
- 7 everything that goes into our full wage.
- 8 Q Did you have a chance to review the pie charts that you
- 9 received?
- 10 A I did.
- 11 Q Were those pie charts ever accurate?
- 12 A What I would say is that the pie charts contradicted what
- 13 was in the contract, because on one hand I had a contract that
- 14 was expressing that if you hadn't been there for 5 years, then I
- 15 believe your pay was going to be reduced; but if you had been
- 16 there for 5 years, then you were going to get what this pie
- 17 graph was saying; but every time, the pie graph had a different
- 18 number. And so I was very, very, very confused as to whether I
- 19 was looking at getting a small raise or keeping my wage as is or
- 20 if I was going to go backwards with my wages.
- 21 MR. WIESE: Your Honor, the following line of questioning
- 22 is in support of Complaint allegation 12(b).
- 23 MR. TERRELL: 12(d)?
- 24 MR. WIESE: 12(b), as in "boy."
- 25 MR. TERRELL: Okay.

- 1 Q BY MR. WIESE: Do you remember, Mr. Brandon, the employer
- 2 ever bringing up any changes to the current union leave in the
- 3 contract?
- 4 A Yah. There was -- they wanted a shorter amount of days.
- 5 The Union wanted I think it was 5 days, and ownership wanted
- 6 only 3, something to that effect.
- 7 Q Did the union ever -- any union representatives ever agree
- 8 to the 3-day limit at any negotiating sessions that you
- 9 attended?
- 10 A I think it ended up just one of those that never got fully
- 11 addressed.
- MR. TERRELL: I'm sorry, I didn't hear your last response.
- 13 THE WITNESS: I don't believe any conclusion ever came of
- 14 it.
- 15 MR. TERRELL: Okay.
- 16 O BY MR. WIESE: And do you recall any of the employer
- 17 representatives ever providing an explanation at the bargaining
- 18 table --
- 19 A No.
- 20 O -- as to why --
- 21 A No.
- 22 Q -- they wanted to limit it to 3 days?
- 23 A No.
- 24 Q Okay, all right.
- 25 I'll just ask that you let me finish my question before

- 1 you --
- 2 A Sorry.
- 3 Q That's okay.
- 4 MR. WIESE: So, Your Honor, the following line of questions
- 5 is in support of Complaint allegation 6(a).
- 6 O BY MR. WIESE: Mr. Brandon, while you were serving on the
- 7 negotiating committee, did you receive any discipline?
- 8 A Yes, I did.
- 9 Q Showing the witness what's been marked as General Counsel
- 10 Exhibit 23 -- or, excuse me, 27.
- 11 (Witness proffered the document.)
- 12 Q Mr. Brandon, do you recognize this document?
- 13 A Yes, I do.
- 14 O And what is it?
- 15 A This is something that originally was given to me as a
- 16 record of conversation by Chef Ulrich.
- 17 O All right, okay.
- 18 MR. WIESE: I'll offer General Counsel Exhibit 27,
- 19 excluding the "Exhibit 3" at the bottom.
- 20 JUDGE STECKLER: Any objection, Mr. Terrell?
- 21 MR. TERRELL: No.
- JUDGE STECKLER: GC Exhibit 27 received.
- 23 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 27.)
- 24 Q BY MR. WIESE: So, Mr. Brandon, what led to you receiving
- 25 this -- the discipline in General Counsel Exhibit 27.

- 1 A I suffered from heat exhaustion the prior day at work.
- 2 0 So what --
- 3 A And it caused me to miss the following day, that Monday.
- 4 Q All right. So that Monday would be February 16th?
- 5 A Yes.
- 6 0 Okay.
- 7 So let's talk about what happened on February 15th.
- 8 MR. TERRELL: Fifteen or 16?
- 9 MR. WIESE: Fifteen.
- 10 O BY MR. WIESE: What happened when you came into work on
- 11 February 15?
- 12 A I came into work at 5 o'clock in the morning, checked out
- 13 the kitchen keys to open up the doors. Upon opening the doors
- 14 to the kitchen, I felt the greatest loft of heat I've ever felt
- 15 in my life. I immediately assumed that all of the kitchen
- 16 machines must have been left on, checked them, they were not.
- 17 In fact, the heater vents were blowing very, very, very, very
- 18 powerful, and it was extremely hot in the kitchen, hotter than I
- 19 had felt in any kitchen or any environment whatsoever.
- 20 Q How long have you worked as a cook?
- 21 A About 9 years.
- 22 Q Was this hotter than any kitchen you had worked in during
- 23 those 9 years?
- 24 A I've worked in kitchens by New Orleans, where, you know, we
- 25 had a thermometer on line that read 110 degrees consistently.

- 1 This was immeasurably hotter than that.
- 2 Q What did you do when you realized how hot the kitchen was
- 3 that morning?
- 4 A I immediately informed the manager on duty, Sue Riley
- 5 [phonetic]. She told me there was nothing she could do. I
- 6 therefore -- I called the Kahler myself and asked to speak to
- 7 Maintenance. They did come over and told me they had no
- 8 knowledge of how to turn down the thermostat.
- 9 Q What did you do after the maintenance person came over?
- 10 A I continued to work.
- 11 Q Were you by yourself in the kitchen?
- 12 A Yes, I was.
- 13 Q And did you have any other conversations about the heat
- 14 with anybody?
- 15 A At I believe 7 a.m. is when Maintenance arrived for the
- 16 Marriott property itself, spoke with an individual named
- 17 Mizorate [phonetic], requested that he please turn it down. He
- 18 told me that he could not as he was afraid of being reprimanded
- 19 if he turned it down, because they had so many complaints the
- 20 night before of the restaurant being cold.
- 21 Q And after you spoke with Mizorate [phonetic], did you
- 22 continue working?
- 23 A Yes.
- 24 Q And did you have any other conversations with anybody after
- 25 talking with Mizorate[phonetic]?

- 1 A Yah, it was around -- approximately around 8 o'clock in the
- 2 morning, I spoke with Sophia Stensrud, who was the first
- 3 supervisor who showed up. I expressed to her how hot it was and
- 4 that I was unable to work under those conditions, that she was
- 5 going to have to turn it down, and she refused.
- 6 Q And what is Sophia Stensrud's position, do you know?
- 7 A She is a friend of the house supervisor to the best of my
- 8 knowledge.
- 9 Q And that conversation that you had with Ms. Stensrud --
- 10 where did it take place? Do you recall?
- 11 A Over by the Room Service desk.
- 12 Q And after you spoke with Ms. Stensrud, did you talk with
- 13 anybody else about the heat in the kitchen?
- 14 A Yah, I think it must have been about 8:30, I spoke with
- 15 Chef Robert about it -- Chef Ulrich.
- 16 O Thank you.
- 17 Where did you speak with Mr. Ulrich?
- 18 A I spoke with him initially in his office explaining the
- 19 conditions, and he came out of his office and came to the line.
- 20 O What happened when you and Chef Ulrich arrived on the
- 21 kitchen line?
- 22 A He literally just turned to me and said, "Graham, this is
- 23 entirely too hot for anyone to work. You can't work like this."
- 24 And he started turning my flat top grill, my hot top grill and
- 25 all of the machinery off.

- 1 Q And what did you do?
- 2 A I said, "Chef, we can't turn this off. I have orders I
- 3 have to cook."
- 4 Q And what did he do at that point?
- 5 A He said, "Well, we have to do something about this."
- 6 Q And what happened after Chef Ulrich left the kitchen?
- 7 A I continued to cook and eventually shortly thereafter was
- 8 overwhelmed by the dizziness and nausea and attempted to make it
- 9 to the bathroom to get sick and didn't make it. And I vomited
- 10 in the trash can next to the cook's line. And I noticed some
- 11 blood in my vomit.
- 12 Q What did you do after vomiting?
- 13 A I went and took a break. I told Chef Robert, I told Sophia
- 14 what had happened. I mentioned the blood in the vomit and I
- 15 went and took a break at the Room Service desk.
- 16 Q And while you were taking a break out at the Room Service
- 17 desk, did you speak with anyone while you were sitting there?
- 18 A Michael Henry walked by and noticed immediately that I
- 19 wasn't looking well and asked me, you know, if I was feeling
- 20 okay. I told him, "No," I explained that I had just gotten sick
- 21 and vomited some blood in the trash can, just that, you know, it
- 22 had been really hot all morning, and that I didn't, you know,
- 23 understand why I was so sick, but, yah, that I was very, very
- 24 ill.
- 25 Q And do you remember, did Mr. Henry respond to that?

- 1 A Yah, he was concerned, and I know that he spoke with Chef
- 2 Robert. And basically the conclusion was that someone was going
- 3 to come in to replace me.
- 4 Q Did Mr. Henry excuse you from work?
- 5 A No.
- 6 Q How long were you in the lobby?
- 7 A About 10 minutes.
- 8 Q And what did you do after leaving the lobby?
- 9 A I went back to work and cooked. The servers needed food
- 10 cooked.
- 11 Q How long were you able to keep working that morning?
- 12 A I ended up working all the way up to 11. I was asked to
- 13 call a cook to see if he would come in early, he said he would,
- 14 he did not. So I cooked all the way up to 11.
- 15 Q And in between when you went out to the lobby and when you
- 16 left work that day, did you get sick again?
- 17 A Yah, I got sick again, and this must have been shortly
- 18 after 9, and I knew I was going to get sick. I made it upstairs
- 19 to the break room. I got sick in the toilet again, vomiting and
- 20 there was still blood coming up in my vomit. I was extremely
- 21 busy, and I thought to Google my symptoms, and it came up,
- 22 "boom, heat exhaustion." And I knew right away that's what I
- 23 had.
- 24 Q And what did you do after getting sick for a second time?
- 25 A I came down and I told Chef Robert, I said, "Chef, I can't

- 1 cook anymore. I can't stay on the line, I can't continue to
- 2 cook. I've got to go home." He assured me that August was
- 3 going to come.
- 4 Q Who is "August"?
- 5 A I'm sorry, he was the cook that I was asked to call to
- 6 replace me.
- 7 O Did you call August that morning?
- 8 A I called him that morning, yes.
- 9 Q And did he end up coming in early that morning?
- 10 A No, he came in right at 11. He was scheduled to come in at
- 11 11 and that was the time he came.
- 12 Q And what happened when August came in at 11?
- 13 A By this time, Mizorate[phonetic] -- sometime after 9
- 14 o'clock or around 10 o'clock -- Mizorate[phonetic] had told me
- 15 that, "Graham, I finally -- I was able to turn the heat down.
- 16 So when August got there at 11, I was already so overwhelmed
- 17 with illness, when he got there, I immediately left, as I had
- 18 permission to do.
- 19 O And how did you get home from work that day?
- 20 A So I went outside and I called a cab. I didn't feel safe
- 21 driving or walking. I called a cab and they said they'd on the
- 22 way. And then I called my son, and I said, "Would you please
- 23 get some ice packs out of the freezer, I have heat exhaustion
- 24 from work this morning, I'm coming home," so --
- 25 Q And what happened when you got home?

- 1 A When I got home, I laid on the couch. My son took care of
- 2 me, he put ice packs on me, took my temperature. I was running
- 3 over 102 fever. And I passed out -- just -- yah.
- 4 Q When did you wake up again?
- 5 A I didn't coherently wake up until I guess around 6:15 the
- 6 next morning. My son woke me up telling me that my alarm for
- 7 work had been going off.
- 8 Q How did you feel when you woke up the next morning?
- 9 A I felt like I was dying.
- 10 Q Were you scheduled to work that day?
- 11 A I was scheduled to work at 7.
- 12 Q And what did you do after you woke up?
- 13 A As soon as I woke and, you know, and realized how I was
- 14 still feeling, I was so weak that I had my son actually call
- 15 Chef Robert. And he called Chef Robert, I remember him leaving
- 16 him a message, and I texted Chef Robert something in the effect,
- 17 "I feel like I'm dying, can you please have another cook come
- 18 in?"
- 19 Q What did you do after you texted Chef Robert Ulrich?
- 20 A I fell back to sleep.
- 21 Q And what did you do that after -- or the rest of the day
- 22 that day?
- 23 A I mean, I slept in a coma-like state for the rest of the
- 24 day. Sometime in late afternoon, I did wake up. I felt much
- 25 better. I could tell my fever had broken, but knew that I had

- 1 gone through something pretty severe. So I did call Mayo Clinic
- 2 to speak to a nurse.

3

- 4 A Yah, she was a triage nurse, and she told me that,
- 5 obviously, my symptoms were heat exhaustion. She was glad to
- 6 hear that my fever had broken, that was very, very good
- 7 substantial news, and told me that if any symptoms whatsoever,
- 8 you know, reoccurred, that I was to immediately call 911 and
- 9 take an ambulance to the emergency room. I asked her, I said,
- 10 "Well, can I return to work tomorrow?" She said "That's up to
- 11 you. If you don't have a fever and no symptoms are there, you
- 12 know, you can."
- 13 O Did you work a schedule the next day?
- 14 A Yes.
- 15 Q And when you worked on the next day, February 17th, did any
- 16 managers come to talk to you about missing work the previous
- 17 day?
- 18 A No.
- 19 O Did you have any further discussions with managers about
- 20 missing work on February 16th?
- 21 A I did. It was the following, I think, Friday, so the 20th,
- 22 I guess, I saw Chad Decker. And I had been keeping my eye out
- 23 for Michael Henry, to talk with him, as he was my head of Human
- 24 Resources and I wanted to speak with him. So I saw Chad, who
- 25 was a manager with Human Resources, and I stopped him and asked

- 1 if Michael was available to speak with.
- 2 Q Where did you have this conversation with Chad?
- 3 A On the back line in the kitchen.
- 4 Q Was anybody else present for the conversation?
- 5 A No, just Chad and I.
- 6 Q And who said what during that conversation?
- 7 A Well, Chad said, "Is there anything I can help you with,
- 8 Michael's busy today?" And I said, "Yah, I guess so," and I
- 9 proceeded to tell him the issue that I was concerned there was
- 10 some rumors possibly floating around that I might be reprimanded
- 11 for, you know, not calling in I believe two hours ahead of time
- 12 on Monday. I spoke with him at length about the situation,
- 13 about exactly what happened, as I just did here.
- 14 O Mmm-hmm.
- 15 A He told me, "Graham, I don't' see any -- you don't have a
- 16 pattern of missing shifts or anything of that matter, so I don't
- 17 feel that you should be written up." He said, "Graham, I don't
- 18 think you have anything to worry about, I don't think you should
- 19 be written up for that."
- 20 O Were you able to speak with Michael Henry that day after
- 21 talking to Chad Becker?
- 22 A No.
- 23 O All right. Did you have any further conversations with
- 24 management after speaking with Mr. Decker?
- 25 A The next Thursday, I did.

- 1 Q What happened that day?
- 2 A Thursday morning, Chef Robert came up to me -- Chef Ulrich
- 3 came up to me and had a very concerned look on his face. He
- 4 said, "Graham, we need to talk." I followed him into the
- 5 office. He proceeded to tell me that Michael Henry wanted there
- 6 to be a firm discipline about that incident on the 16th. And
- 7 he said, "I feel comfortable writing you a record of
- 8 conversation." I said, "As long as it's a record of
- 9 conversation, and not discipline, I'm completely comfortable
- 10 with that as well." And we agreed on that and I walked out of
- 11 the office.
- 12 0 What is a "record of conversation"?
- 13 A A "record of conversation" is effectually a coaching tool.
- 14 Sometimes it can be when there's been a change in policy. We
- 15 want employees to come through the side door instead of the back
- 16 door, so change in policy, we'll do a record of conversation.
- 17 It can be where someone made a minor mistake not worthy of
- 18 discipline, but that could use a coaching tool. So you say,
- 19 "Next time, let's do this" and there's a record of conversation
- 20 that that was had.
- 21 Q Okay. Now after you told Chef Ulrich that you'd be okay
- 22 with a record of conversation, was that the end of that
- 23 conversation?
- 24 A No. Shortly after he came up to me and he looked really
- 25 worried, he said, "Graham, we've got to talk," we go into the

- 1 office again. He said "Michael says that this cannot be a
- 2 record of conversation, it must be a formal discipline." And he
- 3 informed me that if I won't comply and discipline you myself,
- 4 that he will come down and make sure that the write-up is given
- 5 to me himself.
- 6 O Did Chef Ulrich say why it was that Michael Henry had told
- 7 him that you needed a more formal discipline?
- 8 A He said that Michael claimed I had a pattern of attendance
- 9 issues. So I said to Chef Robert, "I do? I'm not aware of that.
- 10 I don't believe that I do." He shrugged his shoulders as if he
- 11 wasn't aware of any either.
- 12 Q Did you have a meeting -- another meeting that day about
- 13 the attendance issues?
- 14 A Yah, at that point, I knew that it was clear that Robert
- 15 was going to have to write me up. Later that afternoon, he
- 16 called me into the office. It was a rather awkward thing.
- 17 Sophia Stensrud was in there as a witness and they presented a
- 18 write-up to me that did not have -- there were boxes for "verbal
- 19 warning, first warning, second warning, so on and so forth. It
- 20 didn't have any check mark in any of those boxes. And
- 21 regardless, I told him I did not feel comfortable signing the
- 22 write-up.
- 23 O Okay.
- 24 So looking at General Counsel Exhibit 27, the discipline
- 25 next to you, were any of those boxes, "the verbal warning, first

- 1 warning, second warning, final warning, suspension or
- 2 termination" -- had any of those been checked --
- 3 A No.
- 4 0 -- at that meeting?
- 5 A That's exactly what I'm speaking of. Yah, none of those
- 6 had been checked. And I did make the comment to him and Sophia
- 7 that, in addition, that I merely wasn't going to sign it because
- 8 I didn't agree with it, but also that why would I sign something
- 9 that doesn't even have a clear level of discipline; because
- 10 after I sign it, they could put whatever they want.
- 11 A Uh-huh, and did either Chef Ulrich or Sophia Stensrud tell
- 12 you what level of discipline it was going to be?
- 13 A At one point, Chef Robert said, "Okay, if I could make
- 14 sure, Graham, for you that it's just the first step, you know,
- 15 would you sign it then?" And I still said -- I said "no, no."
- 16 O What happened after -- first of all, where was that meeting
- 17 that you're talking about?
- 18 A In his office.
- 19 O In whose office?
- 20 A Chef Ulrich's office.
- 21 Q All right.
- 22 And was that basically the end of the meeting after they
- 23 presented the discipline and you refused to sign it?
- 24 A Yes.
- 25 Q Okay, all right.

- 1 What did you do after finding out about this discipline?
- 2 A As soon as I got off, I immediately informed the Union
- 3 President, Brian Brandt; and, to my knowledge, he filed a
- 4 grievance that afternoon.
- 5 Q Actually, before we start talking about the grievance, Mr.
- 6 Brandon, I'd like to ask you about some of the writing on this
- 7 document, on General Counsel Exhibit 27.
- 8 A Mmm-hmm.
- 9 Q So I know you said that none of the boxes were checked in
- 10 when you saw it, when you initially received the discipline.
- 11 What about that handwriting at the top?
- 12 MR. TERRELL: At the top?
- MR. WIESE: It's difficult to read, but I think it says
- 14 "Documentation states changed."
- 15 THE WITNESS: "States changed to first written warning."
- 16 O BY MR. WIESE: Was that on the document? Was that
- 17 handwriting on the document when --
- 18 A No, none of that was, no, none of that was there.
- 19 O What about the handwritten explanation below that?
- 20 A That was there, yes.
- 21 Q Okay.
- 22 A And may I add something, just a commentary?
- We had a record of conversation, all of the cooks, a couple
- 24 of years ago, about the proper protocol if you are to call in,
- 25 and it is to call in to the Head Chef, not to call in to a

- 1 fellow cook.
- 2 O Mmm-hmm.
- 3 A I would never call in to a union co-worker to report
- 4 illness.
- 5 O Okay, all right.
- 6 So after you contacted Mr. Brandt and told him about the
- 7 discipline, did you receive any further communication about your
- 8 discipline for missing work on February 16th?
- 9 A We were to have a grievance meeting. I don't believe we
- 10 had that grievance meeting until almost 2 months later.
- 11 Q And between when you had the grievance meeting and you
- 12 received a version of General Counsel Exhibit 27, did you find
- 13 out what level of discipline you were being given?
- 14 A Yah, Brian let me know that I was being put on a final
- 15 warning.
- 16 O And what was your reaction to that?
- 17 A I said, "That's absolutely unacceptable. I don't have any
- 18 prior write-ups of similar nature. Why would we be skipping
- 19 four steps here or skipping three?"
- 20 O So you mentioned that there was a grievance meeting? Is
- 21 that right?
- 22 A Yes.
- 23 O And who was present at that grievance meeting?
- 24 A Myself, Brian, Tyler Kase and Michael Henry.
- 25 Q And where did that meeting take place?

- 1 A In Michael Henry's office.
- 2 Q What do you remember happening at that meeting?
- 3 A I remember, you know, Brian essentially saying, "What was
- 4 so egregious that cause this to be the final warning?" Michael
- 5 said that there's a pattern. Brian asked for explanation and
- 6 Mr. Henry provided for him a write-up from about a year prior
- 7 that had actually been, to my knowledge, expunged by Mr. Henry
- 8 himself.
- 9 MR. WIESE: Can we go off the record for a second, Judge?
- 10 JUDGE STECKLER: About 60 seconds.
- 11 MR. WIESE: Okay, thank you.
- 12 JUDGE STECKLER: Off the record.
- 13 (Off the record.)
- 14 JUDGE STECKLER: We're back on the record.
- Mr. Wiese, you may continue.
- 16 MR. WIESE: Okay.
- I am showing the witness what has been marked as General
- 18 Counsel Exhibits 40 and 41.
- 19 (Witness proffered the documents.)
- 20 O BY MR. WIESE: So let's start with General Counsel Exhibit
- 21 40. Do you recognize this document?
- 22 A Yes, I do.
- 23 0 What is it?
- 24 A It was a write-up that I received through Chef Pascal Presa
- 25 that was given to me. I -- my son broke his ankle, I had to

- 1 miss work. I had a doctor's appointment that I let me work know
- 2 about several days ahead of time. I had an illness. Anyway, I
- 3 had doctor's notes for all of these issues. So when I received
- 4 this, I did not even go to the Union, I went directly to Michael
- 5 Henry himself.
- 6 Q And what happened during your discussion with Mr. Henry?
- 7 A Michael said that he would review it, assured me that he
- 8 would get back to me in a couple of days and he would take a
- 9 look at it. And he said that he had never seen it before,
- 10 which to me indicates that Chef Pascal Presa had just simply
- 11 documented the write-up, had it issued to me and didn't follow
- 12 proper protocol of having it first approved through HR. He had
- 13 just given me the write-up.
- 14 So Michael wasn't aware of it and he said he would take a
- 15 look at it. Two days later, he came to me and said, "Graham,
- 16 it's taken care of, it's off your record."
- 17 O All right. And looking at this, so it looks like General
- 18 Counsel Exhibit 40 and 41 are documenting the same discipline.
- 19 MR. TERRELL: Objection: leading.
- 20 O BY MR. WIESE: Well, do -- so take a chance to review the
- 21 documents, Mr. Brandon, and tell me if General Counsel Exhibit
- 22 40 and 41 are documenting the same --
- 23 A Okay, what I would say is that the one that has my writing
- 24 on the bottom saying, "This is grossly inaccurate, and I have
- 25 excuses, doctor's notes, et cetera," -- that was the one that

- 1 was issued to me that day. This is obviously a copy of the one
- 2 that was issued to me that day. This one with this handwriting
- 3 here I have never seen; however, the last time I saw any of this
- 4 would have been at our meeting on 4/29.
- 5 MR. TERRELL: What is the witness referring to when giving
- 6 that response?
- 7 THE WITNESS: Well, it's written right here:
- 8 "Documentation changed on 4/29 where they had taken it from a
- 9 final warning to a first written." Says here it was a second
- 10 written --
- 11 MR. TERRELL: Never mind.
- 12 O BY MR. WIESE: So the document with the handwriting on the
- 13 bottom, General Counsel 41 -- that's the version that you had
- 14 seen before?
- 15 A Right, and the last time I saw this in our grievance
- 16 meeting, when Michael presented it to us, he showed to myself
- 17 and to Mr. Brandt that he had clearly written on the top corner
- 18 here, "This has been removed from Graham's file," and that no
- 19 longer is here.
- 20 MR. TERRELL: Which document is the witness referring to?
- 21 MR. WIESE: General Counsel Exhibit 41.
- MR. TERRELL: Okay.
- 23 THE WITNESS: Yah, he notated that he removed it from my
- 24 file and that notation is no longer there.
- 25 MR. WIESE: And, Your Honor, both of these documents were

- 1 turned over pursuant to the subpoena and I hadn't seen these
- 2 before -- before the hearing today.
- 3 Q BY MR. WIESE: And have you -- so jumping over now to
- 4 General Counsel Exhibit 40, had you ever seen that -- the
- 5 handwriting on that document? I believe it says, "Remains in
- 6 file as indications of pattern of behavior." Have you ever
- 7 seen that before?
- 8 A No.
- 9 MR. WIESE: I'll offer General Counsel Exhibits 40 and 41.
- 10 MR. TERRELL: No objection.
- 11 JUDGE STECKLER: GC 40 and 41 are admitted.
- 12 (EXHIBITS RECEIVED: GENERAL COUNSEL'S 40 and 41.)
- 13 Q BY MR. WIESE: So what happened after Mr. Henry brought up
- 14 the discipline in General Counsel Exhibit 41?
- 15 A We discussed how Michael had written at the top of this it
- 16 had been removed from my file, Mr. Brandt said, "Well, if it's
- 17 been removed from his file, then why is it in the trash? And
- 18 instead it's on your desk right now when it should have been in
- 19 a trash can almost a year ago." Michael looked at his desk and
- 20 removed the piece of paper.
- 21 Q And after Mr. Henry removed the piece of paper, what
- 22 happened next in the meeting?
- 23 A Michael demanded some sort of proof of this attendance
- 24 record that was so bad, and Michael claimed that he had spoken
- 25 to a supervisor who said I had an attendance issue.

- 1 Q When you said -- did Michael demand proof of the attendance
- 2 issue or --
- 3 A I'm sorry, Brian demanded proof of the attendance issue,
- 4 sorry.
- 5 Q And what was Mr. Henry's response to that?
- 6 A That he had spoken with a supervisor who said that I had an
- 7 attendance issue.
- 8 O And was that the end of the meeting?
- 9 A No, I responded. I said, "Well, that's impossible that a
- 10 supervisor is saying that about me because I don't have an
- 11 attendance issue. Who is this supervisor?" He told me he
- 12 couldn't name who the supervisor was, but that there was, in
- 13 fact, one who had given him ample reason to think that I had an
- 14 attendance issue. And then essentially the meeting ended.
- 15 Q And what did you do after that meeting?
- 16 A I went back to work.
- 17 O When you went back to work, did you speak with any
- 18 managers?
- 19 A Rather promptly, after returning to work, I spoke with Chef
- 20 Robert, who pulled me into his office.
- 21 Q How long after the meeting did you speak with Chef Ulrich?
- 22 A Probably within a half an hour to an hour.
- 23 O Okay. And when you went into Chef Ulrich's office, what
- 24 happened in there?
- 25 A He told me that Michael was telling him that he needed to

- 1 do a very thorough investigation in my attendance, check the
- 2 time clocks, and that he needed to go back in the computer and
- 3 check all and any of my attendance issues over the past year.
- 4 Q Did he tell you when Mr. Henry had requested this from him?
- 5 A He had just requested it.
- 6 Q Did Mr. Henry say why he wanted --
- 7 MR. TERRELL: Objection.
- 8 Q BY MR. WIESE: Or Mr. Ulrich say why?
- 9 MR. WIESE: Is there an objection on the --
- 10 MR. TERRELL: I objected and then he corrected his
- 11 question. So he can proceed.
- 12 JUDGE STECKLER: Okay.
- 13 THE WITNESS: So what is the question again? Sorry
- 14 Q BY MR. WIESE: Did Mr. Ulrich say why Mr. Henry had
- 15 requested the attendance from -- for you?
- 16 MR. TERRELL: Want to what?
- 17 Excuse me. I didn't hear your question.
- 18 MR. WIESE: Yah, poor question.
- 19 O BY MR. WIESE: Did Mr. Ulrich tell you why Mr. Henry had
- 20 requested him to look at your disciplinary record?
- 21 A To prove an attendance issue with me.
- 22 Q All right.
- 23 And what happened after your conversation with Mr. Ulrich?
- 24 A Well, Mr. Ulrich -- Chef Ulrich -- he assured me, he said,
- 25 "Graham, I'm going to -- this is my job and I have to do this.

- 1 I don't think I'm going to come up with anything, but anything I
- 2 do come up with, I'm going to be honest about it and I'm going
- 3 to have to present it. So just to let you know, if anything
- 4 comes up, it will be -- you know, I'm going to have to let
- 5 Michael know."
- 6 Q Did you follow up with Chef Ulrich regarding your
- 7 attendance?
- 8 A Yah, shortly thereafter, whether it was a few days or a
- 9 week, he brought me into the office, and said, "Graham, I want
- 10 you to see this before I send it to Mike. This is your
- 11 attendance record over the last year." He showed it to me and
- 12 it included the absence, obviously, on the 16th. Other than
- 13 that, it had one 15-minute tardy in a year's time, in which I
- 14 had called ahead of time to inform them I would be slightly
- 15 tardy.
- 16 O Do you know what happened with your grievance over this
- 17 discipline?
- 18 A Eventually, I heard from Brian Brandt that it had been
- 19 dropped down to a -- I think a first written, which I think says
- 20 it here, yah.
- 21 Q Okay. Were you a part of the settlement discussions?
- 22 A No, I was not.
- 23 Q Do you agree with the grievance settlement?
- 24 A No, I do not.
- 25 Q Why not?

- 1 A I don't believe that I should be disciplined for incurring
- 2 an injury at work the day prior, because of that injury that I
- 3 incurred because of unfair circumstance -- or unsafe
- 4 circumstances at work, unsafe conditions at work that caused me
- 5 to miss the next day of work that put me into the state where I
- 6 was unable to call 2 hours ahead of time. I don't believe that
- 7 I should have any reprimand whatsoever.
- 8 O Are there other co-workers in the kitchen who have
- 9 attendance issues --
- 10 A Absolutely.
- 11 Q Who specifically?
- 12 A A cook named August Short, as well as another cook, names
- 13 Derek Kotvask.
- 14 Q What do you remember with August Short's attendance?
- 15 A August for a very long period would come in 10 minutes late
- 16 every single day, every single day. And on a bi-weekly basis
- 17 was calling in saying, "I'm going to be a half hour late. I'm
- 18 going to be an hour late." He was having car trouble
- 19 incessantly. So he was late every day. The worst thing I can
- 20 remember was being told by Chef Robert that he had shown up to
- 21 work an hour and a half late one day, was obviously inebriated;
- 22 and Chef Robert told me that they sent him home before upper
- 23 management caught wind of it.
- 24 Q And do you remember about when that happened?
- 25 A I think that was probably around March.

- 1 Q March of what year?
- 2 A Of this year.
- 3 Q And you mentioned I think that Mr. Short had been showing
- 4 up late for a very long period. What period of time are you
- 5 talking about?
- 6 A I would say from -- it started in the winter, so let's say
- 7 January through August of last time I worked there.
- 8 Q Are you aware of whether he received any discipline for any
- 9 of this?
- 10 A I'm aware that he did not.
- 11 MR. TERRELL: Objection. Basis: foundation.
- 12 It sounds like we're eliciting hearsay here.
- 13 JUDGE STECKLER: You can ask a follow-up question to
- 14 clarify.
- 15 MR. WIESE: Well, I --
- 16 MR. TERRELL: He's asking if this witness is aware of
- 17 whether August Short was disciplined. There is no reason why
- 18 this employee would normally know that.
- 19 THE WITNESS: Yes, there is.
- 20 JUDGE STECKLER: I think --
- 21 MR. WIESE: Your Honor, I'll handle that question. Thank
- 22 you.
- 23 MR. TERRELL: A foundation should be laid.
- 24 JUDGE STECKLER: Do --
- MR. WIESE: I was going to ask a follow-up question to --

- JUDGE STECKLER: All right.
- 2 Go ahead with the follow-up question to clarify, Mr. Wiese.
- 3 MR. WIESE: Okay.
- 4 Q BY MR. WIESE: How are you aware that he didn't receive
- 5 discipline?
- 6 A As a shop steward, any discipline that goes through my shop
- 7 obviously has to go to Brian Brandt. Any discipline goes
- 8 through Brian Brandt. He would make me aware of it and ask me
- 9 about it before filing any grievance.
- 10 MR. TERRELL: Hearsay. I have an objection to this
- 11 testimony. Basis is foundation, his knowledge is words spoken
- 12 to him by Brian Brandt, an out-of-court witness. This is
- 13 attempted -- there is an attempt here to offer for the truth of
- 14 the matter asserted that August Short had an attendance issue
- 15 and was not disciplined. At least, I assume that's where this
- 16 is going.
- 17 MR. WIESE: Well, Your Honor, I mean I quess --
- JUDGE STECKLER: Well, I think later we'll have documents
- 19 on that fact, so I'm going to allow it for now, and then if
- 20 there are any problems later on, you can object again.
- 21 MR. TERRELL: Okay.
- 22 Well, my objection is to the hearsay nature of this
- 23 testimony.
- JUDGE STECKLER: Okay.
- 25 MR. TERRELL: It should not be offered for the truth of the

- 1 matter asserted regarding a discipline of Mr. Short.
- JUDGE STECKLER: Okay, you're overruled.
- 3 We'll continue.
- 4 MR. WIESE: Okay.
- I mean, if there are documents, they can be produced,
- 6 right? I don't have any documents reflecting any attendance
- 7 discipline from Mr. Short.
- 8 JUDGE STECKLER: So if you do have some information, Mr.
- 9 Terrell, we'll expect it in your case in chief.
- 10 MR. TERRELL: Well, I'm hearing this now for the first
- 11 time, so we'll look into it.
- 12 JUDGE STECKLER: Okay.
- 13 Q BY MR. WIESE: Are there any other employees who you are
- 14 aware of who had attendance issues --
- 15 A Yah, Derek Kotvask.
- 16 O Okay, and what was going on with Mr. Kotvask -- how do you
- 17 say the last name?
- 18 A To the best of my knowledge it is "Kotvask." I never heard
- 19 him or anyone else pronounce it, but from the spelling of it,
- 20 that's how I would pronounce it.
- 21 Q Okay, to Mr. Kotvask's attendance?
- 22 A He was scheduled to work either 3 to 11 or 3:30 to 11:30.
- 23 That was his shift. And he was never able to make it to work
- 24 before 4 o'clock. And this was an almost every day basis as
- 25 well.

- 1 Q Over what period of time are we talking about?
- 2 A I would say that ceased in March, so we're talking about
- 3 January through March -- probably before January.
- 4 Q Of what year?
- 5 A Of 2014, so before January of 2015; so this was going on
- 6 for I would say 6 months, where, you know, Derek was -- and he
- 7 had some excuse, but I don't know.
- 8 Q And are you aware of whether Mr. Kotvask was disciplined
- 9 for any of these --
- 10 MR. TERRELL: Objection: hearsay.
- JUDGE STECKLER: He's asking what his knowledge is.
- 12 Please proceed.
- 13 THE WITNESS: As a shop steward, I'm unaware that he was
- 14 ever disciplined for any attendance issues.
- 15 Q BY MR. WIESE: Did either Mr. Short or Mr. Kotvask have any
- 16 role with the Union?
- 17 A No.
- 18 MR. WIESE: Your Honor, the following line of questions is
- in support of Complaint allegation 12(j).
- 20 O BY MR. WIESE: Mr. Brandon, I'd like to talk about the
- 21 bulletin boards at the Marriott.
- 22 Prior to these current negotiations, did the Union have a
- 23 practice of posting union materials on bulletin boards in the
- 24 Marriott Hotel?
- 25 A Yes.

- 1 Q And where, specifically, had the Union posted materials?
- 2 A In four specific spots: one on a bulletin board that is
- 3 specifically just for union information in the break room;
- 4 another spot that is a bulletin board by the Restaurant
- 5 Manager's office; another spot was the window of the Head Chef's
- 6 office; and the final spot would be at the clock-in where
- 7 employees punch in.
- 8 O How often would union materials be posted in these
- 9 locations?
- 10 A I would say about once a month.
- 11 Q And how long would they stay up for?
- 12 A Until -- for a long, long time I guess.
- 13 MR. TERRELL: Objection. I believe the testimony -- or the
- 14 questions are leading to misleading testimony because he's
- 15 identified four spaces, but the question doesn't elicit in which
- 16 of those four spaces.
- 17 JUDGE STECKLER: Mr. Wiese, can you cure that, please?
- 18 MR. WIESE: Yes.
- 19 O BY MR. WIESE: So starting with the union bulletin board,
- 20 how long would union flyers stay up in that location?
- 21 A Until a union representative came and replaced it to put a
- 22 new one.
- 23 O And what about the bulletin board outside the Chef's
- 24 office?
- 25 A That would be the same.

- 1 Q And the bulletin board on the -- was it the Chef's window?
- 2 Was that --
- 3 A You just said the Chef's office.
- 4 Q Right.
- 5 A That was the window. Then there was the one by the
- 6 Restaurant Manager's office.
- 7 Q Okay.
- 8 A On that bulletin board. That would be the same. It would
- 9 stay until the next union flyer came out.
- 10 Q And what about the location by the clock? How long would
- 11 it stay up?
- 12 A It would stay up until it was replaced by the next one.
- 13 O Do you know who would post these materials?
- 14 A Typically, Linda Henry.
- 15 Q Did you ever post any union materials in any of these
- 16 locations?
- 17 A Yes.
- 18 O Which ones?
- 19 A I posted them on the bulletin board outside the Restaurant
- 20 Manager's office, as well as the union bulletin board in the
- 21 break room.
- 22 Q Did this -- did you notice any changes in the union flyers
- 23 during negotiations, where they were showing up?
- 24 A Where they were showing up?
- 25 Q Yes, yes, what was happening with union flyers during

- 1 negotiations?
- 2 A I notice that once they were put up, they did not stay up
- 3 very long at all.
- 4 Q And how do you know this?
- 5 A You know, either we would see them posted up and then
- 6 shortly thereafter, they would disappear; or Linda would contact
- 7 me on my break and let me know that, "Hey, I'm coming to post
- 8 them up." And by the time I got up there to look at it, it was
- 9 gone.
- 10 0 Which locations did you notice this happening at?
- 11 A So the two main staples where always the postings would be
- 12 would be the Restaurant Manager's bulletin board and the union
- 13 bulletin board in the break room. After a pattern of them being
- 14 taken down routinely, we just posted them at those two spots.
- 15 Q And where -- did you ever post any union materials during
- 16 these contract negotiations?
- 17 A Yes.
- 18 Q How long -- where would you post the materials?
- 19 A Just --
- 20 MR. TERRELL: Objection. There's already been previous
- 21 testimony he's only done it once, and the question is eliciting
- 22 multiple times.
- JUDGE STECKLER: I'm sorry.
- How many times did you post on union bulletin boards?
- 25 THE WITNESS: Many times.

- 1 JUDGE STECKLER: And how many times did you post regarding
- 2 the negotiations?
- 3 THE WITNESS: Many times.
- 4 JUDGE STECKLER: And on which bulletin boards did you post?
- 5 THE WITNESS: I would post them on the bulletin board
- 6 outside the Restaurant Manager's and the bulletin board that was
- 7 specifically the union bulletin board in the break room.
- 8 JUDGE STECKLER: Okay.
- 9 Mr. Wiese, you may continue
- 10 O BY MR. WIESE: How long would you postings stay up at these
- 11 locations?
- 12 A You know, 5 -- 10 -- 15 minutes -- a half hour at the
- 13 longest.
- 14 O Were there -- on these bulletin boards that we're talking
- 15 about, specifically the one in the break room and the one
- 16 outside the Restaurant Manager's office, were there any
- 17 materials from outside organizations on these bulletin boards?
- 18 A Absolutely. You know, sometimes there would be a
- 19 fundraiser. I remember one guy had cancer -- the restaurant
- 20 supervisor knew a guy who had cancer, so they posted up a
- 21 fundraiser. I remember posting myself a flyer for a manager at
- 22 the Kahler, Tommy Robinson, who had lost his home in a fire, and
- 23 I posted up a flyer.
- MR. TERRELL: Can I make an objection, please?
- 25 Can we -- the question did not distinguish between posting

- 1 on the restaurant location versus the union bulletin board
- 2 location. I think that distinction needs to be made.
- 3 MR. WIESE: Okay.
- 4 Q BY MR. WIESE: Which location was this posted on out of
- 5 those two?
- 6 A On the bulletin board by the Restaurant Manager's office.
- 7 Q Was it also posted on the other bulletin board?
- 8 A Not on the union one. The union was just specifically for
- 9 union information.
- 10 O Okay, all right.
- 11 A But the bulletin board outside the Restaurant Manager's is
- 12 where we would also have some general flyers, you know, someone
- 13 is looking for a T.V. or, you know, looking for a roommate.
- 14 I've seen, you know, flyers up there looking for a roommate.
- 15 But, you know, specifically, I had one for Tommy Robinson, you
- 16 know, looking -- he needed a new bed, a couch and all sorts of
- 17 furniture.
- 18 Q And when did you post that flyer for Tommy Robinson?
- 19 A I can't remember specifically the date, but it was sometime
- 20 in the spring.
- 21 Q The spring of which year?
- 22 A Of this year, sorry, yah.
- 23 MR. WIESE: Your Honor, the following line of questions in
- 24 support of Complaint allegation 5(a).
- 25 Q BY MR. WIESE: Did you ever have any conversations with

- 1 managers outside of negotiations about bulletin boards?
- 2 A Yes.
- 3 Q Who did you talk with?
- 4 A My Restaurant Manager, Mattie Eggimann, I believe it is,
- 5 had a conversation with me about a flyer that I had posted at
- 6 the bulletin on the Restaurant Manager's -- or by the Restaurant
- 7 Manager's office.
- 8 Q And when did this conversation take place?
- 9 A I believe this was in April, I believe.
- 10 O And where did that conversation take place?
- 11 A It took place -- I was on the cook's like, and she said,
- 12 "Graham, may I speak with you, please?" So I went and spoke
- 13 with her by the Restaurant Manager's office.
- 14 Q Was anybody else present for that conversation?
- 15 A No, it was a private conversation between she and I.
- 16 O And what happened during that conversation?
- 17 A She was very, very pleasant, very, very polite; just told
- 18 me, "Graham, I know you're doing your job that you have with the
- 19 Union, and I realize that you posted the flyer over there," she
- 20 said, "but Human Resources has told me that I am to remove any
- 21 and all union flyers on this bulletin board. And I'd like to
- 22 give you the option to go ahead and take it down yourself so you
- 23 can reuse it, but, if you don't, I'm going to have to remove
- 24 it."
- 25 Q And what was your response?

- 1 A My response was, I said, "Mattie, thank you very much for
- 2 being honest with me and being polite about it and not just
- 3 tearing it down." I said, "You know, I will go ahead and I
- 4 will remove it myself."
- 5 0 Was that the end of the conversation?
- 6 A I believe so. I thanked her again for her being
- 7 professional about it, and yah.
- 8 Q And after that --
- 9 A That was it.
- 10 O And after that conversation, did you take down the union
- 11 flyer?
- 12 A I did.
- 13 O Okay.
- MR. WIESE: Your Honor, the following line of guestions is
- in support of Complaint allegation 5(b).
- 16 O BY MR. WIESE: So shifting gears again, I'd like to talk
- 17 about the step increases at the Marriott -- the step wage
- 18 increases at the Marriott.
- 19 In your role as union steward, did you ever have any
- 20 conversations with employees who were not receiving step
- 21 increases in the spring of 2015?
- 22 A Yes, Derek Kotvask -- I believe his one-year anniversary
- 23 was May 1st. I remember this because it was May Day. And he
- 24 had come to me probably a month or so prior to May 1st in
- 25 regards to whether or not he would receive his raise. He told

- 1 me that he had heard rumors that HR was not allowing step
- 2 increases while we were in negotiations because we were not
- 3 under contract.
- 4 MR. TERRELL: Forgive me.
- 5 Can you give me the last name of this individual?
- 6 THE WITNESS: K-O-T-V-A-S-K. I might be missing a letter,
- 7 but that's fairly close.
- 8 Q BY MR. WIESE: Was this the only time that Mr. Kotvask
- 9 brought this up with you?
- 10 A He brought it up with me several times. He was very, very
- 11 upset about it and had a job offer elsewhere and was very
- 12 seriously thinking about quitting over the issue.
- 13 O Over what period of time was he bringing this up?
- 14 A You know, so it would have been, you know, a month prior to
- 15 May 1st, all the way through -- certainly, well through the end
- 16 of May and on to the next month, because he didn't get the
- 17 increase, and so he kept bringing it up.
- 18 Q Did you talk with any managers about Mr. Kotvask not
- 19 getting his increases?
- 20 A I talked to Chef Robert several times about it. He
- 21 actually addressed me and said, "You know, HR is saying that
- 22 they cannot give him a raise because of we're not under
- 23 contract, you know, what do you know of this?" I told them what
- 24 I knew of it, that Brian said that that was not the case, that
- 25 the step increases still had to, you know, go into effect. I

- 1 reassured Derek and Chef Ulrich that he would get his raise,
- 2 that we would just have to grieve it, and that Derek would have
- 3 to take photos of his pay stub to provide to me so I could give
- 4 it to Brian so we could grieve it.
- 5 Q So how many times specifically do you remember talking with
- 6 Chef Ulrich about this?
- 7 A Oh, at least a half a dozen times.
- 8 Q And where did these conversations take place?
- 9 A In his office.
- 10 Q When did the first one take place?
- 11 A Probably, you know, 2 or 3 weeks before May 1st.
- 12 0 What about the last one?
- 13 A Probably sometime in June.
- 14 Q And what specifically do you remember Chef Ulrich saying
- 15 about the step increases?
- 16 MR. TERRELL: Objection.
- 17 THE WITNESS: You know --
- 18 MR. TERRELL: -- asked and answered. Objection: asked
- 19 and answered.
- 20 JUDGE STECKLER: Is it to a specific conversation?
- MR. WIESE: Well, yes. I may have must miss-heard, but I
- 22 didn't recall him answering or saying specifically what Chef
- 23 Ulrich had said.
- MR. TERRELL: Yes, he said that HR said we can't give the
- 25 raise because we're not under contract. That was his testimony

- 1 about what Chef Ulrich said. The witness is nodding in
- 2 agreement with me.
- 3 JUDGE STECKLER: Was there at any time a point when Chef
- 4 Ulrich said anything different in these conversations?
- 5 THE WITNESS: In regards to it being that HR said he
- 6 couldn't get his raise, no. I mean, he always maintained that,
- 7 "Well, Human Resources is saying that he can't get his raise."
- 8 And I would say, "Well, the Union is saying that he can." And
- 9 Chef Robert would say, "Oh, boy, I wish we could get him that
- 10 raise so we could keep Derek, because, you know, we need him."
- 11 It's very hard to find employees in this town, the unemployment
- 12 rate that we have.
- 13 JUDGE STECKLER: So was there any -- in the four
- 14 conversations that you had, were any of the conversations
- 15 different?
- 16 THE WITNESS: The first one was him actually coming to me
- 17 for advice about the contract. After that, it was him following
- 18 up, "Hey, did you hear anything new?"
- 19 JUDGE STECKLER: I mean with Chef Ulrich, not Derek.
- THE WITNESS: That's what I'm talking about, yah, with Chef
- 21 Ulrich, because he came to me. They both came to me about
- 22 advice, about basically how to get the raise. Chef Ulrich
- 23 wanted him to get the raise so that he would not be a
- 24 disgruntled employee. So, you know, basically, thereafter, it
- 25 was a follow-up, "Hey, have you heard anything new about Derek

- 1 getting his raise?" Other than that, it would be, "You know,
- 2 Derek is in a really bad mood today because of that raise. I
- 3 wish we could get him that raise, but HR says we can't," you
- 4 know, that sort of thing.
- 5 JUDGE STECKLER: So it was more of the same?
- 6 THE WITNESS: Yah, basically.
- 7 JUDGE STECKLER: Okay.
- 8 Does that answer your question, Mr. Terrell?
- 9 MR. TERRELL: Yes.
- 10 JUDGE STECKLER: Okay.
- 11 Mr. Wiese, I think we've covered it.
- 12 MR. WIESE: Okay.
- 13 Q BY MR. WIESE: Besides your conversations with Chef Ulrich,
- 14 did you have conversations with any other manager about step
- 15 increases?
- 16 A Not a direct conversation, but there was one time where I
- 17 took a break and there happened to be some union members
- 18 picketing outside. So there was a small circle of people,
- 19 maybe, you know, half a dozen of us, including Jeff Burns,
- 20 manager and in charge of engineering. He just kind of shook his
- 21 head, you know, at the people doing the picketing and said, "you
- 22 know, all they've got to do is sign the contract and they'll all
- 23 get their raises."
- JUDGE STECKLER: I'm sorry, who said that?
- 25 THE WITNESS: Jeff Burns, head of Engineering for the

- 1 Marriott.
- JUDGE STECKLER: Okav.
- 3 Q BY MR. WIESE: And do you remember about when this
- 4 conversation -- or when this happened, this event?
- 5 A Yah, it was getting warmer, so this must have been probably
- 6 May.
- 7 MR. WIESE: Could we go off the record for just a minute?
- 8 JUDGE STECKLER: How long is a minute?
- 9 MR. WIESE: One minute.
- 10 JUDGE STECKLER: Okay.
- 11 (Off the record.)
- 12 JUDGE STECKLER: Okay, we're back on the record.
- 13 MR. WIESE: I have no further questions.
- 14 JUDGE STECKLER: Mr. Terrell, do you have questions?
- MR. TERRELL: I do, but I would first like to see all of
- 16 the Jencks affidavits as well as all documents referenced or
- 17 attached referenced in the Jencks affidavits.
- 18 MR. WIESE: So I'm turning over to Mr. Terrell Mr.
- 19 Brandon's Affidavit, which is 10 pages in length, along with e-
- 20 mails that he sent me, all of which have to deal with I believe
- 21 scheduling meetings between the two of us, and they are about
- 22 one line in length. There are it looks like right around 30
- 23 pages of brief e-mail exchanges.
- JUDGE STECKLER: Okay.
- 25 How much time would you like?

- 1 MR. TERRELL: I would like an hour.
- JUDGE STECKLER: An hour?
- 3 MR. TERRELL: Yes.
- 4 JUDGE STECKLER: Okay, if you can shorten that, will you
- 5 let us know?
- 6 MR. TERRELL: Yes.
- 7 JUDGE STECKLER: We'll do an hour. That will be -- 12
- 8 o'clock okay?
- 9 MR. TERRELL: 12:03.
- 10 JUDGE STECKLER: You are being extremely precise, okay.
- 11 Off the record.
- 12 (Off the record.)
- 13 JUDGE STECKLER: Back on the record.
- 14 Mr. Terrell is ready for cross.
- 15 MR. TERRELL: Yes.
- 16 JUDGE STECKLER: I'll remind the witness that he is still
- 17 under oath.
- 18 The witness needs to get back on the stand.
- 19 Mr. Terrell is ready for cross.
- 20 MR. TERRELL: Yes.
- JUDGE STECKLER: I'll remind the witness that he's still
- 22 under oath.
- 23 CROSS-EXAMINATION
- 24 Q BY MR. TERRELL: Are you ready?
- 25 A Yes, sir.

- 1 Q Good afternoon -- or good morning.
- 2 A couple of questions for you. Do you recall your
- 3 testimony concerning the -- you testified the employer was late
- 4 to some of the bargaining sessions. Do you recall that
- 5 testimony?
- 6 A Yes.
- 7 Q And do you recall testifying that, in response to the
- 8 question did the Union object, and you said you could not
- 9 recall. Do you recall that testimony?
- 10 A Yes.
- 11 Q And do you recall testifying if the Union ever -- if the
- 12 employer, excuse me -- you were asked, did the employer ever
- 13 give notice, and do you recall testifying that Nancy Goldman or
- 14 Martin would tell you that management would be late? Do you
- 15 recall that testimony?
- 16 A Yes. Yes.
- 17 O But you never spoke directly with management or anybody in
- 18 management about times of arrival, did you?
- 19 A I mean, I remember Michael coming in and saying, "Hey guys,
- 20 you know, just give us a little bit longer, we'll" --
- 21 Q I'm sorry?
- 22 A I remember Michael coming in, very politely walking in and
- 23 saying, you know, "We'll be with you shortly," you know, just
- 24 that sort of thing.
- 25 Q Okay. It was apparent that neither Nancy Goldman or Martin

- 1 Goff had spoken with somebody in management because they
- 2 reported to you.
- 3 A Yes.
- 4 Q Okay, thank you, sir.
- 5 Ask you a question or two about the pie charts.
- 6 A Mmm-hmm.
- 7 O Okay. You recall, of course, that during the bargaining
- 8 session, if errors were found on the pie charts, somebody on the
- 9 bargaining committee would point that out.
- 10 MR. WIESE: Objection.
- 11 MR. TERRELL: What's the objection?
- MR. WIESE: Speculation -- they would point them out.
- MR. TERRELL: Yes, I --
- 14 JUDGE STECKLER: Are you saying the Union bargaining
- 15 committee would point that out to the team?
- 16 MR. TERRELL: I'm referring to the bargaining sessions, and
- 17 I'm asking -- let me rephrase it just because I lost track of
- 18 how I asked it originally.
- 19 O BY MR. TERRELL: During the bargaining sessions, do you
- 20 recall employees in the bargaining committee room pointing to
- 21 errors in the pie chart?
- 22 A Yes.
- 23 O And isn't it true that when those errors were pointed out,
- 24 they were looked at and reviewed by management at that point in
- 25 time?

- 1 A I believe so. That they were planning on reviewing them
- 2 and presenting new ones the next time.
- 3 Q And isn't it true that when errors were pointed out, that
- 4 management corrected those errors that day?
- 5 A That day?
- 6 0 Yes.
- 7 A I don't believe so.
- 8 Q Isn't it true that if not that day, shortly thereafter?
- 9 A At the next meeting perhaps.
- 10 O Okay. You testified to what you called a not direct
- 11 conversation, but a statement you overheard by Jeff Burns.
- 12 A Yah.
- 13 Q Do you recall that testimony?
- 14 A Yes, sir.
- 15 Q And is -- are we clear on the record that this was not a
- 16 conversation you had with Mr. Burns, but it was just something
- 17 you overheard him say?
- 18 A Yes.
- 19 MR. TERRELL: No further questions, Your Honor.
- 20 JUDGE STECKLER: Let me ask you a little bit about your
- 21 conversation, what you heard from Mr. Burns. How many employees
- 22 were out picketing -- leafleting that day, excuse me?
- 23 THE WITNESS: How many -- you know, it was 15 to 20. If I
- 24 had to approximate, 15.
- JUDGE STECKLER: How far away were you from Mr. Burns at

- 1 the time you heard him say that?
- 2 THE WITNESS: You know maybe 5 feet. It was a little
- 3 circle of people about the size of this little table area right
- 4 here.
- 5 JUDGE STECKLER: How much noise was there outside?
- 6 THE WITNESS: People were picketing, so there was some
- 7 yelling, but it was said closely enough to me that it was very
- 8 clear what he said.
- 9 JUDGE STECKLER: About how loud was his voice?
- 10 THE WITNESS: Loud enough so that it would be heard by
- 11 several people.
- 12 JUDGE STECKLER: Was it conversation level or higher than
- 13 that?
- 14 THE WITNESS: Higher than conversation level, like the
- 15 volume I'm using right now so that all of you will hear me.
- 16 JUDGE STECKLER: And were there other people out on the
- 17 street at the time?
- 18 THE WITNESS: I mean, we weren't necessarily in the street.
- 19 We were in the loading dock, so everyone in this, you know,
- 20 group of people would have heard what he said. The picketers
- 21 would not have heard what he said.
- 22 JUDGE STECKLER: How far away were the picketers?
- 23 THE WITNESS: You know, probably a good 20 feet, if not a
- 24 little bit more. So I would definitely say it was not intended
- 25 for the picketers to hear.

- 1 JUDGE STECKLER: Was he saying it to anybody in particular?
- 2 Was there anyone with him?
- 3 THE WITNESS: No, he was just saying it to the group of us,
- 4 because we were all, obviously, watching the same thing.
- 5 JUDGE STECKLER: Was he looking at you? Looking away? I --
- 6 THE WITNESS: To the best of my recollection, I remember
- 7 him glancing at me, yes.
- 8 JUDGE STECKLER: In regards to that absence on February
- 9 16th --
- 10 THE WITNESS: Mmm-hmm.
- 11 JUDGE STECKLER: -- did you ever ask for any Worker's
- 12 Compensation in relationship to that illness?
- 13 THE WITNESS: No.
- 14 JUDGE STECKLER: I have no further questions at this time.
- 15 If you have any redirect, Mr. Wiese.
- 16 MR. WIESE: Just briefly, Your Honor.
- 17 REDIRECT EXAMINATION
- 18 Q BY MR. WIESE: So Mr. Brandon, Mr. Terrell was asking you
- 19 questions about pie charts at negotiations, and you -- I believe
- 20 you said that you recalled corrections being made to some of
- 21 those pie charts, is that accurate?
- 22 A What I remember was that, you know, the pie charts would be
- 23 different the next time, assumably that they had taken a better
- 24 look at them and made them more accurate.
- 25 Q At negotiations, did -- do you remember the Union taking

- 1 time to go through every single pie chart for every single
- 2 employee that was presented?

3

- 4 MR. TERRELL: Objection: leading.
- JUDGE STECKLER: Can you rephrase a little bit, Mr. Wiese?
- 6 Q BY MR. WIESE: Did the Union go through every pie chart at
- 7 negotiations?
- 8 A No, there were almost 500 employees. No.
- 9 Q Okay.
- 10 JUDGE STECKLER: No further questions?
- 11 MR. TERRELL: No further questions.
- 12 JUDGE STECKLER: Mr. Terrell, do you have any recross?
- 13 MR. TERRELL: Yes -- hold on -- no, no further questions.
- JUDGE STECKLER: Do you guys want to take a lunch break
- 15 here?
- MS. BURGESS: No.
- 17 MR. TERRELL: Do you have a witness?
- JUDGE STECKLER: Do you have one more witness?
- 19 MS. BURGESS: Yes, Your Honor. Can I just -- she should
- 20 be here, if she's not out there right now, in the next couple of
- 21 minutes. It would be great if we could -- her testimony is
- 22 going to be relatively short, less than a half hour. If we
- 23 could get through hers and then we'd be happy to turn over the
- 24 Jencks statements so they could look at them over lunch.
- 25 They're also very short. And then we wouldn't have to waste the

- 1 Court's time and can come back on the record and get busy with
- 2 cross.
- JUDGE STECKLER: Any objection, Mr. Terrell?
- 4 MR. TERRELL: Yes.
- 5 JUDGE STECKLER: Yes?
- 6 MR. TERRELL: Yes.
- JUDGE STECKLER: Oh, that's okay?
- 8 MR. TERRELL: If she's here, if the witness is here, that's
- 9 fine.
- 10 JUDGE STECKLER: Okay.
- In the meantime, sir, you'll get to step down. Do not
- 12 discuss your testimony with anybody until the conclusion of the
- 13 hearing.
- 14 THE WITNESS: Yes, ma'am.
- 15 (Witness excused.)
- 16 (Pause.)
- 17 JUDGE STECKLER: Okay, we're on the record.
- 18 General Counsel may call its next witness.
- 19 MR. WIESE: Yes, Your Honor, General Counsel calls Kelli
- 20 Johnston to the stand.
- JUDGE STECKLER: Ms. Johnston, can you please raise your
- 22 right hand?
- 23 (WITNESS SWORN: KELLI JOHNSTON)
- JUDGE STECKLER: Please state your full name for the
- 25 record.

- 1 THE WITNESS: Kelli Johnston. K-E-L-L-I J-O-H-N-S-T-O-N.
- 2 DIRECT EXAMINATION
- 3 Q BY MR. WIESE: Ms. Johnston, what is your current
- 4 occupation?
- 5 A I'm a banquet server at the Rochester Marriott.
- 6 Q How long have you been a banquet server at the Rochester
- 7 Marriott?
- 8 A Sixteen years.
- 9 Q Have you worked at any other properties besides the
- 10 Rochester Marriott?
- 11 A I also worked the Kahler Grand Hotel. In our department,
- 12 we are able to be scheduled at both hotels for those purposes of
- 13 business.
- 14 Q In the banquet department?
- 15 A Yes.
- 16 O Okay, thanks.
- During that time -- during the 16 years that you've worked
- 18 in the banquet department, how many hours per year have you
- 19 averaged working?
- 20 A Approximately a thousand per year. It does vary.
- 21 Q What do you in your position as a banquet server?
- 22 A We deal with all the special reserved parties, the
- 23 functions, weddings, such as that. We are scheduled ahead of
- 24 time. We come in to set the room up. When the guests arrive,
- 25 we serve the meal. When that's finished, we clean up the room.

- 1 I'm also a bartender there.
- 2 Q Have you worked in any other positions at any of the hotels
- 3 in this bargaining unit, besides banquet?
- 4 A No.
- 5 Q During your time working for the hotels, have you held any
- 6 positions with the Union?
- 7 A Yes.
- 8 0 What positions?
- 9 A I've been on four negotiating committees. I'm also
- 10 currently the Vice-President of the Union. I've been that for
- 11 about two years. Before that, I was trustee for about two
- 12 years, and I've been the Union steward for about five.
- 13 Q And are you the only employee Vice-President in the
- 14 bargaining unit?
- 15 A Yes.
- 16 O And what does that position entail?
- 17 A We have monthly board meetings, checking out any finances,
- 18 any discussion, decisions that might need to be made by the
- 19 Union.
- 20 O And how were you selected to be the Vice-President?
- 21 A I was appointed. We did have a gentleman who had retired
- 22 so they were in need, and being that I was trustee, I was
- 23 appointed up from them.
- 24 Q What about your role as union steward? How long have you
- 25 been a union steward for?

- 1 A About 5 years.
- 2 Q And what do you do as a union steward?
- 3 A Be available if any of the employees have questions about
- 4 something that's going on, be available if somebody wants to
- 5 have their Weingarten Rights in case of a disciplinary action to
- 6 come into a meeting, to observe.
- 7 Q What about your role on the negotiating committee? You
- 8 said you've been in negotiations for 4 contracts, is that right?
- 9 A I believe so, yah.
- 10 O And what is your role in that committee?
- 11 A Our committee goes to all the negotiating meetings, listen
- 12 to, add input if needed. Report back to the employees with --
- 13 if they have questions how things are going.
- 14 Q Were you part of the negotiating committee for these most
- 15 recent negotiations?
- 16 A Yes.
- 17 O And how many negotiating sessions have you attended?
- 18 A Eleven.
- 19 MR. WIESE: Your Honor, the following line of questions is
- 20 in support of Complaint allegation 6(b).
- 21 Q BY MR. WIESE: So, Ms. Johnston, I'd like to talk to you
- 22 about the hours that you were getting in the banquet department
- 23 during negotiations. What were your work hours like in the
- 24 banquet department in January?
- 25 A We had slowed down quite a bit. We weren't really getting

- 1 very many hours at all.
- 2 Q Did you talk to any managers about getting more hours?
- 3 A I had spoke with Kate Uuland.
- 4 Q And when did you speak with Ms. Uuland?
- 5 A End of January.
- 6 Q And where did this conversation take place?
- 7 A In the subway level in front of Heritage Halls.
- 8 O In which hotel?
- 9 A The Kahler Grand.
- 10 O And was anybody else present for that conversation?
- 11 A No.
- 12 Q And what did Ms. Uuland say to you during that
- 13 conversation?
- 14 A She said Ericka at Crossings was looking for -- she had
- 15 been bartending and in need of a bartender, so she would let her
- 16 know.
- 17 Q And what prompted her to say that?
- 18 A I had asked Kate about getting some extra hours in other
- 19 departments.
- 20 O And what did you do after that conversation -- or was that
- 21 the end of the conversation?
- 22 A That was the end of the conversation there.
- 23 O And what did you do after that conversation?
- 24 A I had -- it was either then or the next day I went over to
- 25 Crossings to -- because I had never met Ericka -- went and spoke

- 1 with her. And she said yes they did have a bartender out.
- 2 Q What is The Crossings?
- 3 A It's a restaurant/bar over in the Kahler Inn and Suites.
- 4 Q And you mentioned that you had a conversation with Ericka,
- 5 is that right?
- 6 A Yes.
- 7 O And where was that conversation?
- 8 A In the bar area and then we moved over by her office
- 9 because she needed to grab the work schedule.
- 10 O And what specifically happened during that conversation
- 11 with Ericka, as best as you can recall.
- 12 A She had said, Yah, there was a bartender out," and she had
- 13 looked at the schedule and saw that she did have an opening on
- 14 that Monday, and she would have me work that.
- 15 Q Did you accept that bartending shift?
- 16 A Yes.
- 17 Q And was there anybody else present for that conversation?
- 18 A No.
- 19 Q Did you, in fact, work the bartending shift at The
- 20 Crossings the next Monday?
- 21 A Yes.
- 22 Q And do you recall what day that was you worked?
- 23 A Oh -- not off the top of my head I can't think of the date.
- 24 Sorry.
- 25 Q That's okay.

- 1 Did you get any feedback on how you did in that shift,
- 2 bartending shift, at Kahler Inn and Suites?
- 3 A The next day I worked a function and Kate said she got an
- 4 e-mail back from Ericka and said I had positive remarks, that I
- 5 was a team player.
- 6 Q And when you took this shift at The Crossings, did you have
- 7 to fill out any transfer paperwork?
- 8 A No.
- 9 Q What did you have to do to get the shift?
- 10 A I just spoke with Ericka.
- 11 Q Did you do anything beyond having the conversation with
- 12 Ericka that we just talked about?
- 13 A At that time to get the work shift, no, I had spoke with
- 14 Kate, and then Ericka, and after that, she put me on the work
- 15 shift.
- 16 Q And after you took this bartending shift at The Crossings,
- 17 did the hours in the banquet department improve in February?
- 18 A No.
- 19 O And did you have to file for unemployment at that time?
- 20 A I ended up filing unemployment.
- 21 Q Have you had to file for unemployment in the past?
- 22 A Yah, a few years back.
- 23 Q In February, did you hear about opportunities for more
- 24 hours in other areas?
- 25 A I had spoken to a co-worker, a lady I worked with,

- 1 Michelle, in The Crossings, and said that there was a bartender
- 2 going to be out and he should have hours available there.
- 3 Q Do you remember when in negotiations this would have
- 4 occurred, and actually --
- 5 A Should have been the 26th, I think, of February.
- 6 Q Okay. All right.
- 7 Did you speak with any managers after having that the
- 8 conversation with Michelle?
- 9 A I spoke with Tyler Kase.
- 10 MR. TERRELL: What --
- 11 COURT REPORTER: I'm sorry?
- 12 MR. TERRELL: I heard her -- spoke with Tyler Kase.
- 13 THE WITNESS: Yes.
- 14 Q BY MR. WIESE: When did you speak with Mr. Kase?
- 15 A That day, we had a break during negotiations and I met him
- 16 in the back hall.
- 17 O The back hall of where?
- 18 A Back hall -- we met in Centennial Halls where there were
- 19 some sales offices and phone reservations are at.
- 20 O Was this at the -- which hotel was this at?
- 21 A At the Kahler Grand. I'm sorry.
- 22 Q No, that's fine.
- 23 Was anybody else present for that conversation with Mr.
- 24 Kase?
- 25 A No.

- 1 Q And what happened during that conversation?
- 2 A I mentioned to him that Michelle said a bartender was out
- 3 and he said that would be fine, he would pass the word on. He
- 4 wasn't able to guarantee me hours and that he has to speak with
- 5 the manager in charge.
- 6 Q Who -- do you know who the manager was that he -- or who is
- 7 the manager in charge of The Crossings?
- 8 A That's Ericka.
- 9 Q And what did you do after this -- or did -- excuse me, did
- 10 Mr. Kase say anything else during that conversation that you
- 11 recall?
- 12 A Not that I recall.
- 13 O What did you do after the conversation with Mr. Kase?
- 14 A After that, the day went on. The next day, the 27th, I had
- 15 sent Ericka a text message to let her know just in case she
- 16 didn't get the message.
- 17 Q What were you letting Ericka know?
- 18 A That I heard that there was going to be a bartender out and
- 19 I wanted -- was interested in the hours.
- 20 O Showing you what's been marked as General Counsel Exhibit
- 21 26.
- 22 (Witness proffered document.)
- MR. TERRELL: Hold on a second.
- 24 (Pause.)
- 25 Q BY MR. WIESE: Do you recognize this?

- 1 A Yes.
- 2 0 And what is it?
- 3 A That's a copy from my cell phone text message to Ericka.
- 4 Q Okay. And so this is -- is this a picture of your phone?
- 5 Or what is this?
- 6 A This was forwarded, yah.
- 7 0 Okay.
- 8 MR. WIESE: I'll offer General Counsel Exhibit 26.
- 9 MR. TERRELL: No objection.
- 10 JUDGE STECKLER: GC 26 is admitted.
- 11 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 26.)
- 12 Q BY MR. WIESE: Did you ever get a response from Ericka to
- 13 your text on February 27th?
- 14 A No.
- 15 Q Did you ever -- did you text Ericka again about those
- 16 bartending hours?
- 17 A On March 9th, I did. I found out from Michelle that Derek
- 18 Shot, a junior, another bartender, was working over there at
- 19 that time.
- 20 O And when did you find that out from Michelle?
- 21 A That was on March 9th during negotiations again.
- 22 Q And who is this banquet -- who is Derek Shot?
- 23 A He is an employee over at the Kahler in the banquet
- 24 department.
- 25 Q Does Mr. Shot hold any positions in the Union?

- 1 A No.
- 2 Q Is he on the bargaining committee?
- 3 A No.
- 4 Q What did you do after you got the information about Mr.
- 5 Shot having the -- having worked the bartending hours at The
- 6 Crossings?
- 7 A Well, I had sent Ericka another text, and then I had also
- 8 ran into Tyler again and asked him what was up.
- 9 Q When was that conversation -- you're talking about Tyler
- 10 Kase?
- 11 A Yes.
- 12 Q When was that conversation with Mr. Kase?
- 13 A That was again in the back hall. We had taken a break and I
- 14 was going out to have a cigarette.
- 15 Q And was anybody else present for that conversation?
- 16 A Hannah Michaels.
- 17 MR. TERRELL: Who?
- 18 THE WITNESS: Hannah Michaels.
- 19 Q BY MR. WIESE: And what do you recall from that
- 20 conversation with Mr. Kase?
- 21 A Well, I had told him I heard that Derek was working the
- 22 hours. He told me that I was not getting any hours because I
- 23 had said that I would not work night shifts.
- 24 Q When he said that you weren't getting hours because you
- 25 wouldn't work nights, how did you respond?

- 1 A I was a little shocked. I had never said that before. I
- 2 told him, "No, I've never said it."
- 3 Q Do you, in fact, work nights as a banquet server at the
- 4 Marriott?
- 5 A Yes.
- 6 Q How often?
- 7 A Approximately two days a week. Again, that varies.
- 8 Q Besides the one shift that we talked about in The Crossings
- 9 in January, did you work any other bartending shifts at The
- 10 Crossings?
- 11 A No.
- 12 O And after not getting hours in the bartending area at The
- 13 Crossings, did you look for work in other areas of the hotels?
- 14 A I spoke with -- I found out housekeeping was short a house
- 15 person and I had spoke with Crystal about that.
- 16 Q Who is Crystal?
- 17 A She's a manager in housekeeping at the Rochester Marriott.
- 18 Q And when did you talk with Crystal?
- 19 A Approximately beginning of April, maybe mid-April.
- 20 O And where did that conversation -- are we talking about
- 21 Crystal Adcox?
- 22 A Yes.
- 23 O When did -- where did that conversation take place?
- 24 A In the housekeeping area at the Marriott.
- 25 Q Was anyone else present for that conversation?

- 1 A No.
- 2 Q And what do you remember being said during that
- 3 conversation?
- 4 A I just said that I was interested. I had heard about us
- 5 being short a house person, and she said, "Yah, that would
- 6 probably be great." They'd had people on overtime. She said I
- 7 had to fill out a, I believe it was called a "path," it's a
- 8 piece of paper saying -- requesting hours in a different
- 9 department.
- 10 Q And after -- are you familiar with the path? Do you know
- 11 what that is?
- 12 A I -- that's the first time I've ever filled it out.
- 13 Q So after your conversation with Ms. Adcox, did you fill out
- 14 paperwork to work in the housekeeping department at the
- 15 Marriott?
- 16 A Yes, that day I went over.
- 17 Q And where did you fill that paperwork out?
- 18 A At Human Resources at the Kahler.
- 19 O Who did you turn that paperwork into?
- 20 A I'm not sure of her name. It was just a gal that sits at
- 21 the desk in there.
- 22 Q And when you got the transfer paperwork, who did you get it
- 23 from?
- 24 A From the same lady.
- 25 Q Did you ever receive any housekeeping hours at the Marriott

- 1 after filling out that transfer paperwork?
- 2 A No.
- 3 Q Did you have any further conversations with anyone about
- 4 getting hours in the housekeeping department after filling out
- 5 that transfer paperwork?
- 6 A I had spoke with Zach. He's a house person. He's --
- 7 MR. TERRELL: Zach?
- 8 THE WITNESS: I don't know Zach's last name off-hand.
- 9 MR. TERRELL: Z-A-K or Z-A-C-K?
- 10 THE WITNESS: Z-A-C-K or Z-A-C-H, possibly.
- 11 MR. TERRELL: Okay.
- 12 THE WITNESS: He had come by and he said, "Hey, where are
- 13 you at?" he said. "How come you haven't trained with us yet?"
- 14 And I said I didn't think that there was any hours available,
- 15 and he said, "No," he said, "I'm on overtime getting kind of
- 16 tired," and then he -- we went back and he showed where -- how
- 17 their -- their schedule is different than ours, but he had
- 18 showed me where they overlap a little bit, or not overlap but
- 19 they're short the hours as a house person.
- 20 O BY MR. WIESE: And did you get any house -- or first of
- 21 all, did you say when this conversation with Zach occurred?
- 22 A Oh, would have been probably maybe a couple weeks after I
- 23 filled the paperwork out.
- 24 Q And did you get any housekeeping hours at the Marriott
- 25 after your conversation with Zach?

- 1 A No.
- 2 O So after you worked -- I'd like to talk about the
- 3 bartending shift for a second at The Crossings that you worked.
- 4 After you worked that shift in January, did you ever tell anyone
- 5 that you did not want to go back to working at The Crossings?
- 6 A No.
- 7 Q Did you ever -- were you ever offered any bartending shifts
- 8 at any other restaurants?
- 9 A No.
- 10 O What about Salute, or Salute'?
- 11 A Salute'? No, I wasn't. As far as I know they were full
- 12 staffed down there.
- 13 Q Have you ever told any managers or have you ever declined a
- 14 shift because it's been too busy?
- 15 A No.
- 16 MR. TERRELL: Objection: leading.
- JUDGE STECKLER: Can you rephrase, Mr. --
- 18 MR. TERRELL: Well --
- 19 JUDGE STECKLER: She's already answered.
- 20 MR. TERRELL: She's already answered it, but I would just
- 21 ask that he not lead so much, please.
- 22 Q BY MR. WIESE: Aside from the first shift you worked at The
- 23 Crossings, were you ever offered any additional shifts at that
- 24 restaurant?
- 25 A No.

- 1 Q How long have you worked as a bartender for?
- 2 A Twenty-six years. Since I was 18.
- 3 Q And as a bartender --
- 4 MR. WIESE: Nothing further.
- 5 MR. TERRELL: Jencks affidavits, all of them, and any
- 6 attachments or documents referenced therein.
- 7 (Pause.)
- 8 MR. WIESE: I'm handing over to Respondent's counsel Kelli
- 9 Johnston's affidavit. It is seven pages in length, along with
- 10 two scheduling e-mails between myself and Ms. Johnston.
- 11 MR. TERRELL: So an hour for lunch, give me an extra
- 12 fifteen minutes to cover what we need to do.
- JUDGE STECKLER: Well, yesterday we took forty-five minutes
- 14 for lunch, so --
- MR. TERRELL: Yes, well, appreciate also we're hearing so
- 16 much evidence for the first time. We have other work to do to
- 17 get ready to put on our case. So I'm just asking for an hour
- 18 and fifteen minutes.
- 19 JUDGE STECKLER: Let's make it an hour, and if you need
- 20 more, come back and we'll address it, how's that?
- 21 MR. TERRELL: Okay.
- 22 JUDGE STECKLER: So let's synchronize our watches, 1:25,
- 23 yes.
- 24 (At 12:25 p.m., the hearing in the above-entitled matter
- 25 recessed for lunch, to resume at 1:25 p.m.)

- 1 JUDGE STECKLER: We're back on the record after lunch.
- While were out, Mr. Stokes came in and advised that Mr.
- 3 Terrell needed about ten extra minutes, which we gave, so that's
- 4 why we're getting back on the record now.
- 5 Ms. Johnston, I'll have to remind you that you're under
- 6 oath, so Mr. Terrell, you may proceed.
- 7 MR. TERRELL: Thank you.
- 8 CROSS-EXAMINATION
- 9 O BY MR. TERRELL: Good afternoon.
- 10 You testified in the beginning to your experience you've
- 11 worked at the Marriott for 16 years.
- 12 A Yes.
- 13 Q Primarily as a server, but you've also done some
- 14 bartending.
- 15 A I'm senior bartender.
- 16 Q In the banquet department.
- 17 A Yes.
- 18 Q What percentage of your time over the 16 years was server
- 19 work and what percentage of time would you estimate was
- 20 bartender -- banquet bartender work?
- 21 A Oh, it's a little hard because it varies. I guess I'd have
- 22 to say maybe 60/40, 60 being server, 40 being bartender. My
- 23 earlier years, first 5 to 10 years, were a lot more bar shifts.
- 24 Q And you do banquet work at other properties and businesses
- 25 around town as well, don't you?

- 1 A No.
- 2 O You -- do you ever work for Canadian Honker?
- 3 A No. I had worked one function one time for the Ramada
- 4 Hotel and that was it.
- 5 O That was it. When was that?
- 6 A Three -- 4 years ago. It was quite a while ago.
- 7 Q Okay. There's nothing to prevent you from picking up hours
- 8 at these other places in town, is there?
- 9 A No.
- 10 Q When you do bartending in the banquets department, or
- 11 bartending for a preset function, the bar is certainly smaller,
- 12 so it's a moveable, portable bar typically, is it not?
- 13 A Yes.
- 14 Q And it's a smaller bar than the bar that you find in
- 15 Crossings or Salute' in the other fixed bars in the hotel,
- 16 that's true, right?
- 17 A Smaller lengthwise, yes.
- 18 Q And also fewer drinks. Not as many drinks are typically
- 19 served from a bartender from a banquet bartender bar, correct?
- 20 A No.
- 21 Q You don't -- isn't it true that in a restaurant like -- in
- 22 a bar restaurant like Crossings or Salute', which is in
- 23 Marriott, right?
- 24 A Crossings is Kahler Inn and Suites, Salute' is in Marriott,
- 25 yes.

- 1 Q Isn't it true that the range of drinks, mixed drinks
- 2 offered to the public in those bars is greater than what is
- 3 typically offered in a bartender -- in a banquet bartender
- 4 situation?
- 5 A The range of liquor, yes.
- 6 O Okay, and the range of mixed drinks as well, correct?
- 7 A The -- yes, if you're talking the amount of concoctions I
- 8 would say, yes.
- 9 Q And I'm not suggesting you don't have this knowledge, I'm
- 10 just asking this question about the different types of bars, and
- 11 so to work in a bar like Crossings at the Kahler Inns and Suites
- 12 or Salute' in the Marriott, to work there you have to have the
- 13 knowledge of how to make all the drinks that those bars offer to
- 14 the customers, correct?
- 15 A You'd be trained in if you didn't have it, but, yes,
- 16 there's a larger range.
- 17 O Okay. And I'm not suggesting that you don't know how to do
- 18 that, I'm just asking about the differences between those bars
- 19 and the bars you typically work in the banquet situation. Okay.
- 20 You had never worked for Ericka Scrabeck before the time that
- 21 you worked the shift with her that you testified about.
- 22 A No.
- 23 O And you didn't know her otherwise.
- 24 A No.
- 25 Q When you met her on that occasion that you worked in her

- 1 bar, was that the first time that you'd ever met her?
- 2 A Yes.
- 3 Q And so when you went in to work -- and you mentioned that
- 4 you have to be trained in when you work at a bar like that, and
- 5 that's what you were doing on that occasion when you worked that
- 6 shift in The Crossings bar, you were being trained, right?
- 7 A I was under no supervision, no.
- 8 Q Well, you testified -- you recall testifying that you spoke
- 9 with Kate Uuland, I believe the next day, and Kate informed you
- 10 that she got a report back from Ericka to the effect that your
- 11 performance had been fine.
- 12 A Yes.
- 13 Q Do you recall that testimony?
- 14 A Yes.
- 15 Q Okay, so there was obviously some observation of you.
- 16 Maybe you were working unsupervised, but your performance was
- 17 measured and observed, correct?
- 18 A Oh, yes.
- 19 Q And did you train on drinks? Did you have to review the
- 20 menu and make sure you knew the recipes before working in that,
- 21 or in the course of working that shift did you learn what drinks
- 22 were to be served and how to prepare them?
- 23 A There were a couple items they had on the -- like a special
- 24 flyer that they were having there and I went through those on my
- 25 own, yah.

- 1 Q Okay. So that was -- we could call that a training shift?
- 2 A Mmm-hmm.
- 3 Q Is that a "yes."
- 4 A I suppose.
- 5 0 Is that a "yes."
- 6 JUDGE STECKLER: I don't think --
- THE WITNESS: We disagree on it because I worked -- like I
- 8 said, within -- I was trained for approximately one hour. After
- 9 that I was on my own. I went to the customers myself. A
- 10 typical training with a waitress would be you go with another
- 11 server and you help them, observe them, until you know -- so
- 12 other than that, no, I've taken tables alone, I waited on
- 13 customers.
- 14 Q BY MR. TERRELL: And this was a Monday, right?
- 15 A Yes.
- 16 Q It was during the day.
- 17 A Yes.
- 18 Q So the bar wasn't very busy or crowded at that time, was
- 19 it?
- 20 A No.
- 21 Q And you did not fill out a transfer request or any HR
- 22 paperwork before doing that shift, is that correct?
- 23 A Correct.
- 24 Q Now you then testified that some time later you had a
- 25 conversation with Kate and you testified that Kate said that

- 1 Ericka said you did not fill out transfer paperwork relating to
- 2 other shifts. Do you recall giving that testimony?
- 3 MR. WIESE: Objection. Miss-states prior testimony.
- 4 JUDGE STECKLER: What --
- 5 Q BY MR. TERRELL: Do you recall having a conversation after
- 6 you worked that shift in Crossings, do you recall having a
- 7 conversation with Kate Uuland again about getting other work in
- 8 The Crossings bar, or getting other shifts?
- 9 A I don't remember if it was with Kate. I found out from
- 10 Michelle in negotiations that a bartender was going to be out,
- 11 there was hours available.
- 12 Q Right. And you testified that Ericka, at some point, said
- 13 to you that -- or excuse me, that someone said to you that
- 14 Ericka said you did not fill out the paperwork?
- 15 A No.
- 16 MR. WIESE: Objection.
- 17 MR. TERRELL: All right, the record will speak for itself.
- 18 I'm asking the witness --
- 19 JUDGE STECKLER: Right, because I don't recall that
- 20 testimony either, so.
- 21 MR. TERRELL: All right.
- 22 Q BY MR. TERRELL: When did you -- well, strike that.
- 23 You were told by Crystal Adcox -- when you were seeking a
- 24 position in the housekeeping department, you were told by
- 25 Crystal Adcox that you needed to fill out some paper in Human

- 1 Resources office, do you recall that?
- 2 A Yes.
- 3 Q Okay. And you were told at a previous -- on a previous
- 4 occasion, were you not, that you needed to get paperwork filled
- 5 out from the HR department.
- 6 MR. WIESE: Objection: vague.
- 7 Q BY MR. TERRELL: Were you told previously that you needed
- 8 to fill out paperwork from the HR department?
- 9 JUDGE STECKLER: Mr. Terrell --
- 10 THE WITNESS: No.
- 11 JUDGE STECKLER: Well -- I was going to ask for a
- 12 clarification there.
- MR. TERRELL: Previous to the conversation with Crystal
- 14 Adcox.
- JUDGE STECKLER: About which job?
- 16 MR. TERRELL: Any job.
- 17 THE WITNESS: No. That's the only time I've been told I
- 18 should have to fill out a paperwork.
- 19 MR. TERRELL: All right.
- 20 O BY MR. TERRELL: And then you testified that you spoke with
- 21 Taylor -- Tyler Kase on February 26, do you recall that
- 22 testimony?
- 23 A Ah, yah.
- 24 Q And you recall testifying and you brought the exhibit with
- 25 you of a text message to Ericka Scrabeck, do you recall that

- 1 testimony?
- 2 A Yes.
- 3 Q And do you have that exhibit in front of you?
- 4 A Yes.
- 5 Q It's Exhibit GC 26 and am I reading this correctly as I
- 6 look at it, that you sent her a text message on February 27 and
- 7 on March 9?
- 8 A Yes.
- 9 Q And I see Ericka's name at the top. Could you read into
- 10 the record the phone number that you had in your phone for
- 11 Ericka?
- 12 A 507-202-2156.
- 13 Q And that's the number you had stored in your phone?
- 14 A Yes.
- 15 Q And when you sent these text messages to Ericka you used
- 16 that number.
- 17 A Yes.
- 18 Q Okay.
- 19 JUDGE STECKLER: Ms. Johnston, did you know if her number
- 20 had changed at any time?
- 21 MR. TERRELL: I'm sorry, Your Honor, I can't hear your
- 22 question.
- JUDGE STECKLER: I'm sorry.
- Ms. Johnston, did you know if, at any time, her number had
- 25 changed during that period?

- 1 THE WITNESS: No.
- JUDGE STECKLER: Okav.
- Go ahead, Mr. Terrell. I'm sorry I interrupted.
- 4 MR. TERRELL: What's that?
- 5 JUDGE STECKLER: I'm sorry I interrupted. Go ahead.
- 6 MR. TERRELL: That's all I have, Your Honor.
- 7 JUDGE STECKLER: Any redirect?
- 8 REDIRECT EXAMINATION
- 9 Q BY MR. WIESE: How many years have you been bartending, Ms.
- 10 Johnston?
- 11 A In my lifetime --
- 12 MR. TERRELL: Objection: asked and answered.
- 13 THE WITNESS: Twenty-six.
- 14 MR. TERRELL: Twenty-six.
- 15 JUDGE STECKLER: I think she's already testified to 26
- 16 years experience.
- 17 Q BY MR. WIESE: How many of those years have you spent -- or
- 18 have you spent any of that time bartending at a full bar?
- 19 A Oh, yes, most of my life.
- 20 O And the texts that you sent that are referenced in General
- 21 Counsel Exhibit 26, did you receive any messages back saying
- 22 that those text messages hadn't been delivered or were
- 23 undeliverable?
- 24 A No.
- 25 MR. WIESE: Nothing further.

- 1 JUDGE STECKLER: Any recross?
- 2 RECROSS-EXAMINATION
- 3 Q BY MR. TERRELL: You said that most of your life you have
- 4 worked full bars, but wasn't that really during the first 10
- 5 years then after that you mostly worked as banquet bartender,
- 6 correct?
- 7 A No, during the time of employment with Rochester Marriott,
- 8 I also worked for the Stewartville American Legion bartending.
- 9 Q The what?
- 10 A Stewartville American Legion.
- 11 Q Okay.
- 12 A Bartending out there, and doing janitorial work as well.
- 13 O Okay.
- MR. TERRELL: Thank you.
- JUDGE STECKLER: Any further questions?
- 16 MR. TERRELL: No further questions.
- 17 JUDGE STECKLER: Ms. Johnston, you're excused, however
- 18 please do not discuss your testimony with anyone else until the
- 19 hearing is over.
- 20 THE WITNESS: Okav.
- JUDGE STECKLER: Thank you.
- THE WITNESS: Do you want me to take this?
- JUDGE STECKLER: No, you can leave it there, our trusted
- 24 Court Reporter will take care of it.
- 25 JUDGE STECKLER: Thank you.

- 1 (Witness excused from stand.)
- 2 MS. BURGESS: I'll go get the other witness.
- JUDGE STECKLER: Okay.
- 4 (Pause.)
- 5 JUDGE STECKLER: Good afternoon.
- 6 (WITNESS SWORN: KELLY SCHROEDER)
- 7 JUDGE STECKLER: Thank you.
- 8 Please state your name for the record.
- 9 THE WITNESS: Kelly Schroeder.
- 10 MR. TERRELL: I'm sorry, could we get spelling of your last
- 11 name, please?
- 12 THE WITNESS: Yes. S-C-H-R-O-E-D-E-R. Kelly, K-E-L-L-Y.
- 13 DIRECT EXAMINATION
- 14 O BY MR. WIESE: Good afternoon, Ms. Schroeder.
- 15 A Hi.
- 16 Q What is your current occupation?
- 17 A I'm a barista, and a barista trainer at Starbuck's.
- 18 Q How long have you -- or which Starbuck's?
- 19 A In the Kahler Grand.
- 20 O How long have you been a barista at the Kahler Grand?
- 21 A For 4 and one-half years.
- 22 Q What do you do in that position?
- 23 A I make coffee, clean, I train other employees, stock.
- 24 Q Have you worked at any other positions at any of the hotels
- 25 here, either the Kahler Grand, or the Marriott, Residence Inn,

- 1 or Kahler Inn and Suites?
- 2 A Yes.
- 3 Q Which positions have you worked?
- 4 A I have worked as a server and a bartender in the Lord
- 5 Essex. I have also worked as a server and a bartender in the
- 6 Martini's, and I worked as a server in the Grand Grill. I have
- 7 also worked functions, and I've also worked phones and food
- 8 running in room service.
- 9 Q Which hotels have you worked at?
- 10 A Only the Kahler Grand.
- 11 Q When did you start working at the Kahler Grand?
- 12 A October 8th -- October 13, 2008.
- 13 Q During your time working at the Kahler Grand, have you held
- 14 any positions with the Union?
- 15 A Yes.
- 16 Q What positions?
- 17 A I was a trustee for two or three years up until March of
- 18 2015, when I became a Board member, and I also started -- I'm
- 19 doing my first contract negotiations this year as well.
- 20 O Your current role as a Union Board Member, what does that
- 21 entail?
- 22 A I go to the meetings, review the minutes and the
- 23 financials, and then I also kind of talk to other -- my co-
- 24 workers about what is going on with the Union, important
- 25 information.

- 1 Q So you also mentioned that you're on the bargaining
- 2 committee. What do you do in that role?
- 3 A I show up and I take notes and I just make sure that
- 4 everything as far as, you know, the old contract is -- changes
- 5 that weren't meant to be put into the new proposals, things like
- 6 that, that they weren't changed on accident, those sorts of
- 7 things.
- 8 Q How many bargaining sessions have you attended as a member
- 9 of the negotiating committee?
- 10 A I went to all of them, and that was eleven.
- 11 MR. WIESE: Your Honor, the following line of questions is
- in support of Complaint allegations 12(i) and (j).
- 13 O BY MR. WIESE: So, Ms. Schroeder, I'd like to talk to you
- 14 about the bulletin boards. So where are bulletin boards located
- 15 in the Kahler Grand?
- 16 A They are located in all of the departments, or most of the
- 17 departments.
- 18 Q Does that include the Starbuck's where you work?
- 19 A Yes.
- 20 O Can you name some of the other departments where there are
- 21 bulletin boards?
- 22 A There's a bulletin board in the cafeteria, there is one in
- 23 Lord Essex, there's a shared one between room service and the
- 24 kitchen, there is one that is shared between Martini's and the
- 25 Grand Grill, there is one in functions, and there is one in

- 1 housekeeping, and there is one in maintenance, and those are the
- 2 only ones that I'm aware of in the Kahler.
- 3 Q Prior to this most recent round of negotiations starting in
- 4 -- this most recent round of negotiations, what was your
- 5 understanding of the past practice regarding Union materials on
- 6 the bulletin boards at the Kahler hotel?
- 7 A I thought it was normal.
- 8 Q Did you see Union materials on these bulletin boards?
- 9 A Very frequently.
- 10 O Which ones of the ones that you've mentioned?
- 11 A In the departments that I've worked at, also because, you
- 12 know, we work there, you see them, so any time that you would
- 13 walk by one, I mean, they would just be there. They were in
- 14 Lords Essex, they were in the Grand Grill, Martini's one, they
- 15 were in the room service one, they've been in Starbuck's one,
- 16 they've been in functions ones.
- 17 MR. TERRELL: Excuse me, Tyler, what allegation of the
- 18 complaint are you targeting?
- 19 MR. WIESE: I thought we were talking about 12 (i) and (j),
- 20 but --
- 21 MR. TERRELL: 12(j). I don't see 12 (i) in the this
- 22 exchange.
- 23 MR. WIESE: Okav.
- 24 Q BY MR. WIESE: Okay, let's talk about the Starbuck's
- 25 bulletin boards specifically.

- 1 Prior to these negotiations, how often would you see Union
- 2 materials on that Starbuck's bulletin board?
- 3 A Very often, at least once a month.
- 4 Q Have there been other non-union materials on the Starbuck's
- 5 bulletin board?
- 6 A Yes.
- 7 O Like what?
- 8 A All of the employee's phone numbers are on there, the
- 9 company would put up the Kahler Care Keys, which is just like
- 10 their daily little happy bulletin board posting that they post
- 11 every day. We would have our safety bingo would be up there, we
- 12 have had -- our one manager would put up quotes for us every
- 13 day.
- MR. TERRELL: What was that?
- 15 THE WITNESS: Quotes, like sayings.
- 16 MR. TERRELL: Oh. Okay.
- 17 THE WITNESS: We keep our Jimmy John's menu up there.
- 18 We've had Girl Scout flyers up there, things that are kind of
- 19 important to the people that work in the department.
- 20 O BY MR. WIESE: And have you posted any materials on that
- 21 bulletin board in Starbuck's?
- 22 A I have.
- 23 O How many times?
- 24 A At least twice.
- 25 Q And when was the first time that you did it?

- 1 A Around -- I don't know the date for sure -- but during the
- 2 beginning of the contract negotiations.
- 3 Q So are you talking in 2015?
- 4 A Umm, yes. There was also one in 2014, that I'm aware that
- 5 I posted as well.
- 6 0 What about the one in 2014?
- 7 A That just -- well -- I posted one in 2014.
- 8 Q And then I believe you said you posted one at the beginning
- 9 of negotiations in 2015?
- 10 A Yes.
- 11 Q Was there another one after that?
- 12 A There was one, but it wasn't -- it was Union material, yes,
- 13 I did.
- 14 O And do you recall about when that was?
- 15 A I don't remember the dates.
- 16 O Okay. Who typically posts Union materials on the bulletin
- 17 boards?
- 18 A Linda Henry.
- 19 Q Now how long have the -- when you see Union flyers on the
- 20 bulletin board in Starbuck's, how long have they stayed up there
- 21 for?
- 22 A Typically, they would stay up there until, you know, it's
- 23 past the date that something was happening, like a meeting or
- 24 something, and then you know anybody would just take it down and
- 25 throw it, you know, if it's a past event.

- 1 Q So if there was a meeting in May, let's see, a posting for
- 2 a meeting in May, how long would that stay up for?
- 3 A You know a couple days after it. I would typically pull
- 4 them down, you know, after the meeting is over.
- 5 O Have things with regards to Union flyers on the bulletin
- 6 board -- has that changed since these negotiations have started
- 7 in 2015?
- 8 A Yes.
- 9 Q And how has it changed?
- 10 A They've been coming down.
- 11 Q When did you first notice this happening?
- 12 A It actually started in 2014.
- 13 O Oh, okay. What happened in 2014?
- 14 A In September of 2014, we extended the contract for 6
- 15 months, so around that time we started having meetings to kind
- 16 of let the members know what was going on. So I obviously am
- 17 aware that they're going to be put up because, you know, I go to
- 18 the Board meetings and I talk to Linda Henry. So she would say,
- 19 you know, "Did you see the flyer" --
- 20 MR. TERRELL: Objection. Objection: eliciting hearsay,
- 21 Your Honor. She's about to testify to something Linda Henry
- 22 told her for the truth of the matter asserted concerning the
- 23 issue of Union notices coming down.
- JUDGE STECKLER: She can testify to the circumstances, sir,
- 25 and what prompted her action. So I'm going to overrule the

- 1 objection.
- 2 Go ahead. You may answer. Do you need the question again?
- 3 THE WITNESS: No.
- 4 JUDGE STECKLER: Okay.
- 5 THE WITNESS: So she would ask if I had seen the bulletin
- 6 boards, and I would say that I have not, and she would say,
- 7 "Well, I'm going to post another one." I would see them then,
- 8 you know, a day or a couple days later, and then they would be
- 9 vanished. I mean they would just disappear again.
- 10 O BY MR. WIESE: Was this different than how things had been
- 11 in the past Starbuck's bulletin boards?
- 12 A Yes.
- 13 O How?
- 14 A Because I'm the one who pulled them down, and I only pulled
- 15 them down till after, you know, it's unnecessary to keep up
- 16 there anymore.
- 17 O And did this continue to happen into 2015?
- 18 A Yes. It started happening again.
- 19 Q Okay. And when did it start happening again?
- 20 A As soon as the negotiations started again or shortly after
- 21 the negotiations started again.
- 22 Q Were there ever any occasions where you saw a manager with
- 23 the Union flyer?
- 24 A Yes.
- 25 Q In that manager's possession?

- 1 A Yes.
- 2 Q When did that happen?
- 3 A That happened in April, I believe or May, of this year.
- 4 Q And what do you remember happening that day?
- 5 A I was working on the bar, I was making drinks, it was
- 6 really busy. I saw Linda Henry come in. I knew she was coming
- 7 in, we had talked about it I believe a week prior at our
- 8 meeting, and so I knew she was coming in. She walked to the
- 9 back room, she left maybe a minute later, I kept working. About
- 10 maybe fifteen minutes later, I saw Mary Kay Costello walk past
- 11 me. I obviously didn't see exactly where she went, but when she
- 12 walked past me again leaving the room she was actually holding
- 13 the Union flyer and it was pinned to her leg, and I could
- 14 clearly see at the top where it says "Unite HERE" in the red
- 15 letters.
- 16 Q Was Ms. Costello acting differently than she normally does
- 17 when she was in Starbuck's that day?
- 18 A Yes.
- 19 0 How so?
- 20 A She is the kind of person who is just very, very friendly.
- 21 She's a very bubbly person. She has never, in my life, except
- 22 for that time, ever not acknowledged my presence. She always
- 23 says, "Hi," she always asks, "How are you today, how are you
- 24 doing, how are things going?" She'll ask about my husband or my
- 25 children, she's a very, very friendly person, so it was really

- 1 odd for her to just completely kind of ignore me. Even when
- 2 it's busy, she always will at least say hello. She's a polite,
- 3 nice person.
- 4 Q Have you ever seen a manager with a Union flyer in
- 5 Starbuck's in the past?
- 6 MR. TERRELL: Sir?
- 7 Q BY MR. WIESE: Had you ever seen a manager with a Union
- 8 flyer in the Starbuck's in the past?
- 9 A Prior to that, no.
- 10 O What did you do after you saw Ms. Costello walk out of the
- 11 Starbuck's with the Union flyer?
- 12 A I waited for a little bit of a lull, so then I was able to
- 13 take my break. I went to the back room and saw, you know, that
- 14 it was, in fact, gone off of the board. I then called Linda
- 15 Henry, and I said, "I just saw Mary Kay walk out with a flyer"
- 16 and then Linda was -- sounded like she was crying, she was very
- 17 upset. She said that she had just gotten yelled at and told
- 18 that she was no longer going to be able to access --
- 19 MR. TERRELL: Objection. Hearsay.
- 20 JUDGE STECKLER: She can testify to what she told her, and
- 21 it could be present state of mind, so I'm going to allow it and
- 22 I'll give it the weight it deserves.
- 23 MR. TERRELL: We object it coming in for the truth of any
- 24 matter asserted, however.
- 25 JUDGE STECKLER: You'll get a running objection.

- 1 MR. TERRELL: Thank you.
- JUDGE STECKLER: Is that okay?
- 3 MR. TERRELL: Yes.
- 4 JUDGE STECKLER: Okay.
- 5 I'm sorry, Ms. Johnston, could you -- before you tell me
- 6 what happened with Ms. Henry I do have a question. Where in
- 7 Starbuck's is this bulletin board?
- 8 THE WITNESS: It's actually in a back room. So we have
- 9 like our main kind of café area where we make all of our drinks.
- 10 It's, you know -- there's a whole wall separating it, so I mean
- 11 you have to -- it's like a separate room. There's a door. It's
- 12 not open to the public. I mean you actually have to walk into a
- 13 door to access that board.
- 14 JUDGE STECKLER: Okay.
- 15 And now you were telling us about your conversation with
- 16 Ms. Henry.
- 17 THE WITNESS: Yes.
- 18 JUDGE STECKLER: Okay, please continue.
- 19 THE WITNESS: She was really upset. She had told me that
- 20 she was pretty much chewed out and that she was not going to be
- 21 able to access any of the bulletin boards. She would not be
- 22 allowed to walk in any of the common spaces, which would
- 23 essentially mean that she could not post in any of them,
- 24 including the actual Union bulletin board because you can only
- 25 get to that from accessing a public space.

- 1 MR. TERRELL: Your Honor, we move to strike the testimony
- 2 to the extent that it is offered for the truth of the matter
- 3 asserted, that these things the witness just described were said
- 4 to Linda Henry.
- 5 JUDGE STECKLER: And --
- 6 MR. WIESE: Well, may I respond?
- 7 JUDGE STECKLER: Go ahead, Mr. Wiese.
- 8 MR. WIESE: I mean, well for one, this is just
- 9 corroborating testimony that's going to be coming in later this
- 10 afternoon. It's, as you mentioned, I believe it's present sense
- 11 impression of what had occurred with Ms. Henry and --
- 12 JUDGE STECKLER: Well, I think Mr. Terrell I gave you the
- 13 running objection at the beginning. I understand you're
- 14 renewing your objection at this time.
- 15 MR. TERRELL: Yes.
- 16 JUDGE STECKLER: So we'll continue running. And if you
- 17 want to argue on the brief about what weight I should give it, I
- 18 would welcome the argument.
- 19 MR. TERRELL: Certainly. Thank you.
- 20 O BY MR. WIESE: So, actually I had a follow-up question
- 21 after the Judge's question, what -- how often have -- did you
- 22 see -- or have you seen Mary Kay Costello in Starbuck's?
- 23 A Frequently enough.
- 24 Q So when you say "frequently," like how often? Just best
- 25 estimate.

- 1 A I had seen Mary Kay in Starbuck's at least probably ten or
- 2 fifteen times ordering coffee.
- 3 Q Mmm-hmm.
- 4 A Otherwise, she's been in Starbuck's coming to a couple
- 5 times meet up with Michael Henry, who sometimes gets her coffee
- 6 for her, and then she's been down there a few other times just
- 7 meeting with other people -- I don't know who they are -- or,
- 8 you know, in our store meetings she's been present to -- for
- 9 sure one of our, like, just employees-only store meeting to kind
- 10 of take notes and stuff for that.
- 11 Q Okay. So did the topic -- moving past that incident in
- 12 April -- or March -- did the topic of bulletin boards come up at
- 13 any negotiating sessions that you attended?
- 14 A They did.
- 15 Q And do you recall about when that came up in negotiations?
- 16 A Yes, it was, you know -- I don't remember the date exactly.
- 17 O Okay.
- 18 A It was, I believe, between the months of March and May.
- 19 Q All right, tell us what you remember happening at
- 20 negotiations.
- 21 A So we had -- I had brought it up to Martin and Nancy when
- 22 they got there about how Linda had been treated, and what had
- 23 been happening. And after the day's negotiating was finished,
- 24 Brian and Michael Henry and Nancy started having their own
- 25 personal conversation. Everybody in the room was all having

- 1 their own conversations, and they started discussing -- they
- 2 were kind of right behind me -- and they started discussing, you
- 3 know, what I had brought up in the beginning of the day. And
- 4 Michael Henry said he didn't know anything about it, and that,
- 5 you know, this was the first he was hearing about it, and really
- 6 just denying that he really knew that any of this had even
- 7 happened at all. And so I decided at that point to get up and
- 8 insert myself in the conversation, and I said, "You know. You
- 9 know exactly what's going on. You guys are being "f'ing"
- 10 shady." And I had yelled it. And the whole room stopped talking
- 11 and the whole room got up and kind of gathered around him and
- 12 kind of waited for a response from him.
- 13 Q And do you remember if Mr. Henry had any response after you
- 14 said that?
- 15 A He did, but I don't remember what it was. I had a really
- 16 big adrenaline rush. I have never really yelled at a superior
- 17 before and it kind of just -- my mind kind of shut down.
- MR. WIESE: So, Your Honor, the following line of questions
- 19 is in support of Complaint allegation 5(f).
- 20 O BY MR. WIESE: Have you heard any managers talk to any of
- 21 your co-workers about step increases under the contract?
- JUDGE STECKLER: Just one moment.
- I just want to make sure you guys are not witnesses. The
- 24 two people there -- okay? Thank you.
- 25 Go ahead, Mr. Wiese. Would you please repeat?

- 1 MR. WIESE: Yes.
- 2 Q BY MR. WIESE: Ms. Schroeder, have you ever heard any
- 3 managers talk to any employees about step increases?
- 4 A Yes.
- 5 O And when have you heard this?
- 6 A June 19, 2015.
- 7 Q And where did that -- where were you when this incident
- 8 took place?
- 9 A Human resources.
- 10 Q And why were you in human resources that day?
- 11 A I was trying to get my check figured out. I wasn't being
- 12 paid the appropriate overtime based on my premium wage that I
- 13 was being paid for my work.
- 14 O What happened when you arrived at the HR office?
- 15 A I went in. I wasn't really able to be helped at that time,
- 16 but I had kind of sat in there for a while just waiting for an
- 17 answer, and then the door was open to Mary Kay's office, and
- 18 Michael Henry and Mary Kay were having a discussion with one of
- 19 the Marriott housekeepers.
- 20 O And where were you when this discussion was taking place?
- 21 A There is a kind of a -- there is like the HR office, and
- 22 that is actually in the middle of two other offices, so Mary
- 23 Kay's office is connected and then Chad Decker's office is
- 24 connected, and so their doors to, you know -- from the main room
- 25 to each of those rooms, and then each of those rooms also have

- 1 their own exit as well as Human Resources into a common, like,
- 2 hallway.
- 3 Q And so -- and where were you during this?
- 4 A I started off in the middle HR office. And then at the
- 5 end of it, I actually ended up in the hallway.
- 6 Q What did you hear Mr. Henry and Ms. Costello saying to the
- 7 employee during this conversation?
- 8 A Well, the employee had asked -- was expressing her concern
- 9 that she had not received her wage increase yet, her step
- 10 increase, and Michael Henry said that "We don't have a contract
- 11 right now, the Union will not agree to this offer that's a very
- 12 fair offer, you know, you really need to call Brian and tell him
- 13 that he needs to accept everything. This is a great proposal,
- 14 everything is really, really competitive," and then at some
- 15 point the conversation switched to, "You know, you'd be really,
- 16 really good management material, have you ever thought of
- 17 becoming a supervisor?" And they kind of just kept saying
- 18 pretty much the same things over and over again. And I listened
- 19 to the conversation for a good fifteen minutes, and then my
- 20 break was up so I mean I had to get going.
- 21 Q Was the door to Ms. Costello's office open during that
- 22 conversation?
- 23 A Inside of HR that was, yes.
- 24 Q And what about the door to the hallway?
- 25 A The immediate door to the hallway was shut, but there are

- 1 slots underneath the door, however there is another door that
- 2 separates those two parts of the hallway, that was open, so --
- 3 Q Could you clearly hear what was being said?
- 4 A I could clearly hear what was being stated, yes.
- 5 O When you were in the main room?
- 6 A Yes.
- 7 O And what about in the hallway?
- 8 MR. TERRELL: Objection: leading.
- 9 THE WITNESS: I could hear everything. There were several
- 10 doors that were open. I mean everything was clear. Half the
- 11 conversation I heard I was in the hallway.
- 12 JUDGE STECKLER: I have a question.
- 13 Kelli, did you feel like you were kind of listening in a
- 14 little bit.
- 15 THE WITNESS: I did.
- 16 JUDGE STECKLER: Okay.
- 17 Go ahead.
- 18 Q BY MR. WIESE: Were you interested in the topic of step
- 19 increases at that time?
- 20 A Very much so.
- 21 Q Why?
- 22 A Well, I kind of feel like, you know, with what was being
- 23 said, I really felt that it was my duty to explain to her that
- 24 the contract that the company had proposed was not fair, and it
- 25 was not good. I really wanted to set the record straight. That

- 1 was really, really important for her to hear, I thought, from
- 2 somebody who was actually on the same level that she is. You
- 3 know, we're on the same level.
- 4 Q Is this why you waited?
- 5 A It is. And I would also like to say that if they wanted to
- 6 have a private conversation they could have shut three doors.
- 7 There was only one door out of four shut. They could have shut
- 8 three of them.
- 9 Q And so how long were you listening to this conversation
- 10 for?
- 11 A Fifteen minutes at least, I'd say -- 15, almost twenty.
- 12 O And who did most of the talking during that conversation?
- 13 A Mostly, Michael Henry, but Mary Kay did talk too.
- 14 Q And what did you do after that conversation -- or after
- 15 leaving?
- 16 A After leaving, I texted Brian immediately and told him
- 17 exactly what had happened and exactly what was heard.
- 18 MR. WIESE: All right, Your Honor, the following line of
- 19 questions is in support of Complaint allegation 12(a).
- 20 O BY MR. WIESE: Ms. Schroeder, at the contract negotiations
- 21 that you attended, did the employer ever show up late to any of
- 22 those sessions?
- 23 A Yes.
- 24 Q How many times?
- 25 A I'd say at least half.

- 1 Q And how late were they?
- 2 A It could be anywhere from 10 minutes to, you know, almost a
- 3 couple hours.
- 4 Q Do you ever recall any Union representatives objecting to
- 5 the employer showing up late at these sessions?
- 6 A I do.
- 7 0 Who do you recall?
- 8 A Nancy.
- 9 Q And what would -- are you talking about Nancy Goldman?
- 10 A Yes.
- 11 Q What would Ms. Goldman say?
- 12 A She was very colorful about it. She would tell them every
- 13 single session that "You're wasting our time," a little more
- 14 colorfully than that, but she --
- JUDGE STECKLER: Go -- if you recall, could you give us the
- 16 exact words? We don't care if it's bad language.
- 17 THE WITNESS: Verbatim, I don't remember what exactly she
- 18 said, but it was basically to the tune of, "You're wasting
- 19 everybody's time," and "You have everybody out of here working
- 20 why can't you just show up on time," you know --
- 21 Q BY MR. WIESE: Do you know whether the employer ever
- 22 provided notice that it was going to be late at any of these
- 23 sessions?
- 24 A I think a couple of them in the morning they said they were
- 25 printing, you know they were making some copies, things like

- 1 that, that would normally be, you know, it's like, okay, you're
- 2 going to make a couple of copies but, you know, being a couple
- 3 hours late coming in with, you know, five or six or ten copies
- 4 for twenty-two people to share, that doesn't really seem
- 5 excusable to me.
- 6 O Were you in the bargaining room when you were told that
- 7 they were going to making copies?
- 8 A Yes.
- 9 Q Are there ever any occasions where you remember the
- 10 employer's negotiators leaving early without telling the Union?
- 11 A Yes.
- 12 MR. TERRELL: Objection: foundation.
- 13 For the part of the question about leaving early without
- 14 telling the Union they were leaving early, she -- a foundation
- 15 needs to be established that she knows this.
- 16 JUDGE STECKLER: Why don't we break it up?
- 17 Was there a time when the -- that you recall that the
- 18 employer left negotiations early?
- 19 THE WITNESS: Yes.
- 20 JUDGE STECKLER: Okav.
- 21 Mr. Wiese, you can pick it up.
- 22 Q BY MR. WIESE: What happened that time? Or when was that,
- 23 first?
- 24 A I don't --
- 25 Q Or actually, here let me -- see if this will help you out.

- 1 (Witness proffered document.)
- 2 O So this is Joint Exhibit --
- 3 MR. TERRELL: Your Honor, what's -- I don't know what he's
- 4 showing her and --
- 5 MR. WIESE: It's Joint Exhibit 1, Mr. Terrell.
- 6 MR. TERRELL: Okay.
- 7 But you must first establish that there is a need to
- 8 refresh recollection before showing --
- 9 MR. WIESE: The exhibit is in evidence.
- 10 MR. TERRELL: It's in evidence, but you're showing it to a
- 11 witness, and you -- if the purpose of giving it to her is to
- 12 refresh recollection, you must first establish that her
- 13 recollection needs to be refreshed. And there hasn't been
- 14 testimony to establish that.
- MR. WIESE: May I respond, Your Honor?
- 16 JUDGE STECKLER: Please.
- 17 MR. WIESE: The exhibit is in evidence. She's allowed to
- 18 look at the evidence that's in the record. She hasn't responded
- 19 specifically when the date is. I just asked -- I was in the
- 20 process of asking that question, and then I wanted to show her
- 21 evidence that's already in the record about when the bargaining
- 22 dates were. It doesn't say anything on that stipulation about
- 23 which sessions the employer left early, it's just a way for her
- 24 to be specific about when the sessions were.
- 25 JUDGE STECKLER: I think it goes to the credibility of the

- 1 witness, witness' recollection of dates, so I'll weight it based
- 2 on that.
- 3 I'm sorry, Mr. Wiese, go ahead.
- 4 Q BY MR. WIESE: So you can look at Joint Exhibit 1 if you'd
- 5 like -- this has the dates that the parties have agreed to that
- 6 negotiations took place.
- When do you recall the employer leaving negotiations early?
- 8 A April 28th.
- 9 Q And what do you recall happening that day?
- 10 A They had left -- I need to think for a minute here.
- 11 Q No, that's fine.
- 12 A Okay.
- 13 (Pause.)
- 14 A So they had left to make some copies. They were going to
- 15 make copies for everybody in the session. We waited for, I
- 16 believe, 2 hours, maybe two and a half hours, for them to
- 17 return. Actually at the beginning of that day, they were told -
- 18 I was there when they were told that Martin and Nancy had to
- 19 be gone by 5:00 that evening. And they -- actually it was 5:15
- 20 or 5:30, I believe, and you know, the Union said "We're going to
- 21 stop this. We're not going to wait around for them any longer."
- 22 And then when Martin and Nancy were at the elevators leaving,
- 23 the company had showed up with two copies of the contract that
- 24 they were proposing, only two. They gave one to Nancy and they
- 25 gave one to Brian Brandt.

- 1 O Do you remember who handed those contract offers to the
- 2 Union representatives?
- 3 A I didn't see it, but I was told.
- 4 Q Okay. Is it your understanding that the contract offer
- 5 that the Union representatives received that day was the
- 6 employer's --
- 7 MR. TERRELL: Objection: leading.
- 8 O BY MR. WIESE: -- last, best, and final offer?
- 9 MR. TERRELL: Objection: leading.
- 10 JUDGE STECKLER: Please rephrase.
- 11 Q BY MR. WIESE: If you are aware, what contract offer was it
- 12 that the Union representatives were handed that day?
- MR. TERRELL: Objection: leading.
- 14 She hasn't testified that it was a contract offer.
- JUDGE STECKLER: I thought she -- what documents did they
- 16 receive that day?
- 17 THE WITNESS: That day, they just received another contract
- 18 like any other contract they have every single -- almost every
- 19 single negotiation session that we had gotten a brand new
- 20 contract.
- JUDGE STECKLER: How were you made aware that it was a
- 22 contract?
- 23 THE WITNESS: I was told. Brian Brandt came back into the
- 24 room. Martin and Nancy left. Brian came back in. All the
- 25 negotiation members were still in there.

- 1 MR. TERRELL: Move to strike: hearsay.
- 2 JUDGE STECKLER: I think it's present sense impression.
- 3 It's just what she was told and what happened afterwards.
- 4 So let me -- so was Brian -- was it Brian that came in and
- 5 told you that?
- 6 THE WITNESS: Mmm-hmm.
- 7 JUDGE STECKLER: What happened after that -- was it Brian?
- 8 THE WITNESS: Yes.
- 9 JUDGE STECKLER: She said "yes." I'm sorry, it doesn't
- 10 pick up "Mmm-hmm's" and "Uh-huh's" very well. So Brian came in,
- 11 and what happened after that?
- 12 THE WITNESS: Then we all left. We all went home. We were
- 13 going to set up some more dates to negotiate again. That was
- 14 it. That was it, there was no -- nothing. It was just, we are
- 15 going to meet again.
- 16 JUDGE STECKLER: And how -- if you recall, how long were
- 17 you waiting?
- 18 THE WITNESS: Two, two and a half hours for them to come
- 19 back into the room.
- 20 JUDGE STECKLER: And why do you remember April 28th
- 21 specifically?
- 22 THE WITNESS: It was the last negotiation session that we
- 23 had until the most -- the very last one that we had. So we
- 24 waited several months in between, so we had this one, and then
- 25 after that they all -- nothing happened. And then the company

- 1 decided to come back in September to negotiate some more.
- 2 JUDGE STECKLER: Mr. Wiese, you may continue.
- 3 MR. WIESE: Okay.
- 4 All right. So, Your Honor, the following line of questions
- 5 is in support of Complaint allegations 12( c ) and (d).
- 6 Q BY MR. WIESE: Ms. Schroeder, do you remember the employer
- 7 presenting pie charts at negotiations?
- 8 A I do.
- 9 Q And how many sets of pie charts did you receive?
- 10 A We received six pie charts per Union employee for of the
- 11 hotels, so boxes and boxes and boxes of pie charts.
- 12 Q Is it your understanding that these pie charts represented
- 13 the employer's wage offer?
- 14 A Yes.
- 15 Q Why is that?
- 16 A Because on them they showed percentage increases throughout
- 17 the years of, I guess, the contract term that they were
- 18 proposing.
- 19 Q And did you have a chance to review your pie chart?
- 20 A I did.
- 21 Q And were there issues with your pie chart?
- 22 A There were.
- 23 O What were they?
- 24 A My base pay was wrong to start. There were -- I mean
- 25 specifically that was what was wrong at the very, you know, the

- 1 bottom level. My wage was wrong. They had it that I was being
- 2 paid more than what I'm actually getting paid as my actual wage.
- 3 Q Were there any other issues with the pie charts that you
- 4 saw?
- 5 A Yeah, in it, they had what it was the "TSWTRW" total wage -
- 6 I don't know. It -- they had on there for every employee
- 7 bereavement pay, jury duty; they had -- I mean -- I'm -- I don't
- 8 know. It was like Chinese. I mean, it didn't make any sense.
- 9 It really didn't make any sense. I didn't understand how that,
- 10 you know, it's not -- were they writing every individual
- 11 person's pie chart into the contract because I thought that
- 12 that's what we were doing, and that's what the contract
- 13 negotiations were to negotiate a contract, and a pie chart's not
- 14 going in a contract.
- 15 Q And did any of the Union representatives ever raise any of
- 16 the objections -- these objections at the table?
- 17 A Yeah.
- 18 Q Who?
- 19 A Brian did. Nancy certainly did.
- 20 Q And did the employer attempt to explain any more about
- 21 these pie charts?
- 22 A They did.
- 23 0 Who did that?
- 24 A Leslie did. Leslie tried to explain it.
- 25 Q And how did that go?

- 1 A Not well.
- 2 Q Could you elaborate?
- 3 A She sounded like after the first time that Nancy spoke to
- 4 her -- I know Nancy's kind of, you know, an aggressive kind of
- 5 person. Leslie is not. She kind of sounded like she was almost
- 6 going to start crying even though it wasn't, you know, mean,
- 7 it's just Nancy's kind of a loud, you know, kind of person. But
- 8 Leslie wasn't really able to even answer the questions as to why
- 9 because I don't really think there was a "why". I don't think
- 10 the "why" was ever explained.
- 11 Q Do you remember Nancy raising a lot of objections about
- 12 these pie charts?
- 13 A Yes.
- 14 MR. WIESE: Nothing further.
- 15 JUDGE STECKLER: Mr. Terrell, cross?
- MR. TERRELL: I'd like to see all of the Jencks affidavits,
- 17 and anything attached to reference.
- MR. WIESE: Seven page affidavit -- yes, 7-page affidavit,
- 19 looks like about 20 pages of bargaining notes, and one one-line
- 20 e-mail.
- 21 MR. TERRELL: Twenty pages of bargaining notes. I'm going
- 22 to need about an hour for this, Your Honor.
- 23 MR. WIESE: Your Honor --
- JUDGE STECKLER: Let me ask Mr. Terrell, how's the
- 25 handwriting on the bargaining notes?

- 1 MR. TERRELL: I'm sorry?
- JUDGE STECKLER: How's the handwriting on the bargaining
- 3 notes?
- 4 MR. TERRELL: It's not too bad.
- 5 JUDGE STECKLER: Legible?
- 6 MR. TERRELL: It's not too bad.
- JUDGE STECKLER: Okay, you didn't go to medical school, did
- 8 you?
- 9 THE WITNESS: No, ma'am.
- 10 JUDGE STECKLER: Okay, good news.
- 11 An hour? How about forty-five and give me a holler at that
- 12 time and see how you've progressed.
- 13 MR. TERRELL: Okay. Thank you.
- JUDGE STECKLER: Okay, thank you.
- So we'll reconvene by 3:15.
- We will be off the record.
- 17 (Off the record.)
- 18 JUDGE STECKLER: We're back on the record.
- 19 Ms. Schroeder has taken her seat. Ms. Schroeder, please
- 20 remember that you are still under oath. Mr. Terrell, are you
- 21 ready for your cross?
- MR. TERRELL: Yes.
- 23 CROSS-EXAMINATION
- 24 O BY MR. TERRELL: Good afternoon.
- 25 A Hi.

- 1 MR. TERRELL: I want to first ask the witness some
- 2 questions about the bargaining notes that she took that I was
- 3 handed. Of course, I only have one copy, so I need to approach
- 4 the witness so I can ask her questions about the document.
- 5 JUDGE STECKLER: Okay, unless General Counsel has an extra
- 6 copy that they can put in front of her.
- 7 MR. WIESE: We do, Judge.
- 8 MR. TERRELL: I may still need to approach the witness at
- 9 points because the pages aren't numbered.
- 10 JUDGE STECKLER: Okay.
- MR. TERRELL: Maybe we could do that now? Might make
- 12 things easier.
- 13 MR. WIESE: Well, what would you like to do?
- JUDGE STECKLER: How many pages are there?
- MR. TERRELL: I haven't counted them, but I could hand-
- 16 write the numbers on here --
- 17 JUDGE STECKLER: Okay.
- 18 MR. TERRELL: -- for the one that will go into evidence.
- 19 JUDGE STECKLER: Do you want to admit it as evidence.
- 20 MR. TERRELL: Maybe. I'm not sure. If it does go into
- 21 evidence, we would certainly want to.
- JUDGE STECKLER: Certainly. Can we all start numbering?
- MR. WIESE: Mmm-hmm.
- JUDGE STECKLER: We'll go off the record for about one
- 25 minute so everybody can start numbering.

- 1 (Off the record.)
- 2 JUDGE STECKLER: Back on the record.
- 3 In the mean time, the parties numbered --
- 4 MR. TERRELL: Sorry?
- JUDGE STECKLER: I'm just clarifying on the record that the
- 6 parties have numbered three copies of Ms. Schroeder's bargaining
- 7 notes.
- 8 MR. TERRELL: Okay.
- 9 JUDGE STECKLER: And you said that you wanted to question
- 10 her about that, Mr. Terrell.
- 11 MR. TERRELL: Yes, and I'll hand her one of the numbered
- 12 copies. They have 47 pages.
- 13 (Witness proffered document.)
- 14 Q BY MR. TERRELL: Ms. Schroeder, these bargaining notes that
- 15 we've placed in front of you, is all of this in your
- 16 handwriting?
- 17 A I can take a minute to look through it for you.
- 18 0 Sure.
- 19 (Pause.)
- 20 A No, it's not.
- 21 Q What's not in your handwriting?
- 22 A There are -- me and Leah Riley were writing to each other
- 23 back and forth and so those were in there just as --
- Q Okay, where in this document is handwriting by Leah Riley?
- 25 A Page --

- 1 Q Refer to the page numbers.
- 2 A Page 25 and 26.
- 3 Q Okay, let me -- let me ask you, on page 25, the top half of
- 4 that page, the handwriting appears to be larger, is that her
- 5 handwriting?
- 6 A Actually, everything on the page is her handwriting except
- 7 for two statements I wrote.
- 8 Q Which are your two statements?
- 9 A I wrote "Everywhere is", and "It's not that great", and
- 10 "And our managers are fucking idiots".
- 11 Q Okay. But everything else on this particular page is her
- 12 handwriting?
- 13 A Correct.
- 14 Q And page 26, there's just one, two, three, four, five
- 15 words. Whose handwriting is that?
- 16 A That is hers.
- 17 O That's hers. Okay. Is there anybody else's handwriting on
- 18 any other page other than yours?
- 19 A Only the numbers that you guys numbered.
- 20 O Okay. All right. Now --
- 21 A That I see.
- 22 Q I'm sorry?
- 23 A That I see for right now, I didn't notice anything else.
- 24 Oh, and my name on the very first page was, I think, written by
- 25 someone in order to keep them separate from somebody else's.

- 1 Q Where it says "Kelli 'S'"?
- 2 A Mmm-hmm.
- 3 O Someone else wrote that.
- 4 A Yeah.
- 5 Q But the names above, it looks like "Mike", "Charissa",
- 6 "Paul", "Chad", "Mary Kay", you wrote those names?
- 7 A Correct.
- 8 Q And are those names of other persons who were attending
- 9 this particular session?
- 10 A Correct.
- 11 Q And over the top left corner there are two dates -- what
- 12 appear to be dates -- that are legible and it looks like there's
- 13 a date above that that maybe was cut off by the photocopying,
- 14 but the dates I can see are 2/5 and 2/13. Are those dates?
- 15 A Yes.
- 16 0 What do those dates indicate?
- 17 A To -- I don't exactly recall, but I believe they were
- 18 sessions attended or I believe that they were the sessions
- 19 attended.
- 20 O Well, the notes on the rest of page one, are these notes
- 21 from a session that you were actually sitting in?
- 22 A Yes.
- 23 O And what session was that?
- 24 A I don't know, it's not dated.
- 25 Q Would it have been on February 13 or after February 13?

- 1 A I believe so.
- 2 Q Okay. So you were not in the January 20 meeting or the
- 3 January 29 meeting?
- 4 A I was at all the meetings.
- 5 O You sure about that?
- 6 A I thought I was at all of the meetings that we were all
- 7 present for, all of the negotiation members. I went to all of
- 8 the meetings.
- 9 Q You testified under oath an hour ago that you attended
- 10 eleven meetings. Do you recall that testimony?
- 11 A I do.
- 12 Q Do you recall giving an affidavit to the National Labor
- 13 Relations Board on June 29 of this year?
- 14 A I do.
- 15 Q Isn't it true that at that time -- do you recall that when
- 16 you gave that affidavit you were giving your statement under
- 17 oath at that time?
- 18 A I do.
- 19 Q Just like you're giving your statement under oath today.
- 20 A Yes, sir.
- 21 Q Isn't it true that you testified at that time that you had
- 22 only attended six meetings?
- 23 A I believe it was six to eight, but yes I'm -- it is correct
- 24 that that is what I answered.
- 25 Q So you testified under oath that you attended six, eight,

- 1 or eleven. Which is it?
- 2 A In my --
- 3 MR. WIESE: Objection: argumentative. I mean her testimony
- 4 speaks for itself.
- 5 MR. TERRELL: I'm asking a question. She's testified to
- 6 three different numbers.
- 7 JUDGE STECKLER: What's your best recollection on the
- 8 number of sessions that you attended?
- 9 THE WITNESS: To be honest, ma'am, I have no idea. I have
- 10 three children. I have two jobs. I have a very, very busy life.
- 11 I work opposite shifts from my husband keeping things, tracked,
- 12 you know -- it's kind of hard for me to recall exact numbers.
- MR. TERRELL: Well, do you --
- JUDGE STECKLER: Let me ask one more question, Mr. Terrell.
- 15 MR. TERRELL: Okay.
- 16 JUDGE STECKLER: If that's the case, what made you say
- 17 eleven in the beginning?
- 18 THE WITNESS: I know that there have been eleven sessions
- 19 and I know that I've attended all of the sessions that have
- 20 been, you know, open for us to attend, all the negotiation
- 21 committee members. What I had said in June is accurate. If
- 22 that is what I believed was the truth then, that is what I
- 23 believed was the truth then. Obviously some things aren't
- 24 exactly crystal clear.
- 25 Q BY MR. TERRELL: So is it now your testimony you only

- 1 attended six meetings?
- 2 JUDGE STECKLER: I think that was --
- 3 THE WITNESS: No.
- 4 JUDGE STECKLER: I think that was "no."
- 5 THE WITNESS: I testified today that I attended eleven, all
- 6 the sessions, but --
- 7 Q BY MR. TERRELL: Are you aware that all of the sessions,
- 8 with the exception of the September session, that all of the
- 9 sessions that took place occurred before you gave this affidavit
- 10 in June?
- 11 A Yes.
- 12 Q And, in June, which was closer in time to the sessions --
- 13 MR. WIESE: Mr. Terrell, could you tell me what you're
- 14 pointing to in the affidavit?
- 15 MR. TERRELL: Yes.
- 16 On page 2, line four, and five, and six.
- 17 MR. WIESE: Okay.
- 18 Q BY MR. TERRELL: In June, when you gave this affidavit, it
- 19 was closer in time to your actual attendance at the bargaining
- 20 sessions, correct?
- 21 A Correct.
- 22 Q And your memory would have been better in June than it is
- 23 today, correct?
- 24 A That was my daughter's birthday, so, no, not necessarily.
- 25 There's a lot going on in June.

- 1 Q Well, ma'am, I understand you have a busy life. It is
- 2 important for you to be as accurate and to testify honestly as
- 3 you started out when you responded to the Judge. Honestly, you
- 4 said you were busy and you weren't sure, but these are important
- 5 matters to the people in this room, and we need you to be
- 6 accurate and honest.
- 7 So was it six meetings or was it eleven?
- 8 A I attended every session, every session.
- 9 Q Okay. You didn't take any notes, though, on January 20 or
- 10 January 29, did you? You're not sure if you took the ones here
- 11 on the 13th or the 5th or whenever.
- 12 A As you can see they're not really dated.
- 13 Q Well you do have dates in here. So on the first page,
- 14 again, we have the ambiguous date references in the top left
- 15 corner. And I'd like you to flip through and show me the next
- 16 date. I will tell you what date I find. On page 9, I see a
- 17 date reference to 2/13/15, February 13, 2015. Do you see that?
- 18 A Yes.
- 19 O Are we to understand that the notes that follow after your
- 20 entry of 2/13/15 are notes you took on February 13, 2015?
- 21 A I would assume so. I put the date there.
- 22 Q Okay. Well, I can only assume. You know, because these
- 23 are your notes, right?
- 24 A Yes, sir.
- 25 Q And are we to -- am I to assume -- I'm asking you for what

- 1 you know -- I'm the one making an assumption and I'm asking for
- 2 you to correct it or affirm it. Am I correct in assuming that
- 3 the pages that precede page 9 were taken by you at a previous
- 4 bargaining session?
- 5 A Yes, they did.
- 6 Q So the first eight pages is one bargaining session, right?
- 7 A Yes.
- 8 MR. WIESE: Objection.
- 9 THE WITNESS: I believe so.
- 10 MR. WIESE: That mischaracterizes the testimony.
- MR. TERRELL: No, I don't think it does. She said pretty
- 12 clearly that all the pages preceding page 9 were taken at one
- 13 bargaining session, right?
- 14 THE WITNESS: These might not have been in order. I don't
- 15 really know. I can't honestly answer that.
- 16 Q BY MR. TERRELL: On page 9 you did, though, handwrite the
- 17 date entry 2/13/15, right?
- 18 MR. WIESE: Objection: asked and answered.
- 19 MR. TERRELL: Well, I'm just laying a foundation for my
- 20 next question.
- JUDGE STECKLER: Laying a foundation, go ahead, Mr.
- 22 Terrell.
- You can answer, please.
- 24 THE WITNESS: On page 9 I did write the date, yes.
- 25 Q BY MR. TERRELL: All right. And then the next page, page

- 1 10, the date at the top is 2/26. Is that your handwriting?
- 2 A Yes, sir.
- 3 Q And that indicates that this is the date of this meeting
- 4 for the notes that follow that date entry, is that correct?
- 5 A Correct.
- 6 Q And then we go to page 17. And the date -- I see a date
- 7 entry at the top right corner, Friday February 27, is that
- 8 correct?
- 9 A Correct.
- 10 Q Is that your handwriting?
- 11 A Yes, sir.
- 12 Q And are the notes on this page, that follow from this page,
- 13 taken on that date of February 27?
- 14 A Yes, sir.
- 15 Q The next page I see is page 23. That is the next page with
- 16 a date entry that I see is page 23, please you look at it
- 17 yourself and see if I'm correct in stating this, the next date
- 18 entry is March 16, 2015 on page 23, is that correct?
- 19 A Correct.
- 20 O And if you would continue flipping through until we hit
- 21 another date. I'll tell you the first one I see. If you see
- 22 one sooner, let me know. The next one I see is page 34, and
- 23 that's March 24, is that correct?
- 24 A Correct.
- 25 Q So is that your handwriting?

- 1 A Yes.
- 2 O So the notes that follow March 24 are your notes from the
- 3 bargaining session on March 24, is that correct?
- 4 A Correct.
- 5 Q The next date entry I see is on page 40, and that date
- 6 entry appears to be April 16, 2015, is that your handwriting?
- 7 A Correct.
- 8 Q And are these notes that follow that date entry, the notes
- 9 that you took on that day?
- 10 A Yes.
- 11 Q The next and last date entry I see if April 28, which is on
- 12 page 46. Is that your handwriting?
- 13 A Yes, sir.
- 14 Q And is that the next date entry?
- 15 A Yes, sir.
- 16 O And the notes here that follow are the notes that you took
- 17 on April 28.
- 18 A Yes, sir.
- 19 Q Now, I noticed that, in going through these dates, there
- 20 were only two dates that were back to back, and that was
- 21 February 26 and February 27. Do you agree with that?
- 22 A No, I don't believe so.
- 23 Q You agree that February 26 --
- 24 A Oh, February.
- 25 Q -- and February 27 are back to back days, correct?

- 1 A Yes, I do.
- 2 Q Isn't it true that -- to the best of your knowledge, to the
- 3 best of your attendance, isn't it true that those were the only
- 4 two days in the bargaining throughout in which the parties met
- 5 for two consecutive days?
- 6 MR. WIESE: I'm going to object, Your Honor. I mean we
- 7 have a stipulation that has the bargaining dates on here.
- 8 MR. TERRELL: This is foundation for another question, Your
- 9 Honor.
- 10 JUDGE STECKLER: Okay.
- 11 THE WITNESS: Sure. I mean I don't know. I don't know.
- 12 Q BY MR. TERRELL: So, isn't it true that, to the best of
- 13 your knowledge, that there were only two days of bargaining that
- 14 were held consecutively back to back days?
- 15 A To the best of my knowledge, I can't answer that question.
- 16 O Well you've got the document in front of you. Are there
- 17 any dates in the document in front of you that reflect back to
- 18 back consecutive days of bargaining?
- 19 A I can't answer that question.
- 20 0 Why not? It's right there in front of you.
- 21 A I don't know. I don't see dates.
- 22 Q Well we just went through the dates. We identified that
- 23 dates and you testified that you hand wrote those dates, and you
- 24 testified you hand wrote those dates on the dates of the
- 25 bargaining.

- 1 MR. WIESE: I'm going to object, Your Honor. I mean we
- 2 went through every single date in this contract proposal. The
- 3 document speaks for itself.
- 4 MR. TERRELL: Your Honor, I would appreciate counsel not
- 5 making speaking objections that are intended to coach the
- 6 witness.
- 7 JUDGE STECKLER: Well I understand the nature of his
- 8 objection. It's --
- 9 Ms. Schroeder?
- 10 THE WITNESS: Yes.
- 11 JUDGE STECKLER: Based on the testimony you just gave about
- 12 your document, when you went through the dates, can you recall
- 13 any dates being back to back other than 2/26 and 2/27 of this
- 14 year?
- 15 THE WITNESS: Can I recall it? Not really. I don't even
- 16 honestly recall these two being back to back. There's just --
- 17 it's in my notes, so these obviously were back to back. I don't
- 18 --
- 19 JUDGE STECKLER: Are you saying you don't have an
- 20 independent recollection?
- 21 THE WITNESS: I don't have an independent recollection of
- 22 it.
- JUDGE STECKLER: Does that help a little bit, Mr. Terrell?
- MR. TERRELL: That's her answer. That's her answer.
- 25 Q BY MR. TERRELL: Isn't it true that the company, quite a

- 1 few times, asked for back to back bargaining dates, and that
- 2 that request was refused by the Union?
- 3 MR. WIESE: Objection: foundation.
- 4 JUDGE STECKLER: I think it's cross. I'll go ahead and let
- 5 --
- 6 MR. TERRELL: Plus this witness testified she is a trustee
- 7 and very active, and that she said she attended all the
- 8 bargaining sessions.
- 9 Q BY MR. TERRELL: So my question to you is, isn't it true
- 10 that the company, the employer, the hotel, isn't it true that
- 11 they asked repeatedly for back to back meetings to facilitate
- 12 bargaining and the Union refused, with one exception, February
- 13 26 and 27. Isn't that true?
- JUDGE STECKLER: Let me ask -- Mr. Terrell, can I ask a
- 15 question about your question? I know. Very unique
- 16 circumstances. Are you saying that in bargaining, these
- 17 requests were made?
- 18 MR. TERRELL: Yes.
- 19 JUDGE STECKLER: Okay.
- 20 MR. TERRELL: That's the premise of my question.
- JUDGE STECKLER: Okay.
- MR. TERRELL: I'm asking this witness if she is aware of
- 23 that.
- JUDGE STECKLER: Okay, well it didn't -- you didn't say
- 25 that it was during the bargaining sessions because there could

- 1 have been written communications as well, but there --
- 2 MR. TERRELL: In any --
- 3 JUDGE STECKLER: Just for a point of clarification.
- 4 Q BY MR. TERRELL: In any way, shape or form, were you made
- 5 aware that the company requested, whether in writing or
- 6 verbally, were you made aware that the company requested back to
- 7 back consecutive days of bargaining to facilitate bargaining,
- 8 and that those requests were denied, with one exception,
- 9 February 26 and 27? Were you aware of that?
- 10 A Yes.
- 11 Q And you were aware -- okay. I'll leave it right there.
- Now, I want to ask you a few questions about what I find in
- 13 these notes. The first several sessions that you have here,
- 14 there was a lot of talk about the TCS contract.
- 15 A Correct.
- 16 Q Is that a yes?
- 17 A Yes.
- 18 Q The Textile Care Services.
- 19 A Yes.
- 20 O And, in fact, Textile Care Services personnel were
- 21 attending those sessions, correct?
- 22 A Yes.
- 23 O Are you aware that subsequently in the summer of 2015 that
- 24 Nancy Goldman, Martin Goff, Arch Stokes, who is sitting here
- 25 with me, met and agreed on a collective bargaining agreement --

- 1 separate collective bargaining agreement for Textile Care
- 2 Services?
- 3 A Yes.
- 4 Q Did you attend any of those sessions?
- 5 A For TCS?
- 6 Q Yes.
- 7 A Separately?
- 8 O Yes.
- 9 A No.
- 10 O You didn't attend those sessions?
- 11 A No -- no.
- 12 Q But you aware that a contract was successfully reached
- 13 there, in those negotiations.
- 14 A Yes.
- 15 Q Are you aware that pie charts were attached to, and made a
- 16 part of, those -- the collective bargaining agreement that was
- 17 reached at Textile Care Services?
- 18 MR. WIESE: Objection, Your Honor: relevance.
- 19 MR. TERRELL: If she knows. I'm asking her if she knows.
- 20 And it is relevant in this case because this case is all about
- 21 pie charts it seems. And we're talking about the same
- 22 negotiators, the same parties.
- 23 MR. WIESE: Well, then I'm going to object on best
- 24 evidence. I mean if they want to talk about the TCS contract
- 25 and they have a copy of it --

- 1 MR. TERRELL: -- by asking this witness that question.
- JUDGE STECKLER: Let me think for just a moment, here.
- 3 We're talking about the TCS contract -- if she was not a part of
- 4 that contract negotiation, are you asking her this as the Union
- 5 trustee or --
- 6 MR. TERRELL: Yes. She is aware of the contract, she is
- 7 aware of the negotiations, she is aware it was successful, she's
- 8 a trustee with the Union.
- 9 JUDGE STECKLER: I think the question, then, is have you
- 10 seen a copy of the TCS contract?
- 11 THE WITNESS: I saw the book. I did not see its contents.
- 12 MR. TERRELL: Is --
- 13 JUDGE STECKLER: Does that give you the answer?
- 14 THE WITNESS: I saw it was orange.
- 15 Q BY MR. TERRELL: When you saw it, did you observe that
- 16 there were pie charts attached to it?
- 17 A Like I said, no. I only saw the outside of the book.
- 18 Q Okay.
- 19 Now on the bargaining session on February 26, which starts
- 20 at page 10, and if you would look over to page 11, a little less
- 21 than half way down you start to see numbers on the left-hand
- 22 column. The first one I can really make out is a number, and
- 23 has the letter "S", so S-5, S-9, S-15, and then a little over
- 24 half way down, you'll see it runs sequentially, 16, 17, 18, et
- 25 cetera. Again, this is your handwriting.

- 1 A Yes.
- 2 Q And these numbers that you wrote here -- they correspond to
- 3 the numbers in the company's proposal, correct?
- 4 A Correct.
- 5 Q All right. And I observe on page 11, 12, there is
- 6 something that's written that is unrelated to your notes. It
- 7 appears to collective bargaining, is that a fair statement?
- 8 A Oh, it's -- it was just my personal opinion.
- 9 Q On page 12.
- 10 A Yes, sir.
- 11 Q There are one, two, three, four, five, six words totally on
- 12 that page, and it's just your opinion.
- 13 A Yes.
- 14 Q It's not your intent to capture what was going on in the
- 15 collective bargaining.
- 16 A It was my reaction to what was going on.
- 17 O Okay. So on page 11, skipping over page 12, and then
- 18 picking up again on page 13. The last section number on page 11
- 19 is number 27, the first one on page 13 -- looks like you skipped
- 20 over 28, maybe that's because you were expressing your feelings
- 21 while that part of the discussion was going on on page 12; but
- 22 then on page 13 you picked up with 29 and it goes sequentially
- 23 29, 30, 31, all the way through number 146 on page 17.
- Have I accurately described what appears here?
- 25 A So far. I could read through the numbers if you give me

- 1 some -- a couple minutes.
- 2 Q Well, you don't need twenty minutes.
- 3 A No, I --
- 4 Q You see at the top of page 13 you have number 29?
- 5 A Can I read through the numbers just to make sure they're
- 6 all in order, and I didn't -- because sometimes numbers get
- 7 skipped.
- 8 0 Okay.
- 9 A For some things we can't negotiate immediately.
- 10 (Pause.)
- 11 THE WITNESS: It doesn't -- what number did you say it went
- 12 sequentially to?
- 13 Q BY MR. TERRELL: Well, here's what I'm observing, first of
- 14 all, back on page 11 it starts at 5, runs through 27, on page 13
- 15 it starts at 29 and runs through 46, correct?
- 16 A Yes.
- 17 Q Then on page 14 it picks up with 47 and runs through 76,
- 18 correct?
- 19 A Yes.
- 20 O And on page 15, it picks up with 77 and runs through 100.
- 21 A Incorrect.
- 22 Q 101.
- 23 A No.
- Quality 24 0 Well, then what is that?
- 25 A Missing 91.

- 1 Q Missing 91 -- okay, missing 91. But then it continues on
- 2 through 101 on page 15, correct?
- 3 A Correct.
- 4 Q Then on page 16 it picks up with 102 and runs through 130,
- 5 correct?
- 6 A Correct.
- 7 Q And then looks like you skipped over 131 through 134,
- 8 correct?
- 9 A Correct.
- 10 O And then on page 17 you picked up with number 135 and
- 11 carried on down to 146.
- 12 MR. WIESE: Objection, Your Honor. I mean the document
- 13 speaks for itself.
- 14 MR. TERRELL: Document's not in evidence.
- 15 MR. WIESE: Well --
- 16 O BY MR. TERRELL: Is that correct, 146?
- 17 JUDGE STECKLER: Well, I think under the circumstances
- 18 since -- any other person who is going to read this record after
- 19 us is going to want to know this, so at some point we're going
- 20 to have to put it in.
- 21 MR. TERRELL: Okay.
- 22 Q BY MR. TERRELL: Right now, I just want to get your
- 23 correction, down through -- confirmation -- down through number
- 24 146.
- 25 A Yes.

- 1 Q So all of these provisions were discussed during the course
- 2 of this bargaining session?
- 3 A Well this is separate ones, but -- separate bargaining
- 4 sessions, but yes.
- 5 Q Well all of this happened on -- oh, actually you're
- 6 correct. The last set from 135 through 146, that was discussed
- 7 the following day on February 27, correct?
- 8 A Correct.
- 9 Q Okay. On page 18, you have letters out to the left that
- 10 appear to me to indicate speakers.
- 11 A Yes.
- 12 Q "N" would be Nancy?
- 13 A Yes.
- 14 O "M" would be Martin Goff?
- 15 A Yes.
- 16 O Okay --
- 17 A Well, no. There are two "M's".
- 18 Q Well there's "MH", is Michael Henry, correct?
- 19 A Yes, and "MU" is Martin from the Union.
- 20 0 "MU" is Martin?
- 21 A "MU", and the page before I have a little key.
- 22 Q Where is that?
- 23 A That I started doing -- on the page before, page 17.
- 24 Q On page 16?
- 25 A And it shows -- 17.

- 1 Q Wait, I'm sorry, on page -- what page?
- 2 A 17, the start of the day.
- 3 Q Oh, I see. So "MU" stands for Martin with the Union.
- 4 A Yes.
- 5 Q Got it. Okay. Now at this point in the negotiations on
- 6 February 27, bottom of page 17, top of page 18, you were talking
- 7 -- the group, the parties, were talking about wages, correct?
- 8 A Umm -- it appears to be so.
- 9 Q And at the top of page 18 you have "MH continued". In
- 10 other words you were writing down what Michael Henry was saying
- 11 in a continuation of his comments.
- 12 A Yes.
- 13 Q And Mr. Henry, at that point, made the comment that "needs
- 14 will be met, currently above competitive rates". Do you see
- 15 that -- where you wrote that?
- 16 A I do.
- 17 O And that was Michael Henry referring to the company's wages
- 18 and he was asserting -- I'm not asking if you to agree with it
- 19 or not, I'm just asking you, isn't it true that Mr. Henry
- 20 asserted that the wages were currently above competitive rate?
- 21 A Correct.
- 22 Q And then Martin Goff asked the question, "how does this
- 23 affect our current members", is that -- did I read correctly
- 24 what Martin Goff said at that point?
- 25 A You read that correctly.

- 1 Q And the pie charts were in the discussion at that point,
- 2 were they not? You had seen pie charts, they had been presented
- 3 to you up to that point? Or some of them had.
- 4 A I can't testify to that with certainty. If that was on
- 5 this day or a different day.
- 6 Q Okay. On page 20, top of the page you'll see more exchanges
- 7 between Martin and Mr. Henry, and on the one, two, three, four,
- 8 fifth line down, Martin Goff stated the question "what is the
- 9 floor and what is the ceiling", do you see that?
- 10 A Yes.
- 11 Q Did I read that correctly?
- 12 A Yes.
- 13 Q And is that what Martin, in fact, asked?
- 14 A Yes. There's a lot of stuff that does get missing because
- 15 I can't write that fast, so -- but yes.
- 16 Q I understand. I understand. You're not a stenographer.
- 17 A No.
- 18 Q On March 16, page 23, you made a note that a federal
- 19 mediator was present during that bargaining session, correct?
- 20 A Correct.
- 21 Q And it's true, isn't it, that it was the company that
- 22 requested to have the mediator present.
- 23 A I am not -- I don't know.
- 24 O Isn't it true that the Union did not want the mediator
- 25 there, and expressed opposition to the idea of having the

- 1 mediator present, isn't that true?
- 2 A I do not know.
- 3 Q On page 27, which would be part of the March 16 bargaining
- 4 session -- first of all, let me confirm your agreement with that
- 5 premise. The notes you took on page 27 are part of the March 16
- 6 discussions, correct?
- 7 A Correct.
- 8 Q With the mediator present, correct?
- 9 A Yes.
- 10 Q Did the mediator speak privately with the Union during the
- 11 Union's caucus at any point on that day?
- 12 JUDGE STECKLER: Mr. Terrell, I'm going to --
- 13 MR. TERRELL: I'm not going to ask any questions about
- 14 discussions with the mediator.
- 15 JUDGE STECKLER: Okay.
- MR. TERRELL: I'm just establishing that the mediator was
- 17 present.
- 18 JUDGE STECKLER: Okay.
- 19 THE WITNESS: She was present.
- 20 O BY MR. TERRELL: In your private caucuses?
- 21 A She left ours to go to theirs, yes.
- 22 Q She was in yours as well, correct?
- 23 A For a few minutes.
- 24 Q For just a few minutes?
- 25 A I mean that's what I remember her, but I usually take my

- 1 breaks right when we caucus, I go smoke.
- 2 O Oh.
- 3 A So that's usually after sitting through an hour or two.
- 4 Q So she may have been in the meeting -- in the caucus
- 5 sessions with the Union for longer. You don't know because you
- 6 were outside smoking, is that right?
- 7 A It's possible. There wasn't a lot we were discussing.
- 8 O Okay. And you had -- I'm not going to ask you about what
- 9 was said with the mediator in the caucus, but there were words
- 10 exchanged, there was discussion with the mediator in your
- 11 caucus, correct?
- 12 MR. WIESE: I'm going to object.
- 13 MR. TERRELL: I'm not asking about the content. Just
- 14 confirming that the mediator was not just sitting there --
- 15 JUDGE STECKLER: I think --
- 16 MR. TERRELL: -- like a potted plant, but was actually
- 17 conversing.
- JUDGE STECKLER: It's sufficient to know that the mediator
- 19 was there. I think given all of our experiences with mediation,
- 20 we know mediators don't just sit.
- 21 MR. TERRELL: Okay.
- 22 JUDGE STECKLER: So I -- and the -- frankly I'm not sure of
- 23 the relevance of any conversations with the mediator.
- 24 Q BY MR. TERRELL: All right, page 27, one of the major
- 25 topics in the negotiations was the compensation for the banquet

- 1 staff, correct?
- 2 A Correct.
- 3 Q And that was being discussed on this day it appears,
- 4 correct?
- 5 A I believe I saw it later -- could I just have a minute to
- 6 read.
- 7 MR. WIESE: Can we clarify which date we're talking about?
- 8 MR. TERRELL: Yes, March 16.
- 9 JUDGE STECKLER: Still on March 16.
- 10 (Pause.)
- 11 THE WITNESS: Yes, we were.
- 12 Q BY MR. TERRELL: Okay, and at the bottom of page 27 you
- 13 have a speaker designated with an "A". Would that be Arch
- 14 Stokes?
- 15 A Yes.
- 16 O And Arch Stokes made the comment during his speaking and
- 17 presentation turn, close to the bottom, third and fourth line
- 18 from the bottom, that the employer is "at a competitive
- 19 disadvantage". Do you see that statement that you wrote?
- 20 A Yes.
- 21 Q And he was referring to the employer's competitive
- 22 disadvantage in the banquet world, correct?
- 23 A Correct.
- 24 Q On page 33, that's the last page of the March 16 session,
- 25 correct?

- 1 A Umm -- yes.
- 2 Q And your last entry on page 33 refers to comments that Arch
- 3 Stokes was making, correct?
- 4 A Yes.
- 5 Q And he said at that point, "revising pie charts".
- 6 A Correct.
- 7 O And he said that because errors or mistakes had been
- 8 pointed out in the pie charts. Pie charts prior to Arch making
- 9 that comment, correct?
- 10 A Correct.
- 11 Q And he said he was revising.
- 12 A Yes.
- 13 Q And then the next line on page 33 says, "schedule, 3/24,
- 14 9:30", and that was simply your note that that was the next
- 15 scheduled meeting, right?
- 16 A Correct.
- 17 Q And then the next page is March 24. Correct?
- 18 A Yes.
- 19 O Page 34. And "L" is Leslie, so Leslie Hohmann was in
- 20 attendance at that session, correct?
- 21 A Yes.
- 22 Q And you have a note here explaining pie charts.
- 23 A Yes.
- 24 Q By Leslie Hohmann.
- 25 A Yes.

- 1 Q And it's not true, is it, that Leslie Hohmann was about to
- 2 cry or crying. You said that, but that's not true, is it?
- 3 A She appeared to be unless that's just the way she is, but I
- 4 had talked to her several times about my errors and my paycheck
- 5 and she doesn't talk to me like that when I have private
- 6 conversations with her, so --
- 7 O And then after that, the next line entry says -- is an "N"
- 8 for Nancy and you went back to the proposals, and you began
- 9 discussing section numbers again, correct?
- 10 A Correct.
- 11 Q And those are the numbers that follow, and they start with
- 12 131 it looks like, or maybe 127, correct?
- 13 A Umm, I'm sorry, could you restate what you said?
- 14 Q Yes, I'm sorry, I'm on page 34, middle of the page down,
- 15 after Nancy says "go back to proposals", page 127, and then we
- 16 once again see a series of -- sequential series of numbers going
- 17 down the left side of the page, do you see that?
- 18 A Yes.
- 19 Q And the numbers continue on page 35 through number 168,
- 20 correct?
- 21 A Not sequentially. They're missing quite a few, but --
- 22 Q Okay. And there are entries out to the side of many of
- 23 them referencing "TA". Do you see all the "TA" references?
- 24 A Yes.
- 25 Q And we saw a lot of "TA" references when we went through

- 1 the first series of section numbers, didn't we?
- 2 A Yes.
- 3 Q We saw "TA" and we saw "reject", and on page 35 we see the
- 4 word "hold" on some of them, do you see that?
- 5 A Yes.
- 6 Q But you also see probably -- at least on page 35 you see
- 7 more "TA's" than anything else, is that right?
- 8 A Yes.
- 9 Q And "TA" means tentative agreement, right?
- 10 A Correct. Tentative, yes.
- 11 Q Page 36 the numbering continues from 178 through 208,
- 12 correct?
- 13 A It's missing some in there, but yes.
- 14 Q And it's mostly "TA's" with a few "holds", correct?
- 15 A Correct.
- 16 O Now this is March 24. You didn't make a notation that --
- 17 as to what time the meeting on March 24 started, did you?
- 18 A I did not.
- 19 Q In most of the places you don't do that, do you? You did
- 20 in some places, but not every place, correct?
- 21 A That is correct.
- 22 Q It appears on page 37 you took a caucus, at least that's
- 23 referenced at the top of the page, correct?
- 24 A Correct.
- 25 Q And then a little over two -- a little over two-thirds --

- 1 about two-thirds of the way down it looks like you reconvened at
- 2 2:15.
- 3 A Correct.
- 4 Q Then the numbering continues on page 38 from 164 to 188.
- 5 Correct?
- 6 MR. WIESE: I'm going to object. I mean this document
- 7 speaks for itself, especially as to the numbers. I mean if
- 8 there's a question about a specific number, or skipping numbers,
- 9 we can go through it, but otherwise --
- 10 MR. TERRELL: It's a simple question.
- 11 JUDGE STECKLER: That's not addressing Mr. Wiese's
- 12 concerns. I mean I know it's a simple question, but where are
- 13 you going with this?
- MR. TERRELL: Well, I'll speed it up.
- 15 Q BY MR. TERRELL: So you continued discussing specific
- 16 provisions for the rest of this day, correct?
- 17 A Correct.
- 18 Q And then you met again on April 16 as reflected on page 40,
- 19 correct?
- 20 A Correct.
- 21 Q And that session ended on page 45, and the last note you
- 22 have there is "Michael Henry wishes to meet April 28, 9:30 a.m."
- 23 A Yes.
- 24 Q And then your last two pages are your notes from the April
- 25 28 meeting, correct?

- 1 A Correct.
- 2 Q And you did not note the time of the start of that meeting
- 3 or the time of the end of that meeting, did you?
- 4 A No.
- 5 Q Now, we can see, from reviewing these notes, that on
- 6 several of the days you were going through the company's
- 7 proposals paragraph by paragraph, correct?
- 8 A Correct.
- 9 Q And the company's positions moved from proposal to
- 10 proposal. I'm not asking precisely or how they moved, but all
- 11 I'm asking is a very simple question, it is true that, on some
- 12 of the provisions in the proposals, the company made a movement
- 13 as it went from proposal to proposal to proposal, and from
- 14 meeting to meeting to meeting, correct?
- 15 MR. WIESE: Objection: relevance.
- 16 I mean we aren't arguing surface bargaining here. It's
- 17 specific proposals.
- JUDGE STECKLER: I was about to ask the same question, Mr.
- 19 Terrell. Would you clarify for me?
- 20 MR. TERRELL: Well, that is true. That is true. The
- 21 complaint does not allege surface bargaining, but there are
- 22 8(a)(5) allegations in this case so I think it's certainly
- 23 relevant to ask that question.
- JUDGE STECKLER: Since we're not here to have to deal with
- 25 surface bargaining, and it shows that there have been some TA's,

- 1 and from what you've said, I'm sure that your -- your witnesses
- 2 will testify, based on their notes, where the movement was. I
- 3 don't know that asking this witness any further questions about
- 4 that would be helpful.
- 5 MR. TERRELL: Are you sustaining the objection?
- 6 JUDGE STECKLER: In a word, yes.
- 7 O BY MR. TERRELL: Nancy Goldman and Martin Goff both live in
- 8 Minneapolis, correct?
- 9 A I believe they live in the Twin Cities. I don't know what
- 10 city they live in.
- 11 Q Okay. But you know they had to drive quite a distance to
- 12 get here for the bargaining sessions.
- 13 A Yes.
- 14 O Isn't it true that they often left at 5 in order to make
- 15 the drive back?
- 16 A Umm -- they left, yes.
- 17 Q Isn't it true that they sometimes left at 4 p.m. and stated
- 18 they had to leave at 4 p.m.
- 19 A I don't remember they ever stated -- stating they had to
- 20 leave at 4, no.
- 21 Q But you're aware they did leave at 4 on some occasions,
- 22 correct?
- 23 A When there was nothing left to discuss, yes sir.
- 24 O Isn't it true that Martin Goff said he had to leave because
- 25 he had a dog locked in his apartment or his house?

- 1 A I just remember once he had to leave -- they had to leave
- 2 by 5 p.m. He had a reason. I don't recall what it was.
- 3 Q You don't recall whether it was a dog or not.
- 4 A No.
- 5 MR. TERRELL: I have nothing further. Thank you.
- 6 JUDGE STECKLER: Do you want to offer that as an exhibit,
- 7 Mr. Wiese?
- 8 MR. WIESE: Well, yes, I do. But before I do, I do have a
- 9 couple of brief questions about it.
- 10 REDIRECT EXAMINATION
- 11 Q BY MR. WIESE: So if you turn to -- if you turn to page 2
- 12 of this document.
- 13 A Yes.
- 14 Q I know Mr. Terrell spent a lot of time going over dates,
- 15 and one of the things that he talked about with you was what
- 16 certain dates mean in the contract. So if you look at the upper
- 17 right-hand corner of that document on page 2.
- 18 A Yes.
- 19 Q Do you see what that says?
- 20 A This is backwards.
- 21 Q Okay. Well, so -- what date is up there?
- 22 A One-29.
- 23 O And looking at this, does this appear to be your bargaining
- 24 notes from January 29?
- 25 A It is.

- 1 Q And below that do you see a date?
- 2 A I do.
- 3 Q And what date is that?
- 4 A February 5th.
- 5 Q Again, looking at what's below that, do those appear to be
- 6 your bargaining notes?
- 7 A Definitely is.
- 8 O From February 5th?
- 9 A Yes.
- 10 Q And then I'd just like to turn to page 39 of those
- 11 bargaining notes.
- 12 A Yes.
- 13 Q And I believe these are the bargaining notes from -- I
- 14 think these are the notes from March 24. Yes, if you look at
- 15 page 34, and then turn to page 39. Do these appear to be the
- 16 notes from March 24?
- 17 A Yes.
- 18 Q And at the bottom of that document do you see where is says
- 19 "caucus"?
- 20 A Yes.
- 21 Q Is that a caucus the employer took?
- 22 A Umm, yes.
- 23 Q And below that it says "didn't come back". What's that in
- 24 reference to?
- 25 A Well it's not us because we didn't leave. We stayed there,

- 1 so that would be in reference to the employer not returning.
- MR. WIESE: I'll offer -- well, I guess this isn't marked.
- 3 Do you want to mark it as your exhibit or my exhibit?
- 4 MR. TERRELL: We'll mark it as our exhibit. So it will be
- 5 Exhibit R 1.
- 6 (EXHIBIT MARKED: RESPONDENT'S 1.)
- JUDGE STECKLER: Okay, and does the Court Reporter have two
- 8 copies?
- 9 MR. TERRELL: Does the what, I'm sorry?
- 10 JUDGE STECKLER: Does the Court Reporter have two copies?
- 11 MR. TERRELL: I want a copy.
- 12 MR. WIESE: Well, I can give her my copy when she's done.
- 13 I don't have any further questions for this witness.
- 14 JUDGE STECKLER: Okay, and Mr. Terrell is done.
- 15 Ms. Schroeder, thank you for coming in today. You're going
- 16 to be excused, but please do not discuss your testimony with
- 17 anybody until after the whole trial is over.
- 18 THE WITNESS: Okay.
- 19 JUDGE STECKLER: Thank you so much for your time.
- 20 THE WITNESS: Thank you.
- 21 (Witness excused from stand.)
- JUDGE STECKLER: We've got 5 after 4 -- about seven minutes
- 23 after 4. What's the next witness?
- 24 MR. WIESE: I --
- JUDGE STECKLER: Ms. Schroeder, you have to -- you can't

- 1 even hear me say all this fun stuff. You'll have to come back
- 2 for a different hearing to understand procedure at work.
- 3 MR. WIESE: So, Judge, I have one witness left in my case
- 4 in chief. She is going to be testifying solely about union
- 5 flyer and access issues, so I --
- 6 JUDGE STECKLER: Short?
- 7 MR. WIESE: Yes, I'd say half an hour probably.
- 8 JUDGE STECKLER: And then --
- 9 MR. WIESE: I mean we --
- 10 JUDGE STECKLER: Mr. Terrell, you don't need -- of course
- 11 you're kind of flying blind just to say how long it would take
- 12 to prepare.
- MR. TERRELL: I don't even know who they're talking about.
- 14 Of course, I'm flying blind.
- 15 JUDGE STECKLER: Can you give us a hint?
- 16 MR. WIESE: We can give you a preview. It's going to be
- 17 Linda Henry. That shouldn't be a huge surprise. Linda Henry.
- JUDGE STECKLER: And except for Union access, she won't be
- 19 discussing --
- 20 MR. WIESE: No bargaining.
- JUDGE STECKLER: -- bargaining, et cetera.
- MR. WIESE: No bargaining, no.
- 23 JUDGE STECKLER: So there's not much to discuss.
- MR. WIESE: No, there's just a couple -- I mean --
- MS. BURGESS: A handful of incidents.

- 1 MR. WIESE: Handful of 8(a)(1)s and then just generally
- 2 access and flyers.
- JUDGE STECKLER: I think -- yes, I think we should go ahead
- 4 and try to wrap up.
- 5 MR. WIESE: I mean, she's here right now.
- 6 JUDGE STECKLER: Yes, and then this way we can try to wrap
- 7 up the GC's case in chief and proceed with Respondent's case in
- 8 the morning. Do you have any objection to that, Mr. Terrell?
- 9 MR. TERRELL: No.
- 10 JUDGE STECKLER: Okay. In that case -- you said it was
- 11 Linda Henry that's coming?
- 12 MR. WIESE: Yes.
- MS. BURGESS: I'll get her.
- MR. TERRELL: Before we go on the record -- can we go off
- 15 the record?
- 16 JUDGE STECKLER: Yes.
- 17 (Off the record.)
- JUDGE STECKLER: We'll be back on the record.
- 19 During a short break, Mr. Terrell produced documents to Mr.
- 20 Wiese, and it's my understanding that these are the no-call/no-
- 21 show attendance records?
- 22 MR. TERRELL: These are terminations for attendance and,
- 23 interestingly, one of the terminated employees was Derek Kotvask
- 24 that Mr. Graham Brandon testified about this morning as having
- 25 never been disciplined for attendance. He was actually --

- JUDGE STECKLER: We'll -- I'm sure we'll --
- 2 MR. TERRELL: -- terminated for attendance. I just thought
- 3 you would be interested to know that.
- 4 JUDGE STECKLER: I'm sure we'll be discussing that in your
- 5 case in chief.
- 6 MR. TERRELL: Okay.
- 7 JUDGE STECKLER: So I appreciate the information. And Mr.
- 8 Wiese, I'm sure you'll be -- yes, Mr. Wiese, I'm sure you'll be
- 9 reviewing it. In the mean time --
- 10 MR. WIESE: There's just one thing that I want to make
- 11 clear on the record. So, as of now, do I have all discipline
- 12 and terminations issued to employees due to attendance issues
- 13 since September 2013?
- 14 (Pause.)
- MR. TERRELL: Your Honor, I've just been made aware that I
- 16 have -- are we still on the record?
- 17 JUDGE STECKLER: Yes.
- MR. TERRELL: I've just been made aware we have additional
- 19 documents related to terminations for no-call/no-show. It's
- 20 actually a fairly lengthy list, three pages. I'm going to guess
- 21 there are maybe twenty, twenty-five --
- JUDGE STECKLER: Over what time period?
- 23 MR. TERRELL: That is a good question -- last year and a
- 24 half. Now, we -- I'm sure that Mr. Wiese is going to want the
- 25 Personnel Action Forms that go behind these documents and we

- 1 will provide that.
- JUDGE STECKLER: Okay.
- 3 MR. TERRELL: But I'm nonetheless giving him the list of
- 4 names.
- JUDGE STECKLER: Okay, that would be helpful. Would that
- 6 be probably tomorrow that we would have those documents --
- 7 MR. TERRELL: We will do our best.
- 8 JUDGE STECKLER: -- for the GC? Well that's all I can ask.
- 9 Okay, so our next witness is Linda Henry, is that correct?
- 10 Okay.
- 11 (WITNESS SWORN: LINDA HENRY)
- 12 JUDGE STECKLER: Please state your full name for the
- 13 record.
- 14 THE WITNESS: Linda Henry.
- JUDGE STECKLER: I'm sorry, I'm hiding behind the computer
- 16 again. Mr. Wiese, you may proceed.
- 17 MR. WIESE: Thank you.
- 18 DIRECT EXAMINATION
- 19 Q BY MR. WIESE: Good afternoon, Ms. Henry.
- 20 A Good afternoon.
- 21 Q What is your current occupation?
- 22 A I am a Union representative for Local 21, Unite HERE, part-
- 23 time, and I also have a part-time job at HyVee Barlow's.
- 24 Q Is your Union representative position -- is that a paid
- 25 position?

- 1 A Yes.
- 2 Q And how long have you been in that position for?
- 3 A I started at the full time position February of 2011, and
- 4 it went to a part-time position September of 2013.
- 5 0 What do you do as a Union representative?
- 6 A I talk with the members about any problems they might be
- 7 having, I go into the different break rooms, and at the
- 8 different hotels, and at TCS, the Textile Care Service laundry,
- 9 talk with members, help them in any way I can. If they have
- 10 grievances then I turn those over to Brian Brandt, who's the
- 11 president and also the -- my boss.
- 12 Q And do you have any other positions with the Union besides
- 13 being the Union representative?
- 14 A I'm the secretary/treasurer.
- 15 Q And how long have you been the secretary/treasurer for?
- 16 A I believe since 2007 or 2008.
- 17 0 What do you do as a secretary/treasurer?
- 18 A I am co-signer on all of the checks, so I write out all the
- 19 checks for bills, and paychecks, I take notes or minutes at --
- 20 or minutes, at all of the meetings, and send those minutes to
- 21 Brian via e-mail. And do other things around the office.
- 22 Q Being a Union representative for Local 21, do you know the
- 23 bargaining units that are part of Local 21?
- 24 A Yes.
- 25 Q What are they?

- 1 A There is -- at the hotels, there is the Kahler Grand Hotel,
- 2 the Kahler Inn and Suites, the Residence Inn, the Marriott
- 3 Downtown, and the Holiday Inn downtown, the Textile Care
- 4 Services laundry, and then there's a couple of clubs and bars,
- 5 VFW, Eagles.
- 6 O Does the Holiday Inn, does that have its own collective
- 7 bargaining agreement, separate from the Kahler hotels?
- 8 A Yes, it does.
- 9 Q And prior to becoming a Union representative, did you work
- 10 at any of the hotels in the Kahler bargaining unit?
- 11 A Yes, I did. I worked at the Kahler hotel, and I --
- 12 Q What did you -- go ahead.
- 13 A In the Bakery Basket, which was part of the Coffee House
- 14 and later became the Grand Grill.
- 15 Q How long did you work in the Bakery Basket for?
- 16 A Twenty-five and a half years.
- 17 MR. WIESE: Your Honor, the following line of questions is
- in support of Complaint allegations 12(i),(j),and (l).
- 19 O BY MR. WIESE: So in your role as Union representative,
- 20 have you historically been able to access each of the four hotel
- 21 properties?
- 22 A Yes.
- 23 Q And does this include after you ceased being an employee at
- 24 the Kahler Grand Hotel?
- 25 A Yes.

- 1 Q And have you been able to hang flyers at all of these
- 2 properties?
- 3 A Yes, I have.
- 4 Q And during these contract negotiations, have you had any
- 5 issues with accessing areas of these -- any of these hotels that
- 6 you've previously been able to access?
- 7 A Yes, I have.
- 8 Q And which hotels specifically?
- 9 A The Marriott and the Kahler Grand.
- 10 O And have you had any issues with posting flyers in areas
- 11 where you've been able to post flyers prior to these
- 12 negotiations?
- 13 A Yes, I have.
- 14 Q At which properties?
- 15 A The same two hotels.
- 16 Q Let's start with access -- with your access to the Kahler
- 17 Grand.
- MR. TERRELL: Forgive me, is your question directed to the
- 19 period prior to the negotiations?
- 20 MR. WIESE: Correct.
- 21 MR. TERRELL: Thank you.
- 22 MR. WIESE: Correct. Yes, when I use the term
- 23 historically, I'm talking about, you know, prior to these
- 24 negotiations in 2015.
- 25 Q BY MR. WIESE: At the Kahler Grand, historically where did

- 1 you go as a Union representative? What areas did you visit?
- 2 A I visited the cafeteria, the housekeeping break room, the
- 3 maintenance break room -- or at least that's what we call it,
- 4 it's actually outside of their locker rooms -- and also into
- 5 Starbuck's.
- 6 Q As you walk between these break rooms did you have to walk
- 7 through -- where did you have to walk to visit between these
- 8 break rooms?
- 9 A I had to walk through the dish room, the kitchen, the
- 10 Kahler Grand -- the Grand Grill, I'm sorry, and through the
- 11 office areas up on the second floor.
- 12 Q When you're talking about the office areas, do you know
- 13 whose offices you are walking by?
- 14 A I think it is -- I don't remember.
- 15 Q Okay.
- 16 A Umm -- I don't remember their names.
- 17 O But generally do you know whose offices those were?
- 18 A Yes.
- 19 O Who were they offices for?
- 20 A It was the places that did the reservations mostly, going
- 21 through into the housekeeping area.
- 22 Q And you mentioned several break rooms. In which of those
- 23 break rooms did you post flyers?
- 24 A In all of them.
- 25 Q And prior to accessing the Kahler Grand Hotel, did you

- 1 always call or e-mail Human Resources to let them know you were
- 2 visiting?
- 3 MR. TERRELL: Objection: relevance.
- 4 JUDGE STECKLER: I'm sorry, what was --
- 5 MR. WIESE: I'm just asking whether she provided notice
- 6 prior to visiting the hotels. One of the -- I mean the access -
- 7 well --
- 8 JUDGE STECKLER: I think the answer could be relevant here,
- 9 so I'd like to hear it.
- 10 MR. WIESE: Would you like --
- 11 THE WITNESS: Yes, I did.
- 12 Q BY MR. WIESE: And how much notice would you give?
- 13 A I either e-mailed the day before or the morning of.
- 14 Q And how often did you visit the Kahler Grand before these
- 15 negotiations?
- 16 A About once a week.
- 17 O And what about posting flyers?
- 18 A Prior to negotiations, once a month.
- 19 Q And when you visited the Kahler Grand, do you remember
- 20 running into managers?
- 21 A Yes, many of them.
- 22 Q And again we're talking prior to these negotiations.
- 23 A Yes.
- 24 Q Who are managers that you remember running into?
- 25 A Some of them are no longer there, but I ran into Michael

- 1 Henry from HR, I ran into Mary Kay, and Chad from HR, Tyler, who
- 2 is the food and beverage director, Scott Mauer, the director of
- 3 operations at the hotels, Josipa, housekeeping manager, Tamela
- 4 and Crystal over at the Marriott. I'm sure there's more.
- 5 Hannah from the Coffee -- or the Grand Grill, I'm sorry.
- 6 O Mmm-hmm.
- 7 A Umm --
- 8 O Those are the ones that you can remember.
- 9 A Yes, off hand.
- 10 O So let's talk about the Marriott hotel. Where have you
- 11 historically visited at the Marriott hotel?
- 12 A They have one break room on the, I think it's the, second
- 13 floor, and that's where I normally went to visit with the
- 14 members.
- 15 Q And how often would you visit the Marriott?
- 16 A Once a week.
- 17 Q What about posting flyers? Where did you post flyers at
- 18 the Marriott
- 19 A In their break room on the bulletin board, and also there's
- 20 a bulletin board outside the banquet area over there, by the
- 21 banquet kitchen, I would post on that bulletin board and also
- 22 there's a bulletin board outside the housekeeping manager's
- 23 office where I would post flyers.
- MR. TERRELL: I'd like to raise an objection or relevance.
- 25 I don't believe your allegations relate to any posting or access

- 1 issues involving the Marriott. I believe your allegations
- 2 relate to and involve only the Kahler Grand. If I'm wrong about
- 3 that, please show me where in the complaint the Marriott --
- 4 there's a Marriott access or poster flying issue.
- 5 JUDGE STECKLER: We're discussing -- we're discussing --
- 6 MR. WIESE: It says "Respondent", so Respondent is -- I
- 7 mean the facility is plural.
- 8 JUDGE STECKLER: Mr. Wiese, I'm going to respond here.
- 9 Twelve --
- 10 MR. WIESE: Excuse me.
- 11 JUDGE STECKLER: -- it's paragraph 12, correct?
- 12 MR. WIESE: Yes.
- 13 JUDGE STECKLER: And we're looking at paragraphs (i) and
- 14 (j)?
- 15 MR. WIESE: Mmm-hmm.
- 16 JUDGE STECKLER: And (i) says Respondent "changed its
- 17 policies regarding Union representatives access to its
- 18 facilities". It doesn't say which hotel. And then (j) says,
- 19 "changed its policies regarding posting of Union materials on
- 20 bulletin boards". Again, it doesn't say which facilities. Am I
- 21 -- and that's the Amended Complaint on page 7. Do you have
- 22 something further on that, Mr. Terrell?
- 23 MR. TERRELL: I think I may be thinking of the pre-amended
- 24 complaint.
- 25 JUDGE STECKLER: Yes, this is the complaint that was issued

- 1 on November 25th, and you filed an answer last week, as I
- 2 recall.
- 3 MR. TERRELL: Okay. The complaint says what the complaint
- 4 says.
- JUDGE STECKLER: Okay. So we have that clarification. Mr.
- 6 Wiese, would you like to continue?
- 7 MR. WIESE: Just briefly before we continue, I notice there
- 8 is a typo in the complaint. I'd like to make an oral amendment
- 9 to Complaint paragraph 12(j) to include "2015" after "March
- 10 25th".
- 11 JUDGE STECKLER: Any objection?
- 12 MR. TERRELL: It's his Complaint.
- 13 JUDGE STECKLER: Okay, the Complaint is so amended to
- 14 reflect that, although it -- we can also glean from the context
- 15 that all of the events occurred in 2015, is that correct, Mr.
- 16 Terrell?
- 17 MR. TERRELL: That would seem to be the case.
- JUDGE STECKLER: All the allegations occurred in 2015.
- 19 MR. TERRELL: That seems to be the case.
- 20 JUDGE STECKLER: The complaint is so amended to reflect
- 21 that.
- 22 Q BY MR. WIESE: All right, so Ms. Henry, I think we were
- 23 talking about flyers at the Marriott. Could you tell me again -
- 24 or did you --
- 25 MR. TERRELL: Objection: asked and answered.

- 1 JUDGE STECKLER: Let's go ahead.
- 2 Q BY MR. WIESE: Did you finish talking about all the areas
- 3 where flyers were posted?
- 4 A I don't remember.
- 5 O Well, could you tell me again where those flyers --
- 6 JUDGE STECKLER: I think -- let me check. I've got my
- 7 notes here. One break room on the second floor, was where you
- 8 went to visit members, posted once a week, poster flyer at their
- 9 break room, a bulletin board outside of banquet area, and then
- 10 outside -- and that's where I stopped.
- 11 THE WITNESS: Outside of the housekeeping office, and also
- 12 in the kitchen area there's a bulletin board for the employees.
- 13 Q BY MR. WIESE: And do you recall any managers ever seeing
- 14 you in the Marriott hotel?
- 15 A Yes.
- 16 0 Who?
- 17 A Prior to?
- 18 Q Yes, prior to negotiations.
- 19 A Umm -- well I stated earlier Crystal, and Scott Mauer was
- 20 also over there many times, Tamela who used to be the
- 21 housekeeping manager and now I'm not sure what her title is, but
- 22 she's more down at the front desk now. Tamela.
- 23 JUDGE STECKLER: That rhymes with Pamela, right?
- 24 THE WITNESS: Yes. And I don't remember all the other
- 25 names. The ones that aren't there any longer.

- 1 O BY MR. WIESE: And so historically when you've posted
- 2 flyers at the Kahler Grand hotel, would those flyers still be up
- 3 the next time that you visited the property?
- 4 MR. TERRELL: Objection: leading.
- JUDGE STECKLER: Please rephrase.
- 6 Let me ask her a quick question here. When you visited on
- 7 subsequent times after the -- you posted, what was the status of
- 8 the flyers on the bulletin boards, if you know?
- 9 THE WITNESS: Prior to negotiations?
- 10 JUDGE STECKLER: Yes, Ma'am.
- 11 THE WITNESS: They were always there and I'd take them down
- 12 after the meeting.
- 13 O BY MR. WIESE: And was that the same -- did that -- what
- 14 would --
- MR. TERRELL: I want to state another objection to this
- 16 line of questioning. When asking about where post -- the
- 17 removal of posters and flyers, I think a distinction should be
- 18 made as to where they were posted. There's no allegation in
- 19 this complaint that flyers were removed from the designated
- 20 Union boards. The complaint only goes to disputed locations,
- 21 but I believe the record is clearly established already that
- 22 there were designated Union boards, and there's not an
- 23 allegation that flyers or posters were removed from the
- 24 designated Union boards, so I would respectfully request that in
- 25 the questioning we make that distinction.

- 1 MR. WIESE: Well I think the complaint allegation speaks
- 2 for itself. I mean any area -- any area that -- where flyers
- 3 have historically been posted, we are alleging that there --
- 4 JUDGE STECKLER: I think it's based on past practice, so
- 5 you know, as to -- can we reach a stipulation? Is there a
- 6 stipulation available that it was not --
- 7 MR. WIESE: No, there isn't, and in fact, I think we've had
- 8 testimony -- well, I -- not going there.
- 9 JUDGE STECKLER: Okay, well I think we'll let the testimony
- 10 continue as it is right now, Mr. Terrell, and if you'd like to
- 11 ask on cross, you're certainly welcome to, how's that?
- 12 MR. TERRELL: Okay.
- 13 JUDGE STECKLER: Okay.
- 14 Q BY MR. WIESE: And the same question that the Judge asked
- 15 as it applies to the Marriott, what's your answer to that
- 16 question?
- 17 A The flyers were still hanging at the next time.
- 18 O Mmm-hmm.
- 19 A Prior to negotiations, yes. After negotiations, no.
- 20 O So let's talk about when you first started noticing things
- 21 changing. When did you first have issue with accessing the
- 22 Kahler Grand hotel?
- 23 MR. TERRELL: Objection: leading. Assuming the answer.
- MS. BURGESS: Your Honor, may I be heard on this? I think
- 25 there's a little misunderstanding about what "leading" is. Just

- 1 because --
- JUDGE STECKLER: Well -- I'll tell you what, let me go
- 3 ahead. When, if ever, did you notice a change?
- 4 THE WITNESS: First time I noticed a change was the end of
- 5 March.
- 6 O BY MR. WIESE: Do you remember providing notice to the
- 7 employer before visiting the property at the end of March?
- 8 A Yes, I do.
- 9 Q I'm showing you what's been marked as General Counsel
- 10 Exhibit 25(a).
- 11 (Witness proffered document.)
- 12 A Thank you.
- 13 Q Do you recognize this document?
- 14 A Yes, I do.
- 15 Q And what is it?
- 16 A It's my e-mail to Michael Henry and Mary Kay that I would
- 17 be at the Kahler -- Kahler Inn and Suites and the Marriott on
- 18 the following day.
- 19 Q And where it says "Kahler", what hotel are you referring
- 20 to?
- 21 A Kahler Grand.
- 22 MR. WIESE: I'll offer General Counsel Exhibit 25(a).
- MR. TERRELL: No objection.
- JUDGE STECKLER: 25(a) is admitted.
- 25 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25(a).)

- 1 Q BY MR. WIESE: What happened when you visited the Kahler
- 2 Grand on March 26?
- 3 A I went to the Kahler housekeeping area between 7:30 and
- 4 8:00 that morning, and I was visiting with the members there,
- 5 and they're all coming in at that time, they all start work at
- 6 8:00, they're all coming in, going into their locker room,
- 7 taking their coats off, that sort of thing, and then they sit
- 8 around their tables up there and talk, and I just talk with them
- 9 at that time. And then at 8:00 when they go to punch in, I
- 10 start leaving.
- 11 MR. TERRELL: What was that last statement, I'm sorry?
- 12 THE WITNESS: At 8:00 when they go to start punching in the
- 13 time clock, then I pick up my stuff and start to leave.
- 14 Q BY MR. WIESE: What happened when you left the break room
- 15 on March 26th?
- 16 A As I was going out the door, Chad from HR came -- was
- 17 coming in the doorway, and I said "Hi" to him, and he said "Hi"
- 18 back, and then I started walking down the hallway.
- 19 O And what happened as you were walking down the hallway?
- 20 A When I reached the end of the hall, Chad evidently had gone
- 21 through the dispatch area and met me at the end, and asked me
- 22 what I was doing, and I said I was visiting with the members,
- 23 and he said you're not supposed to be in that break room.
- 24 Q And what was your response when he said, you weren't
- 25 supposed to be in that break room?

- 1 A I said, nobody's ever told me that before. I've been
- 2 coming up here for a long time.
- 3 Q Do you remember anything else from that conversation with
- 4 Chad?
- 5 A Umm -- no, I don't think so. I don't remember anything else
- 6 at this time.
- 7 Q And what did you do after -- of what happened after this
- 8 exchange?
- 9 A I left the area and went outside of the hotel and I don't
- 10 remember if I texted or called Brian, but I did one of the two
- 11 to tell him what happened.
- 12 Q Did you have any other incidents accessing the Kahler Grand
- 13 Hotel after March 26th?
- 14 A Yes. In early April the  $2^{nd}$  I believe.
- 15 Q Do you remember providing notice before you visited the
- 16 hotel that day?
- 17 A Yes, I did.
- 18 Q Showing you what's been marked as General Counsel 25(b).
- 19 (Witness proffered document.)
- 20 O Do you recognize this document, Ms. Henry?
- 21 A Yes.
- 22 Q And what is it?
- 23 A It's my e-mail to Michael Henry, Mary Kay, and Charissa GC,
- 24 who was at TCS at the time, stating that I would be at TCS, the
- 25 Kahler, and Kahler Inn and Suites on Thursday.

- 1 MR. WIESE: All right, I'll offer General Counsel Exhibit
- 2 25(b).
- 3 MR. TERRELL: No objection.
- 4 JUDGE STECKLER: Twenty-five -- did we admit 25(a) -- 25(b)
- 5 -- just to be sure, 25(a) and 25(b) are admitted.
- 6 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25(b).)
- JUDGE STECKLER: And did we admit R1? We didn't? Did we
- 8 admit R1, Respondent 1? Did we admit that document?
- 9 MR. TERRELL: I'm sorry, which one?
- 10 JUDGE STECKLER: Respondent 1.
- 11 MR. TERRELL: Respondent 1 -- oh --
- 12 JUDGE STECKLER: The bargaining --
- MR. TERRELL: I thought we did, but why don't we just do it
- 14 again.
- 15 JUDGE STECKLER: Okay. It's admitted. Okay.
- 16 (EXHIBIT RECEIVED: RESPONDENT'S 1.)
- 17 JUDGE STECKLER: Okay, Mr. Wiese, I'm sorry to keep
- 18 interrupting.
- 19 MR. WIESE: That's all right.
- 20 Q BY MR. WIESE: So what happened on April  $2^{nd}$  at the Kahler
- 21 Grand Hotel?
- 22 A I was in the cafeteria break room, and I was going to go
- 23 down -- it was around noon -- and I was going to go down to the
- 24 maintenance area where they take their breaks.
- 25 Q Where do you have to walk to get from the cafeteria to the

- 1 maintenance area?
- 2 A I have to walk through the dish room and then part of the
- 3 kitchen.
- 4 Q What happened while you -- what happened after you left the
- 5 cafeteria?
- 6 A As I was approaching the kitchen area I saw Mike --
- 7 MR. TERRELL: As you were approaching where, I'm sorry?
- 8 THE WITNESS: The kitchen area.
- 9 MR. TERRELL: Okay.
- 10 THE WITNESS: I saw Mike Bale, who is a cook there, and Deb
- 11 from Room Service, and they were standing near the place where I
- 12 was going to turn, and I said hello to them, and they said
- 13 what're you doing, and I said oh just handing flyers out, and I
- 14 had one in my hand and I just kind of looked at it, you know,
- 15 showed them what I was doing, and then I walked on.
- 16 O What happened after you walked on?
- 17 A I walked about four or five steps and I was at the top of
- 18 the stairs to go downstairs to go down to the maintenance break
- 19 room, and Seth, the Sous Chef, caught me at the top and said I
- 20 was not allowed to talk to his employees while they were on
- 21 duty.
- 22 Q Was anybody --
- JUDGE STECKLER: Who was it? I'm sorry?
- THE WITNESS: Seth. He's a sous chef.
- 25 JUDGE STECKLER: Okay.

- 1 Q BY MR. WIESE: And what was your response when the Sous
- 2 Chef said you weren't allowed to talk to employees on duty?
- 3 A I said that he probably didn't understand that I worked
- 4 there for 25, and that I worked with these people, and I wasn't
- 5 going to walk past them and not acknowledge them saying "Hello."
- 6 Q And how long had your conversation been with those two
- 7 employees?
- 8 A Maybe ten seconds at the most.
- 9 Q Did he say anything else to you that you recall?
- 10 A He said you're not allowed to hand them flyers, and I said
- 11 I just showed it to them, I didn't really hand anything to them,
- 12 and it was quick.
- 13 Q Was there any discussion about your walking through the
- 14 kitchen area?
- 15 A He said something about me walking through the kitchen
- 16 area. I said it's the only way I can get down to the downstairs
- 17 break room, or any other break room in that hotel.
- 18 Q And is the kitchen area an area that you'd walked through
- 19 previously as a Union representative?
- 20 A Yes.
- 21 Q How often?
- 22 A Many times.
- 23 O Every time?
- 24 A Every time.
- 25 Q How did that conversation end with Chef Essar?

- 1 A He said he was going to call HR.
- 2 O And was that the end of the conversation?
- 3 A Yes. I said, okay, and I walked downstairs.
- 4 Q Was anybody present for this conversation with Chef Essar?
- 5 A I'm quessing Mike Bale and Deb were still there and could
- 6 hear it, but I didn't talk to them afterwards about it.
- 7 Q How far away were you from where you had been talking to
- 8 them when you talked to Chef Essar?
- 9 A Maybe from me to you.
- 10 JUDGE STECKLER: So about how many feet is that?
- 11 THE WITNESS: I don't know.
- 12 MR. WIESE: A dozen. About a dozen, fifteen feet.
- 13 MR. TERRELL: Fifteen feet.
- JUDGE STECKLER: I would say -- I was going to say
- 15 something else, but -- that's not even the size of a small
- 16 bedroom. That's at the most eight feet.
- 17 MR. TERRELL: At most eight feet.
- 18 JUDGE STECKLER: Let's get -- do you want to get out a
- 19 ruler?
- 20 MR. TERRELL: From where the witness is sitting to where
- 21 Tyler is sitting is more than eight feet. I'm five foot ten --
- 22 THE WITNESS: I was going to say ten feet --
- 23 MR. TERRELL: -- and I could stretch at least three times.
- 24 THE WITNESS: -- but I don't want to argue.
- JUDGE STECKLER: Okay so it's at least eight feet, no more

- 1 than fifteen feet.
- 2 MR. WIESE: Somewhere between eight and fifteen feet.
- 3 MR. TERRELL: It's a lot more than eight feet.
- 4 THE WITNESS: Yes.
- 5 JUDGE STECKLER: Some -- how loud was the conversation?
- 6 THE WITNESS: It was just normal tone of voice.
- 7 JUDGE STECKLER: Was it noisy in there?
- 8 THE WITNESS: No, not in that area.
- 9 JUDGE STECKLER: Okay.
- 10 MR. WIESE: So, Your Honor, the following line of questions
- 11 is in support of complaint allegation 5(b).
- 12 O BY MR. WIESE: So what did you do after that conversation
- 13 with Chef Essar?
- 14 A I walked down the stairs and into the maintenance break
- 15 area.
- 16 O Were there any employees present in the maintenance break
- 17 area?
- 18 A Yes, two maintenance guys, Dan Solberg, and Dick Darst.
- 19 O What did you do when you got to the maintenance break room?
- 20 A I started telling them what had just happened when, within
- 21 moments, Mary Kay from HR and Seth came in.
- 22 Q And what happened when Mary Kay Costello and Seth came in?
- 23 MR. TERRELL: And who else?
- 24 THE WITNESS: Mary Kay and Seth, the Sous Chef.
- 25 MR. TERRELL: Okay.

- 1 Q BY MR. WIESE: What happened when they came in the break
- 2 room?
- 3 A Mary Kay started what I call yelling at me, because it was
- 4 in a very loud voice, about how I was not allowed to go into
- 5 these break rooms any more. That I'm only allowed in the
- 6 cafeteria, and I'm also only allowed to post notices or flyers
- 7 in the cafeteria.
- 8 Q And were the two maintenance employees that you mentioned
- 9 earlier, were they still in the break room while Ms. Costello
- 10 was yelling at you?
- 11 A Yes.
- 12 O And how --
- 13 MR. TERRELL: Objection to the characterization. She said
- in a "loud voice," she did not say "yelling."
- 15 JUDGE STECKLER: I think she initially said "yelling."
- 16 MR. TERRELL: I heard loud voice, but the record will speak
- 17 for itself.
- 18 Q BY MR. WIESE: Would you like me to repeat the question?
- 19 A Yes, please.
- 20 O Were the maintenance employees still in the break room
- 21 while Ms. Costello was yelling at you?
- 22 A Yes.
- 23 O How far away were they? Roughly.
- 24 A Ten feet.
- 25 Q And how did you respond to Ms. Costello yelling at you?

- 1 A I told her that I've been posting things in the break --
- 2 all the break rooms all this time, and I didn't understand what
- 3 the problem was.
- 4 Q Did she respond to that?
- 5 A She said, we've discussed this in negotiations and you know
- 6 better. I said, I never heard anything about that in
- 7 negotiations.
- 8 Q Was that the end of the conversation?
- 9 A I believe so.
- 10 Q What happened after that conversation?
- 11 A She left and I went over and talked with the maintenance
- 12 guys.
- 13 Q What was the -- what were the employees reactions?
- 14 A They were in disbelief that she would talk that way to me.
- 15 Q And what did you -- what did you do after leaving the
- 16 maintenance break room that day?
- 17 A I --
- 18 Q If you recall.
- 19 A -- do not recall.
- 20 O Okay.
- 21 A I probably went home and told Brian what happened.
- MR. TERRELL: Objection: speculation. She said she doesn't
- 23 recall and then she's testifying as to what she probably did.
- JUDGE STECKLER: Yes, I understand. Yes, I agree, we'll
- 25 sustain that objection .

- 1 Q BY MR. WIESE: Was there another incident after April  $2^{\rm nd}$
- 2 at the Kahler Grand Hotel?
- 3 A Yes --
- 4 MR. TERRELL: Was there an allegation in the complaint that
- 5 relates to what you're about to ask?
- 6 MR. WIESE: Yes.
- 7 MR. TERRELL: Where?
- 8 MR. WIESE: Allegation 5(c). You can answer the question.
- 9 THE WITNESS: Yes. A few days later, I don't remember
- 10 exactly. I believe it was the 7th of April.
- 11 Q BY MR. WIESE: Did you provide notice to the employer
- 12 before visiting on April 7th, if you recall?
- 13 A Yes, I did.
- 14 Q Showing you what's been marked as General Counsel Exhibit
- 15 25(c).
- 16 (Witness proffered document.)
- 17 O Do you recognize this document?
- 18 A Yes, I do.
- 19 O And what is it?
- 20 A It's my e-mail to Michael Henry, and Mary Kay, and Mandy at
- 21 TCS.
- 22 Q Who is Mandy Jacobson?
- 23 A Mandy is the manager out at TCS now.
- 24 MR. WIESE: I'll offer General Counsel Exhibit 25 (c).
- 25 MR. TERRELL: No objection.

- 1 JUDGE STECKLER: GC 25 (c) is admitted.
- 2 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25 (c).)
- 3 Q BY MR. WIESE: What happened on April 7th at the Kahler
- 4 Grand Hotel?
- 5 A I was up in the housekeeping break room again, and it was
- 6 over the noon hour, probably around 1:00.
- 7 Q All right, and what happened later up in the housekeeping
- 8 break room that day?
- 9 A Yes, I'm thinking that I'm wrong in what I just said. I
- 10 think it was in the morning again.
- 11 Q Okay.
- 12 A Around 7:30 -- 8:00.
- 13 Q Okay, well regardless of what time it was --
- 14 A Okay.
- 15 Q -- do you recall what happened when you were in the
- 16 housekeeping break room on April 7th?
- 17 A Yes.
- 18 Q So tell me what happened.
- 19 A Josipa, the manager of the housekeepers there, came in and
- 20 told me that I wasn't supposed to be in that break room any
- 21 more.
- 22 Q And when Josipa told you this, were there any employees in
- 23 the break room?
- 24 A Yes, probably eight or ten of them.
- 25 Q And where were they the employees -- well, first of all,

- 1 actually how big is the break room up there?
- 2 A Very small. It's probably less than half of this room
- 3 size.
- 4 Q So if you had to give -- okay. And where were the
- 5 employees during this conversation?
- 6 A They were sitting at their tables up there.
- 7 Q What else do you remember from that conversation with
- 8 Josipa?
- 9 A I told her that nobody's ever told me that I'm not supposed
- 10 to be in that break room, and that I've been coming in there all
- 11 along so I didn't see what was wrong with it.
- 12 O And had you -- had Josipa seen you in that break room
- 13 before?
- 14 A Many times. In fact, we'd had several conversations in
- 15 there.
- 16 Q What did you do after your conversation with Josipa that
- 17 day?
- 18 A Well it was 8:00, so it was the time that I would be
- 19 leaving anyway, so I said, well, we'll talk later, and I just
- 20 left.
- 21 Q And after that incident on April 7th, do you remember the
- 22 topic of your access coming up in negotiations?
- 23 A Yes. Before that, after I left the break room that day --
- 24 O Mmm-hmm.
- 25 A -- I called Brian and let him know what was going on, or

- 1 what had happened, and he said this will be a topic of
- 2 discussion at the next negotiations.
- 3 Q Okay.
- 4 A On the 16th.
- 5 Q All right. And do you recall what happened -- or at the
- 6 negotiations on April 16th, what was discussed regarding your
- 7 access?
- 8 A Yes, nothing was brought up during the actual negotiations,
- 9 but in the afternoon when we had been on a caucus, the
- 10 management had gone on a caucus to do some work on some of the -
- 11 their paperwork, and Michael Henry came in, I think around 2
- 12 or so, and came in to state that they were going to need more
- 13 time to work on the stuff that had been asked for, and so he
- 14 said that we were going to end the negotiations for the day.
- 15 Q And what happened after he ended negotiations for the day?
- 16 A While he was still there, Brian and Nancy and -- Nancy
- 17 Goldman, Brian Brandt, and Martin Goff all said that they needed
- 18 to talk to Michael about some incidents that had occurred at the
- 19 hotels with me.
- 20 O And what would -- were you there, what was Mr. Henry's
- 21 response?
- 22 A He said he couldn't believe that that happened. And so I
- 23 spoke up and said, well I have witnesses to at least the last
- 24 one that happened, and the maintenance guys -- one of the
- 25 maintenance guys was in the negotiations, he's sitting right

- 1 over there.
- 2 0 Was that the end of the conversation?
- 3 A Michael looked over at Dan Solberg and Dan said yes it did
- 4 happen.
- 5 MR. TERRELL: Who? Dan?
- 6 THE WITNESS: Dan Solberg is one of the maintenance guys
- 7 that was in the room when it happened, and also in our
- 8 negotiations.
- 9 Q BY MR. WIESE: And was that the end of the conversation
- 10 after Mr. Solberg spoke?
- 11 A Michael Henry said he would look into it.
- 12 O And after the -- this discussion outside of negotiations on
- 13 April 16th, did you have any further incidents with accessing
- 14 either the Kahler Grand or the Marriott?
- 15 A Yes, umm --
- 16 MR. TERRELL: Objection. Is there an allegation in the
- 17 complaint that relates to some incident that happened after
- 18 this?
- 19 JUDGE STECKLER: I think the allegation 12 says "since".
- MR. TERRELL: I'm sorry.
- JUDGE STECKLER: The allegation's in paragraph 12 --
- MR. TERRELL: No, the allegation's in paragraph 5, (a),
- 23 (b), and (c), and we just heard testimony on 5(a), 5(b), and
- 24 5(c).
- JUDGE STECKLER: And then those are the 8(a)(1)s, but it's

- 1 also part of the -- isn't it part of the 8(a)(5)s, that --
- 2 regarding changing policies regarding Union representative's
- 3 access to facilities and posting materials on bulletin boards?
- 4 MR. WIESE: Yes, it is, Your Honor. And there's also -- I
- 5 was just going to say, the Complaint allegation 5(e), and it's
- 6 just the line of testimony also supports that.
- JUDGE STECKLER: Okay.
- 8 We're going to proceed.
- 9 Q BY MR. WIESE: Do you recall providing notice to -- okay,
- 10 all right, I'm showing you what's been marked as General Counsel
- 11 Exhibit 25(d).
- 12 (Witness proffered the document.)
- 13 Q Do you recognize this document?
- 14 A Yes, I do.
- 15 Q And what is it?
- 16 A It's my e-mail to Michael Henry and Mary Kay and Mandy that
- 17 I would be at the Kahler, the Textile Care Services, Kahler Inns
- 18 and Suites and Residence Inn on the following day, on Thursday.
- 19 MR. WIESE: Offer General Counsel's Exhibit 26(d).
- 20 MR. TERRELL: No objection.
- JUDGE STECKLER: Twenty-five (d) is admitted -- GC.
- 22 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25(d).)
- 23 O BY MR. WIESE: Do you recall what happened when you visited
- 24 the Kahler Grand Hotel on June 4th?
- 25 A Yes, I was up in the Kahler --

- 1 Q Okay.
- 2 A -- housekeeping, again.
- 3 Q Yes, tell me what happened when you were up in the
- 4 housekeeping area.
- 5 A Okay. I was talking with Mary Lewis and a group of others
- 6 around their noon hour, which is about 1 o'clock. And we
- 7 chatted for several minutes. And when it was time for them to
- 8 go back to work, they had left the room. And just as I was
- 9 picking my things up, because there wasn't anybody else in the
- 10 room at the time to leave, one of the other housekeepers came in
- 11 and started asking me a question; but before she could get it
- 12 out, we were interrupted.
- 13 O And who interrupted you?
- 14 A Michael Henry, Josipa, Mary Kay and Scott Mauer.
- 15 Q What happened when they interrupted you?
- 16 A Michael Henry -- Michael Henry told me that I was no longer
- 17 welcome in that break room, that I made the employees
- 18 uncomfortable.
- 19 Q And was the employee that you had been talking to -- was
- 20 she present when Mr. Henry said this?
- 21 A I believe she was at that time, but I think she left
- 22 immediately after that.
- 23 O And what was your response to what Mr. Henry said about not
- 24 being welcome in the break room?
- 25 A I said I found it to be funny that the employees felt

- 1 uncomfortable around me; when they all talk to me, they are all
- 2 friendly, they all ask me questions. I didn't feel like I ever
- 3 was, you know, making anybody feel uncomfortable.
- 4 Q Do you recall anything else from that conversation?
- 5 A Yes, Michael Henry said, "It doesn't matter what, because
- 6 they have come into my office and they've told me this. And so,
- 7 you are not allowed up here anymore."
- 8 0 Was that the end of the conversation?
- 9 A No, I said that things like -- something about changing
- 10 during negotiation, and that's not supposed to happen.
- 11 Q Okay, all right.
- 12 A Also, I said -- sorry, I just remembered what else I said.
- 13 I said, "And it took four of you to come up here and kick me out
- 14 of this break room."
- 15 Q Was that the end of the conversation?
- 16 A Michael Henry said, "We're not kicking you out, we're just
- 17 asking you to leave."
- 18 Q What happened after he said that?
- 19 A I left.
- 20 O And after this incident on June 4th, were there any other
- 21 incidents that you recall?
- 22 A Yes, there was a time towards the end of June at the
- 23 Marriott.
- 24 Q Showing you what's been marked as General Counsel Exhibit
- 25 25(e).

- 1 (Witness proffered the document.)
- 2 Q Do you recognize this document?
- 3 A Yes, I do.
- 4 Q And what is it?
- 5 A It's an e-mail to Michael Henry and Mary Costello that I
- 6 would be at the Kahler Inn and Suites and from 11 o'clock to
- 7 12:15, and at the Marriott until 1 o'clock.
- 8 Q Why does this e-mail have times listed on it?
- 9 A Because Michael Henry asked me to start giving him the
- 10 exact times I was going to be at the different locations.
- 11 MR. WIESE: Offer General Counsel Exhibit 25(e).
- 12 MR. TERRELL: No objection.
- 13 JUDGE STECKLER: Exhibit 25(e) is admitted.
- 14 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25(e).)
- 15 Q BY MR. WIESE: What do you recall happening at the Marriott
- 16 on June 24th?
- 17 A I was hanging I believe negotiation updates or postings
- 18 about a meeting, and I don't remember which. And I was going to
- 19 the banquet area and the housekeeping area to hang them on their
- 20 bulletin boards.
- 21 Q Is this an area where you have posted flyers in the past?
- 22 A Many times.
- 23 O And what happened when you were up there posting flyers?
- 24 A As I was walking towards the area to post, Crystal was
- 25 coming down the hall the other way, and she's the supervisor for

- 1 the housekeepers there, and she told me I wasn't allowed back
- 2 there.
- 3 Q Were there any employees present for that conversation?
- 4 A Just Crystal and one of her supervisors.
- 5 O And what did you do after that conversation?
- 6 A I said I've always been hanging the notices up before and I
- 7 was just going back to the banquet.
- 8 Q And after you said that, do you remember what you did that
- 9 day?
- 10 A She said I'm not allowed back there anymore and so I left
- 11 and texted Brian and let him know what happened.
- 12 0 Was this the last incident that happened?
- 13 A No, there was another one in September.
- 14 O And where did this one take place?
- 15 A I cannot remember this one, I'm sorry.
- 16 0 Okay.
- 17 I'm showing you what's been marked as General Counsel's
- 18 Exhibit 25(f).
- 19 (Witness proffered the document.)
- 20 O Do you recognize this document?
- 21 A Yes, it's an e-mail to Michael Henry and Mary Kay that I
- 22 will be at the Kahler and the Marriott today between 11 and
- 23 1:30.
- 24 Q All right.
- 25 MR. WIESE: I'll offer General Counsel Exhibit 25(f).

- 1 MR. TERRELL: No objection.
- 2 JUDGE STECKLER: GC 25(f) is admitted.
- 3 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 25(f).)
- 4 Q BY MR. WIESE: After looking at this e-mail, does it help
- 5 you jog your recollection about what happened in September?
- 6 A Yes.
- 7 O Okay. Tell me what happened on September 2nd.
- 8 A I was up in the Kahler housekeeping area again, and Josipa
- 9 Came in and told me I wasn't allowed up there.
- 10 O Were there any employees present when she told this?
- 11 A Yes, Mary Lewis and several of her friends that each lunch
- 12 together.
- 13 Q Did she say anything else besides the fact that you weren't
- 14 welcome in the break room?
- 15 A I said that I thought that since the charges had been sent
- 16 to them about the charges that we had against Richfield
- 17 Hospitality, that things would have changed and I would be
- 18 allowed up there.
- 19 Q Okay, and did Josipa say anything else during that
- 20 conversation?
- 21 A Josipa said she doesn't know anything about that and would
- 22 I please come to HR to see Michael Henry.
- 23 O Did you go to HR with Josipa after she said that?
- 24 A I did.
- 25 Q Okay, and what happened when you got up to HR?

- 1 A She told Michael that I had been up in the housekeeping
- 2 break room again, and turned me over to him.
- 3 Q And was that the most recent incident, the one on September
- 4 2nd? Were there any after that?
- 5 A Yes.
- 6 Q That was the most recent incident?
- 7 A I believe so.
- 8 0 Okay.
- 9 To your knowledge, did the Union ever agree with the
- 10 employer to change the past practice with regard to your
- 11 accessing the Kahler Grand Hotel?
- 12 A No.
- 13 O What about the Marriott Hotel?
- 14 A No.
- 15 Q And what about posting flyers at the Kahler Grand Hotel,
- 16 did the Union ever agree with the employer to change your past
- 17 practice?
- 18 A No.
- 19 O And what about at the Marriott Hotel?
- 20 A No.
- 21 MR. WIESE: Nothing further.
- MR. TERRELL: Jencks affidavits, please.
- 23 MS. BURGESS: Your Honor, I have a 25-page affidavit;
- 24 however, I would ask -- a lot of this has to do with bargaining.
- 25 We didn't ask her any questions about bargaining. Any testimony

- 1 about that would obviously be beyond the scope of direct, with
- 2 the exception to the one reference to the session where there
- 3 was a discussion at the end about the access issues. But,
- 4 otherwise, I believe that this document should be redacted, and
- 5 then it's a much shorter deal, it's just about the access
- 6 issues. So that's what I would propose to do, and then turn
- 7 this over.
- 8 And then there's two pages of notes regarding the incidents
- 9 that testified about, which would fall under Jencks.
- 10 MR. TERRELL: I don't think it should be redacted.
- 11 MS. BURGESS: It would be beyond the scope of direct. We
- 12 asked her nothing about negotiations, so you couldn't go there
- 13 anyway.
- MR. TERRELL: Well, there was one.
- MS. BURGESS: Right, and I said I would leave that in.
- 16 MR. TERRELL: How am I supposed to know that it's been left
- 17 --
- 18 JUDGE STECKLER: The Judge can do an in camera inspection.
- 19 MR. TERRELL: What's the harm in me reading it?
- 20 MS. BURGESS: Because it's -- you can't ask her questions
- 21 about it, and it would just take up so much extra time, which
- 22 you've already asked for.
- 23 So the Judge can do an in camera inspection of the
- 24 redaction versus the original, if you have any concerns about
- 25 that.

- 1 MR. TERRELL: Well, the Judge might allow me to do a little
- 2 beyond cross -- I mean, beyond the scope of direct. That's
- 3 certainly not unheard of.
- 4 MS. BURGESS: Well, evidentiary --
- 5 MR. TERRELL: In NLRB litigation, particularly since the
- 6 negotiations are certainly a huge topic in this case. I mean,
- 7 this witness may know, and I can certainly call her in my case
- 8 in chief, but she's here now.
- 9 MS. BURGESS: You can certainly call her, but you're not
- 10 entitled to her affidavit if you call her during your case in
- 11 chief, so that doesn't help you. And it is --
- 12 JUDGE STECKLER: Can you all address me instead of having
- 13 some cross-colloquy.
- MR. TERRELL: I'm sorry, Your Honor.
- MS. BURGESS: I'm sorry, Your Honor.
- 16 JUDGE STECKLER: Address me instead of fighting with each
- 17 other.
- MR. TERRELL: Your Honor, I couldn't hear you, I'm sorry.
- 19 JUDGE STECKLER: If you guys wouldn't mind addressing me
- 20 instead of fighting with each other.
- 21 MR. TERRELL: Oh, certainly.
- 22 JUDGE STECKLER: Any further comments on this issue?
- MS. BURGESS: I would say that the bargaining is an issue.
- 24 Our theory of the case is very discreet. It regards two
- 25 specific proposals that we allege to be 8(a)(5). It's not an

- 1 overall surface bargaining case. And each of the bargaining
- 2 sessions that are referenced in here really have no relevance
- 3 and she didn't testify about it. So he really can't -- it's
- 4 beyond the scope of direct, which is a very basic principle of
- 5 evidence.
- 6 MR. TERRELL: All that said, Your Honor, I still don't
- 7 understand what the big deal is? Why not allow me to read it?
- 8 MS. BURGESS: And, Your Honor, I guess --
- 9 MR. TERRELL: I may be prohibited from going into much of
- 10 it on cross, but I see no harm in allowing me to read it.
- MS. BURGESS: Your Honor, if he can't go into it on cross,
- 12 and we're trying to expedite the hearing, so that -- I think we
- 13 all agreed that we wanted to finish with General Counsel's case
- 14 in chief at least tonight.
- 15 JUDGE STECKLER: Let me take a look at some things here.
- 16 Hang on just a few moments.
- 17 (Pause.)
- 18 JUDGE STECKLER: The direction I get from Caterpillar, 313
- 19 NLRB 626, a 1993 case. The Board held when the General Counsel
- 20 assts that material in the Jencks statement does not relate to
- 21 the subject matter of the witness' testimony on direct exam, the
- 22 Judge must inspect the statement in camera and excise the
- 23 portion of the statement that does not relate to the testimony.
- 24 The Judge may exercise discretion in this respect.
- MR. TERRELL: So what is your discretion?

- 1 MS. BURGESS: If Your Honor would like to do the redaction,
- 2 I'm totally fine with that.
- JUDGE STECKLER: Does someone have a black marker?
- 4 MS. BURGESS: I do.
- 5 JUDGE STECKLER: Okay.
- Now I do have some discretion to leave in a few things if I
- 7 think that they are relevant to -- and I need to hear testimony
- 8 on that.
- 9 So given the time of the day, what I would like to do is do
- 10 this overnight, where I can give it appropriate time, and we'll
- 11 take some time first thing in the morning, so Mr. Terrell can
- 12 have some time to review this.
- 13 Under this circumstance, can we convene at 8:30?
- MR. TERRELL: Well, we --
- JUDGE STECKLER: I know you've had some problems in getting
- 16 to your witnesses, Mr. Terrell.
- 17 MR. TERRELL: I mean, that's huge -- you know, we're
- 18 basically making this up on the fly, corralling witnesses, and
- 19 we've got a lot of work to do. And every minute is going to
- 20 help, so I really would prefer a 9 a.m. start.
- JUDGE STECKLER: Okay.
- MS. BURGESS: Well, we can do 8:30, Your Honor.
- 23 MR. WIESE: Yes.
- MS. BURGESS: So it's up to you.
- Or could we go late tomorrow night?

- 1 MR. TERRELL: Well, we've got virtually all of our
- 2 witnesses we need to prepare.
- JUDGE STECKLER: Right.
- 4 And from our previous conference calls, I understand Mr.
- 5 Henry is not available at all last week?
- 6 MR. TERRELL: Last week?
- 7 JUDGE STECKLER: Last week. Wasn't he out recruiting last
- 8 week?
- 9 MR. TERRELL: That's correct, Mr. Henry was in the
- 10 Philippines.
- 11 MS. BURGESS: Well, Your Honor, I would just note for the
- 12 record that this case has been scheduled for trial for quite
- 13 some time now, so their scheduling issues really are their own.
- MR. TERRELL: Well, but it's also the reality that we don't
- 15 know what your case is all about from the scant sentenced in
- 16 your complaint until we actually hear the testimony.
- JUDGE STECKLER: Wait a minute, wait a minute.
- 18 Remember, I said no cross-colloquy.
- 19 MR. TERRELL: I was directing this to you.
- 20 JUDGE STECKLER: Oh, okay, thank you -- and for that
- 21 clarification.
- 22 Yes, this is going to take me some time. If General
- 23 Counsel doesn't mind, if you have an extra copy so I can compare
- 24 as I go through.
- 25 MR. WIESE: Mmm-hmm. Although --

- 1 JUDGE STECKLER: Brings me back to my FOIA days.
- 2 MR. TERRELL: Pardon me?
- JUDGE STECKLER: To my FOIA days.
- 4 MR. TERRELL: So we're done for the day, Your Honor, right?
- JUDGE STECKLER: In just a moment when I get an extra copy
- 6 of -- and then tomorrow morning, I tell you what -- and tomorrow
- 7 morning, what I will do is I'll try to be here as early as
- 8 possible, so General Counsel can make copies of the redacted
- 9 statement, so that we won't waste any time --
- 10 MR. TERRELL: Okay.
- 11 JUDGE STECKLER: -- when we go on the record at 9.
- 12 MR. TERRELL: At 9.
- JUDGE STECKLER: Since you said 9, I try to be cooperative.
- MR. TERRELL: Thank you.
- MR. WIESE: Okay, yes, that's fine.
- 16 JUDGE STECKLER: But we'll try to go as late as possible
- 17 tomorrow evening, pending bathroom breaks --
- 18 MR. TERRELL: Okay.
- 19 JUDGE STECKLER: I was going to say something back from my
- 20 nursing days, but that won't be appropriate.
- 21 Anything else before we go off the record?
- MR. TERRELL: No, Your Honor.
- MS. BURGESS: No. Your Honor.
- MR. WIESE: No, Your Honor.
- 25 JUDGE STECKLER: Thank you.

444

1	MR. TERRELL: Thank you.
2	JUDGE STECKLER: I just wanted to remind the witness, Ms.
3	Henry, you are excused till the morning. Please do not discuss
4	your testimony with anyone during this time period.
5	We'll see you in the morning.
6	THE WITNESS: Okay.
7	JUDGE STECKLER: And now we're off the record.
8	(Whereupon, at 5:20 p.m., the hearing adjourned to resume
9	tomorrow, December 17, 2015, at 9 a.m. in the same place.
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2	This is to certify that the attached proceedings before the				
3	National Labor Relations Board, Region 18, Case 18-CA-151245,				
4	Richfield Hospitality, Inc., as managing agent for Kahler				
5	Hotels, LLC and Unite HERE International Union Local 21, in				
6	Rochester, Minnesota, on December 16, 2015, was held according				
7	to the record, and that this is the original, complete and true				
8	and accurate transcript that has been compared to the recording				
9	at the hearing; and that the exhibits are complete and no				
LO	exhibits received in evidence or in the rejected exhibit files				
L1	are missing.				
L2					
L3	Sandra Moberg Walls				
L4	Sandra Moberg Walls				
L5	Official Reporter				
L6					
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L8					
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## OFFICIAL REPORT OF PROCEEDINGS

### BEFORE THE

#### NATIONAL LABOR RELATIONS BOARD

In the Matter of:

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Pages: 446 through 694 (Volume 3 of 3)

Date: December 17, 2015

Place: Rochester, Minnesota

BEFORE THE

#### NATIONAL LABOR RELATIONS BOARD

In the Matter of:

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and

Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

The above entitled matter resumed trial, pursuant to adjournment, before The Honorable Sharon L. Steckler, Administrative Law Judge, in Conference Room 3101A of the Olmstead County Government Center, 151 - 4th Street SE, Rochester, Minnesota, on Thursday, December 17, 2015, at 9:12 a.m.

1	APPEARANCES					
2	On behalf of the NLRB, Counsel for the General Counsel:					
3	TYLER J. WIESE, ESQ.					
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23	(Appearances continued on page 3.)					
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449

1			<u>I</u> <u>N</u> <u>I</u>	<u>E X</u>		
2	WITNESSES	DIRECT	CROSS	REDIRECT	RECROSS	VOIR DIRE
3	Linda Henry		457			
4	(Recalled)					
5	Leslie Hohmann	465	493	501		474
6	Michael Henry	505				561
7	(Recalled)					
8	Ericka Scrabeck	587	600	607		601
9	Mary Kay Costello	610	621			614
10	Crystal Adcox	626	631	635		
11	Arch Stokes	641	671			
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1	<u>E X H I B I T S</u>			
2	EXHIBIT	IDENTIFIED	IN EVIDENCE	
3	General Counsel's			
4	31		585	
5	33		603	
6	34		585	
7	39(d)-(f) and $(h)-(m)$		584	
8	43		584	
9	44(a) - (f)		584	
10	45(a) - (c)		584	
11				
12	Respondent's			
13	2	459		
14	(R 2 split: Part 1 and	Part 2)690		
15	2(Part 1)		690	
16	2(Part 2)-REJECTED pg	690)		
17	3	469	478	
18	4		486	
19	5		493	
20	6		565	
21	7	582	584	
22	8	582	584	
23	9	582	584	
24	10	582	584	
25	11	582	584	
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451

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2	EXHIBIT	IDENTIFIED	IN EVIDENCE
3	Respondent's		
4	12	582	584
5	13		615
6	14	650	670
7	15		669
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- 2 9:12 a.m.
- JUDGE STECKLER: Thank you. Good morning.
- 4 This is the third day of the trial in Richfield
- 5 Hospitality.
- 6 As we left yesterday there was disputes about whether to
- 7 produce the affidavit of Ms. Linda Henry, and I determined at
- 8 the end of the day that I would redact the portions to which she
- 9 did not testify. I have done so, and what we need to do now is
- 10 produce to Mr. Terrell pages 1 and 2, and pages 20 through 25.
- 11 So I will direct General Counsel to make copies of those pages
- 12 as I do have some redactions on a couple of the pages. The rest
- 13 of the affidavit is not relevant to her testimony.
- 14 So I'll give -- I'm going to hand General Counsel a copy,
- 15 General Counsel will also be directed to retain a copy of the
- 16 unredacted statement, as well as a copy of the redactions.
- 17 MR. WIESE: Okay, Your Honor.
- JUDGE STECKLER: And I will review before I give to Mr.
- 19 Terrell to ensure that everything that I wanted redacted is
- 20 redacted, and, likewise, everything that's supposed to be
- 21 produced will be produced.
- With that, Ms. Burgess or Mr. Wiese, I'll give you the
- 23 affidavit.
- 24 And we'll go off the record. Thank you.
- 25 (Off the record.)

- 1 JUDGE STECKLER: We're back on the record briefly.
- We were discussing off the record about production of the
- 3 affidavit. General Counsel will produce specifically the pages
- 4 1 and 2, and 20 through 25, without the intervening pages which
- 5 were all redacted by me.
- In addition, there are some additional Jencks statements
- 7 from Ms. Henry. One is her notes regarding access issues from
- 8 what Mr. Wiese told me, and then you said there were bargaining
- 9 notes as well?
- 10 MR. WIESE: Yes, Your Honor, and then a handful of e-mails.
- 11 MS. BURGESS: And the same argument should apply to the
- 12 bargaining notes.
- 13 THE COURT REPORTER: I'm not picking you up. Need to be by
- 14 mic.
- MR. WIESE: Well, I mean as the portions of the bargaining
- 16 -- the bargaining portions of her affidavit are, you know,
- 17 deemed to be outside the scope of her -- the Jencks production.
- 18 I mean, I would make the same argument as to the bargaining
- 19 notes being required to be produced.
- 20 JUDGE STECKLER: I think -- so Mr. Terrell, you'd like an
- 21 in camera review on that, wouldn't you?
- 22 MR. TERRELL: These -- we have no particular objection.
- 23 JUDGE STECKLER: Do you need them or not?
- MR. TERRELL: The bargaining notes?
- JUDGE STECKLER: Yes, sir.

- 1 MR. TERRELL: By Ms. Henry, I'd like to look at them, yes.
- JUDGE STECKLER: Okay, then I'll have to redact again, and
- 3 review for redaction. So while you guys are making copies of
- 4 that, I'll review.
- 5 MR. WIESE: Okay.
- 6 JUDGE STECKLER: To make -- to ensure that we're not going
- 7 off of what she was not discussing on direct.
- 8 MR. TERRELL: Okay.
- 9 MR. WIESE: Are we still on the record?
- 10 THE COURT REPORTER: Yes, we are.
- 11 JUDGE STECKLER: Okay, so you're going to give --
- 12 MR. WIESE: I'm handing Mr. Terrell the access notes and
- 13 the e-mails and -- a handful of e-mails that I received from Ms.
- 14 Henry.
- 15 JUDGE STECKLER: Okay.
- 16 And I didn't bring back the marker, so everything's going
- 17 to be redacted in purple.
- MR. WIESE: And I'm handing the Judge a copy of Ms. Henry's
- 19 --
- 20 JUDGE STECKLER: Okay, are these paginated at all?
- 21 MR. WIESE: They are not.
- JUDGE STECKLER: Okay, I'm going to put page numbers on
- 23 them before I start.
- MR. WIESE: Okay.
- 25 JUDGE STECKLER: Okay, and with -- so I have her bargaining

- 1 notes to review for redactions. And with that, General Counsel
- 2 will make copies to produce of the affidavit.
- 3 We're off the record.
- 4 (Off the record.)
- 5 JUDGE STECKLER: Thank you, we're back on the record.
- 6 I've reviewed the bargaining notes by Linda Henry. There is
- 7 only one page that is relevant. I wrote page numbers on here.
- 8 This document, again, will be preserved by the General Counsel.
- 9 And the only page that needs to be produced out of my pagination
- 10 and copied with my pagination on the bottom is page 45; and that
- 11 is it.
- 12 So, Mr. Wiese, I will give you the paginated copy of Ms.
- 13 Henry's bargaining notes at this time for making copies for Mr.
- 14 Terrell.
- That's it, thank you. We'll be off the record for a
- 16 moment.
- 17 (Off the record.)
- 18 JUDGE STECKLER: We're back on the record.
- 19 General Counsel, do you have documents to produce to Mr.
- 20 Terrell?
- MR. WIESE: Yes, Your Honor, I have the page 45 of Ms.
- 22 Henry's bargaining notes that you ordered to be produced.
- 23 MS. BURGESS: And we had already provided the redacted
- 24 version of the affidavit a few minutes ago and --
- 25 JUDGE STECKLER: Okay.

- 1 Mr. Terrell, how long would you like to review?
- 2 MR. TERRELL: I'm sorry?
- JUDGE STECKLER: How long would you like to review?
- 4 MR. TERRELL: Just another five minutes.
- 5 JUDGE STECKLER: Okay, we'll be off the record for 5
- 6 minutes, then, thank you.
- 7 (Off the record.)
- 8 JUDGE STECKLER: We're back on the record.
- 9 (WITNESS RECALLED: LINDA HENRY)
- JUDGE STECKLER: Good morning, Ms. Henry, you've retaken
- 11 the stand. Please remember that you are still under oath.
- 12 JUDGE STECKLER: Mr. Terrell, you may cross.
- 13 MR. TERRELL: Thank you. I just have a few questions.
- 14 Oh, before we begin, before we go forward with this
- 15 witness, I wanted to take care of a subpoena issue. We stated
- 16 yesterday -- we provided yesterday a list, and the highlighted
- 17 names on that list are the employees who were voluntarily
- 18 separated by virtue of being a no-call/no-show for 2 days in a
- 19 row, and I said we would provide the personnel action forms for
- 20 each of the names on the list and I do have that this morning,
- 21 and so I've handed them out to General Counsel.
- 22 MR. WIESE: Just clarifying for my purposes, so the names
- 23 that aren't highlighted on this list are other employees who
- 24 have received attendance discipline, but are not --
- 25 MR. TERRELL: But the names on the list that are not

- 1 highlighted --
- 2 MR. HENRY: Are on the list. There's an action form for
- 3 all on the list.
- 4 MR. WIESE: Okay.
- JUDGE STECKLER: Mr. Henry, you're going to have to get
- 6 closer to a mic.
- 7 MR. HENRY: I'm sorry.
- 8 THE COURT REPORTER: I didn't get that.
- 9 MR. HENRY: I'm sorry.
- 10 The list that was presented, all the associates on that
- 11 list you have an actual form for them.
- MR. WIESE: Okay, right, okay I got it. Thank you.
- JUDGE STECKLER: Thank you, Mr. Terrell, we appreciate
- 14 that.
- 15 MR. TERRELL: Yes.
- 16 CROSS-EXAMINATION
- 17 O BY MR. TERRELL: Good morning.
- 18 A Good morning.
- 19 O The collective bargaining agreement for the hotels, the one
- 20 that expired, there is a provision in that agreement that
- 21 relates to the bulletin boards, is that correct?
- 22 A Yes.
- 23 O And at the Kahler Grand Hotel, in the employee cafeteria,
- 24 there is a board in the employee cafeteria that is designated
- 25 for the Union, that's correct, isn't it?

- 1 A Yes.
- 2 Q Similarly at the Marriott, in the employee break room at
- 3 the Marriott, there's also a board designated for the Union's
- 4 use in putting up whatever notices they would like, correct?
- 5 A I believe so, yes.
- 6 Q Okay. Just one other question, you -- or one of the line
- 7 of questions -- you testified yesterday that you are the
- 8 business representative for Textile Care Services.
- 9 A Yes.
- 10 O And has that remained the case? Are you still the business
- 11 representative for Textile Care Services following the
- 12 separation of that unit into a separate bargaining unit?
- 13 A Yes. They're still under our same Local 21, and I still am
- 14 a representative out there.
- 15 Q Okay. And did you -- you attended the collective
- 16 bargaining sessions at Textile Care Services, didn't you?
- 17 A I did.
- 18 Q And an agreement was reached.
- 19 A It was.
- 20 O For the bargaining unit.
- I want to hand you what I will represent is a copy of the
- 22 final agreement.
- 23 (Witness proffered document.)
- 24 A Thank you.
- 25 THE COURT REPORTER: Do you want that marked?

- 1 MR. TERRELL: Yes, I do. I'd like to mark this as Exhibit R
- 2 2.
- 3 (EXHIBIT MARKED: RESPONDENT'S 2.)
- 4 Q BY MR. TERRELL: Who was the lead negotiator for the
- 5 company in the bargaining sessions for the Textile Services
- 6 collective bargaining agreement?
- 7 A For the company? Paul.
- 8 Q Paul Jewison?
- 9 A Yes.
- 10 Q At the bargaining table was Mr. Stokes, who is sitting here
- 11 to my left, in the bargaining?
- 12 A Yes, he was.
- 13 Q And did he participate in the negotiations?
- 14 A Yes, he did.
- 15 O Did he lead them with Paul?
- 16 A Yes.
- 17 O I've handed you what's been marked as Exhibit R 2. I think
- 18 if you flip to page 34 you'll see the signature lines.
- 19 A Yes.
- 20 O Do you recognize the signature lines for Brian Brandt,
- 21 Nancy Goldman and Martin Goff?
- 22 A Yes.
- 23 O Is this collective bargaining agreement that the parties
- 24 entered into on August 20, 2015?
- 25 A Yes.

- 1 Q How many bargaining sessions did it take to reach this
- 2 agreement after the bargaining unit was separated?
- 3 A Three.
- 4 Q Three. And you see attached to this bargaining agreement a
- 5 series of pie charts, do you see that?
- 6 A Yes.
- 7 O And these pie charts were made a part of the collective
- 8 bargaining agreement, correct?
- 9 A I'm -- I don't believe the pie charts are in our collective
- 10 bargaining agreement booklet.
- 11 Q They were provided to the Union during the bargaining,
- 12 correct?
- 13 A They were provided during the initial bargaining that was
- 14 taking place when we were still part of the Kahler properties.
- 15 Q And -- but whether they're in the booklet or not, these pie
- 16 charts are part of the contract, true?
- 17 A I -- no, I can't answer that.
- 18 Q Very good.
- 19 MR. TERRELL: Your Honor, we move into evidence Exhibit R
- 20 2.
- JUDGE STECKLER: Relevance?
- 22 MR. TERRELL: Well, the Textile Care Services collective
- 23 bargaining agreement was negotiated during the formal
- 24 negotiations for the hotel. At that point, they were in the
- 25 same bargaining unit --

- 1 JUDGE STECKLER: During that summer, summer of 2015,
- 2 correct?
- 3 MR. TERRELL: I'm sorry?
- 4 JUDGE STECKLER: Summer of 2015, correct? During the
- 5 summer.
- 6 MR. TERRELL: It was finalized during the negotiations in
- 7 the summer of 2015 following the Regional Director's ruling that
- 8 it should proceed as a separate bargaining unit pursuant to the
- 9 unit clarification decision, but the bargaining for this
- 10 agreement initially was being bargained at the bargaining table
- 11 with the hotel bargaining unit.
- This is an 8(a)(5) case, and we submit the relevance of the
- 13 agreement that we've placed in front of this witness, who has
- 14 identified it, as definitely relevant because it shows that the
- 15 parties were able to reach an agreement, so we submit it for
- 16 that purpose.
- 17 JUDGE STECKLER: General Counsel?
- 18 MR. WIESE: Judge, I mean I don't believe that this
- 19 agreement is relevant. I also don't think -- if I heard right
- 20 from Mrs. Henry, or Ms. Henry, wasn't able to fully identify
- 21 the entire document. And I mean what occurred in the -- what
- 22 Respondent requested to be a separate bargaining unit isn't
- 23 relevant to what's happening here. And, again, I don't want to
- 24 sound like a broken record on this, but this isn't an overall
- 25 surface bargaining case. We're dealing with distinct proposals

- 1 related to wages and union leave, and time spent at the
- 2 bargaining table for a completely different bargaining unit, and
- 3 so the relevance of a collective bargaining agreement for a
- 4 different bargaining unit -- I mean, there seems to be none in
- 5 my view.
- 6 MR. TERRELL: Your Honor, may I be heard?
- 7 JUDGE STECKLER: Certainly, sir.
- 8 MR. TERRELL: Mr. Wiese says that this is not a surface
- 9 bargaining case, however there at least six identifiable -- more
- 10 than that, actually -- 8(a)(5) allegations. One of those
- 11 8(a)(5) allegations is that the company unlawfully refused to
- 12 meet again in October and November. So the allegations in this
- 13 case are intended to present a depiction that the employer is an
- 14 intransigent bargainer, that it bargained in bad faith.
- 15 Mr. Wiese's opening statement in his opening argument in
- 16 this case -- he used the phrase that this is a case about an
- 17 employer that wants what it wants, and so that's the case that
- 18 we are defending. And it is highly relevant to show that the
- 19 Textile Care Services bargaining unit, which was a part of this
- 20 bargaining for the hotel, it was separated, but it was the same
- 21 parties who were bargaining. It was Local -- it was the same
- 22 negotiators, Martin Goff, Brian Brandt and Nancy Goldman, and it
- 23 was the same negotiators at the table, Arch Stokes, Paul
- 24 Jewison. Paul Jewison was in the bargaining negotiations before
- 25 the separation of the unit. It is also relevant in that pie

- 1 charts were used during the negotiations and presented during
- 2 the negotiations, and we will have testimony that they were, in
- 3 fact, made a part of the contract. Whether the Union put them
- 4 in their booklet or not, that's up to the Union, but they are a
- 5 part of the contract.
- 6 So we submit, respectfully, that this is a highly relevant
- 7 document and highly relevant testimony that's already been
- 8 allowed to come in. There was no objection to Ms. Henry's -- to
- 9 the questions directed to Ms. Henry regarding this document and
- 10 she has identified, so we submit that it's relevant and go in
- 11 evidence.
- 12 JUDGE STECKLER: But she's also disputed whether the pie
- 13 charts were part of it.
- 14 What I would like to do is hold off on admission, and re-
- 15 suggest it with one of your witnesses.
- 16 MR. TERRELL: We will do that.
- 17 JUDGE STECKLER: Thank you.
- 18 MR. TERRELL: I have nothing further for Ms. Henry.
- 19 JUDGE STECKLER: Thank you. Ms. Henry, thank you for your
- 20 time. You may step down. Please do not discuss --
- 21 MR. WIESE: No redirect, Your Honor.
- 22 JUDGE STECKLER: Oh, I'm sorry, I was getting --
- 23 MR. WIESE: That's all right.
- JUDGE STECKLER: Please do not discuss your testimony with
- 25 anyone until the hearing is over, which may be a while.

- 1 THE WITNESS: Okay. Thank you.
- 2 JUDGE STECKLER: Thank you.
- 3 (Witness excused from stand.)
- 4 JUDGE STECKLER: And is that the close of the General
- 5 Counsel's case?
- 6 MR. WIESE: Yes, it is, subject to rebuttal --
- 7 JUDGE STECKLER: Of course.
- 8 MR. WIESE: -- if necessary.
- 9 JUDGE STECKLER: Of course.
- 10 MR. WIESE: Yes, I'm --
- 11 JUDGE STECKLER: Do you have any motions that you need to
- 12 make at this time?
- MR. WIESE: No. No, Your Honor.
- JUDGE STECKLER: I understand Respondent's first witness is
- 15 here.
- 16 MR. TERRELL: Yes.
- JUDGE STECKLER: However, before we go to that, I mentioned
- 18 at the beginning of the hearing, I would again talk to the
- 19 parties about settlement. This is still an opportunity for you
- 20 to discuss with each other ways to make the -- make the season
- 21 bright, so to speak. To borrow from what's going on on
- 22 television all day long.
- 23 I won't stop the hearing at this time, but if it any time
- 24 you would like to stop to discuss, please let me know and I'll
- 25 be happy to do so.

- 1 (No response.)
- JUDGE STECKLER: Hearing nothing, I assume that means "no."
- In that case, Mr. Terrell, would you like to call your
- 4 first witness?
- 5 MR. TERRELL: Yes, we call Leslie Hohmann.
- 6 (Pause.)
- 7 JUDGE STECKLER: Please have a seat.
- 8 (WITNESS SWORN: LESLIE HOHMANN)
- 9 JUDGE STECKLER: Please state your full name for the
- 10 record, and please spell your last name also.
- 11 THE WITNESS: My name is Leslie Hohmann. L-E-S-L-I-E, H-O-
- $12 \quad H-M-A-N-N$ .
- MR. WIESE: And, Your Honor, I apologize for interrupting
- 14 at this point, but could we have the witness remove her notes
- 15 from the table, or the notes that are --
- 16 THE WITNESS: We were talking about calculations.
- 17 Certainly.
- 18 MR. WIESE: Thank you.
- 19 JUDGE STECKLER: Okay, Mr. Terrell, Ms. Hohmann is yours.
- 20 DIRECT EXAMINATION
- 21 Q BY MR. TERRELL: Good morning.
- 22 A Good morning.
- 23 O Ms. Hohmann, where do you work and what is your job?
- 24 A I'm the chief financial officer for Kahler Hospitality.
- 25 Q And are you responsible for the hotels?

- 1 A I am responsible for all the financial operations for the
- 2 hotels, yes.
- 3 Q Including the Kahler Grand --
- 4 MR. TERRELL: Objection: leading.
- 5 O BY MR. TERRELL: Which hotels, please.
- 6 JUDGE STECKLER: It's just preliminary information. Go
- 7 ahead.
- 8 THE WITNESS: I'm responsible for the financials for the
- 9 Rochester Marriott, the Rochester Residence Inn, the Kahler
- 10 Grand Hotel, and the Kahler Inn and Suites.
- 11 Q BY MR. TERRELL: And what functions do you provide in that
- 12 capacity?
- 13 A We prepare all payroll for all employees, we prepare
- 14 budgets, we prepare monthly financial reports, we prepare
- 15 payments to the Union for their employees, we prepare all
- 16 financial calculated documents that go out of the Kahler Hotels.
- 17 O Okay. Did you have any involvement or role to play in the
- 18 collective bargaining negotiations that took place in 2014 and
- 19 2015?
- 20 A Yes.
- 21 Q And what was your role?
- 22 A My role was to compile documents from the payroll
- 23 department, from the Union agreement book, and to help assemble
- 24 the wage proposal from a financial calculation for preparations
- 25 to meet with the Union representatives, with Nancy and Brian

- 1 specifically.
- 2 O Did you participate in the preparation of the wage
- 3 proposal?
- 4 A Yes, I did.
- 5 MR. TERRELL: I'd like to show the witness Exhibit 6(q).
- 6 (Witness proffered document.)
- 7 O BY MR. TERRELL: And this document -- this document has --
- 8 is already -- already been identified in the record as the
- 9 employer's last, best, and final offer on March 24, 2015. And
- 10 if I could direct your attention to page 51 of 150, using the
- 11 pagination you see at the bottom right. And starting with this
- 12 page and going over the next several pages, could you please
- 13 identify this part of the proposal?
- 14 A Certainly. These were the pages that were provided to the
- 15 Union that would have gone in the Union handbook at the
- 16 completion of the negotiations for the wage rates by position by
- 17 hotel, for the 2015 year and the following subsequent five
- 18 years.
- 19 O All right. And then starting on page 52 we see, I guess
- 20 you could call it, a schedule of positions and wages. Could you
- 21 -- what wages do these figures on this schedule represent?
- 22 A The 2015 column was the wage that would be in place at the
- 23 beginning of the agreement, and the following years were
- 24 escalated based upon the wages starting in the 2015 year in this
- 25 report.

- 1 Q Now would these be the wages paid to all employees in these
- 2 --
- 3 MR. WIESE: Objection: leading.
- 4 MR. TERRELL: I don't think that was leading. I'm asking
- 5 her a question that calls for a yes or no answer. Would these
- 6 wages be the wages applicable --
- JUDGE STECKLER: Mr. Wiese, I allowed you a lot of latitude
- 8 too, so --
- 9 MR. WIESE: These were the type of questions that I was
- 10 getting objections to, Your Honor.
- 11 JUDGE STECKLER: Is this a foundational question?
- 12 MR. TERRELL: Yes.
- 13 JUDGE STECKLER: Okay, go ahead.
- 14 Q BY MR. TERRELL: Do these wages, as set forth on this
- 15 schedule apply to all of the employees in the hotel?
- 16 A These -- my understanding is these are the starting wages
- 17 for the employees in the agreement.
- 18 Q Was there a separate proposal relating to the then current
- 19 employees?
- 20 A Yes.
- 21 Q Would you please explain that?
- 22 A We prepared an Excel file listing --
- 23 O You prepared a what?
- 24 A There was an Excel file prepared with all employees as of
- 25 March that were on the Union payroll. And that file was used

- 1 individually for every named individual with their seniority
- 2 date, their -- basically, so the date of hire, the length of
- 3 service, their position in the exact Union category that they
- 4 were under in the CBA, and their individual wage projection to
- 5 the end of 2020, by individual, a multi-hundred line document
- 6 with the proposed wages by individual.
- 7 Q Okay.
- 8 MR. TERRELL: One moment, please.
- 9 Tyler, I'm going to hand you at this document. I had given
- 10 it to the day before yesterday.
- 11 MR. WIESE: Mmm-mmm.
- 12 MR. TERRELL: I only have four right now.
- 13 MR. WIESE: Okay.
- MR. TERRELL: I can give you mine if you don't have yours -
- 15 there you go.
- 16 MR. WIESE: Is this --
- 17 (EXHIBIT MARKED: RESPONDENT'S 3.)
- 18 Q BY MR. TERRELL: Ms. Hohmann, in your last response, you
- 19 mentioned an Excel spread sheet.
- 20 A Yes.
- 21 (Witness proffered document.)
- 22 Q I've handed you what we've marked as Exhibit R 3. Is this
- 23 the Excel spread sheet you were referring to?
- 24 A Yes, it is.
- 25 Q If you would, please, and -- well, let me ask this question

- 1 first, was this document presented to the Union during the
- 2 course of bargaining?
- 3 A Yes.
- 4 Q Did you participate in any of the meetings with the
- 5 bargaining -- with the Union in the bargaining sessions?
- 6 A I was in limited sessions for the larger discussion of work
- 7 rules and other topics, however I did have this document, and
- 8 presented it, and Martin and Brian and Nancy were in the room.
- 9 Q You presented this document marked R3 --
- 10 A Yes.
- 11 Q -- to the Union?
- 12 A Yes, I did.
- 13 Q Did -- there appears to be quite a bit of information on
- 14 here.
- 15 A Yes.
- 16 Q And so I would like you to first explain to Her Honor
- 17 orienting her to his spread sheet and how it works, and the
- 18 information that it showed and provided.
- 19 A Absolutely.
- The far left column would be the payroll entity, whether it
- 21 was the Kahler or the Marriott or the Residence Inn, example.
- 22 The second --
- JUDGE STECKLER: Before you go -- so "KG" is the Kahler
- 24 Grand?
- 25 THE WITNESS: Yes. Kahler Grand. "MAR" is the Marriott.

- 1 JUDGE STECKLER: Okay.
- THE WITNESS: "RI" is the Residence Inn and "KIS" is the
- 3 Kahler Inn and Suites.
- 4 JUDGE STECKLER: Okay. Go ahead.
- 5 THE WITNESS: It already was getting small so we were
- 6 compressing a little bit.
- 7 JUDGE STECKLER: Yes.
- 8 THE WITNESS: The second column is the employee's last name
- 9 according to their payroll file, first name, the rate in effect
- 10 for that employee at the time we pulled the data out of the ADP
- 11 payroll system. The next column is the employee's job title.
- 12 And then the other column was kind of a tool we used for the
- 13 look-up in the mathematicals. Then the next column is the
- 14 service date for original date of hire for vesting of benefits
- 15 according to the Union agreement. The following column includes
- 16 the dates of service that trigger the eligibility for different
- 17 benefit tiers within the collective bargaining agreement. The
- 18 next column was the 2015 proposed rate that included the
- 19 negotiation and the updates to the minimum wage for the State of
- 20 Minnesota. And then the following five columns: rate-16, rate-
- 21 17, rate 18, 19, and rate-20 relate to 2016 -- 2017, et cetera
- 22 for the proposed rate offered for each named individual in our
- 23 bargaining unit agreement. The following column takes the
- 24 current wage in what is column four on this document, and shows
- 25 the rate of increase for the dollar per hour increase between

- 1 the rate at the time the agreement was pulled and the rate
- 2 offered in the agreement for 2016 -- or 2015. And then it shows
- 3 the first year rate increase, and then it shows every year the
- 4 escalated rate increase for that named individual out to 2020.
- 5 So in that first column, it would show for the section
- 6 here, for banquet server in the first section, it would show a
- 7 rate going from \$8 an hour as a tipped employee to an increased
- 8 hourly rate of 87.5 percent to the proposed \$15 an hour. And it
- 9 does this likewise for every housekeeper, every bell person,
- 10 every cook in our bargaining unit on the subsequent pages.
- 11 On the far right column are notes that I had used in the
- 12 calculation. It may say that it was a red-line employee, the
- 13 rate was a minimum wage adjusted, it was a rate of annual
- 14 increase of -- from this dollar or this percent, and so it was -
- 15 we went to the effort of doing the calculations at an
- 16 individual level using these computations.
- 17 O BY MR. TERRELL: In the top right area of the first page of
- 18 Exhibit R 3, there's a reference to a meeting date "3-6-15".
- 19 What is that a reference to?
- 20 A I prepared this document for meetings scheduled on that
- 21 date.
- 22 Q Now, Ms. Hohmann. there has been a lot of testimony in this
- 23 case about pie charts. And the pie charts are in evidence. Are
- 24 there pie charts attached to the proposal, which is marked
- 25 Exhibit 6(g), the first exhibit you looked at?

- 1 A Oh, yes. There are definitely pie charts.
- 2 Q Did you prepare those pie charts?
- 3 A I did.
- 4 Q And did you prepare any other pie charts in addition to the
- 5 pie chart set that you see attached to that proposal?
- 6 A I prepared pie charts for the hotel and all of the Textile
- 7 plant worker employees.
- 8 0 Okay.
- 9 A Based upon the status of the bargaining in March.
- 10 Q Did you prepare pie charts for the individual employees?
- 11 A I did, and one for each year from the current year to the
- 12 end of 2020, customized for every employee based upon their date
- 13 of service, the triggers in the bargaining agreement that
- 14 spelled out the number of vacation days, or other dates of
- 15 personal time triggered on various anniversary dates in the
- 16 collective bargaining agreement.
- 17 O Okay.
- 18 A But they were multiple years per person times several
- 19 hundred Union employees.
- 20 O What I'd like to have you do now is describe to Her Honor
- 21 how the pie charts were created, and the processed you went
- 22 through in creating the pie charts. Did you prepare any
- 23 document for your use in how you made those calculations?
- 24 A I did. I prepared a Word document with -- recapping the
- 25 terms so I would, as I went through each one, know, for example,

- 1 at eight years there's an additional day, at twenty years this
- 2 additional day was given, and it's a fairly complicated labor
- 3 agreement and I wanted to have one source document in front of
- 4 me to prepare them to make sure because I was pulling from
- 5 payroll files, from Union agreements, from seniority tables, and
- 6 so I needed one document as a master document to reference those
- 7 terms.
- 8 O I'm going to hand you a document marked Exhibit R 4 and ask
- 9 you if this is the document you're referring to now?
- 10 (Witness proffered document.)
- JUDGE STECKLER: Did we admit 3 yet?
- MR. WIESE: Are you going to enter R 3?
- 13 MR. TERRELL: Yes. I'll do that right now. Your Honor, we
- 14 move into evidence Exhibit R 3, please.
- MR. WIESE: Voir Dire, briefly.
- 16 JUDGE STECKLER: Okay.
- 17 VOIR DIRE EXAMINATION
- 18 Q BY MR. WIESE: Ms. Hohmann, my name if Tyler Wiese. I'm ar
- 19 attorney with the Labor Board. So looking at the upper left-
- 20 hand corner of the first page of R 3, it says "requested by L21
- 21 for representative wage by current Union employee as of 3-1".
- 22 Did you enter that information on the document?
- 23 A I did. We were using the March 1st Union employee roster.
- 24 Q Okay. All right. And then turning to -- I guess the
- 25 document isn't paginated, but turning to page four of the

- 1 document, starting on page four and going to page five --
- 2 A Mmm-hmm.
- 3 Q -- it looks like these are just the -- these are all for
- 4 TCS employees, is that right? Textile Care Services.
- 5 A Yes. At the point this document was prepared it was a
- 6 single agreement.
- 7 O And so, with regard to the TCS employees at the end -- we
- 8 went through all these columns for the hotel employees up to, I
- 9 guess, comments, but then it looks like there's a set of six
- 10 more columns after that for the TCS employees. Do you see what
- 11 I'm talking about?
- 12 A I do.
- 13 Q What do those columns represent?
- 14 A My recollection is that it represented the net change based
- 15 upon the months of service factors. If you look at the months
- 16 of service factored in column six, it is a factor of -- in the
- 17 fifteen column, one times the months of service. It was a
- 18 calculation tool to calculate the rates and the lift of
- 19 increase. So you see the 385 in column 15 equals the months of
- 20 service column. It just factors
- 21 differently on the page.
- 22 Q And then those numbers are increasing by -- as we go -- so
- 23 what are those -- what do those columns represent, the 15, 16,
- 24 17, 18, 19, and 20?
- 25 A They were a ratio of the months of service and percentages

- 1 used in the increase column.
- 2 O Do you know what those ratios were?
- 3 A Well, if I'm looking at the 15, it's one times 385 months,
- 4 and then in the out months it would appear that it's 1.5 times
- 5 was the change. The Union -- or the TCS was operating -- we had
- 6 different wage categories in our look-up tables because their
- 7 positions are on group 1, 2, 3, 4, and 5. And they're not
- 8 alphabetically named positions like we have in the hotel, and it
- 9 was a mathematical V look-up table tool for us as we prepared
- 10 the Excel file. It's not really relevant to your discussion.
- 11 Q All right. Well, what about the -- there's another column
- 12 that I have a question about, for the TCS employees, the group
- 13 column, what is that about? It's right next to job title --
- 14 A The --
- 15 Q -- in between --
- 16 A The categories in the manufacturing plant have production
- 17 1, production 2, production 3, 4, 5, 6, 7. We don't have the
- 18 bellman or the same alphabetical categories, and so they're
- 19 groups by numerical category in the textile plant. Not relevant
- 20 to the calculations for the hotels.
- 21 Q I'm sorry, so --
- 22 A Different positions category.
- 23 O And just one more brief question about this document, so
- 24 the "MW" column and, again, going to the first page of the
- 25 document now. I didn't quite catch what that was about. What

- 1 does that column represent?
- 2 A We used that as a tool to do some of the V look-ups we
- 3 needed to do between our --
- 4 Q What look-ups?
- 5 A In Excel --
- 6 0 Yes.
- 7 A -- they're called value look-ups.
- 8 0 Okay.
- 9 A And you use a numeric or an alpha field in one Excel file,
- 10 and you can use -- we had many tables coming from different
- 11 payroll systems and so that got the names to an exact match and
- 12 we used that field to do some look-ups into other tables. It's
- 13 -- it did not drive a calculation. It helped pull in the dates
- 14 of service. It was purely a calculation field in Excel for the
- 15 operator of the file. It's not material to the final
- 16 calculation.
- 17 Q And so what does a "Y" mean in that column?
- 18 A It's how it pulled the last name, first name position from
- 19 another table I have, to get the values to the same to calculate
- 20 the numbers. It was a calculation tool just from Excel
- 21 operating the file. It's not a relevant tool to the date that
- 22 was provided into the Union proposal.
- 23 MR. WIESE: I guess I have no objection to this document,
- 24 for what it is.
- 25 JUDGE STECKLER: Okay. Respondent's 3 is admitted.

- 1 (EXHIBIT RECEIVED: RESPONDENT'S 3.).
- 2 CONTINUED DIRECT EXAMINATION
- 3 Q BY MR. TERRELL: Ms. Hohmann, was this -- I mean you've
- 4 already testified that it was shown to the Union, did you
- 5 explain the document to the Union?
- 6 A Yes, I did.
- 7 O And was the data in this document marked R 3, was the data
- 8 in this document used in creating the individual pie charts?
- 9 A Yes, it was.
- 10 Q Now, I want to go back to my larger question, which is to
- 11 have you explain how you created the individual pie charts. And
- 12 maybe it's helpful if we have in front of you a sample of the
- 13 individual pie charts, which have already come into evidence and
- 14 are marked Exhibit 10(a) through, I think, (1).
- 15 (Witness proffered documents.)
- 16 A Thank you.
- 17 O And I've also put in front of you Exhibit R 4. Tell us
- 18 again what Exhibit R 4, how that was used?
- 19 A I created Exhibit R 4 as a tool to have the sources that I
- 20 used pull the calculations so, for example, in my assumptions
- 21 for pulling together the Union charts I used 2004 payroll hours
- 22 --
- 23 O 2004?
- 24 A 14, I'm sorry.
- 25 Q Okay.

- 1 A -- 2014 actual hours worked at straight time, and then I
- 2 used 2014 hours paid at overtime rates. I used the calculations
- 3 for how many minutes would be on the clock or off the clock. I
- 4 used calculations for whether it was a tipped employee which
- 5 gets \$3.50 extra per vacation hour. I pulled data from vacation
- 6 tables showing that after one year of service the employees get
- 7 one week of vacation, after three years two weeks, after nine
- 8 years three, and after fifteen years of service they got four
- 9 weeks, so I needed to look at the anniversary date for every
- 10 individual we did a chart for, for all Union employees, and say
- 11 in year five in 2019 will the employee at that point have been
- 12 with us three, nine, fifteen, and then add one day to their
- 13 benefit bucket. We did the same thing for the holiday pay, and
- 14 assumed eight hours for the six holidays. For sick and vacation
- 15 time in our collective bargaining agreement, and my note here
- 16 specifies I pulled that from page 18 of the bargaining
- 17 agreement, if you -- the employee was hired on the CBA that was
- 18 in force presently, if they were hired before September 1st of
- 19 2005 they got eighty hours of sick or personal time, at -- hired
- 20 after September 1, 2005 was forty hours, and at eight year
- 21 anniversary they added one day, a second day was given to them
- 22 in their sixteen year bucket, and a third day was given to them
- 23 in their benefit package at the twenty year. So I had that in
- 24 writing so I had all of this in one document in front of me as I
- 25 did their individual chart. Some of the early charts had -- and

- 1 the Union will remember this -- jury duty and bereavement on the
- 2 second round of charts we created for the Union --
- 3 MR. WIESE: Objection.
- 4 JUDGE STECKLER: What's the objection? She's --
- 5 MR. WIESE: The objection is to her testimony about what
- 6 the Union remembers --
- 7 THE WITNESS: I --
- 8 MR. WIESE: -- or may remember.
- 9 MR. TERRELL: Well I believe the witness was describing --
- 10 her comment was the Union will remember this as a way of
- 11 introducing what she was about to say and what she was about to
- 12 describe is a change that she made in response to a comment or
- 13 reaction by the Union.
- JUDGE STECKLER: So it's your assumption that the Union
- 15 would remember this, right?
- 16 THE WITNESS: It's my assumption?
- 17 JUDGE STECKLER: Okay, go ahead and continue your answer,
- 18 Ms. Hohmann.
- 19 THE WITNESS: We had done initial charts for the bargaining
- 20 table representatives for the Union, and at that point Ms.
- 21 Goldman had said, I don't want jury duty on those charts and she
- 22 was very vociferous and very adamant that I not have the jury
- 23 duty and to make -- to get to a compromise and to move forward
- 24 on getting the charts I said fine, I will do charts for the
- 25 entire Union demographic, the next set will remove the jury duty

- 1 and it will remove the bereavement because we can't predict will
- 2 have a relative die or when they go on jury duty, and if it's
- 3 going to get things moving along I will remove all those from a
- 4 second round of charts we do that extend beyond the bargaining
- 5 table employees to the entire population. So we did a second
- 6 set of charts that excluded those calculations at the request of
- 7 Ms. Goldman.
- 8 O BY MR. TERRELL: And those charts were provided to the
- 9 Union?
- 10 A Oh, yes.
- 11 Q All right --
- 12 A And to go further, the calculations included -- and on page
- 13 2 of reference R 4, we included payroll taxes, we included
- 14 health and welfare insurance, we included the worker's comp
- 15 insurance, and we included benefit charges per hour as a tool in
- 16 showing what the compensation package per Union employee would
- 17 be under the terms of the agreement to 2020. Each chart was
- 18 created based upon everybody's individual hire date, position,
- 19 and the initial wage in the proposal.
- 20 O I'd like you to -- I put in front of you Exhibit -- GC
- 21 Exhibit 10(a), which is the first of the pie charts in evidence,
- 22 and this is a pie chart for an individual by the name of Andrew
- 23 Vacura, lead cook.
- 24 A Yes.
- 25 Q And it also says at the top, "DOH 2-27-05", is that date of

- 1 hire?
- 2 A Correct.
- 3 Q And then to the right of that, a little bit lower, you say
- 4 "TRW \$30.10". What is "TRW" mean?
- 5 A Total real wage.
- 6 O Total real wage.
- 7 A Which would factor actual cash payment plus the benefit.
- 8 Other benefits that the hotel as an employer paid for the
- 9 benefit of that employee based upon their actual cash wage.
- 10 O Okay. And so we see the pie chart, and we see the largest
- 11 wedge is \$20.58.
- 12 A Mmm-hmm.
- 13 Q And then if you flip to the second page it's the same pie
- 14 chart, but columns are added.
- 15 A Yes.
- 16 Q Can you point us to -- the number for the large wedge is
- 17 \$20.58, can you show us where that number is in the columns to
- 18 the left?
- 19 A It would be on the fifth row down in the row that reads,
- 20 "total compensable productive work time" and the number would be
- 21 in the column reference to hourly rate.
- 22 Q Okay now, help us understand this. In that same column,
- 23 the first row is base pay, \$18.82, fifth row down is the \$20.58,
- 24 which is also reflected on the pie chart. Please describe the
- 25 difference between these two numbers.

- 1 A It factors in the fact that we are paying the employee
- 2 roughly on an eight hour shift for thirty minutes of break time,
- 3 for non-productive work time, including the hours worked and the
- 4 break time that we're paying for factors into an effective
- 5 hourly wage that goes from \$18.82 to \$20.58.
- 6 Q Okay.
- 7 A Based upon the annual cost of thirty minutes per day.
- 8 0 Okay.
- 9 A Scheduled shift.
- 10 O Now, a few of the pie charts that came into evidence -- I
- 11 don't think Mr. Vacura's is one of them -- well, let me first of
- 12 all establish this foundation for this document we're looking
- 13 at. Did you do pie charts for every year? I believe you
- 14 testified to that already.
- 15 A I did.
- 16 O And do we see that here with Exhibit 10?
- 17 A The set that relates to Mr. Vacura would, in fact, go out
- 18 to page 13 of 13, yes. There was one set for every year and
- 19 then on page 13 of 13 was a summary that spanned years 2015 to
- 20 2020.
- 21 Q Now it appears on this pie charts -- or set of pie charts,
- 22 that Mr. Vacura received a real wage increase for every year, is
- 23 that correct?
- 24 A The actual wage rate in 2016 and 2017 are both at \$19.10,
- 25 and then the wage goes back to \$19.39 in year 2018, and there is

- 1 an escalation in those years, yes.
- 2 Q So this individual received an increase every year?
- 3 A Except between 16 --
- 4 MR. WIESE: Objection: leading.
- 5 THE WITNESS: -- except between 2016 --
- 6 MR. WIESE: Objection: leading.
- JUDGE STECKLER: I think --
- 8 MS. BURGESS: Can you instruct the witness to stop talking
- 9 when an objection is made please, Your Honor.
- 10 JUDGE STECKLER: Ms. Hohmann, please -- I'm sorry --
- 11 THE WITNESS: I'm having a hard time hearing.
- JUDGE STECKLER: Okay, we'll try to speak up too.
- 13 THE WITNESS: Okay.
- JUDGE STECKLER: But if Mr. Wiese objects, please stop and
- 15 then -- so that I can give a ruling.
- 16 THE WITNESS: Certainly.
- 17 JUDGE STECKLER: Thank you. Mr. Terrell, could you
- 18 rephrase that question a little bit for us?
- 19 MR. TERRELL: I'll ask a different question.
- 20 O BY MR. TERRELL: Well, did Mr. Vacura receive an increase
- 21 in real wage every year?
- 22 A This schedule would show that in 2016 his rate was \$19.19,
- 23 and in 2017 his rate -- pardon me, \$19.10, in both 2016 and 17,
- 24 although there are wages in other years in 2016 and 2017 these
- 25 calculations were done at the same rate. And that would be on

- 1 pages four and six, on row one, column three.
- 2 Q And you're referring to the base pay rate, which is the
- 3 first row, on the third column?
- 4 A Yes. For the base pay.
- 5 Q With respect to that particular number --
- 6 A Yes.
- 7 Q -- that is, the base pay hourly rate, did the company's
- 8 proposal propose any decreases?
- 9 A On the base rate?
- 10 O Yes.
- 11 A No. Absolutely not.
- 12 Q Now, among these pie charts in evidence as Exhibit 10,
- 13 there are a few examples, and they were pointed out on the
- 14 record earlier, where the real wage number decreased slightly in
- 15 some years.
- 16 A Yes.
- 17 O Could you explain to Her Honor why that occurred?
- 18 A Yes. Part of the calculations in -- that I used to go out
- 19 to 2020 included a health insurance increase. I assumed a three
- 20 percent per year employer cost increase in our health insurance.
- 21 In a year, where the wage effective rate may have been going up
- 22 two percent, the effective hourly wage included the three
- 23 percent benefit increase, and it created negative increase
- 24 purely by the function of the non-cash wage benefits that that
- 25 employee receives.

- 1 Q Okay. During the -- with respect to your participation in
- 2 the collective bargaining negotiations, were you involved in any
- 3 presentations relating to the cost of operating the banquet
- 4 department?
- 5 A Yes.
- 6 Q And was your involvement in that during the formal
- 7 bargaining in 2015?
- 8 A I was brought into the room for a specific session, yes.
- 9 MR. TERRELL: Your Honor, I'd like to move into evidence
- 10 Exhibit R 4, please.
- 11 MR. WIESE: No objection, Your Honor.
- 12 JUDGE STECKLER: Respondent's 4 is admitted.
- 13 (EXHIBIT RECEIVED: RESPONDENT'S 4.)
- 14 (Witness proffered document.)
- 15 THE WITNESS: Thank you.
- 16 O BY MR. TERRELL: I've handed you what has been marked as
- 17 Exhibit R 5. Do you recognize this document?
- 18 A I do.
- 19 0 What is this document?
- 20 A This was a prepared document covering for the Kahler Grand
- 21 Hotel, our banquet cost as of 2014 and the document had data
- 22 that had been gathered competitively about our pricing and other
- 23 pricing for banquet events in the market.
- 24 Q And was this document presented and shown in the
- 25 bargaining?

- 1 A My understanding was, yes, it was shown.
- 2 0 Okay.
- JUDGE STECKLER: Do you know --
- 4 O BY MR. TERRELL: Who created this document --
- 5 JUDGE STECKLER: Just a minute.
- 6 THE WITNESS: I was in the room when I saw it on the wall
- 7 in the bargaining session.
- 8 JUDGE STECKLER: Do you know -- recall which bargaining
- 9 session? Not from the notes.
- 10 THE WITNESS: I would have to look at my calendar, but it
- 11 was approximately the middle of March. I'm going to say --
- 12 JUDGE STECKLER: Okay, middle of March is --
- 13 MR. TERRELL: To the best of your recollection.
- 14 THE WITNESS: -- middle of March, 3/16 --
- 15 JUDGE STECKLER: Okay.
- 16 THE WITNESS: -- 3/20.
- 17 JUDGE STECKLER: Okay.
- 18 Q BY MR. TERRELL: Did you create this document?
- 19 A My team provided some of the cost information and the
- 20 information into the document, yes.
- 21 Q And so you've said this was presented during the 2015
- 22 bargaining. Was this document prepared, or was it shown to the
- 23 Union at some earlier point?
- 24 A Scott Mauer and Bill Bunce had discussed banquet revenue
- 25 and competitive information in sessions that I did not fully sit

- 1 through, but my understanding from the preparation of the
- 2 material is that that material was, in fact, reviewed with the
- 3 Union by Mr. Bunce and Mr. Mauer.
- 4 O And who is Mr. Bunce?
- 5 A Mr. Bunce was, at the time of the March sessions, he was
- 6 the area general manager for Richfield Hospitality in charge of
- 7 running the operations of the hotel.
- 8 O Okay, and who is Mr. Scott Mauer?
- 9 A Mr. Scott Mauer is currently the General Manager of the
- 10 Kahler Grand Hotel.
- 11 Q What was his position prior to that?
- 12 A Mr. Mauer has been the General Manager in the Kahler Grand
- 13 Hotel or the Marriott Hotel for the last several years.
- 14 O The data on the first page is March 2014. Is that an
- 15 indication of when this was created?
- 16 A Yes.
- 17 O What was the purpose of creating this document?
- 18 A There were significant discussions tied to our banquet
- 19 operation. The general thesis of the document is that it -- our
- 20 customers have a significant amount of price sensitivity and it
- 21 talks about our largest competitor in the banquet and catering
- 22 space, and it references that competitor on --
- 23 MR. WIESE: Your Honor, I'm going to object to the
- 24 relevance of this line of testimony and the exhibit.
- 25 MR. TERRELL: It directly relates to the wage proposal that

- 1 the company made.
- JUDGE STECKLER: Please go ahead, Mr. Terrell.
- 3 THE WITNESS: The document relates to pricing for banquet
- 4 and catering events in the Rochester market of which we are a
- 5 bidder, and we bid frequently against a company called "Canadian
- 6 Honker," and they are referenced on the third to last page of
- 7 this document. This document talked about our cost for food and
- 8 other operations to sell our events of which labor is a piece of
- 9 that, is skewed heavily toward food and other ancillary costs
- 10 that also go into a banquet event. Our union associates in the
- 11 banquet and catering area are currently at an hourly rate and
- 12 have a different compensation package than we proposed in the
- 13 agreement, and our agreement takes the wage, in most cases, from
- 14 \$8 to \$15 an hour, and as part of our proposal it repackaged
- 15 that wage to match further what the rest of the market in
- 16 banqueting and catering in the Rochester environment was doing,
- 17 and that particular company that we do most of our banquet and
- 18 catering work bidding against, pays the employees a straight
- 19 hourly wage.
- 20 O BY MR. TERRELL: I want to --
- 21 A And this dealt with that, us, them, and the pricing for
- 22 banquet in the larger area including the metropolitan
- 23 Minneapolis area as a reference point.
- 24 Q I want to try to make one aspect of this clear, what is a
- 25 service charge?

- 1 A A service charge, for example, would be nineteen percent.
- 2 Typically on an event for --
- 3 Q Let's --
- 4 A -- based upon the purchase price of the event, the number
- 5 of meals, times the rate --
- 6 0 Okay.
- 7 A -- adding any audiovisual or any other charges, and the
- 8 customer is asked to pay a fee, based upon their purchase price,
- 9 that is then distributed based upon the Union agreement
- 10 partially to our employees.
- 11 Q As food costs go up what impact, if any, does that have on
- 12 the service fee?
- 13 A As food costs go up and pricing remains stationary, and
- 14 food costs go up and we increase our prices, the payouts to the
- 15 employees also go up.
- 16 0 What did you know -- in preparing this and presenting this,
- 17 what did you know about how your competition uses the service
- 18 fee, or uses a service fee?
- 19 A They collect a service fee, but my understanding is that
- 20 the competitor that I've referenced does not distribute any of
- 21 that fee to their associates. They collect it, but none is
- 22 distributed. It's a straight hourly wage path.
- 23 MS. BURGESS: Objection, Your Honor: foundation. How does
- 24 this witness know what her competitors do in terms of their
- 25 service charge.

- 1 MR. TERRELL: Your Honor, I thought we just had the role of
- 2 one attorney, one witness.
- JUDGE STECKLER: That's true.
- 4 MS. BURGESS: Your Honor, I am co-counsel here, and --
- 5 JUDGE STECKLER: I understand, Ms. Burgess, but I won't
- 6 allow Mr. Stokes to jump in either, so I appreciate your
- 7 cooperation with that.
- 8 Let me ask a couple of clarifications here just to move
- 9 things along a bit. On this exhibit, had you done any wage
- 10 surveys?
- 11 THE WITNESS: Yes.
- 12 JUDGE STECKLER: Okay. Was that a basis for preparation
- 13 for this document?
- 14 THE WITNESS: Yes.
- MR. TERRELL: Your Honor, I'm not sure we got her answer to
- 16 your question about, did the company perform a wage survey.
- JUDGE STECKLER: Oh, she said yes.
- MR. TERRELL: She said yes, but did it get on the record?
- 19 COURT REPORTER: Yes, it did.
- MR. TERRELL: Thank you.
- JUDGE STECKLER: So in looking at page 4 -- I think it's
- 22 page 4, with the -- maybe it's five, with the break --
- 23 THE WITNESS: Yes.
- 24 JUDGE STECKLER: Break, breakfast, lunch, dinner,
- 25 reception. You're saying that your price for bagels is minus

- 1 16.03 percent. Does that mean that your price is 16.03 percent
- 2 less than the competitor's price for bagels?
- 3 THE WITNESS: No. Typically our prices are lower.
- 4 JUDGE STECKLER: So this is saying that your price is that
- 5 much less.
- 6 THE WITNESS: No. I wish to stand correcting. Our
- 7 competitor's prices generally are less than our prices, not more
- 8 for individual items.
- JUDGE STECKLER: Okay, so if I came down to the Starbuck's
- 10 and it says 5.03, that's not a negative number so that's more.
- 11 THE WITNESS: For Starbuck's is more.
- JUDGE STECKLER: Okay, and then if you go to the next page,
- 13 you've graphed that out, is that correct?
- 14 THE WITNESS: Umm, this was graphed out. I personally did
- 15 not do this chart. This was graphed out using -- by category.
- 16 We review our business by, what I'll call meal period, and this
- 17 would be breakfast, lunch, dinner, and an evening reception, so
- 18 this was our average prices against competitive hotel. This
- 19 particular slide was to the Minneapolis market hotels.
- 20 JUDGE STECKLER: And so let me make sure I'm understanding
- 21 -- this document was presented to the Union, is that your
- 22 testimony?
- 23 THE WITNESS: Yes.
- JUDGE STECKLER: Okay. Mr. Terrell, you may proceed.
- MR. TERRELL: No further questions.

- JUDGE STECKLER: On anything?
- 2 MR. TERRELL: Pardon me?
- JUDGE STECKLER: On anything?
- 4 MR. TERRELL: No further questions.
- 5 JUDGE STECKLER: Okay.
- 6 MR. WIESE: Judge, can I get maybe two minutes --
- 7 JUDGE STECKLER: Oh --
- 8 MR. WIESE: -- to prepare for cross?
- 9 MR. TERRELL: Oh, I do want to move Exhibit R 5 into
- 10 evidence.
- 11 JUDGE STECKLER: Okay, certainly. R 5 is admitted.
- 12 MR. WIESE: No objection.
- JUDGE STECKLER: Oh, sorry. Sorry, Mr. Wiese. I jumped
- 14 the gun.
- 15 MR. WIESE: That's okay.
- 16 JUDGE STECKLER: Yes, it's admitted.
- 17 (EXHIBIT RECEIVED: RESPONDENT'S 5.)
- JUDGE STECKLER: Let's go off the record for a couple of
- 19 minutes.
- 20 (Off the record.)
- JUDGE STECKLER: We're going to be back on the record. Mr.
- 22 Wiese, please proceed.
- 23 CROSS-EXAMINATION
- 24 Q BY MR. WIESE: Good morning, Ms. Hohmann. So I'd first
- 25 like to talk about the pie charts a little bit. So I believe

- 1 you said the pie charts had to be customized for each employee,
- 2 is that right?
- 3 A Yes, that's correct.
- 4 Q And, in fact, they were so complicated that you had to
- 5 include comments for each individual employee as reflected in
- 6 Respondent Exhibit 3, is that right?
- 7 A We included comments.
- 8 Q Right. And if you flip over to the TCS, Textile Care
- 9 Services, there aren't any comments for employees listed on --
- 10 in the TCS unit, are there?
- 11 A Not on this document, no.
- 12 Q And turning your attention to Respondent Exhibit 4, the
- 13 date on that document is March 20, 2015 -- I'll wait for you to
- 14 get there.
- 15 A Yes.
- 16 O The date on that document is March 20, 2015, is that right?
- 17 A Yes,
- 18 Q That's after the date on Respondent Exhibit 3, isn't that
- 19 right?
- 20 A It is after the date on Respondent 3, yes, that is correct.
- 21 Q Now we spent some time talking about pie charts. Those pie
- 22 charts, however many sets there were, were only provided to the
- 23 Union in paper copy, is that right?
- 24 A Yes.
- 25 Q You never provided the Excel copy that you used?

- 1 A My understanding was that they needed to be provided in
- 2 paper, so that --
- 3 Q That's not the question I asked, Ms. Hohmann. I asked
- 4 whether they were provided in electronic copy.
- 5 A I was not asked to provide the documents in an electronic
- 6 copy to the Union.
- 7 Q And so you didn't provide them an electronic copy to the
- 8 Union.
- 9 A We provided them all in printed color form. My
- 10 understanding was that the Union wanted them, I'll call it
- 11 camera ready, to be able to hand out to their employees without
- 12 having to pay to reprint those documents.
- MR. WIESE: I'm going to object, Your Honor. This is non-
- 14 responsive answer.
- 15 JUDGE STECKLER: Ms. Hohmann --
- 16 MR. WIESE: The question --
- 17 JUDGE STECKLER: Let me -- let me -- how did you get that
- 18 understanding?
- 19 THE WITNESS: I was there, in a room, where I was told --
- 20 and the Union was in the room -- that they -- I needed to have
- 21 printed materials because they would be expensive and we want
- 22 you to get them done and printed for our people, bargaining
- 23 people.
- JUDGE STECKLER: And who said that?
- 25 THE WITNESS: And I'm going to recall that it was Nancy who

- 1 asked me to do that.
- JUDGE STECKLER: Nancy Goldman?
- 3 THE WITNESS: Yes. I was not asked by the Union to provide
- 4 them electronically, I was asked to provide them in paper form,
- 5 which I did. I was not asked to provide them electronically.
- 6 JUDGE STECKLER: Okay. Go ahead, Mr. Wiese.
- 7 Q BY MR. WIESE: Looking at Respondent Exhibit 4, did you
- 8 provide this document to the Union?
- 9 A This document, I did review line by line verbally with the
- 10 Union in a meeting, with the pie charts.
- 11 Q That's not the question I asked, Mr. Hohmann. I asked
- 12 whether you provided this specific document to the Union.
- 13 A I read off this piece of paper in a meeting with the Union.
- 14 I do not recall providing this to the Union on the 20th of
- 15 March.
- 16 Q I'll ask the question again --
- 17 MR. TERRELL: Objection. She gave the answer.
- JUDGE STECKLER: I think that was asked and answered, Mr.
- 19 Wiese.
- 20 MR. WIESE: She -- she's saying she read off the document.
- 21 She has not answered my question of whether this document was
- 22 provided to the Union.
- 23 MR. TERRELL: She did --
- MR. WIESE: As far as I'm hearing.
- 25 MR. TERRELL: She said she did not provide the paper. She

- 1 read from it to the Union.
- 2 JUDGE STECKLER: Did you ever provide it to the Union?
- 3 THE WITNESS: No.
- 4 JUDGE STECKLER: Okay.
- 5 O BY MR. WIESE: I believe, and correct me if I'm
- 6 mischaracterizing your testimony, you said that there is a set
- 7 of pie charts that exists without jury duty on them, is that
- 8 right?
- 9 A Yes.
- 10 O And bereavement leave.
- 11 A Yes.
- 12 Q You don't have those pie charts, do you?
- 13 A Several of them are in evidence on GC Exhibit 10(a).
- 14 Q Okay, well show me in GC Exhibit 10 the pie charts that
- 15 don't have jury duty or funeral leave listed on them.
- 16 A If you go to page 3 -- page 3 -- or page 4, rather. An
- 17 example, for Andrew Vacura, page 4 of 13, under Andrew,
- 18 on the line that says "jury duty", it would be the fourth line
- 19 under "total compensable productive time worked", the row is
- 20 there but all of the dollar amounts were taken out for both jury
- 21 duty and bereavement in the document.
- 22 Q Okay. So you don't have a copy of the first set of pie
- 23 charts that encompass the employer's wage offer, do you?
- 24 A I handed all of those out in a room at a meeting.
- 25 Q And do you retain a set of those documents?

- 1 A I did not retain a set of those documents.
- 2 0 And the --
- 3 A That were --
- 4 0 Oh.
- 5 A -- that were physically handed out at that meeting.
- 6 Q You don't retain a set of the second set of documents that
- 7 were provided either, do you?
- 8 A I have electronic copies of documents.
- 9 O Of those documents?
- 10 A Yes.
- 11 MR. WIESE: I would ask that those documents be produced
- 12 pursuant to my subpoena.
- MR. TERRELL: If, in fact, you have them to produce, we
- 14 could do that. It was my understanding we did not have
- 15 electronic copies.
- 16 THE WITNESS: We have on some -- we have a lot of them. On
- 17 some of them we used the same template and just would go in and
- 18 change the employee name and the date of hire, so I would say
- 19 that eighty percent of them are unique, and some we used the
- 20 template, and just did the next employee.
- 21 MR. TERRELL: So -- could we go off the record and get this
- 22 straightened out?
- JUDGE STECKLER: I think so. Let's have some off the record
- 24 discussion, so that you guys can work something out here.
- 25 (Brief discussion held off the record.)

- 1 JUDGE STECKLER: Back on the record.
- 2 We had a short off the record discussion regarding the
- 3 retained pie charts.
- 4 General Counsel, it's my understanding that you're
- 5 declining electronic production at this time since you have a
- 6 complete copy of the hard copies.
- 7 MR. WIESE: Yes, Your Honor.
- 8 MR. TERRELL: And just let the record reflect that as was,
- 9 I believe, identified before we went off the record, Ms. Hohmann
- 10 has the electronic template and has, I believe if I understand
- 11 what was said, 80 percent of electronically saved and could be
- 12 produced and turned over and the other 20 percent could be
- 13 recreated by simply applying the template, and we offered to
- 14 provide those to General Counsel, but General Counsel has
- 15 declined.
- 16 JUDGE STECKLER: Okay.
- So, Mr. Wiese, you may pick up your cross.
- 18 Q BY MR. WIESE: I just have one more line of questions. So
- 19 you were talking about how health care impacts the TRW figures
- 20 in the pie charts, is that right?
- 21 A Yes.
- 22 Q And if I understood you correctly, the pie charts are just
- 23 a downward in a certain year because there's a three percent
- 24 increase that you banked in for health care, is that right?
- 25 A I used a three percent annual increase assumption.

- 1 Q And so that three percent increase would affect the TRW
- 2 figure, is that right?
- 3 A Not the cash wage, but the TRW wage, yes.
- 4 Q Okay. Okay.
- 5 MR. WIESE: No further questions.
- 6 JUDGE STECKLER: Mr. Terrell, do you have any redirect?
- 7 MR. TERRELL: One minute, Your Honor.
- 8 (Pause.)
- 9 MR. TERRELL: Nothing further.
- 10 JUDGE STECKLER: Nothing further?
- 11 MR. TERRELL: Nothing further.
- 12 JUDGE STECKLER: Ms. Hohmann, I do have a couple of
- 13 questions. On Respondent's Exhibit 4, item number 7 talks about
- 14 the vacation table.
- 15 THE WITNESS: Yes.
- 16 JUDGE STECKLER: So you were able to prorate based on a
- 17 full time equivalent, which is 200 -- 2080 hours per year, and
- 18 then looking at the individual's number of hours worked, so
- 19 based on that can you pull together an estimate of what vacation
- 20 costs would be?
- 21 THE WITNESS: We did by employee, everybody's individual
- 22 table, prorate that.
- JUDGE STECKLER: I think you're going to have to speak into
- 24 the mic.
- 25 THE WITNESS: I'm sorry. In the Excel files you used for

- 1 every individual employee, the prorations were done based upon
- 2 using the 2014 hours worked and their vesting table from
- 3 collective bargaining agreement, page 14.
- 4 JUDGE STECKLER: So you could calculate the vacation costs
- 5 for all employees and just put it in one document?
- 6 THE WITNESS: It's not a fast process because it's fairly -
- 7 everybody's got a different hours worked, especially in some
- 8 of the food service operation. It's not possible, it's a very,
- 9 very long and slow.
- 10 JUDGE STECKLER: Okay. Thank you. Any further questions?
- 11 Mr. Terrell?
- MR. TERRELL: Yes, Your Honor.
- 13 REDIRECT EXAMINATION
- 14 O BY MR. TERRELL: What kind of turnover does the hotel -- do
- 15 the hotels have?
- 16 MR. WIESE: Objection: foundation.
- JUDGE STECKLER: I know where he's going with this. It's
- 18 about my question on vacation.
- 19 MR. WIESE: Okay.
- 20 O BY MR. TERRELL: What kind of turnover does the hotel -- do
- 21 the hotels have?
- 22 A The hotels have different turnover rates. Some hotels turn
- 23 at fifty percent or more per year.
- 24 Q Now in -- fifty percent or more?
- 25 A That would be my understanding. Now in calculating the pie

- 1 charts as you just explained to Her Honor, you were able to make
- 2 a vacation cost or vacation pay element calculation for each of
- 3 the five years going forward, correct?
- 4 A Yes.
- 5 Q If you asked the question, as you sit here today, can you
- 6 project over the next five years the vacation costs subject to
- 7 turnover, can you predict that cost?
- 8 A Yes.
- 9 Q Can you predict it -- how would you be able to predict it
- 10 given the fact that there is turnover? In other words, the pie
- 11 charts assume that the employee will still be there in --
- 12 MR. WIESE: Objection: leading.
- 13 O BY MR. TERRELL: -- would that necessarily be the case?
- 14 JUDGE STECKLER: I'm going to allow her to answer.
- 15 THE WITNESS: It's a very manual process, and there would
- 16 have to be some assumptions agreed in that respect. My
- 17 assumption when I did the pie charts is my assumption as an
- 18 employer, is that current employees are our current employees,
- 19 and I based all of the pie charts assuming that that employee
- 20 for the charts would, in fact, be with us from March of 2015
- 21 until the end of the 2020 bargaining agreement. That was a
- 22 baseline assumption I made.
- MR. TERRELL: Okav.
- 24 Q BY MR. TERRELL: In the real world, as you sit here today
- 25 and think over the next 5 years, can you make that same

- 1 assumption?
- 2 A There are already changes in our employees from the March
- 3 '15 agreement data that we used, and employees who were Union
- 4 employees with us in March who are no longer with us today.
- 5 MR. TERRELL: Thank you.
- 6 JUDGE STECKLER: Mr. Wiese, do you have any recross?
- 7 MR. WIESE: No, Your Honor.
- 8 JUDGE STECKLER: Hang on just a second, Ms. Hohmann, I'm
- 9 seeing if I've got any other questions before I let you go -- I
- 10 have no further questions either.
- 11 Thank you for your time today. You're excused. Please
- 12 remember that you are not allowed to discuss your testimony
- 13 until the hearing is over.
- 14 THE WITNESS: Understood.
- JUDGE STECKLER: Thank you, ma'am.
- 16 (Witness excused from stand.)
- 17 MR. TERRELL: Can we have just a few minutes break, Your
- 18 Honor?
- 19 JUDGE STECKLER: Five minutes sufficient? We'll do a five
- 20 minute bathroom break.
- 21 Off the record.
- 22 (Brief recess taken.)
- JUDGE STECKLER: We're back on the record.
- Mr. Terrell -- is it Terrell or Terrell?
- 25 MR. TERRELL: Terrell.

- 1 JUDGE STECKLER: Mr. Terrell, please call your next
- 2 witness.
- 3 MR. TERRELL: Okay.
- 4 Mr. Stokes will examine our next witness, Michael Henry.
- 5 MR. STOKES: It's a good question, because he has a cousin,
- 6 who is also a lawyer who has the same last name and pronounces
- 7 it the other way.
- 8 JUDGE STECKLER: And I was going to say -- he teaches legal
- 9 research and writing.
- 10 MR. STOKES: We call Michael Henry.
- 11 MR. TERRELL: You're talking about Timothy Terrell.
- 12 JUDGE STECKLER: Yes.
- 13 MR. TERRELL: Yes, I know Timothy Terrell. He was a
- 14 professor at Emory when I went to law school at Emory.
- JUDGE STECKLER: You're dating yourself.
- 16 MR. TERRELL: But that's not my cousin -- another Terrell.
- 17 JUDGE STECKLER: Mr. Henry.
- 18 COURT REPORTER: Excuse me.
- 19 (Off the record.)
- 20 JUDGE STECKLER: Thank you.
- 21 We're back on the record.
- 22 (WITNESS RECALLED: MICHAEL HENRY)
- JUDGE STECKLER: Mr. Henry, you've been here before.
- 24 Please remember that you are still under oath.
- THE WITNESS: Yes, ma'am.

- 1 DIRECT EXAMINATION
- 2 O BY MR. STOKES: Mr. Henry, I'm not going to go through your
- 3 background again, because you've already testified to that.
- 4 Would you please state to Her Honor what you recall about
- 5 the company's explanation of its wage proposal during collective
- 6 bargaining negotiations in March of 2015?
- 7 A Certainly.
- 8 Before we went to our meetings, and as we went through and
- 9 prepared for this process through looking at a wage, one of the
- 10 things that Mr. Wiese asked about earlier was wage surveys and
- 11 what that entails. We did an extensive wage survey covering
- 12 this market and recognizing too as well that our market kind of
- 13 feeds outside of the Rochester area. We checked in different
- 14 areas about different aspects of the jobs that we have provided
- 15 covered under the CBA, and also those are not covered under the
- 16 CBA.
- 17 What we did was we called each hotel, we verified exactly,
- 18 you know, what departments they have employed there as it
- 19 compares to what we have available in our respective hotels
- 20 here. Based on that information -- I'll give an example -- we
- 21 have a line cook or a cook would be starting out at say, for
- 22 example, at the Holiday Inn or one of those other properties
- 23 that have line cooks starting out at about between 9 and 10.50;
- 24 while we start somewhere at about 15.00 or 15.05 or somewhere
- 25 around that market.

- 1 Housekeeping staff -- as we went through the wage survey,
- 2 we found that we had housekeepers that we started off at 9.91;
- 3 and other hotels before the -- at the time, there was not an
- 4 increase in the minimum wage, we are starting out at 8 -- 8.50,
- 5 to get their employees started there.
- 6 So what we did was we took that information, that detailed
- 7 information and look at where we were. And the good thing for
- 8 us is that -- and we're very proud of this -- is that we're far
- 9 better than all of the properties here within our market. And
- 10 we're excited about doing that. But what we also found out as
- 11 we went through the whole process with everyone that was getting
- 12 caught up to us was the fact that now they are also increasing
- 13 their wages too as well as to become competitive with us, to
- 14 kind of steal away our employees. And with Rochester being such
- 15 a very tight market with a minimum at the time probably about 2
- 16 percent unemployment, it truly impacts what we can do in terms
- 17 of recruiting and getting personnel in and it impacts
- 18 significantly turnover.
- 19 So that was explained throughout the whole bargaining
- 20 process; and I know on the 16th, we had a presentation that we
- 21 talked about the wage survey, we talked about what we did, what
- 22 we accomplished and how we came to our decision in improving and
- 23 then adding our starting wages to make it significantly more --
- 24 significantly increased, so we can attract more workers too as
- 25 well, and to retain those that we have currently working with

- 1 us; because we're finding out that our turnover is significantly
- 2 impacted by those new employees that we're having -- that comes
- 3 on board, moving to greener pastures, if they can truly find
- 4 that.
- 5 Q So what was the position of the company with respect to the
- 6 wage proposals being a two-tiered proposal?
- 7 A Well, you know, I think the most important thing for us to
- 8 do is we want to retain our employees. And we recognize that
- 9 those folks that are already there -- they have earned their --
- 10 the Union did a great job of making sure that their wages are
- 11 where they are. And we want to make sure that we're able to
- 12 maintain that. So what we did was -- we're saying that we want
- 13 to make sure that those folks are taken care of and we continue
- 14 to maintain that throughout the life of the contract; and, as a
- 15 result, they are reflected in the proposal for the increases
- 16 that were associated with their wages. Though it may seem
- 17 minimal, the percentage as we move forward -- we still kept them
- 18 increased during that time period because they are significantly
- 19 above market. We wanted to make sure that those folks that were
- 20 getting it are at least at market or just a little bit above
- 21 market, so that we can say that we're truly more competitive
- 22 than are other properties that are working with us. And that
- 23 was explained during the bargaining session.
- 24 Q When you say "that was explained," please state for Her
- 25 Honor whether you explained that in the bargaining sessions to

- 1 the Union.
- 2 A Yes, I did explain that in the bargaining sessions.
- 3 Q So what person explained what you just testified to to the
- 4 Union?
- 5 A I did that in the bargaining sessions.
- 6 Q And was there an exchange back and forth, questions and
- 7 answers between you and the Union?
- 8 A There was significant exchange between us and the Union.
- 9 Martin had a significant amount of questions, Nancy did. There
- 10 were some of the associates that were there representing as part
- 11 of the bargaining unit -- had questions and concerns. The folks
- 12 from TCS, who were associated with the bargaining unit at the
- 13 time, looked at their salaries. We had, you know, caucus
- 14 discussions with regards to the wages and the starting wages and
- 15 how that impacts everyone. We had significant discussion where
- 16 that was concerned, yes.
- 17 O And was the Union in favor of opposed to a wage proposal
- 18 that substantially increased starting rates, but did not
- 19 substantially increase, and in some case, proposed zero, very
- 20 low or low increases for existing employees?
- 21 A There were none --
- MR. WIESE: Objection: vague.
- JUDGE STECKLER: I think it's a kind of compound question.
- MR. STOKES: I'll be happy to say the same question again.
- 25 JUDGE STECKLER: No, I'm not asking you to say -- I'm

- 1 asking you to rephrase it, so -- it's kind of compound.
- 2 MR. STOKES: I'll be happy to, Your Honor.
- 3 Q BY MR. STOKES: Was the Union opposed or in favor of your
- 4 proposal to increase starting rates substantially, and not
- 5 increase existing employees' rates substantially?
- 6 A They were not -- and if I can explain, Your Honor, one of
- 7 the things that we did as we looked through the whole wage
- 8 survey and where we were currently with regards to u starting
- 9 wages and the folks that were impacted, we realized too as well
- 10 that there were probably about 20 to 30 percent of our folks
- 11 that would be -- that are currently employed that would have
- 12 benefitted too as well from our proposal with the new start
- 13 rate; because what we'll do automatically would have to increase
- 14 their start wage too as well to get them to a point where at
- 15 least they are comparable with the folks that are coming on
- 16 board. So you were looking at the proposal that would impact
- 17 not only the new hires, but also folks that are currently
- 18 employed, especially within the Housekeeping Department and some
- 19 other areas. They were not supportive of that and were not
- 20 supportive of the fact that we had to some degree -- I'll give
- 21 an example: With the engineering team and some of our food and
- 22 beverage culinary staff members -- they currently are probably
- 23 about \$22.00 an hour as a cook. And what we're saying for those
- 24 people, that we considered to be red-lined, if you go and leave
- 25 that job to go somewhere else, you will not get paid \$20.00 an

- 1 hour to start that job. So we are saying that we are paying a
- 2 favorable rate in order for us to be sustainable throughout the
- 3 business. All we are asking you to do is to be able to support
- 4 us as we move forward in getting folks on board, so we can
- 5 continue to be competitive within our market.
- 6 Q But despite your arguments, the Union opposed that
- 7 position, correct?
- 8 A That's correct.
- 9 MR. WIESE: Objection: leading.
- 10 JUDGE STECKLER: I think he already made it clear that the
- 11 Union didn't go for it.
- 12 MR. STOKES: Thank you.
- 13 O BY MR. STOKES: With respect to the company's position o
- 14 banquets, which would have proposed a substantial reduction in
- 15 the annual income that a banquet server made, could you please
- 16 explain for Her Honor what was communicated to the Union
- 17 concerning the position that the company was taking to change
- 18 the method of compensation for banquet employees to be more like
- 19 competitors?
- 20 A Yah, one of the things that we looked at when we dealt with
- 21 banquets was I know that our banquet staff, you know, works a
- 22 very limited amount of hours for the year, and I think their
- 23 compensation is a very, very unusual compensation package that
- 24 they have going. And we recognize the impact that, you know,
- 25 making this change would have on adjusting to the differences

- 1 associated with the pay. But one of the things that we were
- 2 projecting was the fact that if we're able to -- typically,
- 3 right now, what you have with the banquet server is that they
- 4 are paid either at minimum wage or just slightly above too as
- 5 well. And I know that when we did our wage survey, that the
- 6 Canadian Honker and some other catering folks that are within
- 7 our market -- they pay somewhere between 11, sometimes \$12.00 an
- 8 hour without the service charge or if there are gratuities
- 9 associated with it, then they get that as well.
- 10 But what we found was that if we're able to even make up a
- 11 very favorable offer to those folks that are working with us,
- 12 somewhere in the mean of \$15.00 is what we went to towards the -
- 13 or our last proposal for those folks who will work with us,
- 14 that would help to offset some of the concerns that were
- 15 associated with that loss of revenue from the quote/unquote
- 16 "service charges" that were associated there. I know that
- 17 presentation did not sit very well, but our -- right now,
- 18 currently, we lost all five of the major business for this year
- 19 that we typically would have because of our pricing and because
- 20 of how our pricing structure was too as well which impacted what
- 21 we're doing. I know that some people don't believe that, but
- 22 that is part of the fact.
- 23 One of the challenges that we find is that as we move
- 24 forward and in order for you to become more competitive, we have
- 25 to make sure that we have a pricing structure that can compete

- 1 with those folks that are out there too as well --
- JUDGE STECKLER: Mr. Henry, I --
- THE WITNESS: -- that benefits us as we move ahead.
- 4 JUDGE STECKLER: -- want to make sure I'm understanding
- 5 this correctly.
- Is this something that you discussed with the Union at the
- 7 table?
- 8 THE WITNESS: Yes.
- 9 JUDGE STECKLER: Can you just tell me when, based on the
- 10 best of your recollection, when you -- you're giving us a good
- 11 indication of what was behind the employer's strategy, but was
- 12 this exactly what you said at the table?
- 13 THE WITNESS: In March 2014, we had a meeting with Nancy,
- 14 Martin -- we a couple other business representatives from the
- 15 Union that came down to the hotels. That was the initial
- 16 discussion where banquets were concerned. On 2014 -- March
- 17 2014, we did a presentation when at then current Executive Chef,
- 18 Pascal Presa, was there or Scott Mauer, Bill Bunce, as Leslie
- 19 Hohmann testified to earlier, and we went through the whole
- 20 presentation. There was a subsequent meeting with Nancy, Martin
- 21 and I think Javon -- I'm not sure who else was involved with
- 22 that meeting, but discussing the same thing as a follow-up. And
- 23 at that time, they promised that they will make sure that they
- 24 are doing some things to get the rest of the hotels and the rest
- 25 of these businesses unionized and to make sure that we'll be

- 1 competitive and all those things were discussed.
- 2 Then as we moved in more to negotiations the following
- 3 year, 2015, in January, once we started off and towards this
- 4 aspect of the wages and benefits, we discussed that again at
- 5 length, starting in February all the way through the last and
- 6 final proposal.
- 7 O BY MR. STOKES: February of what year?
- 8 A February of 2015, I'm sorry.
- 9 JUDGE STECKLER: I think that was clear from what he said.
- 10 O BY MR. STOKES: Now tell Her Honor whether what you've
- 11 testified to as your reasoning for making a certain proposal was
- 12 communicated to the Union in collective bargaining negotiations?
- 13 JUDGE STECKLER: I think that's what I just asked him is --
- 14 MR. STOKES: And what is the answer to that?
- 15 THE WITNESS: Yes.
- 16 JUDGE STECKLER: He's already said that he had and he
- 17 identified which times he did.
- 18 Q BY MR. STOKES: And tell Your Honor whether what Leslie
- 19 Hohmann testified to concerning a wage proposal and the
- 20 reasoning behind the wage proposal was communicated to the Union
- 21 in the collective bargaining negotiations.
- 22 MR. WIESE: I'm going to object, Your Honor.
- 23 Leslie Hohmann's testimony speaks for itself. If he wants
- 24 to corroborate her testimony, he can ask specific questions
- 25 about what was asked of Ms. Hohmann just wholesale.

- 1 JUDGE STECKLER: Yes, I think --
- 2 MR. STOKES: That's an inappropriate objection. It is not
- 3 efficacious, but I'll do what you want me to do, Your Honor.
- 4 There's no basis in law for that objection anywhere.
- JUDGE STECKLER: Well, regardless of your concepts, I
- 6 really would like to hear something more specific from -- on
- 7 direct.
- 8 MR. STOKES: Well, I have to start off someplace before I
- 9 get specific. So I said, "What Leslie Hohmann testified in
- 10 front of Your Honor concerning the bases for the proposal in his
- 11 presence, was that communicated to the Union?" Then I go from
- 12 the general to the specific, because I have to lay a foundation
- 13 for his knowledge of that.
- 14 JUDGE STECKLER: I think he's established that he was there
- 15 and he's -- he heard Ms. Hohmann even in his prior testimony, so
- 16 I think we can proceed from there.
- 17 MR. STOKES: Well, in his prior testimony, he didn't
- 18 testify to anything that Ms. Hohmann testified to.
- 19 JUDGE STECKLER: He testified --
- 20 MR. STOKES: In this case, I'm trying to make the record
- 21 complete. We're on the defense. I'm just trying to make the
- 22 record complete from our perspective. To lay the foundation for
- 23 what he heard Ms. Hohmann say, I have to start off by saying,
- 24 you know, "Did Ms. Hohmann, what she testified that she told you
- 25 today were the bases for the wage proposal -- did you observe

- 1 that in the collective bargaining negotiations?" His prior
- 2 testimony, he wasn't testifying to what she said, because she
- 3 hadn't testified yet. That's all I'm trying to do is lay the
- 4 foundation.
- 5 JUDGE STECKLER: Are you -- so all you're asking for is him
- 6 to validate that what Ms. Hohmann was correct?
- 7 THE WITNESS: In his presence, that he was there.
- 8 JUDGE STECKLER: So let's just cut to the chase then.
- 9 Mr. Henry, you heard -- you were present during Ms.
- 10 Hohmann's testimony this morning?
- 11 THE WITNESS: Yes.
- 12 JUDGE STECKLER: And based on your recollections, was her
- 13 testimony accurate?
- 14 THE WITNESS: Yes.
- MR. STOKES: Thank you, Your Honor.
- 16 O BY MR. STOKES: And so during the --
- 17 MR. WIESE: Your Honor, I'd just like to note for the
- 18 record, and I don't know if this is going to be clear in the
- 19 record or not, but I don't believe Mr. Henry was here for Ms.
- 20 Hohmann's entire testimony.
- 21 THE WITNESS: I was actually.
- MR. WIESE: You were here the entire time?
- JUDGE STECKLER: He was not here for all of Ms. Henry's to
- 24 my recollection.
- 25 THE WITNESS: Right.

- 1 MR. WIESE: Okay, okay, my apologies. I just wanted to
- 2 make that clear.
- 3 Q BY MR. STOKES: In the collective bargaining negotiations,
- 4 when Ms. Hohmann made a presentation, did she use any audio
- 5 visual aids?
- 6 A Yes, she used -- we had a Power Point presentation on the
- 7 wall on a screen in the meeting room, yes.
- 8 O And was there -- were there any audio visual aids used in
- 9 connection with banquets?
- 10 A Yes, there was.
- 11 Q And I show you what has been marked as -- and received as
- 12 Respondent's 5, and I ask you if you can identify that document.
- 13 (Witness proffered the document.)
- 14 A Yes,
- 15 Q Was that Power Point presentation presented to the Union in
- 16 your presence and in Ms. Hohmann's presence?
- 17 A Yes, this looks like it.
- 18 Q Now, Mr. Henry, would you please explain to Her Honor
- 19 whether in those sessions -- that session of negotiations in
- 20 which that presentation was made, did the subject of whether our
- 21 existing banquet servers working for a competitor come up?
- 22 A Yes, it did.
- 23 O Please explain that to Her Honor.
- 24 A Well, throughout this process, there were conversations
- 25 across the table regarding just the costs and just how that

- 1 impacts each of the servers that were associated with our
- 2 banquets department. And it was identified that during that
- 3 time, there are others who have worked in other areas with other
- 4 companies associated with banquets, which typical banquet folks
- 5 do anyway, because of the limited amount of work that they
- 6 typically would have at any one particular location. So it was
- 7 identified that folks within our banquet team also works for our
- 8 competitors at various times.
- 9 Q And did the subject of whether they were working for a
- 10 strict hourly wage versus what Kahler paid them, an hourly wage,
- 11 plus a service charge, come up?
- 12 A Yes.
- 13 O Please explain that.
- 14 A There were two sets of circumstances. I know that what
- 15 transpired was that you had folks who were working for straight
- 16 wages within banquets; there are others who also work and
- 17 whatever their relationships were in some of those
- 18 circumstances, were paid some form of gratuity or were paid in
- 19 addition to their normal hourly wages, but nothing was defined
- 20 as to exactly how that worked out.
- 21 Q Now directing your attention to the subject of the pie
- 22 charts, please explain to Her Honor whether the -- it came up in
- 23 your presence that questions were raised concerning the accuracy
- 24 of certain pie charts.
- 25 A Yes, there were questions with regards to certain pie

- 1 charts.
- 2 Q And what, if anything, did the company do to respond to any
- 3 questions raised by the Union concerning the pie charts?
- 4 A Well, as the questions came about with the folks that were
- 5 sitting around the table, they were noted. And as we went
- 6 through the whole process, we took that information. If Leslie
- 7 was not in the room, we would go back to her and have her make
- 8 the adjustments and have the paperwork brought back for those
- 9 that were on the larger scale that needed to be corrected. We
- 10 had those prepared -- corrected and prepared and brought to the
- 11 next session.
- 12 O And how much time was devoted to doing that, to responding
- 13 to the Union's inquiries?
- 14 A Ouite a bit. We wanted to make sure that everything that
- 15 we put forward was understood and was as accurate as we possibly
- 16 could be. We understand the importance of, you know, of wages,
- 17 and how it impacts our associates. It is something that is
- 18 critical. One of the biggest things that I find within my sole
- 19 responsibility is to make sure that that aspect of all of our
- 20 associates are keen and we're paying special attention to it and
- 21 make sure that's taken care of.
- 22 Q When the wage proposal was made showing substantial
- 23 increases for starting rates and rather small increases, and
- 24 sometimes zero increases, for those in the existing bargaining
- 25 unit, did anybody from the Union want an explanation of how our

- 1 proposal applied to specific union members?
- 2 A Yes.
- 3 MR. WIESE: Objection, Your Honor: vague.
- 4 JUDGE STECKLER: When was this taking place?
- 5 MR. STOKES: In March of 2015.
- JUDGE STECKLER: Okay, we're still in March of 2015, okay.
- 7 At the first session or the second session?
- 8 MR. STOKES: Either session, because it came up every
- 9 session.
- 10 JUDGE STECKLER: Well, you just told him the answer, so --
- 11 MR. STOKES: Well, see what the witness says.
- 12 JUDGE STECKLER: What was your recollection of when this
- 13 came up?
- 14 THE WITNESS: It did come up --
- JUDGE STECKLER: If it came up at all.
- 16 THE WITNESS: It did. Actually, I remember it coming up
- 17 even the first time on the 27th, which before we went into the -
- 18 -
- 19 JUDGE STECKLER: February 27th?
- 20 THE WITNESS: February 27th session. Martin raised the
- 21 question, "How does a" --
- 22 Q BY MR. STOKES: I'm sorry, who asked that question?
- 23 A Martin Goff.
- 24 Q What did he ask?
- 25 A He asked specifically, "My concern is you putting all these

- 1 wonderful things, and you're increasing starting wages, it's
- 2 great for the starting people and I think it's wonderful; but
- 3 what about those people that are in this room currently? How
- 4 does your proposal impact those folks in the room?"
- 5 Q And what did the company do in response to Martin Goff's
- 6 specific request for how the company's wage proposal
- 7 specifically applied to individuals in the bargaining unit?
- 8 MR. WIESE: Objection, Your Honor. That's
- 9 mischaracterizing the testimony.
- 10 JUDGE STECKLER: Rephrase a little bit, please, Mr. Stokes.
- 11 Q BY MR. STOKES: What did the company do in response to his
- 12 request?
- 13 A Well, what we did was Leslie and I got together, and she
- 14 pulled all the information that we possibly could find on every
- 15 single -- our current employee at the time under the bargaining
- 16 unit, looked at their salary, their years of service. We did --
- 17 and from that, we produced the pie charts that impacted our
- 18 proposal as we go through for the five -- proposed 5 years of
- 19 the contract.
- 20 O And when, if at any time, after Martin Goff's specific
- 21 request for this application of the company's wage proposal --
- 22 when did the pie charts get presented?
- 23 MR. WIESE: Objection, Your Honor: mischaracterizes the
- 24 testimony.
- 25 JUDGE STECKLER: Could you repeat the question, again, Mr.

- 1 Stokes.
- 2 MR. STOKES: I'm sorry, I couldn't hear you. I'm so old,
- 3 it's hard for me to hear.
- 4 JUDGE STECKLER: Could you repeat the question, again, Mr.
- 5 Stokes, and let me evaluate it?
- 6 MR. STOKES: Yes, Your Honor, be happy to.
- 7 O BY MR. STOKES: When, in relation to after Martin Goff's
- 8 request for this information to show how it applied to the
- 9 members he represents, when did the company present those pie
- 10 charts?
- 11 A We made sure that we had them available at our next meeting
- 12 -- at our next meeting, we have those available.
- 13 Q And explain to Her Honor how, if in any way, they were
- 14 distributed?
- 15 A Well, fortunately for us, we had members of the collective
- 16 bargaining agreement sitting around our table, so we were
- 17 fortunate enough to be able to have pie charts prepared
- 18 specifically for those folks that were in the room and were
- 19 brought in and given to those folks for their review along with
- 20 the Union so we can have a discussion about it.
- 21 Q And did you then do that?
- 22 A Yes, we did.
- 23 O And did that cause later for you to have a meeting in March
- 24 when Leslie explained how the pie charts were prepared?
- 25 A Yes, it did.

- 1 Q Do you have any knowledge as to whether pie charts were
- 2 also prepared for the Textile Care Services workers that were
- 3 still in the bargaining agreement before the National Labor
- 4 Relations Board ruled in April that they should be separated
- 5 out?
- 6 A Yes, the pie charts were prepared for those folks that were
- 7 sitting in the room from Textile Care Services as well.
- 8 O Now there's been some question concerning whether the
- 9 company was late for negotiations. Now would you please explain
- 10 to Her Honor whether the company was late for negotiations?
- 11 A Well, I don't know how we could be late when we are holding
- 12 the meetings are our property. We had them scheduled to be at
- 13 our meeting rooms. There are several times that within the
- 14 meetings that there were calls made to either myself or say --
- 15 indicating that either Nancy was running late or Martin was
- 16 running late. There are times that we have asked for -- when we
- 17 were scheduling out the date, could we meet on consecutive
- 18 dates; that there were challenges with regard to getting that
- 19 scheduled, because they were not able to schedule consecutive
- 20 days. We've asked to be able to work later in some afternoons,
- 21 and because of whatever concerns --
- 22 MR. WIESE: We're going to object, Your Honor. This is
- 23 non-responsive to the question of whether the Union -- whether
- 24 the Union's contention that the employer --
- 25 JUDGE STECKLER: Was late. Limit it to the late. I think

- 1 that's what you asked.
- 2 O BY MR. STOKES: So were we late?
- 3 A We were not late.
- 4 Q And please tell Her Honor whether we specifically requested
- 5 that the Union negotiate with us two days in a row?
- 6 A Yes, we have.
- 7 Q And do you know whether the Union agreed or did not agree
- 8 to do that, other than on one occasion?
- 9 A They were not able to other than the one occasion that
- 10 happened.
- 11 Q And please explain to Her Honor specifically what Martin
- 12 Goff said about his desire to leave before 5 o'clock, and
- 13 usually around 4 o'clock every day?
- JUDGE STECKLER: Isn't that -- didn't you just tell him
- 15 what he said?
- 16 MR. STOKES: I'm sorry?
- 17 JUDGE STECKLER: Didn't you just tell him what he said, Mr.
- 18 Stokes?
- 19 MR. STOKES: No, I didn't tell him. I said -- no, I'm
- 20 asking --
- JUDGE STECKLER: Please explain.
- 22 MR. STOKES: I did not. I said please explain what, if
- 23 anything, Martin Goff said concerning his leaving -- I'm talking
- 24 about the rationale basis for it.
- 25 JUDGE STECKLER: And then you --

- 1 Mr. Henry, was there a time when Mr. Goff had a discussion
- 2 with you about leaving early?
- 3 THE WITNESS: Well, the --
- 4 JUDGE STECKLER: Or leaving at a specific time each day?
- 5 THE WITNESS: There were specific requests from Nancy and
- 6 Martin about the time that they had to leave.
- 7 JUDGE STECKLER: And what time was that?
- 8 THE WITNESS: It varied. They were typical -- there was a
- 9 time that they had to go pick up a car, they had issues with
- 10 their dogs that they had to go pick up, it varies.
- 11 JUDGE STECKLER: So it varied what time they would say?
- 12 THE WITNESS: They say either 4, 4:30 or 5.
- 13 JUDGE STECKLER: When do you recall having these
- 14 discussions?
- 15 THE WITNESS: Within the bargaining sessions.
- 16 JUDGE STECKLER: How often did you have these discussions?
- 17 THE WITNESS: Well, pretty much each day, we'd try to get
- 18 an idea of exactly what time they will be staying until.
- 19 JUDGE STECKLER: Okay, so were there any discussions about
- 20 the future bargaining sessions as well during those times?
- 21 THE WITNESS: Yes, there were.
- JUDGE STECKLER: Okay.
- 23 O BY MR. STOKES: Please explain to Her Honor how often, if
- 24 ever, we -- the company offered to negotiate late each day
- 25 because of getting everybody together?

- 1 A We have made offers to negotiate late, but it was never --
- 2 we were never able to do it.
- 3 Q What, if anything, did the Union say about getting back to
- 4 Minneapolis because of the traffic?
- 5 MR. WIESE: Objection. He hasn't mentioned anything about
- 6 that. He's --
- JUDGE STECKLER: He said, what, if anything, was mentioned
- 8 to that --
- 9 MR. WIESE: -- leading question.
- 10 MR. STOKES: What did they say?
- 11 MR. WIESE: Okay.
- 12 THE WITNESS: They say that they'll prefer to leave early
- 13 enough so they could beat the traffic getting home.
- 14 O BY MR. STOKES: With respect to the caucuses that occurred
- 15 during the negotiations in which you were present, please
- 16 explain to Her Honor exactly how that worked.
- 17 A From each session, there were times that either the Union
- 18 representative asked for a caucus either to review items that we
- 19 were covering to go through different proposals or things that
- 20 we've shared during our presentation of what our proposals were.
- 21 As we were discussing them -- items that were getting TA'd,
- 22 items that they had concerns about before they were TA'd, they
- 23 would caucus and meet about some of those things.
- 24 As we moved closer and closer into the more discussion on
- 25 wages and additional changes that we were proposing, then we

- 1 also had -- asked for caucuses so we can go back and make some
- 2 adjustments. For example, with the pie charts, where we were
- 3 able to go back and make the adjustments to those and have them
- 4 brought back so we could review them. There were times that we
- 5 went to caucus on their proposal that they have given to us too
- 6 as well; as we went through them, so we can kind of discuss the
- 7 things that we think, you know, would help us too as well as we
- 8 move -- to show some movement as we move ahead in the
- 9 negotiations. So we did caucus quite a few times on both sides
- 10 with regard to the different items and things that were
- 11 transpiring there.
- 12 Q Sir, during the collective bargaining negotiations, were
- 13 any subjects considered off-limits, like grievances or
- 14 complaints about management or scheduling or anything like that?
- 15 A Nothing was off-limits, we expressed that we wanted to
- 16 discuss everything, let's get everything on the table so that we
- 17 can move forward with the process.
- 18 Q So, indeed, were grievances discussed in collective
- 19 bargaining negotiations?
- 20 A Yes, they were.
- 21 Q Were complaints about members of management discussed?
- 22 MR. WIESE: Objection: leading.
- 23 JUDGE STECKLER: What's the relevance, Mr. Stokes?
- MR. STOKES: Because every single subject was covered in
- 25 totality.

- JUDGE STECKLER: Well, this is not a --
- 2 MR. STOKES: And there were not limits. And in many
- 3 collective bargaining negotiations, as Your Honor knows, the
- 4 parties at the outset -- I would say 50 percent of the time, the
- 5 parties at the outset say, "Grievances and arbitrations and
- 6 things like that are going to be discussed at another time."
- 7 This was not the case here.
- 8 JUDGE STECKLER: I don't think there's any allegations
- 9 regarding surface bargaining, as has been mentioned in the past,
- 10 nor is there an issue regarding impasse at this point either.
- 11 It sounds like -- plus, we already have significant testimony
- 12 about there were a number of tentative agreements reached at the
- 13 table. So I think we need to get specific towards the specific
- 14 complaint allegations.
- MR. STOKES: Very well. The tentative agreements related
- 16 to the contract itself.
- 17 JUDGE STECKLER: Yes.
- MR. STOKES: I was saying there's another category that
- 19 comes up in bargaining that often in some bargaining circles,
- 20 they say, "Well, we're not going to talk about these at this
- 21 table." I just wanted to --
- 22 JUDGE STECKLER: I don't think -- I think he's already
- 23 answered that question, so --
- MR. STOKES: Very well.
- JUDGE STECKLER: -- we can move on to the next topic.

- 1 MR. STOKES: Very well, thank you, Your Honor.
- 2 Q BY MR. STOKES: Were each and every provision of the
- 3 collective bargaining offers by management discussed at the
- 4 table?
- 5 A Yes.
- 6 Q Were there any subjects not discussed?
- 7 JUDGE STECKLER: I think he's already answered that
- 8 everything was discussed.
- 9 MR. STOKES: Very well.
- 10 O BY MR. STOKES: Now with respect to -- there was one caucus
- 11 that was testified to during the Counsel for the General
- 12 Counsel's case in chief that took a long time. Could you please
- 13 -- do you remember a caucus that we had that took more than an
- 14 hour or maybe even more than 2 hours?
- 15 A Yes.
- 16 MR. WIESE: Object.
- 17 JUDGE STECKLER: Could you give him the date, please?
- 18 MR. STOKES: In March of 2015.
- 19 O BY MR. STOKES: Do you remember that?
- 20 JUDGE STECKLER: What date was that, Mr. Henry?
- 21 THE WITNESS: Yes, Your Honor. My recollection, I think
- 22 that was March 24th.
- 23 O BY MR. STOKES: And could you please explain to Her Honor
- 24 what happened from your perspective during that caucus?
- 25 A During that caucus -- I know that before the caucus, if I

- 1 could back up for a little bit, Your Honor -- before that
- 2 caucus, we had very good discussions, very strong discussions
- 3 about various aspects of the proposal and the different things
- 4 that was brought to your attention, especially as it impacted
- 5 that wage proposal that we had brought up.
- 6 This was a follow-up from some really good conversations
- 7 and they had some -- the Union had some changes that they wanted
- 8 to have as part of the proposal. So what we did is we went
- 9 ahead and we identified some typographical errors and different
- 10 things that we needed to adjust too as well to the proposal.
- 11 So we went back and had some discussions and we were
- 12 working feverishly about getting some of those changes done and
- 13 then how that impacts the presentation that we would put on the
- 14 table. Unfortunately, as things go, you know -- I know that Mr.
- 15 Stokes kept in contact with Nancy and informed her that we're
- 16 behind and we're a little bit delayed. But we were feverishly
- 17 working on getting everything compiled as much as we possibly
- 18 can to impact the request of the Union at the time in terms of
- 19 the changes that they wanted us to make to the proposal.
- 20 O Mr. Henry, during all your collective bargaining
- 21 negotiations with the Union, when, if ever, did Nancy Goldman or
- 22 Martin Goff or anybody on the Union's side say, "Meet with us
- 23 more hours than you're meeting with us."
- 24 A Never did.
- 25 Q When, if ever, did Nancy Goldman or Martin Goff or anybody

- 1 on the Union's side say, "You're showing up too late or you're
- 2 not staying long enough."
- 3 MR. WIESE: Objection: leading.
- 4 JUDGE STECKLER: I'm going to allow it.
- 5 Go ahead.
- 6 THE WITNESS: They never did.
- 7 O BY MR. STOKES: Now you began meeting with Brian Brandt,
- 8 the President of Local 21, in 2014, did you not?
- 9 A That is correct, yes.
- 10 Q Please explain to Her Honor what meetings or negotiations
- 11 you had with him in 2014?
- 12 A There was a series of meetings with Brian including Nancy
- 13 as well -- but starting out with probably about March 14th when
- 14 we had this presentation. But beyond then, we had several
- 15 discussion moving forward. I think one of the -- the big thing
- 16 that sparked some of those discussions and kind of identified
- 17 the differences between TCS and the hotels started out the early
- 18 part of -- probably about May -- June, somewhere around there,
- 19 having discussions about where we are with regards to the
- 20 upcoming negotiations, the extensions. We met periodically
- 21 throughout that year, 2014, on several different occasions
- 22 discussing different things from the difference in businesses
- 23 between the hotels; we've mentioned at the time too as well
- 24 about, you know, probably having separate contracts for full
- 25 service, as to limited service and then also TCS, as we moved

- 1 forward with some of those discussions. We talked about, you
- 2 know, what we can do too as well too to -- broke up pretty good
- 3 contracts starting as we moved forward to make sure that there's
- 4 something that's going to be effective and beneficial for both
- 5 parties as we move ahead with forging up a positive
- 6 relationship.
- 7 O Did there come a point in time, in which the President of
- 8 Local 21 appeared no longer to be the negotiator for the Union?
- 9 A Yes.
- 10 MR. WIESE: Objection: relevance.
- 11 JUDGE STECKLER: That's a good question.
- 12 Mr. Stokes, what the relevance?
- 13 MR. STOKES: The relevance is that any of the delays and
- 14 problems that occurred in the negotiation, we respectfully
- 15 invite Your Honor to consider occurred in part because Local 17
- 16 came down from Minneapolis and tried to foist whatever standards
- 17 they had in hotel collective bargaining agreements in
- 18 Minneapolis on to the negotiations of a contract that both Nancy
- 19 Goldman and Martin Goff specifically disavowed ever having
- 20 negotiated. And that created an immediate problem in
- 21 communicating with respect to wages, with respect to health
- 22 care, with respect to the banquet compensation and some of the
- 23 issues that Counsel for the General Counsel has raised. If
- 24 Brian -- our position is respectfully if Brian Brandt had been
- 25 allowed to continue to negotiate with Michael Henry, they would

- 1 have a contract today.
- 2 JUDGE STECKLER: Are you saying that you wanted to handpick
- 3 who represented the Union?
- 4 MR. STOKES: I'm sorry.
- 5 JUDGE STECKLER: Are you saying that you're trying to
- 6 handpick who represented the Union?
- 7 MR. STOKES: No, I'm not -- well, first of all, she doesn't
- 8 represent Local 21. She's an International Vice President that
- 9 has political jurisdiction over that, had never in 50 years,
- 10 represented them. All I'm saying is sine qua non, Local 17 is
- 11 saying, "Well, we want to negotiate from the perspective of
- 12 Minneapolis." That's what created -- if that had happened, they
- 13 probably would have a contract today; and that's relevant to
- 14 Your Honor's determination about all these allegations of
- 15 information and this, that and the other thing, for, simply,
- 16 because Brian Brandt was shuttled to the side. That also
- 17 affected his relationship with his members. And you can see it
- 18 in the notes.
- 19 JUDGE STECKLER: Well, I --
- 20 MR. STOKES: You can see it in the notes that Counsel for
- 21 the General Counsel introduced.
- JUDGE STECKLER: Well, I think -- Mr. Wiese, do you have a
- 23 response here?
- MR. WIESE: Well, I do. I mean, we're presenting a limited
- 25 case here. It's the wage proposals, union leave and times --

- 1 you know, whether they showed up late and left early from the
- 2 bargaining table. I mean, who they had for negotiations,
- 3 whether they reached an agreement, I mean, "pre-" these
- 4 discussions that they had in 2014 -- none of it is at all
- 5 relevant to their wage proposals, their union leave proposals,
- 6 and whether they left bargaining early and arrived late at their
- 7 bargaining sessions in 2015.
- JUDGE STECKLER: I think under the standard of 8(a)(5),
- 9 it's an objective standard, it's not a subjective standard. And
- 10 saying that had "X" person been at the table is kind of
- 11 speculative as to what -- we need to focus more on what happened
- 12 through the negotiations, what was said at the table, rather
- 13 than going into what if Mr. Brandt was left alone.
- 14 MR. STOKES: With all due respect, it is our position that
- 15 as a matter of fact and law, the negotiations actually started
- 16 in 2014, and I understand that Counsel for the General Counsel
- 17 will be at odds with that. So Your Honor can determine for
- 18 herself whether collective bargaining and negotiations with
- 19 Local 21, and the employer actually started before Local 17's
- 20 representatives got involved.
- 21 And, secondly, we make an offer of proof that there will be
- 22 specific testimony that Local 17 regularly referred to the
- 23 Minneapolis contract; and, thirdly, I'll make an offer of proof
- 24 that one of my partners was negotiating a hotel contract at the
- 25 Radisson in Minneapolis, and Nancy Goldman would attempt to use

- 1 provisions from that contract in this contract that had been
- 2 around for 50 years in the health care area alone;
- 3 And she would regularly -- I'll make an offer of proof and
- 4 I'll be happy to testify to this -- regularly say, "We don't
- 5 have a collective bargaining agreement in the Twin Cities with a
- 6 hotel that has a health care package or language like they do in
- 7 this contract. And Brian had no objection to that, but she did.
- 8 So there was a dichotomy. So that created --
- 9 JUDGE STECKLER: Well, I think, Mr. Stokes, then that's
- 10 going to go to what was said at the table, not necessarily the
- 11 relationship between the fact that they were from Minneapolis.
- 12 We've heard testimony as well that the competitive area for the
- 13 hotel was also considered into the Twin Cities area.
- 14 MR. STOKES: Very well.
- JUDGE STECKLER: So I think we can just stick with the
- 16 objectives of what happened at the tables, rather than going
- 17 into speculative modes.
- 18 MR. STOKES: Be happy to.
- 19 JUDGE STECKLER: Thank you, sir.
- 20 O BY MR. STOKES: Mr. Henry, how often, if ever, did Nancy
- 21 Goldman, when she became the lead negotiator in 2015, reference
- 22 whatever the standards were in collective bargaining at the
- 23 hotels she represented in Minneapolis?
- 24 A In pretty much every session.
- 25 Q And how often did she reference that specifically with

- 1 respect to wages?
- 2 A All the time.
- 3 Q How often did she reference that with respect, if in any
- 4 way, with respect to health and welfare?
- 5 A In all the meetings that we discussed it.
- 6 Q How often did she reference that with respect to banquets?
- 7 A In all the meetings, she discussed it.
- 8 O Now directing your attention to your dealings with Mr.
- 9 Brandt in 2014, please describe for the record how many meetings
- 10 you had with him concerning your collective bargaining in 2014?
- 11 MR. WIESE: I'm going to make a standing objection to
- 12 relevance to any further questions about meetings in 2014.
- JUDGE STECKLER: We'll give you a running objection.
- Go ahead, please.
- 15 THE WITNESS: I don't know the number off-hand how many
- 16 times we met regarding it, but I know that it was more than -- I
- 17 would say it was more than about eight -- ten times.
- 18 Q BY MR. STOKES: And how many times did you communicate with
- 19 him the issue with respect to the compensation of banquet
- 20 employees?
- 21 A As we heated up in the discussion, I can't -- I don't
- 22 remember the number, but whenever that came up, we discussed it.
- 23 O In 2014?
- 24 A Yes.
- 25 Q Directing you attention to your conclusion that the

- 1 competition, rightly or wrongly, that the competition was paying
- 2 wage rates that were substantially less than the wage rates you
- 3 were paying in your hotels, how often did that subject get
- 4 discussed with Brian Brandt?
- 5 A As often as we discussed wages.
- 6 Q When the collective bargaining agreement extension, which
- 7 was negotiated in December or so or when -- I mean, in August --
- 8 A Yah, the extension was discussed the early part of the
- 9 year. I think we discussed it in January or February 2014, to
- 10 have it extended through the following year, 2015.
- 11 Q Through February 28th --
- 12 A Twenty-eight, yes, correct.
- 13 0 -- 2015.
- 14 A Yes.
- 15 Q Approximately a 6-month extension?
- 16 A That is correct.
- 17 O After the contract was extended, did the Union request an
- 18 additional extension?
- 19 A After the contract was -- I did not get a request for --
- 20 O After February 28th.
- 21 A I did not get a request from them. They have asked -- I
- 22 know that in bargaining session, we made it clear to them that
- 23 there will not be an extension.
- 24 Q The company took the position that the contract expired?
- 25 A Correct.

- 1 Q On March 1 --
- 2 A Correct.
- 3 Q -- 2015.
- 4 A Correct.
- 5 Q What, if anything, did the Union communicate concerning
- 6 striking and picketing and after that?
- 7 MR. WIESE: Objection: relevance.
- 8 JUDGE STECKLER: I don't know that that is relevant to the
- 9 discussion. There's no 8(b)(4) activity that's alleged
- 10 MR. STOKES: Well, it's relevant as to whether, for
- 11 example, specifically relevant as a matter of law if those are
- 12 the facts as to whether the incremental increases were, indeed,
- 13 part of any collective bargaining agreement that would be
- 14 applicable thereafter. And so it would be something Your Honor
- 15 would want to hear. How you deal with that is up to you. But
- 16 there is an allegation by the Counsel for the General Counsel
- 17 that even after the contract expired, they wanted provisions of
- 18 the contract to continue to apply. On the other hand, the no-
- 19 strike/no-lockout provision did not apply did not apply; and,
- 20 similarly, the Union engaged in picketing and demonstrations and
- 21 corporate campaigns thereafter on a regular basis as if there's
- 22 no contract.
- 23 JUDGE STECKLER: Well, I think there are two -- I think
- 24 we're talking about apples and oranges here, because strikes and
- 25 arbitration provisions, as you well know, Mr. Stokes, are

- 1 handled differently than possibly wages. So I think we need --
- 2 you know just having what might be -- what may or may not be
- 3 PCA in terms of strikes and picketing -- strike threats and
- 4 picketing, don't seem to be relevant here to the 8(a)(5). I
- 5 think we're talking -- even though -- you know, if we were
- 6 talking about the -- well, let me strike that because that's
- 7 purely speculative too.
- 8 So let's try to stick to, you know, if there were
- 9 statements made at the table regarding striking and picketing,
- 10 that's fine. We can take that information in terms of
- 11 discussing negotiations; but let's not talk about what the
- 12 employees were doing out there unless it has something specific
- 13 to do with the allegations.
- 14 MR. STOKES: Very well.
- 15 Q BY MR. STOKES: At the table, isn't it true that Nancy
- 16 Goldman said, "Well, we can strike now"?
- 17 MR. WIESE: Objection: leading.
- JUDGE STECKLER: What, if anything, did Nancy Goldman say
- 19 about striking, and, if so, when?
- THE WITNESS: Nancy did threaten to strike at our meetings.
- 21 She did it, I think, once or twice, I don't remember how often,
- 22 but it happened in meetings in March and also in April.
- 23 O BY MR. STOKES: Indicating that there was no contract in
- 24 place prohibiting her --
- 25 MR. WIESE: Objection: leading.

- 1 JUDGE STECKLER: I think we've already established that,
- 2 Mr. Stokes.
- 3 MR. STOKES: Very well.
- 4 Q BY MR. STOKES: Now, sir, with respect to the negotiations
- 5 that occurred from April through September, please explain to
- 6 Her Honor, who requested the negotiations in September?
- 7 A The employer requested the meeting in September.
- 8 Q Did you meet with the Union in April?
- 9 A Yes, we did.
- 10 Q And did the positions of the parties change?
- 11 A No, they did not.
- 12 JUDGE STECKLER: Regarding what?
- 13 MR. STOKES: Every single issue that was on the table in
- 14 March. Based upon what was on the table in March, all the TAs,
- 15 all the rejections. Did any of those change from March to
- 16 April.
- JUDGE STECKLER: Do you have any notes that would help you
- 18 recall that?
- 19 THE WITNESS: Yah, I think I might.
- 20 MR. STOKES: I'm sorry.
- JUDGE STECKLER: I asked him if he had any notes that might
- 22 help him recall that, and he said it might.
- 23 MR. WIESE: May I speak on this, Your Honor?
- JUDGE STECKLER: Okay.
- MR. WIESE: I mean, we have -- so we have the employer's --

- 1 what they've said is their last, best and final proposal from
- 2 March 24th. And then we have the Union -- the comprehensive set
- 3 of the Union's proposals. Those proposals speak for themselves
- 4 whether there's been any changes or not to their terms, and with
- 5 respect to the September proposal from the Union, the employer's
- 6 response to that proposal.
- 7 MR. STOKES: If the company is being accused of having some
- 8 form of anti-union animus because of a certain pattern of
- 9 behavior, no matter how scattergun it may be, his position as
- 10 the negotiator concerning whether he met with them in April and
- 11 the Union's position and his position changed is relevant as we
- 12 move forward to September.
- 13 MR. WIESE: I'm not making a relevance objection, I'm
- 14 making an objection that we have the proposals that demonstrate
- 15 that Mr. Stokes has asked.
- 16 JUDGE STECKLER: You're saying that's the best evidence.
- 17 MR. WIESE: Yes, and what Mr. Henry can't remember.
- 18 JUDGE STECKLER: I think it's well-taken. I think the
- 19 proposals speak for themselves. The employer claims that
- 20 certain things are not proposals, the Union does. Those are
- 21 going to be determinations in the briefs, so I would encourage
- 22 you to argue it in the brief rather than characterizing it, you
- 23 know, that way.
- MR. STOKES: Am I, therefore, prohibiting from asking the
- 25 question, "When you met with them on April the 16th, did the

- 1 Union's proposal change from what had previously been on the
- 2 table?"
- JUDGE STECKLER: I think he does not specifically recall
- 4 first the date, because he -- before that, he said he might need
- 5 to look at his notes. So the suggestion of April 16th is kind
- 6 of "jumping the gun."
- 7 I'm sorry, just a minute, Mr. Henry. I don't mean to
- 8 squelch.
- 9 THE WITNESS: No, that's fine.
- 10 JUDGE STECKLER: I know there's something you really want
- 11 to get out, but I think that under the circumstances, we need
- 12 to be very specific about what was said and when, and that's
- 13 going to be the basis for my decision, looking at the documents,
- 14 looking at the testimony, not blanket statements.
- So I would appreciate getting down to the brass tacks
- 16 rather than a general statement.
- 17 Q BY MR. STOKES: This is a specific question: Did you meet
- 18 with the Union in the month of April?
- 19 A Yes, I did.
- 20 O Did you meet more than once?
- 21 A Yes, I did. We met twice in April.
- 22 Q When was the next time you met with the Union?
- 23 A September 24th.
- 24 Q How did it come about that you had a meeting in September?
- 25 JUDGE STECKLER: I think that has already been discussed,

- 1 that the company requested negotiations.
- 2 Q BY MR. STOKES: Did the Union call, text, e-mail, do
- 3 anything to request a meeting with you between April and
- 4 September?
- 5 A No.
- 6 Q When you met with them in September, was your position any
- 7 different than it had been on any issue?
- 8 A No, it wasn't.
- 9 Q Was the Union's position any different on any issue?
- 10 A No, there wasn't.
- 11 MR. WIESE: Objection.
- 12 JUDGE STECKLER: Is it your belief that the Union's
- 13 position had not changed?
- 14 THE WITNESS: It was the identical proposal that they sent
- 15 in April to what we got in September.
- 16 JUDGE STECKLER: That's his position, and he's allowed to
- 17 testify to that.
- 18 MR. STOKES: Thank you.
- 19 JUDGE STECKLER: So we'll move along.
- 20 MR. STOKES: Yes, Your Honor, I shall.
- 21 Well, I really wanted to do that instead of going through
- 22 all 140-some position.
- 23 JUDGE STECKLER: And I think -- presuming that there's
- 24 bargaining notes, wouldn't his bargaining notes reflect that, if
- 25 he has any?

- 1 MR. STOKES: Well --
- JUDGE STECKLER: Let me not state that as a question, since
- 3 we are not at that point. Presuming that there are bargaining
- 4 notes, it would be reflected in the bargaining notes. And then
- 5 let's kind of move ahead -- which would be the best evidence.
- 6 MR. STOKES: With all due respect, Your Honor, I actually
- 7 believe that the best bargaining unit notes would be a video of
- 8 what everybody said in collective bargaining negotiations.
- 9 JUDGE STECKLER: Was there one?
- MR. STOKES: We don't normally have that.
- 11 JUDGE STECKLER: I'm glad to hear that.
- 12 MR. STOKES: I have had audio, and I have had a Court
- 13 Reporter in Denver one time, a Court Reporter typed --
- 14 JUDGE STECKLER: What about this one?
- MR. STOKES: We have no disputes. In this case, your
- 16 inquiry about -- or your assumption that if there are bargaining
- 17 notes, wouldn't they reflect that, it is my humble experience in
- 18 45 years, that bargaining units -- bargaining unit notes of
- 19 bargaining issues are often -- the answer to your question is
- 20 no. The bargaining notes don't always reflect everything that
- 21 happened at the table, even on the positions.
- 22 JUDGE STECKLER: No, I'm not saying that. If something was
- 23 TA'd --
- MR. STOKES: Well, sometimes they are wrong.
- JUDGE STECKLER: I know, and sometimes, you know, you have

- 1 -- get in a dozen different bargaining notes, and then you find
- 2 out.
- 3 MR. STOKES: Right.
- 4 JUDGE STECKLER: So that's what I'm saying is that the
- 5 bargaining notes would reflect if there had been agreements.
- 6 MR. STOKES: We're in favor of Your Honor having all the
- 7 bargaining unit notes you can, and I think that 90 percent of
- 8 the time, you'll get, you know, somewhat of a flavor of the
- 9 negotiations. But bargaining unit notes, in my humble
- 10 experience, unless there's a stenographer or a video or an audio
- 11 tape, do not, in answer to your question, my 45 years say they
- 12 do not reflect everything that went on. And, sometimes, the
- 13 bargaining unit notes from this side or that side are biased.
- 14 And you know that I know that. And so I'm saying the answer to
- 15 your question is no.
- 16 JUDGE STECKLER: Also, everything is biased.
- 17 MR. STOKES: Well, that's why I say --
- JUDGE STECKLER: And so what I'm saying is is that we have
- 19 now -- we have testimony from Mr. Henry that it's his position
- 20 that the Union hadn't changed its position.
- 21 And what I'm trying to avoid is using the transcript as a
- 22 basis for an impasse trial that I have to come back for later
- 23 on. So are we all in agreement on that?
- MR. STOKES: Well, actually, the Union represented on
- 25 several occasions --

- 1 JUDGE STECKLER: I see Mr. Terrell covering up his lips.
- 2 MR. STOKES: -- in bargaining that we were at impasse.
- JUDGE STECKLER: Okay, well, and that's --
- 4 MR. WIESE: Your Honor, I would ask that that be struck.
- 5 JUDGE STECKLER: Well, you know, I'm sorry, it is -- for
- 6 what it's worth, it's his comments, you know. If he wants to
- 7 make that statement when he's on the stand later, if he's on the
- 8 stand, we'll take it.
- 9 MR. STOKES: The President of the Union was quoted in the
- 10 paper as saying that. And they know that.
- JUDGE STECKLER: Well, it's not -- obviously, it's not an
- 12 allegation here.
- 13 MR. STOKES: Anyway --
- JUDGE STECKLER: So, at any point, what I'm saying is just
- 15 that if there's something else relevant to these discussions
- 16 that we'd like to bring up specifically --
- 17 MR. STOKES: Very well.
- JUDGE STECKLER: -- please do so; otherwise let's keep
- 19 moving.
- 20 MR. STOKES: Yes, ma'am, I should be happy to do that.
- 21 Q BY MR. STOKES: Mr. Henry, in the course of collective
- 22 bargaining unit negotiations, particularly in the month of
- 23 March, the subject of leave for -- to attend a union convention
- 24 came up. Do you recall that?
- 25 A Yes, I do.

- 1 Q And what was the position of management and what was the
- 2 position of labor?
- 3 A We -- our position, we proposed to add just three days for
- 4 the leave; and the Union didn't -- rejected that proposal.
- 5 Q Is there anything complicated about it? The Union wanted
- 6 one position and, as Counsel for the General Counsel likes to
- 7 say, the documents speak for themselves, is there anything
- 8 complicated about the position that management took as opposed
- 9 to the position the Union took.
- 10 MR. WIESE: Objection: relevance.
- 11 JUDGE STECKLER: It's not a matter of complicated. It is
- 12 not a matter complicated.
- MR. STOKES: Well, he used the phrase "complicated about
- 14 the pie charts" and I take issue of his characterization of
- 15 that. They are not complicated at all.
- 16 JUDGE STECKLER: Only for people who have experience in
- 17 Excel.
- 18 MR. STOKES: Well --
- 19 JUDGE STECKLER: You know, it was a good thing to have Ms.
- 20 Hohmann's testimony.
- 21 Let's talk specifically about the leave for union
- 22 conventions. What -- when did you first present this proposal?
- 23 THE WITNESS: I can't remember the exact time in
- 24 bargaining, but the only thing that we did with the proposal, as
- 25 you go through, the old contract -- all we did was added three

- 1 days to it. All the language remains the same.
- 2 JUDGE STECKLER: So you specified the number of days for
- 3 union leave?
- 4 THE WITNESS: That's correct, that's right.
- 5 JUDGE STECKLER: What, if anything, was the Union's
- 6 response and when?
- 7 THE WITNESS: Well, they didn't make a counter-proposal as
- 8 to say, "Well, could we make it 5 days or could we make it 10?"
- 9 They just said that they reject it.
- 10 JUDGE STECKLER: Did they say they wanted to keep the same
- 11 language?
- 12 THE WITNESS: I don't recall them saying that. I don't
- 13 recall, I'm sorry.
- JUDGE STECKLER: What, if anything, did the Union say about
- 15 the relationship between union seniority and union leave --
- 16 between seniority with their employer and leave?
- 17 THE WITNESS: Well, the language -- if I could look back at
- 18 it, the language doesn't say anything about it. It says that
- 19 without loss of seniority, that's what the language says in
- 20 there.
- JUDGE STECKLER: In the -- well, I'll tell you -- which
- 22 exhibit is the first proposal, GC 2?
- 23 MR. WIESE: Six (a)
- 24 THE WITNESS: Six (a) is the employer's proposal.
- MR. WIESE: And that was only for TCS.

- 1 MR. TERRELL: Is Your Honor referring to the union leave
- 2 provision in the old contract?
- JUDGE STECKLER: No, in the new contract.
- 4 MR. TERRELL: In the new proposal by the company?
- 5 JUDGE STECKLER: In the new proposal for a CBA, the one
- 6 that --
- 7 MR. TERRELL: Six (g) -- not 6(a), it's 6(g).
- JUDGE STECKLER: It's 6(g), I'm sorry.
- 9 MR. WIESE: Well, 6(g) -- you asked for the initial
- 10 contract proposal, is that right, Your Honor?
- 11 JUDGE STECKLER: Yes.
- MR. WIESE: Okay, the initial contract proposal, the first
- 13 one that we've entered is General Counsel 6(a). It's from
- 14 January -- well, it will be in the record. But, anyways, 6(a)
- 15 is the initial -- the employer's initial proposal --
- 16 JUDGE STECKLER: Okay, so --
- 17 MR. WIESE: -- as it relates to TCS.
- JUDGE STECKLER: So do you have that in front of you now?
- THE WITNESS: I have 6(g), and 6(g) is what I'm looking at.
- 20 This is our proposal, this one here.
- 21 MR. TERRELL: Are you sure it's not in the 23(b)?
- 22 MR. WIESE: That's the Union's proposal -- 6(a).
- 23 (Pause.)
- JUDGE STECKLER: Does anyone recall what page this was on
- 25 so we can help Mr. Henry out?

- 1 MR. WIESE: I know what it says what you're looking for.
- THE WITNESS: I know what it says too, I just want make
- 3 sure that I've read it correctly. I just don't want to --
- 4 (Pause.)
- 5 THE WITNESS: Okay, "Time off for union activities."
- 6 That's on page 29 of 150 on General Counsel Exhibit 6(g), and
- 7 the language that's limited to three working days without pay or
- 8 loss of seniority. That's what our proposal says.
- 9 JUDGE STECKLER: Okay.
- 10 Mr. Stokes, did you have further guestions on that issue?
- 11 MR. STOKES: Again, was there any -- I mean, management
- 12 took one position, the Union took another position substantively
- 13 on language that was similar to the old contract.
- 14 JUDGE STECKLER: What's the question?
- MR. STOKES: And the question is there was no --
- 16 MR. WIESE: I'm going to object here. It isn't a question.
- 17 JUDGE STECKLER: No, he -- I said so what's the question,
- 18 so he's asking what --
- 19 MR. STOKES: There is no -- like Counsel for the General
- 20 Counsel thinks the pie charts are complicated. There was no --
- 21 nothing complicated about management position versus the Union's
- 22 position in the union leave proposal by the company, was there.
- 23 JUDGE STECKLER: In your opinion, is it complicated?
- 24 THE WITNESS: No, it's not. It's a typical -- if I may
- 25 expound, Your Honor, may I?

- 1 JUDGE STECKLER: I think that that was kind of "yes" or
- 2 "no," Mr. Henry.
- 3 THE WITNESS: Yes, but if I could expound.
- 4 JUDGE STECKLER: I think if it's not complicated, then I
- 5 don't need an explanation.
- 6 THE WITNESS: Okay.
- 7 JUDGE STECKLER: If it's complicated, then I need an answer
- 8 or explanation.
- 9 THE WITNESS: Fair enough.
- 10 O BY MR. STOKES: Was there anything concerning loss of
- 11 seniority?
- 12 MR. WIESE: Objection. The proposal speaks for itself.
- JUDGE STECKLER: I agree. It's plain language. It's not -
- 14 according to you, it's not complicated, so --
- 15 MR. STOKES: It isn't.
- 16 O BY MR. STOKES: And directing your attention to Graham
- 17 Brandon, could you please explain to Your Honor how, if in any
- 18 way, he was disciplined?
- 19 A His disciplinary action came about, the one in question for
- 20 us, I think 4/29, if it was grieved -- he was disciplined on
- 21 February 25 of 2015. It was grieved. We finally had a
- 22 grievance meeting on the 29th.
- 23 The reason why it took so long --
- MR. WIESE: Objection, Your Honor. His answer is non-
- 25 responsive.

- 1 JUDGE STECKLER: I'm sorry, what was the question again?
- 2 MR. STOKES: Please explain to Her Honor how it came about
- 3 that Graham Brandon was disciplined?
- 4 JUDGE STECKLER: Well, which discipline are we talking
- 5 about? There was a discipline the previous year.
- 6 MR. STOKES: In any way, shape or form.
- JUDGE STECKLER: Well, that's a little --
- 8 MR. STOKES: I mean on his watch.
- 9 JUDGE STECKLER: Well, I think I can -- I think Mr.
- 10 Terrell's suggestion --
- 11 MR. STOKES: Okay, in February, okay.
- 12 JUDGE STECKLER: February of 2015.
- 13 Let's get the document out so that we're all on the same
- 14 page as well.
- 15 MR. WIESE: It's General Counsel Exhibit 27.
- 16 JUDGE STECKLER: Is that -- oh, I remember what it looks
- 17 like, thank you.
- MR. TERRELL: Your Honor, there is more than one exhibit.
- 19 JUDGE STECKLER: I wanted to start with that one though.
- 20 Let me Stokes start with that one. How's that?
- 21 MR. STOKES: Very well, Your Honor.
- 22 Go ahead and you can give is -- is that the exhibit?
- JUDGE STECKLER: He's got a copy of it.
- 24 COURT REPORTER: He has it.
- 25 JUDGE STECKLER: The Court Reporter kindly provided Mr.

- 1 Henry with a copy. It's in front of him now.
- 2 Mr. Stokes, please proceed.
- 3 (Witness proffered the document.)
- 4 Q BY MR. STOKES: Directing your attention to GC 27, could
- 5 you please explain the bases for Graham Brandon's discipline?
- 6 A This disciplinary action came about after several
- 7 conversations with Graham's manager. Apparently, there's been
- 8 some challenges associated with his --
- 9 MR. WIESE: Objection: vague.
- 10 What conversations are we talking about? When did they
- 11 take place.
- 12 THE WITNESS: I'm going to explain that.
- 13 MR. WIESE: Okay.
- JUDGE STECKLER: And who was Graham's manager at the time?
- 15 THE WITNESS: It's Robert Ulrich, Executive Chef at the
- 16 Marriott.
- 17 JUDGE STECKLER: Okay.
- 18 Please continue.
- 19 THE WITNESS: This conversation happened with Graham
- 20 Brandon's manager, Robert Ulrich, who at the time was the
- 21 Executive Chef at the Marriott.
- He had voiced some concerns to me with regards to
- 23 attendance issues with Graham. I asked him before this
- 24 documentation was prepared just to make sure that he had
- 25 everything adequately documented. And he told me that he did.

- 1 He said he text messages, he had --
- 2 MR. WIESE: Objection: hearsay.
- 3 JUDGE STECKLER: He can explain the basis of the
- 4 conversation.
- 5 Go ahead.
- 6 THE WITNESS: So the basis of the conversation was how it
- 7 is impacting the business and the other co-workers that are
- 8 associated within his -- within the department. And I expressed
- 9 to him that if he has that much concern, and if he has the
- 10 documentation, to move ahead with getting the disciplinary
- 11 action prepared. That's how that came about.
- 12 O BY MR. STOKES: What, if anything, did any discipline of
- 13 Graham Brandon have to do with his activities on behalf of the
- 14 Union?
- 15 A Nothing.
- 16 O Did you have meetings with Graham Brandon concerning his
- 17 discipline?
- 18 A Yes, I did. I did have a grievance meeting with him and
- 19 Brian Brandt in my office with Tyler Kase, who is the Food and
- 20 Beverage Director, regarding this disciplinary action. And
- 21 there are other disciplinary actions that we had conversations
- 22 about prior to us having this conversation.
- 23 O And was Brian Brandt present for those as well?
- 24 A Yes, he was.
- 25 Q How many meetings did you have with Brian Brandt concerning

- 1 the discipline of Graham Brandon?
- 2 MR. WIESE: Objection: vague.
- JUDGE STECKLER: It asks for numbers, I'll allow it.
- 4 MR. WIESE: Okay.
- 5 THE WITNESS: With this current -- with this one that I
- 6 have in front of me, General Counsel Exhibit 27, I know that I
- 7 met with Brian and Graham I think once on this matter. And then
- 8 the others, probably about three or four -- four others -- three
- 9 others, I think.
- 10 JUDGE STECKLER: What are the others?
- 11 THE WITNESS: Other disciplinary actions prior to this,
- 12 Your Honor, prior to February 25th -- have to do with his
- 13 performance, issues having to do with also attendance --
- 14 MR. WIESE: Objection: vaque. I mean if -- what
- 15 disciplines are we talking about?
- 16 JUDGE STECKLER: So you -- let me ask this: Have you
- 17 produced all the discipline to General Counsel regarding his
- 18 alleged performance issues?
- 19 THE WITNESS: Yes, it's in his file.
- 20 JUDGE STECKLER: As part of his personnel file?
- THE WITNESS: Yes.
- JUDGE STECKLER: Okay. So we'll discuss that at some
- 23 point, I'm sure.
- 24 So let me make sure I'm understanding this. So you met a
- 25 couple of times with Brandt and Brandon?

- 1 THE WITNESS: Correct.
- 2 JUDGE STECKLER: And the Food and Beverage Director was
- 3 there all these times too?
- 4 THE WITNESS: No, he wasn't there at all those times, no.
- 5 JUDGE STECKLER: Just the first time.
- 6 THE WITNESS: Just the one on the 29th, yes.
- 7 JUDGE STECKLER: Okay.
- 8 THE WITNESS: On April 29th, on General Counsel 27, that
- 9 has the documentation --
- 10 JUDGE STECKLER: Okay. So let me make sure I'm
- 11 understanding correctly. On April 29th, it was the F and B
- 12 Director and Brian Brandt and Graham Brandon?
- 13 THE WITNESS: Correct.
- 14 JUDGE STECKLER: Okay, because I'm blond.
- 15 Okay, please continue.
- 16 O BY MR. STOKES: And was the matter settled with the Union
- 17 at that time?
- 18 A Yes, it was.
- 19 Q And did everybody agree with the reduction of the
- 20 discipline at that time?
- 21 A In my office, they did, yes.
- 22 Q Mr. Brandon agreed?
- 23 A Yes.
- 24 Q Mr. Brandt agreed?
- 25 A Yes.

- 1 Q There's an individual that testified, named, Kelli Johnston
- 2 -- was it Johnston or Johnson?
- 3 A Johnston.
- 4 Q With a "T"?
- 5 A With a "T," yes.
- 6 O Thank you.
- 7 There was an allegation that she was not getting more
- 8 hours. What do you know about that?
- 9 A My understanding from speaking with all parties involved,
- 10 she wasn't getting hours, because it seemed as if she didn't
- 11 want the hours.
- 12 MR. WIESE: Objection: foundation.
- 13 JUDGE STECKLER: It's his opinion.
- 14 Continue.
- 15 Q BY MR. STOKES: What's the basis for your conclusion that
- 16 you think there's no problem with her not getting hours?
- 17 A In conducting an investigation, and I'm understanding
- 18 exactly what transpired with the whole process, there were
- 19 availability of hours at Crossings, and I know that my
- 20 understanding is that her availability to work the hours that
- 21 were available were limited. Her availability to follow up with
- 22 her --
- 23 MR. WIESE: Objection: foundation.
- JUDGE STECKLER: Save it for cross, Mr. Wiese.
- 25 MR. WIESE: All right.

- 1 THE WITNESS: Following up with the investigation with her
- 2 statement on the houseman position that she requested that she
- 3 wanted to do, there was no follow-up also from her end to as
- 4 well whether or not she had an interest in getting the job done
- 5 or to be able to work in that capacity.
- 6 Q BY MR. STOKES: Would you please explain for Her Honor the
- 7 transfer request process.
- 8 A The transfer request process is in place just to make sure
- 9 that we're able to manage the movement from -- of one associate
- 10 from one property to the next or from one department to the
- 11 next, based on their interests in the available positions that
- 12 are there currently posted at each hotel.
- 13 What should happen is the interested party or employee
- 14 would go to the Human Resources office, collect the Transfer
- 15 Request Form, have that filled out, have a conversation with
- 16 their manager, have that signed off by that manager, and then
- 17 brought back to the Human Resources office. Then that will be
- 18 submitted to the hiring manager, and then that hiring manager
- 19 would go back and continue an interview with the interested
- 20 party, and they will make a decision primarily based on their
- 21 person's qualifications or skill set to get into that role.
- Then that person would identify at the end of the day
- 23 whether or not that person is accepted in the job or we are
- 24 denying the transfer, and that information is filed in the
- 25 personnel file.

- 1 Q And what happened with respect to Kelli Johnston and any
- 2 supposed transfer request?
- 3 A We have not seen one.
- 4 Q Would you -- the Union made a request for quantifications
- 5 of our vacation position.
- 6 A Yes.
- 7 O Would you please explain to Her Honor what, if anything,
- 8 you did and said to the Union in that regard?
- 9 A Upon that request, when we talked about it in negotiations,
- 10 we discussed with Nancy that, you know, we're not able to give a
- 11 specific dollar amount to say blanketly, this is what the cost
- 12 will be year over year over year. And I explained to her that
- 13 because of the significant amount of turnover that we have and
- 14 we have no way of knowing exactly what each person would be and
- 15 the role that they will play for any period of time over the
- 16 life of the contract what that number would be. We currently
- 17 have probably about 20 percent of our population that I suppose
- 18 or think would be retiring because they have been with the
- 19 company about 20 -- between 20 to 30 years. So they are at the
- 20 point --
- 21 MR. WIESE: Objection: speculation.
- JUDGE STECKLER: He's the HR Manager. He can testify to
- 23 what his understanding is of turnover in his properties.
- 24 THE WITNESS: So with that in mind and with the current
- 25 circumstances that we're dealing with right now, with a limited

- 1 -- low unemployment and with our starting wage, the way in which
- 2 it is, and we've been kind of rotating through employees in our
- 3 basic positions, it's very difficult for us to be able to make
- 4 that assumption; and I shared that with her. She said to me
- 5 that "It's okay, I understand, just give me a ballpark number."
- 6 JUDGE STECKLER: When did this conversation take place?
- 7 THE WITNESS: In negotiations.
- 8 JUDGE STECKLER: Which -- do you remember which session?
- 9 THE WITNESS: I can't specifically say which session, but I
- 10 know that it occurred between February and March.
- 11 JUDGE STECKLER: Mr. Stokes, you may continue.
- 12 O BY MR. STOKES: What, if anything, did Kelli Johnston's
- 13 being in the Union or having some position with the Union have
- 14 to do with how she was treated at the hotel?
- 15 A Nothing.
- 16 O Now, Mr. Henry, I'd like to show you what has been marked
- 17 as Respondent's 6, for identification.
- 18 MR. STOKES: Can you get a copy to -- to Tyler as well.
- 19 JUDGE STECKLER: Is it paginated?
- 20 MR. STOKES: Yes.
- JUDGE STECKLER: Thank you.
- 22 (Witness proffered the document.)
- 23 O BY MR. STOKES: I show you what has been marked as
- 24 Respondent's 6, a copy of which has been given to the Counsel
- 25 for the General Counsel, and ask you if you can identify that

- 1 document?
- 2 A Yes, I can. It's --
- 3 Q What is it?
- 4 A This is our bargaining notes.
- 5 O From what date to what date?
- 6 A From January 20, 2015 and I think it should have it all the
- 7 way through the -- bear with me here -- through September 24,
- 8 2015.
- 9 Q Who did you designate as the note taker for the sessions of
- 10 collective bargaining and negotiations that took place in the
- 11 year 2015?
- 12 A Mary Kay Costello.
- 13 Q And who is Mary Kay Costello?
- 14 A Mary Kay Costello is our Human Resource and Organizational
- 15 Development Manager.
- 16 Q And have you had a chance to review these?
- 17 A Yes, I have.
- 18 Q Are these the notes that she took during those bargaining
- 19 sessions?
- 20 A Yes, it is.
- 21 MR. STOKES: I would offer Respondent's 6.
- 22 MR. WIESE: Your Honor, I'd appreciate -- I have to look
- 23 through these.
- JUDGE STECKLER: Okay, let's go off the record for a
- 25 moment.

- 1 (Off the record.)
- 2 JUDGE STECKLER: Back on the record.
- 3 MR. WIESE: Your Honor, I'm -- first of all, let me voir
- 4 dire.
- 5 JUDGE STECKLER: Okay.
- 6 Please proceed.
- 7 VOIR DIRE EXAMINATION
- 8 Q BY MR WIESE: So looking at the bargaining notes for the --
- 9 it looks like February 5, 2015, those are handwritten bargaining
- 10 notes, is that right?
- 11 A You're saying February 5th?
- 12 Q Yes, it starts on page 13.
- 13 A Okay.
- 14 Q Beginning on page 13, going to page 36.
- 15 A Yes.
- 16 O Do you know whose handwriting that is?
- 17 A This is Mary Kay Costello's.
- 18 Q And is that same -- I note that there's another set of
- 19 handwritten notes starting on page 97. And then those go on for
- 20 a ways to page 133, it looks like.
- 21 A You said 97, right?
- 22 Q Yes.
- 23 A Yes.
- 24 Q Is that also Ms. Costello's handwriting?
- 25 A Yes, it is.

- 1 MR. WIESE: Your Honor, I'm going to object. These are
- 2 Mary Kay Costello's bargaining notes. If they want to enter
- 3 Ms. Costello's bargaining notes, we should have Ms. Costello
- 4 testify --
- 5 MR. STOKES: I'm sorry, Tyler, would you speak up. I
- 6 apologize.
- 7 MR. WIESE: Yes, I will. No, that's all right
- 8 MR. STOKES: You have a tendency sometimes to fade. And my
- 9 ears have started fading before you started fading.
- 10 MR. WIESE: Well, anyways, so these -- so, Your Honor, I'm
- 11 objecting on the grounds that these are Mary Kay Costello's
- 12 bargaining notes, and that if we're going to enter them, we
- 13 should have Mary Kay Costello authenticate them, that they are
- 14 her notes, that we can talk about her procedure that she
- 15 followed for creating these notes, none of which Mr. Henry is
- 16 able to testify to.
- 17 JUDGE STECKLER: Well, we --
- MR. STOKES: Our position is that it's a waste of time, but
- 19 Mary Kay Costello is here, and we can take the extra time to put
- 20 her on the stand and authenticate that, but there's been no
- 21 challenge to the efficacy that this particular witness has
- 22 reviewed and says these are the notes that someone under his
- 23 supervisor took. But, if Your Honor insists, Mary Kay Costello
- 24 is here.
- 25 JUDGE STECKLER: Well, what I'm about to --

- 1 MR. STOKES: And we can go through the process of her
- 2 authenticating them, but it just adds another witness
- 3 unnecessarily based upon what we've said.
- 4 If she were not under the direct control of Michael Henry
- 5 and had not been told to take the notes, and he had not then
- 6 said, "These are the notes that I know that she took," it might
- 7 be different. But --
- 8 JUDGE STECKLER: I think -- are you saying that this is a
- 9 business record?
- 10 MR. STOKES: I'm saying that they are taken in the regular
- 11 course of the negotiations, yes.
- 12 JUDGE STECKLER: Would you like to question Mr. Henry on
- 13 that so that we can clarify this.
- MR. STOKES: Yes, Your Honor, I'll be happy to.
- 15 CONTINUED DIRECT EXAMINATION
- 16 O BY MR. STOKES: Mr. Henry, were these notes taken in the
- 17 regular course of the collective bargaining negotiations and
- 18 under your supervision?
- 19 A Yes.
- 20 O And were they maintained in your office?
- 21 A Yes.
- 22 MR. STOKES: And, by the way, I have the originals in case
- 23 counsel wants to compare the copies to the original. But,
- 24 again, out of an abundance of caution, Mary Kay Costello is
- 25 here. If people insist on putting her on the stand, we can do

- 1 that. We're working hard to try to finish this case, if we can.
- 2 JUDGE STECKLER: Have you reviewed every single page of
- 3 this document, Mr. Henry?
- 4 THE WITNESS: Yes, I had to, yes.
- 5 JUDGE STECKLER: Did you keep any separate notes yourself?
- 6 THE WITNESS: No, she was my note taker, so --
- JUDGE STECKLER: So every -- so you didn't have to take any
- 8 separate notes?
- 9 THE WITNESS: No.
- 10 JUDGE STECKLER: How often did you review this document?
- 11 THE WITNESS: At the end of each bargaining session, I
- 12 review them. When she would prepare the typed edition, I also
- 13 review those.
- 14 JUDGE STECKLER: Do you know why there's some handwritten
- 15 and some not?
- 16 THE WITNESS: For whatever reason, I don't know what
- 17 happened to -- on the February 5th, we were -- I thought we had
- 18 typed notes as well, and we just couldn't find them. So she had
- 19 her typed -- or her handwritten notes as backup.
- 20 JUDGE STECKLER: How do you know that this is her
- 21 handwriting?
- 22 THE WITNESS: She's worked with me for the last 2 years.
- 23 We've been in numerous meetings in which she's been my note
- 24 taker. She's been the person that follows through with all the
- 25 items that we follow through in the office.

- 1 JUDGE STECKLER: And you find this to be a true and
- 2 accurate copy of her notes?
- 3 THE WITNESS: Yes, I do, ma'am.
- 4 JUDGE STECKLER: And how were they maintained at the
- 5 facility?
- 6 THE WITNESS: They were kept in a file cabinet in Mary
- 7 Kay's office in the file that we have marked for our Union
- 8 negotiations.
- 9 JUDGE STECKLER: Any further questions?
- 10 MR. STOKES: No, Your Honor.
- 11 JUDGE STECKLER: Any more voir dire? Or as they say in
- 12 Texas, "voir dire."
- MR. WIESE: No, Your Honor, but I --
- JUDGE STECKLER: You are going to strenuously object.
- 15 We'll take a running objection on that.
- Respondent's 6 is admitted.
- 17 (EXHIBIT RECEIVED: RESPONDENT'S 6.)
- 18 MR. STOKES: Thank you, Your Honor.
- 19 If Counsel for the General Counsel want to talk to Mary Kay
- 20 Costello, she's right outside. He can go through them with her
- 21 if he wants.
- JUDGE STECKLER: It's about 12:35. How much more do you
- 23 have?
- MR. STOKES: About 2 minutes. I just have two questions.
- JUDGE STECKLER: Okay, that's fine.

- 1 Q BY MR. STOKES: Mr. Henry, would you please describe your
- 2 response to the Union's information request for a quantification
- 3 of the Union's insurance proposal versus the company's current
- 4 cost?
- 5 A Could you repeat the question? I'm sorry.
- 6 0 Sure.
- 7 Describe your response to the Union's request for
- 8 information for quantification of the Union's insurance proposal
- 9 versus the current company's cost?
- 10 A Well, their proposal was submitted to me, and what I did
- 11 was I responded with the calculations. And based on the hours
- 12 worked times their numbers that they gave to us would reflect
- 13 the cost for us as an employer as we are responsible or both
- 14 union and non-union employees.
- So my response to them impacted that there would be a over
- 16 a million dollar cost to us annually for their insurance
- 17 proposal.
- 18 Q If you would look at GC 20, please, sir.
- 19 (Witness proffered the document.)
- 20 A I've got it.
- 21 Q Do you see that?
- 22 A Yes, sir.
- 23 O Does that reflect your response to the Union's request for
- 24 quantification of the insurance cost?
- 25 A Yes, it does.

- 1 Q Now there came a point in time after September the 24th of
- 2 2015, in which you indicated to the Union you didn't want to
- 3 meet with them anymore.
- 4 Please explain to Her Honor the bases for that position?
- 5 A Well, the bases for the position was as we reviewed their
- 6 proposal to us on our meeting on September 24th, we recognized
- 7 that we had gone through all the items that they have listed on
- 8 their proposal, and there has been no change from either their
- 9 side or our side during that time period that requires us to
- 10 meet again. And I explained in my e-mail to Nancy, Martin and
- 11 Brian that we're more than willing to meet and to continue
- 12 conversation if they were willing to bring back something to the
- 13 table that we're able to -- that would force us to move from our
- 14 current position.
- 15 Q Now there came a point in time in which you made some
- 16 changes with respect to bulletin boards. Explain how that came
- 17 about?
- 18 A One of the challenges that came about with the bulletin
- 19 boards -- we've heard -- for example, in the Starbuck's area, we
- 20 have a community bulletin board that was there that is still
- 21 there and still, in fact, there. And a few of our managers were
- 22 walking through and getting coffee, like we normally do, and
- 23 noticed that there were union flyers posted on the community
- 24 bulletin board in the Starbuck's area. We've noticed too as
- 25 well that in quite a few areas, that a lot of the -- the

- 1 department bulletin boards also became blanketed with union
- 2 flyers covering schedule, covering information from the company.
- 3 And so we said that we wanted to go back to make sure that we're
- 4 managing through the boards as effectively as we possibly can.
- 5 Q So what is the position of the company with respect to the
- 6 Union's right to post notices to their members on bulletin board
- 7 or bulletin boards?
- 8 A You know, we welcome the communication. I know that's an
- 9 important part of us doing our business, and they are a partner
- 10 with us. I think it's important that we are able to continue
- 11 that process. And what we did was say that "We encourage you to
- 12 use your union boards. You have your boards, you've been using
- 13 them all along, continue to do so; but please leave those boards
- 14 available for us to be able to work and to post information
- 15 that's pertaining to the company and the things that we are
- 16 projecting too as well and not to over-- not to cover them with
- 17 their notices."
- 18 Q Now, during the negotiations, did the Union ever raise the
- 19 question of the fact that the company paid for health care for
- 20 both union and non-union employees?
- 21 A That conversation came about when we were discussing the
- 22 cost of the Union's proposal. And I think one of the things
- 23 that we shared -- I shared with them, I said, "I understand
- 24 that. I know that your union proposal only impacts the union
- 25 staff members, but, from our perspective, it's our

- 1 responsibility to cover both. If we did, in fact, take that
- 2 number out from the non-union employees, what would impact your
- 3 costs will be even greater to us if we removed that number from
- 4 the numbers that we provided them."
- 5 0 Thank you.
- 6 MR. STOKES: I have nothing further, Your Honor, at this
- 7 time.
- 8 MR. WIESE: Can we break for lunch?
- 9 JUDGE STECKLER: Yes.
- 10 And I know you're going to probably need some time to go
- 11 through these notes. How long do you need?
- 12 MR. WIESE: I quess --
- JUDGE STECKLER: So let's say 45 minutes for lunch, plus --
- 14 MR. WIESE: An hour I think should be good for lunch.
- MR. STOKES: I don't care, whatever you want to do.
- 16 JUDGE STECKLER: Okay.
- 17 So let's reconvene at quarter till 2, and if you need more
- 18 time at that time, you may request it.
- 19 MR. WIESE: Okay, thank you, Judge.
- 20 JUDGE STECKLER: Thank you.
- 21 We'll be off the record.
- 22 (Off the record.)
- JUDGE STECKLER: Back on the record.
- Mr. Henry, when come back, you'll still be under oath and
- 25 please don't discuss your testimony with anyone in the meantime.

- 1 Thank you.
- 2 Now we're off the record.
- 3 (Whereupon, at 12:45 p.m., the trial recessed for lunch to
- 4 reconvene at 1:45 p.m. in the same place.)
- 5 JUDGE STECKLER: We're back on the record.
- 6 Mr. Henry has taken the stand again.
- 7 Mr. Henry, please recall that you're still under oath.
- 8 THE WITNESS: Okay.
- JUDGE STECKLER: And, Mr. Wiese, you're up.
- 10 MR. WIESE: Okay.
- 11 So what I have here are a collection of documents that were
- 12 produced pursuant to the subpoena. I can either enter them
- 13 through Mr. Henry or if we're willing to stipulate to their
- 14 admission --
- 15 JUDGE STECKLER: Are these the disciplinary documents?
- MR. WIESE: Well, there's some -- there's an array of
- 17 documents.
- 18 General Counsel's Exhibit 31 is a copy of the overtime
- 19 records for the Marriott were produced pursuant to subpoena.
- 20 MR. WIESE: General Counsel Exhibit 34 is an e-mail
- 21 exchange regarding Kelli Johnston that was produced pursuant to
- 22 the subpoena.
- 23 And then General Counsel Exhibit 39(d), (e), (f), (h), (i),
- 24 (j), (k), (l) and (m) are disciplinary records that were
- 25 produced pursuant to the subpoena.

- 1 And I would request a stipulation before we get off the
- 2 record as to the fact that the disciplinary records in here that
- 3 relate to Mr. Kotvask are all of the disciplinary records that
- 4 were produced on behalf of him.
- 5 MR. TERRELL: And what you just handed me is Exhibit GC 39.
- 6 MR. WIESE: Yes.
- 7 MR. TERRELL: Relates to Walter Hardy.
- 8 MR. WIESE: Right, but that -- so there are disciplines for
- 9 employees other than Derek Kotvask in there, but the disciplines
- 10 that are in there for Mr. Kotvask represent all the disciplines,
- 11 so we can identify those specifically.
- MR. TERRELL: Well, we only produced.
- MR. WIESE: Well, the attendance disciplines.
- MR. TERRELL: Wait a minute.
- We only produced disciplines related to attendance for
- 16 employees who were disciplined for attendance. One of those
- 17 employees was Derek Kotvask, and we produced his records
- 18 relating to his discipline, and they are at page (h) through --
- 19 well, actually you have it looks like you have it marked here as
- 20 GC 39(h) and GC 39(i) --
- MR. WIESE: And then 39(j).
- MR. TERRELL: -- and 39(j).
- 23 So I think we can stipulate that these are all of the
- 24 attendance disciplines for Mr. Kotvask, but I know we can't
- 25 stipulate at this moment whether these are all the disciplines

- 1 for Mr. Kotvask, there may have been others. But we -- in
- 2 responding to your subpoena, we only produced attendance
- 3 disciplines.
- 4 MR. WIESE: Right, and I would be fine with limiting it to
- 5 just the attendance disciplines for Mr. Kotvask.
- 6 MR. TERRELL: That there may have been others, but we
- 7 weren't asked to produce them.
- 8 JUDGE STECKLER: Other disciplinaries.
- 9 MR. TERRELL: Other disciplinary actions against Mr.
- 10 Kotvask. There may be some, there may not be. I don't know as
- 11 I sit here at the moment, but I know that were just three
- 12 disciplines for attendance of Mr. Kotvask.
- 13 MR. WIESE: And I would agree with that stipulation.
- 14 JUDGE STECKLER: Okay, so stipulated for which documents?
- MR. WIESE: That General Counsel Exhibits 39(h), 39(i) and
- 16 39(j) represent all of the attendance disciplines that were
- 17 issued to Mr. Kotvask.
- 18 MR. TERRELL: Now we produced other disciplines for
- 19 attendee for other employees and I see that Exhibit 39 has some
- 20 of those marked Exhibit 39. The first one I see is (d) or is
- 21 that an "A"?
- MR. WIESE: No, that's a "D".
- MR. TERRELL: Well, what happened to "A", "B" and "C".
- 24 MR. WIESE: Well, we marked them and then chose not to
- 25 introduce them.

- 1 MR. TERRELL: Well, no, if you're -- he's not going to put
- 2 in a selective group of attendance disciplines and not put all
- 3 of them in. That's "dirty pool."
- 4 JUDGE STECKLER: Well, I think if you'd like to present the
- 5 others on redirect, that would be fine.
- 6 MR. TERRELL: Well, why don't we just get rid of the issue
- 7 now. Let's just put them all in by stipulation. And that would
- 8 include, by the way -- that would include also all the PAFs,
- 9 Personnel Action Forms, that we provided this morning in
- 10 response to the subpoena for employees who were terminated for
- 11 or voluntarily separated for no-call/no-shows.
- JUDGE STECKLER: I would want to try to keep those separate
- 13 from this type of -- Mr. Wiese?
- 14 MR. WIESE: Well, these are the -- I think there are two
- 15 issues going on here. The first I would like to resolve is
- 16 whether we can agree to the stipulation as to Mr. Kotvask.
- JUDGE STECKLER: I think that's been agreed to.
- 18 MR. WIESE: Okay.
- 19 JUDGE STECKLER: Subject to the fact that it only is a
- 20 discipline for attendance.
- 21 MR. WIESE: Okay.
- JUDGE STECKLER: Is that correct, Mr. Terrell?
- MR. TERRELL: Yes, yes.
- MR. WIESE: Okay, all right.
- 25 And then as far as the other -- I mean, these are the

- 1 disciplines that the General Counsel is entering as part of its
- 2 case. If there are other disciplines that Respondent would like
- 3 to enter, they can enter those as part of --
- 4 MR. TERRELL: Well, you asked for a stipulation and I'm
- 5 refusing to stipulate unless you put all of them in, and that's
- 6 --
- 7 JUDGE STECKLER: So you won't stipulate to Kotvask either
- 8 unless everything is all in one --
- 9 MR. TERRELL: I'll stipulate, singularly, to the three
- 10 attendance disciplines that were given to Kotvask. I'm not
- 11 going to stipulate to a hodge-podge or a cherry-picked group of
- 12 disciplines. I will stipulate to Counsel for the General
- 13 Counsel introducing all of them that we produced in response to
- 14 their subpoena.
- JUDGE STECKLER: Okay, well we don't have to have a
- 16 stipulation. We can enter them into the record separately then;
- 17 except -- so we'll proceed from there.
- 18 Are there any other documents, Mr. Wiese?
- 19 MR. WIESE: There are. So the remainder of my documents
- 20 are disciplinary records that were produced at various times, so
- 21 they are split off a little bit.
- JUDGE STECKLER: I'll tell you what, before you give them
- 23 out, since there's not going to be a stipulation, we'll take
- 24 them individually pursuant to testimony.
- 25 MR. WIESE: Okay.

- 1 MS. BURGESS: May I be heard on this, Your Honor?
- 2 JUDGE STECKLER: Could you --
- 3 MS. BURGESS: On this issue, I'm not going to -- I'm not
- 4 for purposes of examining the witness, but --
- 5 JUDGE STECKLER: Okay, go ahead.
- 6 MS. BURGESS: Okay.
- 7 So these documents were all produced pursuant to the
- 8 subpoena that was issued by the General Counsel. At a minimum,
- 9 I would expect that Respondent could stipulate to the
- 10 authenticity and admissibility of these documents. It's up to
- 11 the General Counsel to decide, you know, what documents we want
- 12 to enter into our case in chief. But they were produced
- 13 pursuant to subpoena, they are Respondent's documents; so our
- 14 plan was just to put them in the record and not have a bunch of
- 15 testimony and waste a bunch of time on them. It's just an
- 16 argument we want to make on brief. And they are free to
- 17 introduce whatever additional documents they want. But these
- 18 are the ones that are relevant to our case.
- 19 MR. TERRELL: And we would prefer that they all go in
- 20 together; and so I will not stipulate. She will have to go
- 21 through the questioning.
- 22 MS. BURGESS: I mean, Your Honor can take the documents
- 23 just without the testimony, knowing that they were produced
- 24 pursuant to a subpoena issued in this case, and they were
- 25 produced by Respondent.

- 1 JUDGE STECKLER: And the document --
- 2 MS. BURGESS: Speak for themselves.
- JUDGE STECKLER: Mr. Terrell, do you think that the
- 4 documents speak for themselves? Or do you think there needs to
- 5 be testimony?
- 6 MR. TERRELL: That may be an argument for the brief, I
- 7 don't know.
- 8 JUDGE STECKLER: Well, I don't want to get to the point
- 9 where we're looking at, what, January 1st, getting copies of the
- 10 transcript; and all of a sudden, we have what I would call
- 11 "buyer's remorse."
- 12 MS. BURGESS: Your Honor, I would say that we are only
- 13 offering the documents for what they say on their face. We're
- 14 offering them and contending that they speak for themselves. So
- 15 any arguments that we make are going to be only based on what is
- 16 in the documents themselves.
- 17 JUDGE STECKLER: Well, this is --
- MS. BURGESS: They are already in the record. I mean, it's
- 19 already in the record that we have produced these documents
- 20 pursuant to subpoena.
- JUDGE STECKLER: Let's move on, let's get a little bit of
- 22 testimony on them, and see if we can't get things. So if we
- 23 need to -- we'll get the testimony, we'll get authenticized and
- 24 then see what else happens. So, I think we've spent enough time
- 25 on it.

- 1 MR. TERRELL: Let me say it this way: We will stipulate to
- 2 the authentic, provided we have a reciprocal stipulation as to
- 3 authenticity as to the discipline -- as to the other discipline
- 4 --
- 5 JUDGE STECKLER: Any other discipline that you produce to
- 6 the General Counsel pursuant to the subpoena.
- 7 MR. TERRELL: I'm sorry.
- 8 JUDGE STECKLER: That whatever you've produced to the
- 9 General Counsel, pursuant to the subpoena, that they would
- 10 stipulate that it is authentic as well?
- 11 MR. TERRELL: Yes. We'll stipulate to the authentic of
- 12 39(d) through whatever the last letter is -- "M," provided they
- 13 stipulate to the authenticity of the remainder of the attendance
- 14 disciplines, including the no-call/no-show terminations.
- JUDGE STECKLER: Mr. Wiese, "yes" -- "no"?
- 16 MR. WIESE: Sure. Yes, sounds good.
- 17 JUDGE STECKLER: Okay.
- 18 MR. TERRELL: All right.
- 19 JUDGE STECKLER: I think that sounds like a fair deal.
- 20 MR. TERRELL: Can we just deal with it right now rather
- 21 than dealing with it -- I don't want to come back and later and
- 22 put ours in. Can we just go ahead and get them all in now.
- 23 We'll put them in as three separate exhibit numbers?
- JUDGE STECKLER: That would be fine with me.
- 25 MR. WIESE: Okay.

- JUDGE STECKLER: Okay, so we'll do that. Do you have
- 2 additional, Mr. Wiese --
- 3 MR. WIESE: I do.
- 4 JUDGE STECKLER: -- have Mr. Terrell enter his --
- 5 MR. WIESE: Besides General Counsel Exhibit 39, I have
- 6 General Counsel Exhibit 43.
- 7 MR. TERRELL: Now she was asking about the other -- before
- 8 you move -- is this an attendance?
- 9 JUDGE STECKLER: Yes.
- 10 MR. TERRELL: Okay, what about 39(a), (b) and (c), and 39
- 11 whatever comes after the letter "M"?
- MR. WIESE: Well, if you want to enter those disciplines --
- 13 MS. BURGESS: Right, we're not going to object to them
- 14 entering any ones that they want to enter.
- JUDGE STECKLER: In GC 39, are they in the same order that
- 16 they were produced to you?
- 17 MR. WIESE: I can't represent that, Your Honor, that they
- 18 are in the same order. I mean -- just one second.
- 19 (Pause.)
- 20 MR. WIESE: These are the remaining disciplines that I'm
- 21 aware of that are not included in General Counsel Exhibit 39.
- 22 So I also have General Counsel Exhibit 44(a), (b), (c), (d)
- 23 and -- oh, wait.
- MR. TERRELL: There was an "N" -- you gave me an "N."
- MR. WIESE: I did. This is for 44.

- 1 Could you collate those, so they are --
- 2 (Pause.)
- 3 MR. WIESE: All right, while we're getting 44 in order,
- 4 I'll pass out 45. General Counsel Exhibit 45(a), (b) and (c).
- 5 (Pause.)
- 6 MR. WIESE: All right. We also have General Counsel
- 7 Exhibits 44(a) through (f) -- is that right, Nicole?
- 8 MS. BURGESS: Yes.
- 9 MR. WIESE: General Counsel Exhibits 44(a) through (f),
- 10 which are more attendance disciplines.
- MR. TERRELL: Now these are the ones you are not planning
- 12 to introduce?
- 13 MR. WIESE: No, so the documents that I -- that area in
- 14 right now are the ones that I'm introducing. That's the entire
- 15 set.
- 16 MR. TERRELL: I just want to get clarification on what
- 17 you're saying.
- 18 MR. WIESE: The entire set of documents that I want
- 19 produced or that I want to be entered into evidence.
- 20 MR. TERRELL: But you are not introducing 39(d) -- or
- 21 excuse me, 39(a), (b), (c), (g) and (n).
- 22 MR. WIESE: Correct.
- 23 MR. TERRELL: But you are introducing 39(d), (e), (f), (h),
- 24 (i), (j), (k), (l) and (m)?
- MR. WIESE: That's also correct.

- 1 MR. TERRELL: Okay.
- Now then you're introducing 44(a), (b), (c), (d), (e) and
- 3 (f).
- 4 MR. WIESE: Mmm-hmm.
- 5 MR. TERRELL: And 45(a), (b) and (c)?
- 6 MR. WIESE: Yes.
- 7 MR. TERRELL: And 43?
- 8 MR. WIESE: Yes.
- 9 MR. TERRELL: Are there additional discipline -- attendance
- 10 disciplines that we have provided you that are not included in
- 11 the ones I just went through that you said are your exhibits?
- 12 MR. WIESE: There is. I mean, there's the entire stack
- 13 that -- the --
- MS. BURGESS: The no-call/no-shows.
- MR. WIESE: -- the no-call/no-shows that were produced, but
- 16 I wasn't --
- 17 MR. TERRELL: Any others?
- 18 MR. WIESE: Not that I'm aware of.
- 19 MR. TERRELL: Well, you were given our documents in
- 20 response to your subpoena. So I'm asking you are there any
- 21 other attendance disciplines that we gave you that you have not
- 22 presented here for introduction?
- 23 MR. WIESE: Not that I'm aware of at this time. That's --
- 24 I mean, that's the answer.
- 25 MR. TERRELL: Okay. All right. So we will need to double

- 1 check that, and I don't think I can do that at this moment.
- 2 JUDGE STECKLER: Do you want to take 10 minutes to review
- 3 before we proceed on the record?
- 4 MR. TERRELL: I can't do it in 10 minutes either. We'll
- 5 have to do it towards the end of the day or in the morning, if
- 6 we're still here in the morning. So I can't do it in 10
- 7 minutes.
- 8 But I would reserve -- let me put it this way: I will
- 9 reserve the right to confirm the accuracy of General Counsel's
- 10 representation that --
- JUDGE STECKLER: Okay. And if there's something that's
- 12 inadvertently been left out, then you can --
- 13 MR. TERRELL: Then I will supply it.
- 14 JUDGE STECKLER: That would be excellent.
- MR. TERRELL: Okay, I will supply it.
- 16 JUDGE STECKLER: Okay, appreciate that.
- 17 MR. TERRELL: All right.
- 18 So we will stipulate to the authenticity of the ones I just
- 19 ran through and identified as the ones that the General Counsel
- 20 wants to put in.
- JUDGE STECKLER: Okay. And now which ones do you want in?
- MR. TERRELL: Okay, now I have my -- and I guess I should
- 23 give them different -- I should give them Respondent exhibit
- 24 numbers.
- MS. BURGESS: Yes.

- 1 MR. WIESE: Yes.
- 2 JUDGE STECKLER: Yes, for the purpose of clarity.
- 3 MR. TERRELL: Okay.
- 4 JUDGE STECKLER: Especially when they go into the computer,
- 5 if you have got GC labels on them, the computer goes "ape," if a
- 6 computer can do that.
- 7 MR. TERRELL: Okay.
- 8 Was my last number "6"?
- 9 COURT REPORTER: Yes.
- 10 MR. WIESE: Yes.
- 11 (EXHIBITS MARKED: RESPONDENT'S 7 through 12.)
- 12 JUDGE STECKLER: Okay, go off the record.
- 13 (Off the record.)
- JUDGE STECKLER: We're on the record.
- 15 Mr. Wiese, are you ready to proceed with cross?
- 16 MR. WIESE: We offer --
- 17 MR. TERRELL: I'm sorry, did we get on the record our
- 18 stipulation on these documents? And I wanted to go ahead and
- 19 introduce the "R" exhibits.
- 20 JUDGE STECKLER: Okay, so we've got the "GC" exhibits, now
- 21 we've got to get the "R" Exhibits.
- 22 MR. TERRELL: Okay.
- 23 JUDGE STECKLER: And we'll approve in one package.
- MR. TERRELL: Okay.
- 25 So in addition to the discipline -- in addition to the

- 1 attendance disciplines that General Counsel wants in evidence,
- 2 we wish to move into evidence exhibit R 7, which was previously
- 3 marked as GC 39(a);
- We wish to move into evidence Exhibit R 8, previously
- 5 marked as GC 39(b);
- 6 We wish to move into evidence exhibit R 9, previously
- 7 marked as GC 39(c);
- 8 We wish to move in exhibit R 10, previously marked as GC
- 9 Exhibit 39(g); and
- 10 We move in exhibit R 11, previously marked GC 39(n); and
- We move in exhibit R 12, which is the 3-page list of no-
- 12 call/no-show terminations with the Personnel Action Form
- 13 backups.
- 14 We'll move all this into evidence and they can move their
- 15 stuff into evidence as well.
- 16 JUDGE STECKLER: Okay.
- 17 So Mr. Wiese previously listed out 39(d), et cetera, which
- 18 the Court Reporter has copies; 44(a) through (f); 45(a) and
- 19 through (c); and then
- 20 For Respondent's, it's 7 through --
- MR. TERRELL: Seven through 12.
- JUDGE STECKLER: -- 7 through 12.
- The documents will be admitted, and the parties agree to
- 24 the authenticity as disciplinary actions for attendance up to
- 25 and including terminations.

- 1 (EXHIBITS RECEIVED: GENERAL COUNSEL'S 39(d), (e), (f),
- (h), (i), (j), (k), (l), (m);
- 3 GENERAL COUNSEL'S 43;
- 4 GENERAL COUNSEL'S 44(a) through (f);
- 5 GENERAL COUNSEL'S 45(a) through (c).)
- 6 (EXHIBITS RECEIVED: RESPONDENT'S 7 through 12.)
- 7 MR. TERRELL: With only one minor caveat and I can't recall
- 8 if we have this on the record or not. We reserve the right to
- 9 confirm General Counsel's representation that the "R" exhibits,
- 10 R 7 through 12, together with the GC Exhibits, constitutes the
- 11 entire universe of attendance disciplines that Respondent
- 12 produced in response to their subpoena.
- JUDGE STECKLER: Okay, and I think we agreed to that
- 14 before.
- MR. WIESE: And the only other outstanding issue I think is
- 16 just General Counsel Exhibit 31 and 34, which I offer -- were
- 17 also produced pursuant to the subpoena. I handed those out
- 18 before we got into the disciplinary mess. But that's -- GC 31
- 19 are the overtime hours for the Marriott housekeeping department
- 20 that was produced pursuant to General Counsel's subpoena request
- 21 -- General Counsel subpoena request number 5, as reflected in
- 22 General Counsel Exhibit 37.
- JUDGE STECKLER: Thirty-seven.
- MR. WIESE: And then also General Counsel Exhibit 34, which
- 25 was responsive to subpoena request. I believe that was in

- 1 response to subpoena request number 7, as part of Kelli
- 2 Johnston's personnel file.
- 3 So I would offer both of those into evidence as well.
- 4 MR. TERRELL: No objection.
- 5 JUDGE STECKLER: Those documents are admitted as well.
- 6 (EXHIBITS RECEIVED: GENERAL COUNSEL'S 31 and 34.)
- 7 MR. WIESE: All right.
- 8 And I don't have any cross-examination beyond that for Mr.
- 9 Henry.
- 10 JUDGE STECKLER: Okay, so you're admitting them for the
- 11 authenticity, but are you admitting them then for -- based on
- 12 their face that they say what they are supposed to say?
- MR. WIESE: Right, that their discipline was that --
- 14 JUDGE STECKLER: Is the best evidence.
- MR. WIESE: Right, of their discipline.
- 16 JUDGE STECKLER: Okay.
- 17 MR. WIESE: Well, are you talking about for the discipline
- 18 or for all the documents that are being --
- 19 JUDGE STECKLER: All the documents, and, particularly, the
- 20 disciplines.
- 21 MR. WIESE: Right, that these are disciplines that were
- 22 produced by Respondent pursuant to a subpoena, and they were
- 23 kept in, you know, a personnel file, regular course of business,
- 24 that they can be, you know, offered as -- or relied upon as
- 25 evidence that these employees were disciplined.

- 1 MR. TERRELL: That's not a problem.
- JUDGE STECKLER: Okay.
- 3 Any other cross-examination?
- 4 MR. WIESE: No. No, Your Honor.
- 5 JUDGE STECKLER: Okay.
- 6 Mr. Terrell, do you have further questions? Excuse me, Mr.
- 7 Stokes. I'm looking straight at Mr. Terrell, and, Mr. Stokes,
- 8 you're right there.
- 9 MR. STOKES: I have no more questions.
- JUDGE STECKLER: Mr. Henry, you're out of the hot seat.
- 11 Thank you for your time.
- 12 As you know, do not discuss your testimony with any of the
- 13 other witnesses until this hearing is over.
- 14 (Witness excused from the stand.)
- JUDGE STECKLER: Mr. Terrell, can you tell me who you are
- 16 calling next?
- 17 MR. TERRELL: Yes, we're calling Ericka Scrabeck.
- 18 JUDGE STECKLER: Can you or Mr. Stokes give me an
- 19 indication of approximately how many more witnesses.
- 20 MR. STOKES: We have three more witnesses and they are
- 21 short.
- 22 JUDGE STECKLER: Okay, thank you for that information.
- 23 And Mr. Henry is getting the witness.
- MR. TERRELL: Okay, I think he is.
- 25 JUDGE STECKLER: Yes, he is. He's very efficient.

- 1 Please have a seat up here, please.
- 2 (Pause.)
- JUDGE STECKLER: Thank you.
- 4 Please raise your right hand.
- 5 (WITNESS SWORN: ERICKA SCRABECK)
- 6 JUDGE STECKLER: Please state your name and spell it for
- 7 the record?
- 8 THE WITNESS: Ericka Scrabeck, E-R-I-C-K-A S-C-R-A-B-E-C-
- 9 K.
- 10 JUDGE STECKLER: Mr. Terrell, are you inquiring?
- 11 MR. TERRELL: Yes.
- 12 JUDGE STECKLER: Okay.
- 13 DIRECT EXAMINATION
- 14 O BY MR. TERRELL: Good afternoon.
- 15 A Good afternoon.
- 16 O Where are you employed, Ms. Scrabeck?
- 17 A I am employed with the Kahler Hospitality Group. I work in
- 18 Crossings Bistro and Bar as the Food and Beverage Manager there.
- 19 O Okay. And which hotel is that in?
- 20 A Kahler Inn and Suites.
- 21 Q Okay.
- 22 And there's -- you said there's a bar?
- 23 A Yes, it's a restaurant/bar, yes.
- 24 Q Okay. Do you know Kelli Johnston?
- 25 A I do, yes.

- 1 Q Okay. How do you -- what do you know of her in terms of
- 2 who she is and where she works?
- 3 A I know she works in the Banquets Department over at the
- 4 Marriott.
- 5 O Different hotel?
- 6 A Different hotel, yes. And due to this situation, I know
- 7 that she is a union steward as well as a union employee. That's
- 8 about all I know of her.
- 9 Q When did you learn that she was a union steward or a union
- 10 --
- 11 A When this situation came up.
- 12 Q When you say, "this situation" --
- 13 A With her bringing up not being able to transfer to
- 14 Crossings or why I'm here.
- 15 Q Okay. All right, we'll come back to that.
- 16 A Okay.
- 17 O When did you first begin your employment at Kahler?
- 18 A I worked for the retail department. I was hired I think
- 19 June of 2014, and then I transferred as a full time Kahler
- 20 Hospitality Group employee in September of 2014.
- 21 Q Oka, and since September of 2014, you were at Kahler Inns
- 22 and Suites?
- 23 A Yes, I started out as supervisor and then took over as
- 24 manager in December.
- 25 Q Okay. And where were you before September of 2014?

- 1 A I worked in the floral department.
- 2 0 In the where?
- 3 A The floral department. We had a floral shop.
- 4 Q Okay, where is the floral shop?
- 5 A It was in the Kahler Grand.
- 6 Q In the Kahler Grand.
- 7 A Yes.
- 8 Q Okay, all right.
- 9 Did there come a time that Kelli Johnston expressed an
- 10 interest to you in working in the Crossings Bar?
- 11 A No.
- 12 Q Did you become aware at some point that she had an interest
- in working at the Crossings Bar?
- 14 A The only time I had heard that there was an interest was I
- 15 needed a bartender. I had a full time bartender going on
- 16 vacation for a month.
- 17 Q Okay. Let's slow up and what you're saying.
- 18 A Okay.
- 19 Q You had a full time bartender going on vacation for a
- 20 month.
- 21 A Yes.
- 22 Q What was that person's name?
- 23 A Nick Miller.
- Q Okay, do you recall approximately when that month was?
- 25 A He left on February 29th of 2015.

- 1 Q Okay. And that's when he went on his month vacation?
- 2 A Yes, not the 29th, the 27th. There is no 29, sorry.
- 3 Q The last odd numbered month.
- 4 A Yah.
- 5 Q Okay, so Mr. Miller was going on vacation and then you said
- 6 something about a meeting.
- 7 A Yah, we have weekly food and beverage manager meetings
- 8 where we all get together, discuss what's going on in all of our
- 9 restaurants and --
- 10 Q Who typically attends that meeting?
- 11 A It would be the food and beverage managers of each
- 12 restaurant, our food and beverage director, Tyler; banquets
- 13 manager and then any chefs that are available at the time for
- 14 those particular restaurants.
- 15 Q Okay. The meeting in question -- did that meeting occur
- 16 before or after February 27th?
- 17 A It was before, , it was before, probably a week before.
- 18 Q Okay. And you indicated you brought something up in that
- 19 meeting that relates to what we're talking about.
- 20 A Yes. I brought up that I had been looking -- I needed to
- 21 find somebody because -- to fill in for Nick's position. Nick
- 22 wasn't sure he was going to go on vacation, so I didn't know if
- 23 I needed somebody; but it ended up he was going, and I needed
- 24 somebody to fill in that position as soon as possible.
- 25 Q Okay, so you raised that to the group?

- 1 A Yes.
- 2 Q And what did you hear, if anything, in response?
- 3 A The other managers for the other restaurants didn't have
- 4 anybody available. Kelli said that she would have some people
- 5 in banquets available, if, you know, I wanted to speak to them.
- 6 MR. WIESE: Who was this? Who said that?
- 7 THE WITNESS: Not Kelli, sorry -- Katie.
- 8 O BY MR. TERRELL: Who is Katie?
- 9 A Kate Uuland was the banquets manager at the time.
- 10 Q Okay, so Katie Uuland indicated she had some people who
- 11 might be interested?
- 12 A Yes.
- 13 Q Okay. Was that the end of the discussion on that topic on
- 14 that day at that time?
- 15 A Yes, at that time, yes.
- 16 O Okay, what happened next?
- 17 A Katie let me know that she did have somebody named, "Kelli"
- 18 who was interested.
- 19 Q Excuse me. Did you know who Kelli was at that point?
- 20 A I had no idea who Kelli was.
- 21 Q Had you ever met her before?
- 22 A Never.
- 23 O Okay. Did you know anything -- you mentioned earlier that
- 24 you learned at some point related to this law suit that she was
- 25 a Union steward.

- 1 A Yes.
- 2 Q You didn't even know who she was at that point?
- 3 A No, I did not.
- 4 Q Okay, in my most recent questioning, I was referring to
- 5 Kelli Johnston.
- 6 When you had that conversation in the F & B meeting, did
- 7 Katie Uuland, who happened to be Banquets Director, mention
- 8 Kelli and others by name -- Kelli Johnston?
- 9 A No, she had she had some people who might be interested. I
- 10 figured she was going to go back and ask people if they were
- 11 interested in picking up some hours at Crossings.
- 12 Katie then came to me and told me, you know, she had Kelli
- 13 available, who would like to pick up some hours. She told me
- 14 that Kelli had not worked in a regular bar environment in quite
- 15 a while, so she might be a little rusty, but, you know, "Give
- 16 her a try." And I said I would.
- 17 Q Did Katie mention to you at that point that -- anything
- 18 about Kelli Johnston's union affiliation?
- 19 A No, not at all.
- 20 O Did anyone else mention to you at that --
- 21 A Not at all.
- 22 Q -- about her union affiliation?
- 23 A No.
- 24 O Okay.
- What happened next?

- 1 A I set something up with Katie and I had Kelli come over.
- 2 She worked during the day for an hour or so, not even a full
- 3 shift, and took maybe one or two tables during that time, and
- 4 that was it.
- 5 O Was this daytime or nighttime?
- 6 A Daytime.
- 7 Q Was the bar busy?
- 8 A It was not busy.
- 9 Q Okay.
- 10 You mentioned a minute ago that Katie indicated she hadn't
- 11 worked in a full bar in some time and may be rusty.
- 12 A Yes.
- 13 O Could you explain that further, particularly the
- 14 distinction, if any, between what banquet bartender does and
- 15 what a bartender in a bar like Crossings bar does?
- 16 A Oka.
- 17 MR. WIESE: Objection: foundation.
- 18 MR. TERRELL: This is a manager of the bar.
- 19 JUDGE STECKLER: That's a GC manager.
- 20 MR. WIESE: Of the Crossings bar.
- 21 JUDGE STECKLER: Crossings, yes, so she's --
- 22 MR. WIESE: What is her banquet experience?
- 23 O BY MR. TERRELL: Are you aware of the banquet department --
- 24 A Yes, I am.
- 25 Q Have you observed the banquet operations at the hotel?

- 1 A Yes, I have.
- 2 O Are you familiar with the type of work that banquet
- 3 bartenders perform
- 4 A Yes, I am.
- 5 Q Okay. I'd like then to re-ask the same question: Can you
- 6 speak to the differences between being a banquet bartender and a
- 7 bartender in a bar like Crossings?
- 8 A The difference being at a banquet bar, you have a smaller
- 9 amount of liquors, beers to work with, a smaller variety of
- 10 drinks to make. You aren't getting -- you are having people
- 11 come up to you and getting drinks. You are not having people
- 12 putting in orders and ordering from you at the bar, so you're
- 13 not getting multiple orders from multiple different directions.
- 14 I am -- at our bar, you need to know specialty drink
- 15 recipes, recipes for regular cocktails, normal cocktails that
- 16 you would get anywhere. You have to know a variety of beers by
- 17 the bottle; you have to know a variety of beers by tap and know
- 18 how to answer questions about all of those; whereas, they don't
- 19 have that big of a variety at a banquets bar.
- 20 O Okay. The bartenders in the Crossings restaurant -- how
- 21 are they compensated?
- 22 A They are paid hourly and they do get tips as well from
- 23 patrons and from servers.
- 24 Q Okay. Are you familiar with the compensation system in the
- 25 banquets department?

- 1 A Not entirely. I know that they do get -- they have -- I do
- 2 believe they get part of the overall gratuity from the entire
- 3 party.
- 4 Q Okay. How does the overall gratuity, as you put it, in the
- 5 banquets department differ from the tips or gratuities that
- 6 servers and bartenders in Crossings receive?
- 7 A It's a higher percentage. The tips that my employees
- 8 receive are based on guest perception; where it is set at a
- 9 banquet.
- 10 O The banquet, it's set.
- 11 A Yes.
- 12 Q And then Crossings --
- 13 A They can get a quarter or they can get, you know, 50
- 14 percent of the bill.
- 15 Q Okay, so Kelli Johnston came in and you said that she
- 16 worked just a short shift.
- 17 A Yes.
- 18 Q What was the purpose of her coming in and working that
- 19 shift?
- 20 A It was just for me to see what her abilities were and if I
- 21 thought she was a good fit for the position, the substitute
- 22 position.
- 23 O Okay. Now you mentioned earlier that Katie Uuland
- 24 indicated that there may be others.
- 25 A Yes.

- 1 Q Did any others come forward?
- 2 A To Katie, yes, not to me directly.
- 3 Q And did Katie refer anybody else to your --
- 4 A Yes, Derek Shot.
- 5 O Derek Shot. Okay, did Derek do a tryout as well?
- 6 A Yes, he did. He worked a full night shift and he did well.
- 7 He worked well with us and worked well with the customers.
- 8 Q How did Kelli Johnston do in her tryout?
- 9 A She only had a couple of tables, so I really didn't get to
- 10 see a whole lot, but she did -- I mean, for the couple tables
- 11 she did have, it was fine.
- 12 0 Okay.
- And you did say that Nick Miller did go on this vacation?
- 14 A Yes, he did.
- 15 Q Did you end up giving any more hours to either Kelli
- 16 Johnston or to Derek Shot?
- 17 A Kelli, no; Derek, yes. He ended up working about four to -
- 18 I'd say three to five shifts, because he did have some banquet
- 19 shifts, some parties that came up; so three to five shifts for
- 20 the next 3 to 4 weeks.
- 21 Q Did he permanently transfer in --
- 22 A No, he did not.
- 23 O -- in to your department?
- 24 A No.
- 25 Q Okay. Did he do any work in your department other than

- 1 filling in for Mr. Miller?
- 2 A No, he did not.
- 3 Q Okay. Why did you select Mr. Shots for those additional
- 4 shifts, rather than Ms. Johnston?
- 5 A In just talking to him, he was, you know, very interested
- 6 in doing it. He did a great job and he caught on to like all of
- 7 our recipes and everything really well. He was great under
- 8 pressure, and he had the availability I needed at the time.
- 9 Q At the time that you made the decision to use Mr. Shot,
- 10 were you aware that Kelli Johnston had some affiliation with the
- 11 Union apart from just being a member?
- 12 A None at all. I was a new manager. I had no idea who any -
- 13 half of anybody was at the time.
- 14 O Was Mr. Shot a member of the Union?
- 15 A As an employee. As -- other than that, I have no idea.
- 16 O Okay.
- 17 Did you ever -- after that one shift that Kelli worked for
- 18 you, did she ever approach you again either by phone, text or in
- 19 person?
- 20 A No she did not.
- 21 Q You never received a text from her?
- 22 A Never.
- 23 O Could you tell us what your phone number is?
- 24 A It's 507-202-1256.
- 25 Q That's your phone number -- do you have your phone there?

- 1 A Yes.
- 2 0 Okay.
- 3 MR. TERRELL: Your Honor, I would like in some way to
- 4 establish that her phone number is different from the phone
- 5 number that Kelli Johnston dialed.
- 6 JUDGE STECKLER: The text --
- 7 MR. TERRELL: The record shows that General Counsel Exhibit
- 8 26, on the face of it, shows that the number she texted for
- 9 Ericka is 507-202-2156. This witness just testified that her
- 10 phone number -- the last four digits are 1256, so --
- 11 JUDGE STECKLER: I think you can argue that on the brief.
- 12 MR. TERRELL: Well, I want to make sure that we have in
- 13 evidence acceptable to everybody that Ms. -- that Ms. Scrabeck's
- 14 phone number ends with 1256, rather than 2156.
- 15 I could do this --
- 16 JUDGE STECKLER: You're saying the numbers are transposed?
- 17 MR. TERRELL: Pardon me?
- JUDGE STECKLER: You're saying the numbers were transposed?
- 19 MR. TERRELL: Yes. But I want it to be clear in the record
- 20 that her phone number -- you know, she's not just making this up
- 21 -- that her phone number is 507-202-1256, which I am dialing on
- 22 my phone here.
- JUDGE STECKLER: Is your phone on?
- 24 THE WITNESS: Yes, it is.
- 25 MR. TERRELL: And showing it to Counsel for the General

- 1 Counsel --
- 2 MR. WIESE: Yes, yes.
- 3 MR. TERRELL: -- that that's the number I'm dialing.
- 4 THE WITNESS: Oh, wait. Hold on. My phone is locked. I
- 5 don't know. Okay.
- 6 MR. TERRELL: Are you getting my phone number?
- 7 THE WITNESS: Yes.
- 8 (Witness' phone that she is holding in her hand is ringing.)
- 9 MR. TERRELL: Let the record reflect that her phone is
- 10 ringing.
- 11 JUDGE STECKLER: Okay.
- 12 Let me ask you a question in relation -- how long have you
- 13 had that phone number?
- 14 THE WITNESS: I've had it since, I think, 2009 or 2010.
- 15 JUDGE STECKLER: Okay.
- 16 Please proceed, Mr. Terrell.
- 17 MR. TERRELL: Thank you.
- 18 (Pause.)
- 19 MR. TERRELL: That's all I have, Your Honor.
- 20 MS. BURGESS: Can we have one minute, Your Honor.
- 21 JUDGE STECKLER: Certainly.
- We'll go off the record for one minute.
- 23 (Off the record.)
- JUDGE STECKLER: We're back on the record.
- Mr. Wiese, we're ready for your cross.

- 1 MR. WIESE: Okay.
- 2 CROSS-EXAMINATION
- 3 Q BY MR. WIESE: Good afternoon, Ms. Scrabeck.
- 4 A Hi.
- 5 Q My name is Tyler Wiese. I'm an attorney with the Labor
- 6 Board.
- 7 A Okay.
- 8 Q Showing you what has been marked as General Counsel Exhibit
- 9 33.
- 10 (Witness proffered the document.)
- 11 JUDGE STECKLER: I don't have one.
- 12 Thank you.
- 13 Q BY MR. WIESE: Ms. Scrabeck, do you recognize this
- 14 document?
- 15 A I know what this form is, yes.
- 16 Q Okay. And where it says, "Department Head" at the bottom,
- 17 would those -- are those your initials?
- 18 A They are not.
- 19 Q Okay, they are not your initials, all right.
- 20 And you've never seen this specific --
- 21 A I have not.
- 22 Q -- form then.
- Okay, all right.
- 24 Can you identify what this is?
- 25 A Yes.

- 1 Q Just generally the form?
- 2 A Yes, I can, yes.
- 3 Q Okay, and what is it?
- 4 A It's a Personnel Action Form. We do this to -- in this
- 5 case, where it says, "Add bartender," it would be adding a
- 6 secondary code for billing purposes.
- 7 O Mmm-hmm.
- 8 A It's not a transfer, but, like, if I had somebody come in
- 9 and substitute as a server and they are from a different
- 10 department, say like laundry or, you know, housekeeping or
- 11 whatever, we would put in a secondary code, so I could transfer
- 12 those hours or their department could transfer hours to me, vice
- 13 versa.
- 14 MR. WIESE: I'll offer this document. I understand that
- 15 the witness can't identify this document specifically, however,
- 16 it was produced pursuant to the subpoena as part of Kelly
- 17 Johnston's personnel file.
- 18 MR. TERRELL: If I could just ask some follow-up questions
- 19 just for clarity on what this document is.
- JUDGE STECKLER: Then let's -- are you doing this as a voir
- 21 dire or --
- 22 MR. TERRELL: Yes, in the nature of a voir dire.
- JUDGE STECKLER: Okay.
- 24 VOIR DIRE EXAMINATION
- 25 Q BY MR. TERRELL: You said you are familiar with this type

- 1 of document.
- 2 A Yes.
- 3 Q This is not a document that's processed -- is this a
- 4 document processed by you in your department?
- 5 A If I was going to add a secondary code to one of my
- 6 employees, then, yes, I would use this document.
- 7 Q Okay. Now you used the term "secondary code," which is --
- 8 A Yah.
- 9 Q -- kind of an industry buzz word or industry term.
- 10 A Yes.
- 11 Q Is the simple purpose of this simply to be able to pay an
- 12 employee both -- the fact that they have done hours in --
- 13 A In a different department.
- 14 0 -- two different departments?
- 15 A Yes.
- 16 0 Okay.
- 17 The date of this document, you'll see at the top, appears
- 18 to be January 28, 2015. I mean, do you see that?
- 19 A I see that, yes, yes, I do.
- 20 O You see that. Is that around the time that Kelli Johnston
- 21 worked in your bar?
- 22 A No.
- 23 O Is it your understanding that this document would have been
- 24 executed in preparation for her coming in to do a shift?
- 25 A Not to my knowledge, because I didn't even know about Kelli

- 1 Johnston until after -- until the end of February, early March.
- 2 Q Do you know whose initials these are in the bottom, where
- 3 it says "property."
- 4 A Department -- a "K." I don't know who that is. Director
- 5 of HR -- I would assume that was Michael, Michael Henry, but I'm
- 6 not positive, I don't recognize them.
- 7 Q Okay.
- 8 MR. TERRELL: Well, we'll stipulate to the authenticity of
- 9 this document as having come out of Kelli Johnston's personnel
- 10 file.
- 11 JUDGE STECKLER: Okay.
- 12 GC 33 is admitted.
- 13 (EXHIBIT RECEIVED: GENERAL COUNSEL'S 33.)
- 14 CONTINUED CROSS-EXAMINATION
- 15 Q BY MR. WIESE: So Ms. Scrabeck, I'd like to talk to you
- 16 about Ms. Johnston's availability in March when Mr. Mick Miller
- 17 was out of The Crossings bartending department.
- 18 A Okay.
- 19 O So I believe you said that you spoke with Mr. Shot and
- 20 found out that he was available during that time period for
- 21 three to five shifts, is that right?
- 22 A Well, I told him that he -- I would need him for these
- 23 amount of days, and, obviously, the banquets department would
- 24 come first, so if he couldn't work, then he should just let me
- 25 know. But, yah.

- 1 Q And so you had a conversation with Mr. Shot about his
- 2 availability --
- 3 A After his trial period, after his trying it out and seeing
- 4 how he worked out, yes.
- 5 O You didn't have any similar conversations with Kelli
- 6 Johnston, did you?
- 7 A No.
- 8 Q Are you familiar with the concept of seniority?
- 9 A Yes.
- 10 Q And you know that that's a very important thing for the
- 11 Union with employees, isn't that right?
- 12 A Correct.
- 13 Q And it's something that you are supposed to follow when you
- 14 are scheduling employees, isn't that right?
- 15 A Yes.
- 16 MR. TERRELL: Objection: no foundation and absent some
- 17 collective bargaining provision that would apply in terms of
- 18 seniority here, these questions are irrelevant.
- 19 JUDGE STECKLER: Well, I think she said that she
- 20 understands the concept of seniority.
- 21 MR. TERRELL: Understands the concept, but the question
- 22 presupposes that there's some collective bargaining provision
- 23 that would entitle Ms. Johnston on the basis of seniority, and
- 24 there is no such provision.
- JUDGE STECKLER: Mr. Wiese?

- 1 MR. WIESE: Well, if -- I mean, the witness has answered my
- 2 questions about seniority and that seniority is important in
- 3 scheduling. I mean, if there's --
- 4 JUDGE STECKLER: Well, I guess the follow-up question then
- 5 is whether it's scheduled by seniority.
- 6 MR. WIESE: What's that?
- 7 JUDGE STECKLER: Whether they distribute shifts by
- 8 seniority.
- 9 MR. WIESE: Right, well, I guess, I mean, it goes to the
- 10 general concept of seniority and the importance that that is to
- 11 Union employees. But it also goes towards Ms. Johnston's
- 12 experience as a bartender. I'm going to be --
- 13 JUDGE STECKLER: Well, we can get into that.
- MR. WIESE: Well, going into those questions.
- JUDGE STECKLER: Unless you can establish that there's been
- 16 a past practice of scheduling by seniority or a collective
- 17 bargaining provision, even though we know that it's important to
- 18 the Union.
- 19 MR. WIESE: Okay.
- 20 O BY MR. WIESE: Ms. Scrabeck, have you ever worked as a
- 21 bartender?
- 22 A Yes, I have.
- 23 O Okay, over what period of time?
- 24 A Years. From the time I was 21 until I decided to go into
- 25 restaurant management.

- 1 Q Okay, and when was that?
- 2 A Which part?
- 3 Q The restaurant manager, when you shifted from a bartender
- 4 to a restaurant manager.
- 5 A Probably 2008.
- 6 Q Okay, so how many years was that?
- 7 A Probably more than I want to admit, but let's see, 2008 --
- 8 how --
- 9 JUDGE STECKLER: We can do the math.
- 10 THE WITNESS: -- probably 12.
- 11 JUDGE STECKLER: That's fine.
- 12 THE WITNESS: Twelve years.
- 13 MR. WIESE: Okay.
- 14 THE WITNESS: On and off, yah, 12 years.
- 15 Q BY MR. WIESE: Okay, any of that time as a banquet
- 16 bartender?
- 17 A No.
- 18 Q So you talked about the performance of Mr. Shot versus Ms.
- 19 Johnston, when they were bartending.
- 20 A Yes.
- 21 Q Were you working while Ms. Johnston was bartending?
- 22 A Yes.
- 23 O Okay. And what about Mr. Shot? Were you --
- 24 A Yes.
- 25 Q -- working that evening as well? Okay.

- 1 MR. WIESE: Nothing further.
- 2 JUDGE STECKLER: Do you have any redirect?
- 3 MR. TERRELL: Redirect, yes, Your Honor.
- 4 REDIRECT EXAMINATION
- 5 Q BY MR. TERRELL: With regard to the selection of Mr. Shot,
- 6 in trying out Mr. Shot and then trying out Ms. Johnston, were
- 7 you aware of any seniority rule that applied to your selection
- 8 between the two of them?
- 9 A I knew of seniority, but I did not know my selection
- 10 depended on their seniority, and I had no idea who was senior in
- 11 their department. I had no knowledge of the employees in that
- 12 department at all.
- 13 Q Okay. Did anyone from HR tell you that you needed to
- 14 follow seniority?
- 15 A No.
- 16 Q Okay. Do you even have -- or do you have a seniority list
- 17 of the bar -- of the banquet employees in your --
- 18 A I do not, no.
- 19 0 -- department?
- 20 A No.
- 21 Q Okay.
- Now what role, if any, did Ms. Johnston's union affiliation
- 23 play in your decision?
- 24 A Absolutely --
- 25 MR. WIESE: Objection: asked and answered.

- 1 JUDGE STECKLER: Yes, she has already testified that she
- 2 didn't know, so --
- 3 MR. TERRELL: Okay, fair enough.
- 4 Thank you.
- 5 JUDGE STECKLER: Any further recross?
- 6 MR. WIESE: No, Your Honor.
- 7 JUDGE STECKLER: I have a couple questions.
- 8 THE WITNESS: Okay.
- 9 JUDGE STECKLER: On the day that Kelli Johnston worked in
- 10 your department, did you have any conversation with her during
- 11 that time?
- 12 A Just passing conversation. I'm -- like how is she doing,
- 13 you know, how things -- she felt things were going, I guess.
- 14 Q Did you take that opportunity to ask her about her other
- 15 experiences?
- 16 A Not that I recollect, no.
- 17 O Were there -- what happened that you didn't call her back
- 18 for a bigger trial?
- 19 A I guess it had to do with just, you know -- I guess I call
- 20 it a "qut feeling" about a person I quess, that it's just a
- 21 personal thing, I don't know. There wasn't anything specific I
- 22 guess, but I don't feel like she had a long enough, like, I
- 23 quess trial period, if you want to call her that, because she
- 24 couldn't stay late enough to work longer. She could only work a
- 25 short amount of time during the day.

- 1 JUDGE STECKLER: Okay. Had you asked her if she could do a
- 2 different time?
- 3 THE WITNESS: Not that I recollect, no.
- 4 JUDGE STECKLER: Any other questions from you, Mr. Terrell?
- 5 MR. TERRELL: No.
- 6 JUDGE STECKLER: Anything from you, Mr. Wiese, based on my
- 7 questions?
- 8 MR. WIESE: No, nothing further.
- 9 JUDGE STECKLER: Okay.
- 10 Ma'am, thank you for coming in today. You are excused.
- 11 Please remember that you can't discuss your testimony with
- 12 anybody until the hearing is over.
- 13 THE WITNESS: Okay.
- 14 JUDGE STECKLER: Thank you.
- 15 (Witness excused.)
- 16 MR. TERRELL: Your Honor, we have two more short witnesses,
- 17 but we're going to -- I need a few additional minutes because of
- 18 the scheduling of people getting over here.
- 19 JUDGE STECKLER: Okay, so --
- 20 MR. TERRELL: A 10-minute break?
- JUDGE STECKLER: That's fine. I think we all could use a
- 22 stretch break. It's the middle of the afternoon.
- MR. TERRELL: Okay, thank you.
- JUDGE STECKLER: Off the record.
- 25 (Off the record.)

- 1 JUDGE STECKLER: We're back on the record.
- 2 Please raise your right hand.
- 3 (WITNESS SWORN: MARY KAY COSTELLO)
- 4 JUDGE STECKLER: Please state your full name and spell it
- 5 for the record.
- 6 THE WITNESS: Mary Kay Costello, M-A-R-Y K-A-Y C-O-S-T-E-L-
- 7 L-O.
- 8 DIRECT EXAMINATION
- 9 Q BY MR. TERRELL: Where are you employed?
- 10 A I'm employed at the Kahler Hospitality Group.
- 11 Q And what is your position?
- 12 A Human Resources Manager.
- 13 O Okay, over all four hotels?
- 14 A Over all four hotels, yes.
- 15 Q Okay.
- 16 Could you hand the witness General Counsel Exhibit 33.
- 17 COURT REPORTER: Sure.
- JUDGE STECKLER: I've got one here. Oh, the Court Reporter
- 19 has got one for you too.
- 20 (Witness proffered document.)
- 21 Q Are you familiar with this document -- or this form; first,
- 22 the form in front of you?
- 23 A Yes, I am.
- 24 O Personnel Action Form. What is this form? What is its use
- 25 and purpose?

- 1 A It's use is a communication tool for expectations of what
- 2 might happen to team members, whether they are hired, whatever;
- 3 also for anybody who has a -- not necessarily a change in their
- 4 job, but could have a multi -- hold multi-positions within the
- 5 company. And in this particular one, it is a communication
- 6 saying that we know that Kelli in our world is a banquet server,
- 7 but she is going to take on another job code as a bartender for
- 8 Crossings; and that's what this document is stating.
- 9 Q Okay. Who are the initials at the bottom of this document?
- 10 A That's Kate Uuland and Mandy Cutshall.
- 11 O And who is Kate Uuland?
- 12 A She is the banquet manager.
- 13 Q Okay. And then the other initial you said is Mandy
- 14 someone?
- 15 A Yes, Amanda Cutshall. She is our assistant in the HR
- 16 office.
- 17 O Okay. Now if an employee transfers permanently from one
- 18 department to another, do you just simply do a PAF?
- 19 A No, not at all.
- 20 O What else is required in that instance?
- 21 A We have a transfer request form that the team member picks
- 22 up in our Human Resources office, fills out the appropriate
- 23 information on the transfer request, and then presents that
- 24 transfer request to their manager. That has to happen before it
- 25 is turned into Human Resources.

- 1 Q Okay.
- I want to show you an exhibit here.
- 3 (Witness proffered the document.)
- 4 Q Is this the transfer request form that you were speaking
- 5 of?
- 6 A Yes, sir.
- 7 Q And if an employee wishes to move from one department to
- 8 another, what is the first thing the employee must do?
- 9 A The employee, first off, should discuss it with their
- 10 manager; but the next step would come up to pick up the form.
- 11 Q Where would they pick up the form?
- 12 A In Human Resources.
- 13 Q Okay. And then, typically, if we are there, we instruct
- 14 them, "Make sure you fill it out completely before returning it
- 15 back to HR."
- 16 O Okay, and in filling it out completely beyond simply
- 17 filling out the information at the top, do they need to -- well,
- 18 you see here on the bottom, it says "Management Endorsement."
- 19 It requires the signature of a manager, right?
- 20 A Yes, it does.
- 21 Q Who is responsible for getting that signature?
- 22 A The team member.
- 23 O The employee?
- 24 A The employee, yes.
- 25 Q Now did Kelli Johnston ever come to your office, the HR

- 1 office, and request or obtain a transfer request form?
- 2 A Yes, she did.
- 3 Q And how do you know that?
- 4 A I was the only one in the office at the time. I was
- 5 sitting in my office, and she was next to the -- we have a stand
- 6 with all kinds of information for employees and she picked that
- 7 up from there.
- 8 Q Okay. Did you have any conversation with her at that time
- 9 about what she was up to?
- 10 A Not at that time, no.
- 11 Q Okay. So she picked up the transfer request form.
- 12 A Yes.
- 13 Q Did you see her take it with her?
- 14 A Yes, yup.
- 15 Q Okay. Did she ever return the form?
- 16 A No, not to me. I have not seen that form.
- 17 O Okay. Now what I've given you, marked Exhibit R 13 is
- 18 actually one -- two -- three -- four -- five -- all five of
- 19 these -- are these examples of transfer request forms?
- 20 A Yes, they are.
- 21 Q Okay. Are all five of these filled out with a manager's
- 22 signature?
- 23 A Yes, they are, sir.
- 24 Q Okay. And is it your practice in the Human Resources
- 25 Department to keep and maintain these forms in your office?

- 1 A Yes, they go in the employee file.
- 2 Q In the employee's personnel files?
- 3 A Yes.
- 4 Q Okay. Is that a regular, routine practice that you make in
- 5 having these forms?
- 6 A Yes, sir.
- 7 Q Are these forms in the files maintained and kept up to
- 8 date?
- 9 A Yes, sir.
- 10 Q In your ordinary practice in managing the Human Resources
- 11 office and paperwork, do you rely on these forms?
- 12 A Yes, we do.
- 13 Q Okay. Now I asked you previously --
- MR. TERRELL: First of all, I'd like to move Exhibit R-13
- 15 into evidence.
- 16 MR. WIESE: I don't have any -- actually voir dire briefly.
- 17 JUDGE STECKLER: Go ahead.
- 18 VOIR DIRE EXAMINATION
- 19 Q BY MR. WIESE: Were you in charge of compiling these
- 20 documents for production for this hearing?
- 21 A This particular list.
- 22 Q Yes, these five transfer requests?
- 23 A No, I did not.
- 24 Q Okay.
- 25 MR. WIESE: Well, I guess I'd like a -- I'm fine with these

- 1 coming in with the stipulation that these are all the transfer
- 2 requests that were produced pursuant to my subpoena.
- 3 MR. TERRELL: That's not why we're offering them. I'm just
- 4 offering them as examples of transfer request forms, and
- 5 establishing through this witness that these are documents
- 6 maintained in the ordinary course of the Human Resources
- 7 Department.
- 8 JUDGE STECKLER: If you have others that you want to admit
- 9 on cross, Mr. Wiese, we can do that.
- 10 MR. WIESE: Well, I don't have others, that's --
- 11 JUDGE STECKLER: Okay.
- MR. WIESE: I mean, that's what I'm trying to get
- 13 established is that this is --
- JUDGE STECKLER: Okay, you can ask her that on cross then,
- 15 if these were the only documents now.
- 16 MR. WIESE: Okay.
- JUDGE STECKLER: R 13 is admitted.
- 18 (EXHIBIT RECEIVED: RESPONDENT'S 13.)
- 19 MR. TERRELL: Thank you.
- 20 CONTINUED DIRECT EXAMINATION
- 21 Q BY MR. TERRELL: So I asked you a moment ago if Kelli
- 22 Johnston ever returned a transfer request form, and you said,
- 23 "No, she did not." Did you check the records in determining
- 24 that?
- 25 A I sure did, and I've looked in her personnel file. We have

- 1 a medical file with private information, possibly getting
- 2 misplaced in there, and I've looked high and low everywhere and
- 3 I cannot find it.
- 4 Q Do you have any other knowledge from any other independent
- 5 source that she did, in fact, turn in a transfer request form to
- 6 your office?
- 7 A No, I don't have any other source of having it there in
- 8 that office, no.
- 9 Q Okay.
- I want to show you another document that's in evidence,
- 11 Exhibit GC 34.
- 12 (Witness proffered the document.)
- 13 Q This appears to be an e-mail exchange between you and
- 14 Crystal Adcox. Do you recall this e-mail exchange?
- 15 A Yes, I do.
- 16 Q Okay. It was initiated by you to Crystal, and you wrote,
- 17 "Kelli came over here saying that she is going to start training
- 18 for a houseman position. Is that correct? I have filled out a
- 19 PAF for secondary job code. Is that what you need me to do?
- 20 Please advise." Why did you ask that question, "Is that what
- 21 you need me to do?" Why did you ask that to Crystal Adcox --
- 22 and before you answer that, we should probably clarify, who is
- 23 Crystal Adcox?
- 24 A Crystal Adcox is the Housekeeping Manager of the Rochester
- 25 Marriott.

- 1 Q Okay. So why did you ask Crystal Adcox in this e-mail, the
- 2 Housekeeping Manager at Marriott, "Is that what you need me to
- 3 do?" -- that is fill out a PAF for a secondary job code? What
- 4 did you ask her that question?
- 5 A Specifically, because when a team member approaches me
- 6 about something, I have to go back to the manager and verify, is
- 7 this -- you know, "Is this what you need me to do before I go
- 8 ahead and make an assumption?" So when Kelli came over and
- 9 discussed -- she stopped in and said, "I interviewed for a
- 10 position." And, you know, that just seemed odd to me, because I
- 11 didn't know this was going on. They usually all come through HR
- 12 with our positions that we have posted. So I just thought,
- 13 "Okay, so maybe she's trying to pick up some hours in
- 14 housekeeping," and then that would be the assumption where I
- 15 would fill out one of these for a secondary job code.
- 16 Q And you're holding up and referring to the PAF --
- 17 A The PAF.
- 18 Q -- that we talked about a minute ago?
- 19 A Yup, mm-hmm, this is exactly what I was going to do for her
- 20 to create a secondary job code.
- 21 Q Okay. And then Crystal Adcox responded, "If you feel like
- 22 she would be a good candidate." And then she said, "I had some
- 23 attitude issues with her in banquets."
- 24 Before I ask specifically about that, do you know of your
- 25 own knowledge whether Kelli Johnston ever worked in housekeeping

- 1 at any time?
- 2 A Before this?
- 3 Q No, after this?
- 4 A After this.
- 5 0 Yes.
- 6 A No, I have no knowledge of that.
- 7 Q Okay. Did you make any decisions one way or the other
- 8 regarding Kelli Johnston working in the Housekeeping Department?
- 9 A No.
- 10 O Did you do anything with this information that Crystal
- 11 Adcox provided to you in connection with Kelli Johnston's
- 12 apparent interest in working in the Housekeeping Department?
- 13 A I did not. And, as noted, I didn't hear anything, so I
- 14 wasn't clear what the situation was, so I didn't do anything.
- 15 Q Okay. And this -- you're referring to the handwritten
- 16 note?
- 17 A And the note -- the dialogue. I just sent something to
- 18 Michael, "Hey, I just want you to know." I never heard
- 19 anything. Due to lack of clarity, I didn't do anything about
- 20 it.
- 21 Q Okay, so you're referring to the e-mail at the top,
- 22 "Dialogue between Crystal and I. I never did anything do -- you
- 23 meant "D-U-E" -- due to" --
- 24 A Yes, I did.
- 25 Q -- "due to the lack of clarity."

- 1 A Yah.
- 2 Q And why did you characterize this as "lack of clarity"?
- 3 A Because I -- really, you know, comparing Kelli's thing and
- 4 then Crystal just giving me this statement, I didn't pursue it.
- 5 Typically, the manager and the employee would pursue
- 6 conversation --
- 7 0 Okay.
- 8 A -- not me.
- 9 Q So did you have any further involvement in Kelli's apparent
- 10 interest in a housekeeping position?
- 11 A No, sir.
- 12 Q Do you know -- do you have any awareness of anybody in your
- 13 department, including Michael Henry, having any involvement in
- 14 Kelli's apparent interest in the Housekeeping Department?
- 15 A No, sir.
- 16 O Is this your handwritten note on the document?
- 17 A Yes, it is.
- 18 Q Can you tell us what you were saying there?
- 19 A Yah. "She came into the office and stated that she
- 20 interviewed with Crystal and started her training as a houseman
- 21 this week" -- and this is just a note to myself as you can tell
- 22 -- "that prompted the e-mail to Crystal." I try to take notes,
- 23 so I keep my head straight when I'm dealing with employees, so I
- 24 don't miss anything. So that was my note for me.
- 25 Q And where did you put this note?

- 1 A It had to be in her personnel file.
- 2 Q In Kelli's personnel file?
- 3 A Yes, sir.
- 4 Q So this note -- was this note intended for anyone else to
- 5 read, or just for your own memory keeping purposes?
- 6 A For my own memory keeping purposes.
- 7 Q Okay. You state here that Kelli came into your office, and
- 8 Kelli stated to you that she interviewed with Crystal, and
- 9 starts her training as houseman this week. Did you know
- 10 anything else about the subject matter in that sentence other
- 11 than what you learned from speaking with Kelli?
- 12 A No.
- 13 Q Okay. And then you write, "this prompted" -- what is the
- 14 next word?
- 15 A It prompted the e-mail to Crystal. So that's my --
- 16 O Oh, and you're referring --
- 17 A -- to this. I'm referring to all of this.
- 18 Q Okay.
- 19 A Yup.
- 20 O Okay.
- 21 MR. TERRELL: Nothing further.
- JUDGE STECKLER: Cross?
- 23 MR. WIESE: Just a minute off the record?
- 24 JUDGE STECKLER: Go off the record for a moment.
- 25 (Off the record.)

- 1 JUDGE STECKLER: Back on the record.
- 2 CROSS-EXAMINATION
- 3 Q BY MR. WIESE: Good afternoon, Ms. Costello.
- 4 My name is Tyler Wiese. I'm an attorney with the National
- 5 Labor Relations Board.
- 6 A Hello.
- 7 O Okay, I'd like to have you take a look at General Counsel's
- 8 Exhibit 34, and, specifically, page 2, the bottom e-mail, and
- 9 we'll work our way up the e-mail chain here.
- 10 So the -- I believe you said you were -- what prompted this
- 11 e-mail was a conversation that you had with Kelli Johnston, and
- 12 that's what the posted not is on the front?
- 13 A Yes.
- 14 Q So the order of these events is you had a conversation with
- 15 Kelli Johnston?
- 16 A Yes.
- 17 0 Is that right?
- 18 A Yup.
- 19 Q And then you sent the e-mail to Crystal Adcox.
- 20 A To Crystal, yes, sir.
- 21 Q And then Crystal replied to you.
- 22 A Yes.
- 23 O And then you forwarded it to Michael Henry?
- 24 A Note that that started in April, and then we had Union
- 25 negotiations, which prompted some of this conversation. So

- 1 that's when I forwarded it to Michael Henry.
- 2 Q Okay. But did you do anything else with this e-mail from
- 3 Crystal besides forward it to Michael Henry, to your knowledge?
- 4 A To my knowledge, no.
- 5 Q Okay. And looking at your initial e-mail to Crystal Adcox,
- 6 it says that you, according to this, have filled out a PAF for a
- 7 secondary job code correct?
- 8 A Yes.
- 9 Q Okay.
- 10 A But just because it means I filled it out, didn't mean I
- 11 didn't do anything with it.
- 12 O That's not what I'm --
- 13 A Okay, I just want to be clear.
- 14 Q That you had filled out a PAF at the time you sent that e-
- 15 mail.
- 16 A Yah.
- 17 O So that's correct.
- 18 A So it's sitting on my desk, yes.
- 19 Q And it was filled out at that point, all right.
- 20 So you received this e-mail from Crystal Adcox on April
- 21 6th, the second e-mail on this chain, is that right?
- 22 A Yes.
- 23 O Okay.
- 24 A It looks like the same day I sent it to her.
- 25 Q And she's --

- 1 A She's responding.
- 2 O In her e-mail, she's asking you if Kelli Johnston would be
- 3 a good candidate to be a housekeeper, is that right?
- 4 A To be a houseman.
- 5 O Yes, a houseman.
- 6 A Yah, she is asking me that.
- 7 Q Right, and you never responded to that question.
- 8 A Mmm-hmm.
- 9 Q Okay.
- 10 JUDGE STECKLER: Is that a "no"?
- 11 THE WITNESS: No, sorry.
- 12 O BY MR. WIESE: You never did anything further.
- 13 A Unless I had a phone conversation with her.
- 14 Q But you don't recall making a phone call?
- 15 A I don't recall that.
- 16 O Okay, so it just sat in your in-box until May 5th?
- 17 A Yes, because it would have been her decision whether to
- 18 hire her or not.
- 19 Q But it doesn't -- she's asking you if Kelli Johnston would
- 20 be a good candidate. And you never responded to that, did you?
- 21 A No, I did not.
- 22 Q And you didn't do anything with that until you forwarded it
- 23 to Michael Henry about one month later, isn't that right?
- 24 A That looks correct.
- 25 Q Okay. And you said the reason that you respond -- or that

- 1 you forwarded to Michael Henry at that time, was because of
- 2 something that was going on with union negotiations, isn't that
- 3 right?
- 4 A That's what I believe to be true, yes.
- 5 Q And Mr. Henry requested that you forward that e-mail to
- 6 him?
- 7 A No, I don't believe so. I think that the conversation came
- 8 up during union negotiations about this, and I just wanted him
- 9 to know what happened. That's what I believe happened to the
- 10 best of my knowledge.
- 11 Q Do you know what union negotiations this topic came up at?
- 12 A Not specifically, no.
- 13 O And you can look at -- well --
- 14 A No, I just can't specifically, even though I took all the
- 15 notes, I can't even tell you.
- 16 O All right.
- 17 MR. WIESE: Nothing further.
- 18 JUDGE STECKLER: Any redirect?
- MR. TERRELL: No, Your Honor.
- JUDGE STECKLER: Maybe you can help me out with something,
- 21 Ms. Costello.
- 22 I'm looking at General Counsel 33.
- 23 THE WITNESS: Yes.
- JUDGE STECKLER: Given your experience with the employer,
- 25 why would this form be filled out in January of -- late January

- 1 2015?
- 2 THE WITNESS: Okay, so assuming that the two managers had
- 3 conversation, you know, one would have been Kate with Ericka,
- 4 Ericka saying that she's going to need help, because we knew we
- 5 had a team member who was going on a lengthy vacation; so they
- 6 are being proactive in getting this secondary job code taken
- 7 care of, because depending when this all falls, it could be the
- 8 pay period and different things, we want to make sure we can get
- 9 the secondary job code in the system, so that when she does
- 10 work, she can punch and that we can track the hours
- 11 appropriately. That is not unusual if something like that
- 12 happens.
- 13 JUDGE STECKLER: Okay, thank you.
- 14 THE WITNESS: Mmm-hmm.
- JUDGE STECKLER: Any further questions, Mr. Terrell?
- 16 MR. TERRELL: No.
- 17 JUDGE STECKLER: Mr. Wiese?
- 18 MR. WIESE: No, Your Honor.
- 19 JUDGE STECKLER: Ms. Costello, thank you for coming in
- 20 today. You will be excused.
- 21 Please do not discuss your testimony until the trial is
- 22 over.
- 23 THE WITNESS: Thank you.
- 24 (Witness excused.)
- MR. TERRELL: Get our next witness.

- 1 JUDGE STECKLER: Okay, we'll go off the record for a
- 2 moment.
- 3 (Off the record.)
- 4 JUDGE STECKLER: We are back on the record.
- 5 Please raise your right hand.
- 6 (WITNESS SWORN: CRYSTAL ADCOX)
- 7 JUDGE STECKLER: Please state your name and spell it for
- 8 the record.
- 9 THE WITNESS: Crystal Adcox, C-R-Y-S-T-A-L A-D-C-O-X.
- 10 JUDGE STECKLER: Mr. Terrell.
- 11 DIRECT EXAMINATION
- 12 O BY MR. TERRELL: Where are you employed?
- 13 A At the Marriott Hotel.
- 14 Q Okay, and what is your position there?
- 15 A I'm the Housekeeping Manager.
- 16 0 Okay.
- 17 Do you know Kelli Johnston?
- 18 A Yes.
- 19 Q How do you know Kelli Johnston?
- 20 A She works in the Banquet Department, which is located next
- 21 to the Housekeeping Department
- 22 Q Okay, housekeepers clean rooms and the Banquet Department
- 23 does what it does, but you don't work together?
- 24 A No.
- 25 Q Did Kelli Johnston ever approach you and inquire about a

- 1 position in your department?
- 2 A Yes.
- 3 Q Do you recall approximately or roughly when that
- 4 conversation took place?
- 5 A I don't -- sometime earlier this year.
- 6 0 I'm sorry?
- 7 A Sometime earlier this year. I don't recall the exact month
- 8 or day.
- 9 Q Okay. Tell us what you recall about that conversation?
- 10 A Kelli approached me in regards to questioning about the
- 11 houseman position, and I explained to her the hours, the
- 12 description, what, you know, what amount of weight she had to
- 13 pull or lift, kind of explain just everything about the job duty
- 14 and that's basically is what we discussed.
- 15 Q Okay. And did you speak with her about what she would need
- 16 to do in order to acquire work in the Housekeeping Department?
- 17 A I referred her to speak with the HR Department.
- 18 Q And for what purpose?
- 19 A When someone inquires about another job in a different
- 20 department, you need to fill out a transfer form.
- 21 Q Okay.
- 22 A And before anything takes place, an interview or a sit-down
- 23 about further description of the job, the transfer form needs to
- 24 be filled out.
- 25 Q You said you discussed the transform with her?

- 1 A I did not. I just sent her to inquire about the position
- 2 with the HR Department.
- 3 Q Was it your impression that Ms. Johnston was seeking a
- 4 permanent transfer?
- 5 A When she approached me, she had indicated that she wanted
- 6 something more stable due to hours and not getting enough hours
- 7 in banquets.
- 8 O Okay. Did you have any further conversation with Kelli
- 9 Johnston after that conversation?
- 10 A No.
- 11 Q Did you -- did there come a point after this conversation
- 12 where you made a decision one way or the other to have her come
- in to your department?
- 14 A No.
- 15 Q If she had come in to your department, what would have had
- 16 to have happened for her to have come into your department?
- 17 A Her manager would -- well, basically, I would be waiting on
- 18 a transfer form to see that her manager approved her to -- for
- 19 the position to move over to my department.
- 20 O Did that ever happen?
- 21 A No. I never received any documentation.
- 22 Q Okay. Do you recall an e-mail exchange with Mary Kay
- 23 Costello about Kelli Johnston's apparent interest in your
- 24 department?
- 25 A Today, I recalled it today.

- 1 Q Okay.
- 2 MR. TERRELL: Could you show the witness Exhibit GC 34,
- 3 please.
- 4 (Witness proffered the document.)
- 5 Q BY MR. TERRELL: And if you would turn to the second page -
- 6 or actually, the bottom of the first page, going into the
- 7 second page, this is an e-mail from Mary Costello to you,
- 8 correct?
- 9 A Yes.
- 10 Q Okay. And we can see that Mary Costello informed you in
- 11 the e-mail that "Kelli came over here saying that she is going
- 12 to start training for a houseman position. Is that correct?"
- 13 And then Mary Kay Costello said, "I have filled out a PAF for a
- 14 secondary job code. Is that what you need me to do? Please
- 15 advise." And then, is this your response that we see up above
- 16 that on the first page?
- 17 A Yes.
- 18 Q Your first sentence says, "If you feel like she would be a
- 19 good candidate." And then you said, "I had some attitude issues
- 20 with her in banquets." Was that the end of your exchange with
- 21 Mary Kay on this topic?
- 22 A No.
- 23 Q Okay, what did you have -- do you recall further exchanges?
- 24 A Yes, in person.
- 25 Q Okay, what do you recall?

- 1 A I spoke with Mary Kay in regards to just kind of pondering
- 2 why she was going to be starting the houseman position. I did
- 3 not conduct an interview or ask her, you know, the proper
- 4 interview questions that I would ask. So that's what I
- 5 discussed with Mary Kay.
- 6 Q Okay. So the conversation you describe with Kelli was not
- 7 an interview?
- 8 A No, it was outside in the hallway, she by-passed me and
- 9 asked me about the job openings posted, and I let her know what
- 10 the description was, what she would be, you know, needing to do
- 11 in -- being a houseman and what the hours were.
- 12 Q Okay, and did you have any further discussion of any kind
- 13 whatsoever with Kelli Johnston?
- 14 A Not in regards to that position.
- 15 Q Not in regards to this, okay.
- 16 Now Mary Kay Costello, however, did inform you that she had
- 17 filled out a PAF for a secondary job code. Did she forward that
- 18 PAF to you?
- 19 A No.
- 20 O Would there be any reason for her to do that?
- 21 A No.
- 22 MR. WIESE: Objection: speculation.
- 23 MR. TERRELL: Well, I mean, in the ordinary course of how
- 24 the business operates, would there be any business reason --
- 25 JUDGE STECKLER: I think she said she never received it,

- 1 and I think that's sufficient.
- 2 MR. TERRELL: Okav.
- 3 Q BY MR. TERRELL: Okay, apart from that subsequent
- 4 conversation you recall with Mary Kay, did you have any
- 5 discussions with anybody, apart from today, of course -- did you
- 6 have any further discussions with anyone, either Kelli or Mary
- 7 Kay or Michael Henry or anyone about Kelli's apparent interest
- 8 in working in your department?
- 9 A No.
- 10 MR. TERRELL: Nothing further.
- 11 Thank you.
- 12 THE WITNESS: Thank you.
- 13 JUDGE STECKLER: Mr. Wiese, do you need a few moments?
- MR. WIESE: Yes, just a minute off the record, Your Honor.
- JUDGE STECKLER: Okay, we'll go off the record here.
- 16 (Off the record.)
- 17 JUDGE STECKLER: Okay, we'll be back on the record.
- 18 Mr. Wiese, you may proceed.
- 19 CROSS-EXAMINATION
- 20 O BY MR. WIESE: Good afternoon, Ms. Adcox.
- 21 My name is Tyler Wiese. I'm an attorney with the Labor
- 22 Board.
- 23 A Good afternoon.
- 24 O Hi.
- 25 So I'd like to turn your attention to General Counsel

- 1 Exhibit 34. It's the e-mail that we were looking at earlier.
- 2 So in your reply to Ms. Costello, you reference some
- 3 attitude issues with Kelli in banquets. Do you see where -- do
- 4 you see what I'm talking about?
- 5 A Yes.
- 6 Q What is your experience -- do you supervise Ms. Johnston in
- 7 banquets?
- 8 A No.
- 9 Q So these attitude issues -- or how did you learn of these
- 10 attitude issues that you're talking about here?
- 11 A Kelli Johnston is located right next to the Housekeeping
- 12 Department in banquets, so that is how we see each other.
- 13 O When you reference "attitude issues," are you talking about
- 14 complaints that she's made about her working conditions?
- 15 A No.
- 16 O Are they complaints that she's made about Kate Uuland that
- 17 you've heard about?
- 18 A No.
- 19 O Are they related to any efforts that she has made -- or any
- 20 complaints that she has made about her wages?
- 21 A No.
- 22 Q What about the hours that she has to work?
- 23 A No.
- 24 Q Have you ever heard Ms. Johnston raise any concerns with
- 25 regard to the Collective Bargaining Agreement between Richfield

- 1 Hospitality and the Union?
- 2 A No.
- 3 Q So when you talk about attitude issues, what are you
- 4 talking about then? What were you referencing?
- 5 A When we would make contact with each other, that is where I
- 6 have seen her display some attitude.
- 7 Q So what kind of contact are we talking about where she has
- 8 displayed this attitude?
- 9 A During like morning stand-ups. I hold my stand-ups in
- 10 housekeeping, and she tends to, you know, say things to my
- 11 housekeepers or to myself. If we're being too loud or -- in
- 12 that case, it's just the way she approached us or the way she
- 13 has made a comment to us if we are holding our meetings, during
- 14 our meetings, so that is why I stated that.
- 15 Q So what is a "stand-up"?
- 16 A A "stand-up" is where my whole team comes together and we
- 17 talk about the day, what to prepare for, what rooms they have
- 18 and so forth -- motivate my team.
- 19 O What specifically do you recall Ms. Johnston saying that
- 20 led to these -- that led to you writing to Mary Kay Costello
- 21 that she had attitude issues, as best you can recall?
- 22 A The last one I recall is, for instance, in the morning, I
- 23 motivate my team, we have music, we do stretches; and like it's
- 24 the way she approaches us to tell us if we're being too loud or
- 25 if we can close our door. It's like the way she approaches it,

- 1 her body language or her actions of the things that she, you
- 2 know, she did that day.
- 3 Q Any other instances besides that one that you can recall at
- 4 this time that led to you writing that?
- 5 A Not at this time.
- 6 Q When you wrote to Ms. Costello on April 6th, when you wrote
- 7 that e-mail, you were looking for guidance from Ms. Costello
- 8 over whether Kelli Johnston would be a good candidate, isn't
- 9 that right, for housekeeping?
- 10 A Yes.
- 11 Q And you never received any response from Ms. Costello in
- 12 regards to that inquiry, did you?
- 13 A Not through e-mail, but when we talked, yes.
- 14 Q And what response did you receive from Ms. Costello about
- 15 whether she would be a good candidate?
- 16 MR. TERRELL: Objection: assumes that she got a response.
- 17 He hasn't established that yet.
- JUDGE STECKLER: What, if any, response did she receive.
- 19 That's fine. Go ahead.
- You may answer.
- 21 Q BY MR. WIESE: So you did have a conversation with Ms.
- 22 Costello after you -- you recall having a conversation with Ms.
- 23 Costello after you sent this e-mail, correct?
- 24 A After, yes.
- 25 Q And during that conversation, did you receive a response

- 1 from Ms. Costello over whether Kelli Johnston would be a good
- 2 candidate?
- 3 A Yes, we talked about how we would -- I would like to
- 4 conduct an interview. It wasn't an interview that me and Kelli
- 5 had, so that's basically what we discussed that we need to see a
- 6 transfer form, conduct an appropriate interview, ask the
- 7 questions that I had concerns about, and I never received any
- 8 transfer form to indicate to even set up an interview with her.
- 9 Q After that conversation with Ms. Costello, where you
- 10 discussed interviewing Kelli Johnston, you didn't contact Ms.
- 11 Johnston and told her know that you talked about an interview,
- 12 did you?
- 13 A I never spoke with Kelli any further than that day that we
- 14 discussed the description of the job duties.
- 15 Q Okay, so you and Ms. Costello had a discussion about
- 16 interviewing Kelli Johnston, is that right?
- 17 A W had a discussion about receiving a transfer form, so I
- 18 can perform that interview.
- 19 MR. WIESE: Nothing further.
- 20 JUDGE STECKLER: Redirect?
- 21 MR. TERRELL: Just one or two on follow-up.
- 22 REDIRECT EXAMINATION
- 23 O BY MR. TERRELL: Were you willing to conduct an interview
- 24 with Kelli Johnston after speaking with Mary Kay?
- 25 A Would I be willing to, yes.

- 1 Q Were you willing to speak to her, yes?
- 2 A Yes.
- 3 Q Okay. You were willing to interview her?
- 4 A Yes.
- 5 Q But you never got the transfer request form?
- 6 A I never did, no.
- 7 Q And she never approached you again?
- 8 A No.
- 9 MR. TERRELL: Nothing further.
- 10 JUDGE STECKLER: I do have a few questions.
- 11 Do you have any recross --
- 12 MR. WIESE: I do not, Your Honor.
- 13 JUDGE STECKLER: -- before I continue.
- Ms. Adcox, tell me again how long you have been the
- 15 Housekeeping Manager?
- 16 THE WITNESS: Two years on February 4th.
- JUDGE STECKLER: Okay, this past February 4th or this
- 18 coming February 4th.
- 19 THE WITNESS: This upcoming February 4th.
- JUDGE STECKLER: Okay, so almost 2 years.
- 21 What's the turnover like in your department?
- THE WITNESS: Housekeeping always has a lot of turnover, so
- 23 I would say normal.
- JUDGE STECKLER: Normal. Do you have a percentage maybe
- 25 you can --

- 1 THE WITNESS: No, I don't.
- 2 JUDGE STECKLER: How often are you training new
- 3 housekeepers or housemen?
- 4 THE WITNESS: I'm not sure.
- 5 JUDGE STECKLER: Okay.
- 6 THE WITNESS: A couple months.
- 7 JUDGE STECKLER: Let's go to a different area then.
- 8 You were discussing the attitude or you say a little bit in
- 9 the vernacular, the activity that she was showing.
- 10 MR. TERRELL: I'm having trouble hearing you.
- 11 JUDGE STECKLER: Oh, I'm sorry.
- 12 You were talking about her attitude in that e-mail, GC 34,
- 13 that is still in front of you. What, if any, opportunity did
- 14 you have to observe her while she was working in the banquet
- 15 section?
- 16 THE WITNESS: A lot. Kelli tends to come over and feed us
- 17 food for housekeeping and say "Hi" to us, and she is very
- 18 communicative with my housekeepers and feeding them.
- 19 JUDGE STECKLER: Were you privy to any of the conversations
- 20 she had with the housekeepers?
- 21 THE WITNESS: No.
- JUDGE STECKLER: But she brought food over to you all?
- 23 THE WITNESS: She brings food over to the housekeepers,
- 24 yes.
- 25 JUDGE STECKLER: Okay. How often does she get to do that?

- 1 THE WITNESS: I'm not sure, I don't know.
- 2 JUDGE STECKLER: So sometimes you are not there when she
- 3 might have these conversations?
- 4 THE WITNESS: Yes.
- 5 JUDGE STECKLER: Okay.
- 6 So what about when she's working on the banquet floor, have
- 7 you ever seen her working on the banquet floor?
- 8 THE WITNESS: No.
- 9 JUDGE STECKLER: Have you ever seen her interact with
- 10 customers in the facility?
- 11 THE WITNESS: No.
- 12 JUDGE STECKLER: Had you ever -- you said -- you mentioned
- 13 her body language. What kind of body language are you talking
- 14 about?
- THE WITNESS: One day, we were having a stand-up meeting,
- 16 and I was motivating my team, we had music playing, and she
- 17 didn't like the fact that we were very loud; so it was just the
- 18 way she walked over, the way she walked and the way she, you
- 19 know, asked us to be quiet and that -- like that whole case
- 20 scenario.
- JUDGE STECKLER: Was that the first time she asked you to
- 22 be quiet?
- 23 THE WITNESS: Yes, it was the first time we've had --
- JUDGE STECKLER: Any other time she's asked you to be
- 25 quiet?

- 1 THE WITNESS: No, not since that day, we've never had any
- 2 other issue.
- JUDGE STECKLER: How many housekeepers did you have in
- 4 there doing stretches and warming up?
- 5 THE WITNESS: I don't know.
- 6 JUDGE STECKLER: How big was the room that you were
- 7 conducting this in?
- 8 THE WITNESS: It's a big area, a housekeeping area, so I'm
- 9 not sure of the square footage, but it's big.
- 10 JUDGE STECKLER: Do you know what kind of work Kelli was
- 11 doing before she came in?
- 12 THE WITNESS: No.
- JUDGE STECKLER: Did you ask her what prompted it?
- 14 THE WITNESS: No.
- JUDGE STECKLER: Did you turn it down after that?
- 16 THE WITNESS: Oh, yes, of course.
- 17 JUDGE STECKLER: Given the fact that you have turnover, are
- 18 you still willing to interview her?
- 19 THE WITNESS: We do have positions open, yes, but we don't
- 20 have a houseman position open; but we do have housekeeping
- 21 positions open.
- JUDGE STECKLER: What's the difference between a houseman
- and housekeeper?
- 24 THE WITNESS: Housemen -- you have to lift a lot of heavy
- 25 linen, which, you know, is like 30 -- 40 pounds; you have to

- 1 push these big dollies around that weigh just as much; a lot of
- 2 different public areas of stocking and cleaning, so it is quite
- 3 different than housekeeping.
- 4 JUDGE STECKLER: When you raised the attitude issue, what
- 5 was your concern?
- 6 THE WITNESS: My concern was what was she going to offer
- 7 for our department, if she was going to come in with a positive
- 8 attitude, motivating our team and, you know, being in a happy
- 9 environment. And I really wanted to make sure that we brought
- 10 someone into our team that can provide that.
- JUDGE STECKLER: Okay, and it's my understanding you never
- 12 talked to her supervisor about this, is that correct?
- 13 MR. TERRELL: I'm sorry, Your Honor, I couldn't hear you.
- 14 JUDGE STECKLER: I'm sorry, Mr. Terrell.
- 15 Is it my understanding that you never spoke to her
- 16 supervisor about how she was working in banquets?
- 17 THE WITNESS: No, I never took it up with anyone besides
- 18 this when she inquired about the job.
- 19 JUDGE STECKLER: Okay.
- 20 Mr. Terrell, do you have any further redirect?
- MR. TERRELL: No.
- JUDGE STECKLER: Mr. Wiese, any further recross?
- MR. WIESE: No. Your Honor.
- JUDGE STECKLER: Ms. Adcox, that you for coming in today.
- 25 Please do not discuss your testimony with anybody until the

- 1 conclusion of the hearing. And you are excused.
- 2 THE WITNESS: Thank you.
- 3 (Witness excused.)
- 4 JUDGE STECKLER: Okay, we can go off the record for a
- 5 moment.
- 6 (Off the record.)
- 7 JUDGE STECKLER: We'll be back on the record.
- 8 Hang on just a second.
- 9 Please raise your right hand.
- 10 (WITNESS SWORN: ARCH STOKES)
- 11 JUDGE STECKLER: Thank you.
- 12 Please state your name and spell it for the record.
- 13 THE WITNESS: My name is Arch Stokes, A-R-C-H S-T-O-K-E-S.
- 14 DIRECT EXAMINATION
- 15 Q BY MR. TERRELL: Mr. Stokes, we already know that you are
- 16 counsel for the Respondent in this case, and that you
- 17 participated in the negotiations for the Collective Bargaining
- 18 Agreement for the hotels.
- 19 If you would, first, please, describe for Her Honor your
- 20 background, your experience in connection with collective
- 21 bargaining negotiation.
- 22 A I've been a lawyer since 1970, and I have engaged in
- 23 collective bargaining for over -- for about 45 years.
- I have -- I started working in the hotel business and the
- 25 restaurant business when I was 14; and pretty much have worked

- 1 in hotels and restaurants all my life, either as a worker or as
- 2 a lawyer.
- 3 Q Okay. And why were you hired? What was your understanding
- 4 of why you were hired by Richfield Kahler Hotels in connection
- 5 with the bargaining that took place?
- 6 A They asked me if I would assist Michael Henry in
- 7 negotiating a collective bargaining agreement, and Paul Jewison,
- 8 who is the head of Textile Care Services.
- 9 Q And what was your goal?
- 10 A To reach a collective bargaining agreement.
- 11 Q And how many negotiation sessions did you participate in?
- 12 A For the hotel and a portion when Textile Care Services was
- 13 in the same sessions -- I think six sessions.
- 14 O Six sessions altogether, including the TCS negotiations?
- 15 A The TCS negotiations -- when the National Labor Relations
- 16 Board ruled that it should be separated out, we negotiated that
- 17 contract in about three sessions, I believe.
- 18 Q Okay, and where were those negotiations held?
- 19 A Once the TCS negotiations were -- the TCS unit was
- 20 separated out, we negotiated at Textile Care Services, which is
- 21 just a couple of miles from downtown Rochester.
- 22 Q Okay. And with respect to the pie charts for TCS -- were
- 23 those introduced before or after the separate bargaining began?
- MS. BURGESS: Objection: relevance.
- 25 MR. TERRELL: We have gone over this before.

- 1 JUDGE STECKLER: Once it's a separate bargaining unit,
- 2 what's the relevance of TCS?
- 3 MR. TERRELL: We've gone over this before.
- 4 The relevance is that TCS was part of the same bargaining
- 5 that is at issue in this case. In fact, all of the sessions in
- 6 this case, with the exception of the last one on September 24,
- 7 were sessions involving both the hotels and TCS, same
- 8 bargaining, pie charts were used, the same proposals were made,
- 9 except to the extent that differences were necessitated by the
- 10 differences in the operations of the laundry versus the hotels.
- 11 You know, the refrain we've heard many times that this is
- 12 not a surface bargaining case, however, we are accused of
- 13 bargaining in bad faith. We are accused of unlawfully refusing
- 14 to meet again after the September 24 negotiations. We are
- 15 accused of arriving late and leaving early. These are all
- 16 classic allegations supporting a handful, a series of 8(a)(5)
- 17 allegations.
- And so our good faith negotiations has been questioned.
- 19 Negotiating performance by Mr. Stokes is an issue, so it is
- 20 highly relevant that Mr. Stokes for the Textile Care Services
- 21 and Nancy Goldman and Martin Goff and Brian Brandt for this very
- 22 same Union were successfully able to reach a collective
- 23 bargaining agreement. It is evidence of our good faith in
- 24 bargaining. And so it is highly relevant to the question of
- 25 good faith bargaining at the hotels.

- 1 MS. BURGESS: Your Honor --
- JUDGE STECKLER: I don't need any further argument on it.
- 3 We can hear testimony up to the point until TCS was
- 4 separated. However, every bargaining unit is separate. TCS is
- 5 -- the fact that TCS received a contract after it separated from
- 6 this unit is not relevant to the allegations here. So any
- 7 discussion of TCS has to be limited to what happened before TCS
- 8 separated.
- 9 MR. TERRELL: On behalf of Respondent, I make an offer of
- 10 proof that if allowed to have this witness testify, this witness
- 11 will testify that to the good faith bargaining that resulted in
- 12 a contract, and we respectfully submit that evidence. The
- 13 testimony should be allowed to come in.
- 14 O BY MR. TERRELL: Mr. Stokes, with respect to -- and I'll
- 15 ask the question and if you want to cut me off again --
- 16 JUDGE STECKLER: Well, let me get a response from General
- 17 Counsel on your offer of proof.
- 18 MR. TERRELL: Okay.
- 19 MS. BURGESS: Your Honor, that offer of proof should be
- 20 rejected.
- 21 You are absolutely right. Whatever happened subsequent to
- 22 the -- you know, to the breakup of the unit in the TCS
- 23 negotiations has absolutely no relevance as to whether or not
- 24 there was good faith bargaining or the discreet violations that
- 25 General Counsel alleges with respect to the unit that is at

- 1 issue here.
- We don't have in the record the evidence of what happened
- 3 during those negotiation or that they were at all similar, that
- 4 the issues before the employees in those cases are similar. It
- 5 is just not relevant and his offer of proof should be rejected.
- 6 JUDGE STECKLER: As I said, any further response, Mr.
- 7 Terrell, before I rule?
- 8 MR. TERRELL: That is our offer of proof, Your Honor.
- 9 JUDGE STECKLER: Okay.
- 10 MR. TERRELL: She can make that argument in her brief, and
- 11 we'll make ours.
- 12 JUDGE STECKLER: Okay. I don't think it's what happened
- 13 after TCS was separated is relevant to the proceedings here. It
- 14 may go to all kinds of other factors that relate to that
- 15 bargaining unit that are not at issue in this case.
- 16 So I'll expect a running objection here, and I'd like you
- 17 to move on to the other issues, please.
- 18 MR. TERRELL: I do want to ask one more question, and you
- 19 can rule the same way if you like.
- 20 O BY MR. TERRELL: Were the pie charts that were originally
- 21 introduced during the hotel TCS bargainings -- were the pie
- 22 charts part of the same proposal that resulted in a contract at
- 23 TCS? Were those pie charts part of the same proposal that
- 24 resulted in a contract at TCS.
- 25 MS. BURGESS: Objection, Your Honor. The pie charts that

- 1 were attached or that are allegedly attached to the TCS contract
- 2 are not of any relevance here. And the witness that testified
- 3 about that said that she did not believe that those pie charts
- 4 were even included in the final version of the contract.
- JUDGE STECKLER: And I see Mr. Stokes want to answer but
- 6 I'll ask you to wait until we're finished with this.
- 7 MS. BURGESS: Moreover -- and if you just give me one
- 8 second -- the pie charts that are in the document that hasn't
- 9 even been received in evidence yet, are not broken down by
- 10 employee, they are by classification; which means that they are
- 11 distinctly different than the hundreds of pages of individual
- 12 pie charts that are at issue in our case.
- 13 MR. TERRELL: Well, she's incorrect on that, because the
- 14 pie charts attached to the last, best and final offer by the
- 15 company to the hotels also attached pie -- position pie charts,
- 16 not individual pie charts. So it was done the same way at TCS
- 17 as it was done at the hotels.
- And the pie charts appear to be a huge issue or a big issue
- 19 in this case. The other side is claiming that they were
- 20 confusing. We put on evidence to show that's simply not the
- 21 case. And so that's very relevant. There were the same set of
- 22 pie charts generated at the same time. The fact that those pie
- 23 charts, by position pie charts, were attached to the final
- 24 agreement at TCS is highly relevant to the issue of the pie
- 25 charts in connection with the bargaining at the hotel.

- 1 MS. BURGESS: The pie charts in the TCS -- Your Honor, if I
- 2 may, the pie charts, that may or may not have been attached to
- 3 the TCS contract, have no relevance to what is at issue here.
- 4 Without us knowing whether they contain the same inaccuracies
- 5 that these pie charts did, whether the employees were given pie
- 6 charts that didn't even currently state their current wages,
- 7 whether the TCS pie charts reflected decreases in employee
- 8 wages, as our pie charts do here, they are just completely
- 9 irrelevant.
- 10 JUDGE STECKLER: Okay. I am going to rule the same way.
- 11 It was part of the previous, up through April, when -- and
- 12 assuming that TCS was still in the unit at April, any pie charts
- 13 that were admitted before and a part of GC 29, can stay; but any
- 14 other discussion of the TCS unit is not relevant to what we're
- 15 discussing here.
- 16 MR. TERRELL: We will make an offer of proof, if allowed to
- 17 go forward with this testimony, the Respondent will show that
- 18 the handling of the pie charts between the parties at the
- 19 bargaining table over the summer of 2015, which was bargaining
- 20 TCS that continued after the Union showed no further interest in
- 21 bargaining, that those pie charts, to the extent that there were
- 22 errors identified, that those errors were adjusted, and that
- 23 those pie charts were attached to and made a part of the
- 24 collective bargaining agreement. And that's what the testimony
- 25 will establish -- would establish from Mr. Stokes, if allowed to

- 1 testify.
- 2 And he would testify further that Nancy Goldman agreed that
- 3 they were part of the contract, but that she did not want them
- 4 in the booklet that was the final product for distribution,
- 5 because of the cost of attaching the colored pie charts. That
- 6 was her booklet to her members and that was her choice. But the
- 7 testimony would establish that it was part of the agreement; and
- 8 the pie charts, in fact, have the names at the top of the pie
- 9 charts, showing that it is TC Services' pie charts, same format
- 10 and the only difference is different positions.
- 11 JUDGE STECKLER: At what point?
- 12 MR. TERRELL: Well, during the final three successful
- 13 collective bargaining unit negotiations between the same
- 14 parties.
- JUDGE STECKLER: Okay, so that's the TCS bargaining unit,
- 16 correct?
- 17 MR. TERRELL: Oh, and I should also point out also that in
- 18 GC 6 -- Exhibit GC 6(g), which is the employer's last, best and
- 19 final offer, the Textile Services pie charts were attached to
- 20 that proposal as well.
- JUDGE STECKLER: Okay, so we've got those, but I don't need
- 22 to see what was agreed to later by the TCS unit. It is not
- 23 relevant to what has been going on, particularly after April.
- 24 The last, best and final was presented on what date?
- MS. BURGESS: March 24th.

- JUDGE STECKLER: March 24th. So what happened after the UC
- 2 hearing and with the three subsequent negotiations limited only
- 3 to the TCS unit are no longer relevant to what's going on in
- 4 this unit.
- 5 MR. TERRELL: Respondent respectfully objects to your
- 6 ruling.
- JUDGE STECKLER: I appreciate that you said "respectfully."
- 8 And I'll give -- and we'll consider that a running objection.
- 9 MR. TERRELL: All right.
- 10 O BY MR. TERRELL: Mr. Stokes, you at the outset described a
- 11 little bit of your background.
- Have you written on the subject of collective bargaining?
- 13 A Yes, I have written the only book that is tailored to
- 14 collective bargaining negotiations in the hospitality and
- 15 restaurant industry. It was published in 1981 and reviewed by
- 16 the Hotel School and the Labor Relations School at Cornell
- 17 University.
- 18 Q And with respect to Nancy Goldman and Martin Goff, the
- 19 negotiators for the Union, had you had previous negotiating
- 20 experiences with those two individuals?
- 21 A Yes, and they asked for another copy of my book during the
- 22 negotiations, and I gave it to them.
- 23 MS. BURGESS: Just for clarification, what is the name of
- 24 the book? We'd like to know.
- 25 THE WITNESS: "The Collective Bargaining Handbook for

- 1 Hotels, Restaurants and Institutions."
- MS. BURGESS: Okay, thank you.
- 3 Q BY MR. TERRELL: So they knew about your book before this
- 4 recent session?
- 5 A I had given them a copy years before and they asked for
- 6 another copy during the Kahler negotiations.
- 7 MR. TERRELL: Put it in.
- 8 MR. STOKES: There it is.
- 9 MR. TERRELL: Okay.
- 10 JUDGE STECKLER: Are we admitting this into the record?
- 11 MR. TERRELL: Pardon me?
- JUDGE STECKLER: Are we going to be admitting this into the
- 13 record?
- MR. TERRELL: Yes, Your Honor
- 15 JUDGE STECKLER: Thank you.
- 16 MS. BURGESS: What number is this, Mr. Terrell?
- 17 MR. TERRELL: Fourteen, I believe.
- 18 COURT REPORTER: It's not marked yet?
- 19 MR. TERRELL: Isn't 14 the next number.
- 20 COURT REPORTER: I believe so.
- 21 (EXHIBIT MARKED: RESPONDENT'S EXHIBIT NO. 14.)
- 22 (Witness proffered the document.)
- 23 O BY MR. TERRELL: Is that your book, Mr. Stokes?
- 24 A Yes, it's a copy of it.
- 25 Q And you gave a copy of this to Nancy Goldman and Martin

- 1 Goff during the bargaining?
- 2 A On more than one occasion, but they asked for another one
- 3 during these negotiations, and so we gave them another one.
- 4 Q Was there any discussion --
- 5 A But I had given them another one years before as well.
- 6 0 Okay.
- 7 MR. TERRELL: We move into evidence Exhibit R 14.
- 8 MS. BURGESS: I don't have any specific objection, but I'm
- 9 just wondering what the relevance of it is?
- 10 MR. TERRELL: It was -- the two negotiating parties, the
- 11 Union negotiators were aware of the book.
- 12 O BY MR. TERRELL: And, Mr. Stokes, did you discuss the book
- 13 or any of the substance of the book with Ms. Goldman and Mr.
- 14 Goff?
- 15 A Yes, and the subject came up when we reiterated something
- 16 that Nancy Goldman already knew, because we had negotiated
- 17 before with her.
- And we look at every collective bargaining agreement from
- 19 four perspectives:
- 20 1) Cosmetics: Is it easy to read by supervisors and by
- 21 employees and we should make changes to eliminate legalistic
- 22 language and they agreed to that;
- 2) Legalistics: Does it comply with the law? For example,
- 24 the existing collective bargaining in this case some people will
- 25 say, race, color, creed, sex, national origin, age, handicapped

- 1 people [indiscernible]
- 2 JUDGE STECKLER: That's going to be a little too fast.
- 3 THE WITNESS: Some people will say in an equal opportunity
- 4 provision, they'll say race, color, creed, sex, national origin,
- 5 age -- even often seen height, weight, marital status, privacy,
- 6 affectual preference. In listing those subjects in litany, the
- 7 overwhelming majority of collective bargaining agreements leave
- 8 out a protected group.
- 9 So the subject came up in these negotiations because I
- 10 said, "Look, you've left out pregnancy in this, and that's a
- 11 protected group in the Minnesota law. You've left out a few
- 12 other protected groups under Minnesota, so, therefore, let's
- 13 just use "We will comply with all equal opportunity laws, state,
- 14 federal and local," you know that apply to the employer. And
- 15 that way, you have a living phrase. So that's an example of a
- 16 legalistics.
- 17 Number 3) Substance: Does the document reflect the deal
- 18 that the parties have cut; and
- 19 Fourth 4) Is it capable of Implementation?
- We published that in 1981 after negotiating a contract in
- 21 San Francisco that covered 27,000 people; and we have been
- 22 using the same method of negotiating every since. Nancy knows
- 23 it, Martin knows it, and most of the heads of the various
- 24 locals, with whom I negotiate, know it.
- 25 Q BY MR. TERRELL: If you would, talk about pie charts in

- 1 connection with the pie charts in these negotiations, to the
- 2 extent they were discussed in your book and to the extent you
- 3 have used them in the past.
- 4 A In this particular case, the company made an offer that
- 5 Martin Goff found confusing, because it basically proposed a
- 6 different wage compensation program from what the old contract
- 7 had. And it proposed basically a two-tiered wage proposal.
- 8 Starting wages are going to be much higher from what they are,
- 9 and, therefore, a starting wage recipient would get a
- 10 substantial increase; whereas, existing employees, according --
- 11 or as the company had done a survey and made the decision in
- 12 that regard -- the existing employees would get a smaller wage
- 13 increase. It might be zero, it might be very low, it might be
- 14 low for each year of the collective bargaining agreement.
- 15 So when Martin said he was confused by that, he then said,
- 16 "Oh, what you're proposing is a two-tiered wage proposal." We
- 17 said, "Yes." And he said, "I got it." I said, "But we want --
- 18 and he's talking about the people sitting at the table, and he
- 19 had over 10 employees that were on the bargaining committee.
- 20 And he said, "We want to know how your offer that we view as
- 21 complex," -- as Mr. Tyler does, apparently. "We want to know
- 22 how it applies to real people, to the real members." And I
- 23 said, "Fine," I've always been in favor of pie charts. I've got
- 24 pie charts in collective bargaining agreements in the Bahamas,
- 25 in New York, Miama, California, Alaska, you know, I've got pie

- 1 charts in contracts pretty much all over the United States and a
- 2 few other countries. Most unions like it, and Teamsters, in
- 3 particular.
- 4 MS. BURGESS: Objection: relevance.
- JUDGE STECKLER: Yes, we don't need to hear about the
- 6 Teamsters, Mr. Stokes.
- 7 THE WITNESS: Okay, sorry, apologize.
- 8 So I said, "We'll be happy to give you pie charts that in
- 9 our estimation quantify what the proposal is we have on the
- 10 table and how it would apply in a total real wage application to
- 11 people sitting at the table, and we'll do it for the whole
- 12 bargaining unit." And he said, "Fine," and we did it.
- 13 O BY MR. TERRELL: Did the subject of errors or
- 14 miscalculations with respect to the pie charts come up at the
- 15 table after the pie charts were presented?
- 16 MS. BURGESS: Objection. I would like to know what period
- 17 of time or what specific bargaining sessions we're talking about
- 18 here.
- 19 JUDGE STECKLER: Can you clarify, Mr. Terrell?
- 20 O BY MR. TERRELL: The initial pie charts were produced --
- 21 MS. BURGESS: Objection: leading.
- 22 MR. TERRELL: I didn't ask the question yet.
- JUDGE STECKLER: Well, he didn't finish the question. Let
- 24 him finish the question, please.
- 25 Q BY MR. TERRELL: When were the initial pie charts, to the

- 1 best of your recollection, introduced at the bargaining table?
- 2 A In March of -- I think it was in March of 2015.
- 3 Q Okay.
- 4 A We had two sessions, in one of those sessions.
- 5 And yah --
- 6 JUDGE STECKLER: I'm sorry, he only asked when. So I know
- 7 you've got a lot to say -- Mr. --
- 8 THE WITNESS: Well, I thought it was the question he --
- 9 MR. TERRELL: Well, Your Honor, I had asked the question.
- 10 I'll phrase it again.
- 11 THE WITNESS: I'm sorry.
- 12 O BY MR. TERRELL: After the initial presentation of the pie
- 13 charts in March, did the issue of errors and corrections come
- 14 up?
- 15 A Sure. I've never been in collective bargaining
- 16 negotiations where there weren't math errors somewhere,
- 17 spellings errors, syntax errors --
- 18 MS. BURGESS: Objection: relevance.
- 19 THE WITNESS: -- grammar errors, whatever.
- 20 MS. BURGESS: We're talking about this bargaining session,
- 21 not what happens in all the other ones.
- JUDGE STECKLER: Mr. Stokes --
- 23 THE WITNESS: I'm trying to answer.
- JUDGE STECKLER: Mr. Stokes, I understand that you have a
- 25 significant amount of experience in the last 45 years. I think

- 1 it would be helpful for all of us if you just discussed what
- 2 happened in these negotiations. I know you've had so much
- 3 experience and you'd like to share that with us, but I think for
- 4 the purposes of this hearing, we want to hear what happened in
- 5 this --
- 6 THE WITNESS: Well, actually we communicated what I just
- 7 said to them in the negotiations.
- 8 I said to them, "We're going to give you these pie charts,
- 9 and I beg you to cross-examine them, criticize them, correct
- 10 them. There are going to be math errors, there can be errors of
- 11 spelling, there can be errors of job classification, whatever
- 12 errors you find, please, you know, cross-examine them; because
- 13 at the end of the day, we want the pie charts attached to the
- 14 collective bargaining agreement to be efficacious." And we've
- 15 done that since 1981, and the process is the same, and I told
- 16 them that. And so they said, "Okay," and they made the comments
- 17 that you have heard, Your Honor, about, "Well, I don't get
- 18 bereavement pay or I didn't take jury duty pay." We said,
- 19 "Please give us every single question, criticism, cross-
- 20 examination you possibly can, because at the end of the day,
- 21 that's what these bargainings are all about. We want the pie
- 22 charts to be efficacious; and Leslie and Michael will respond to
- 23 every one of your questions. They will come to the meeting, you
- 24 can ask them questions, and they will go back and re-do them;
- 25 and over and over and over again until we get it

- 1 right." I said that in these negotiations to them. Of course,
- 2 they had known that already because I had negotiated with them
- 3 already.
- 4 Q BY MR. TERRELL: In these negotiations, were errors
- 5 identified and corrections made?
- 6 A Every now and then, somebody -- yah, somebody would point
- 7 out something, "Well this isn't right for me." And we would
- 8 give it to Michael, and he'd bring it to Leslie, and they would
- 9 go, you know, make whatever changes were necessary; but the
- 10 overwhelming majority, were not.
- 11 Q Okay. I want to ask you about the scheduling of the
- 12 bargaining sessions and starting times and ending times. We've
- 13 certainly heard testimony here about lateness and leaving early.
- 14 Could you address that issue, please?
- 15 A In terms of lateness --
- 16 JUDGE STECKLER: Just a second.
- 17 Do you have a specific date you'd like to ask about?
- 18 MR. TERRELL: I'm sorry?
- 19 JUDGE STECKLER: Do you have a specific date that you'd
- 20 like to ask about rather than open-ended question?
- 21 MR. TERRELL: No, this is an overview question at this
- 22 point.
- 23 JUDGE STECKLER: Okav.
- MR. TERRELL: And that's the way it's come in from the
- 25 other side, so --

- 1 MS. BURGESS: Objection. That mischaracterizes the
- 2 testimony from the other side, which was very specific as to
- 3 each date and the number of minutes to hours that the employer
- 4 was late at each session; so there wasn't general testimony
- 5 about it, it was very specific, as yours should be.
- 6 MR. TERRELL: In any event, that's my question.
- 7 JUDGE STECKLER: Mr. Stokes, you attended six bargaining
- 8 sessions?
- 9 THE WITNESS: Yes, Your Honor.
- 10 JUDGE STECKLER: How many bargaining sessions with just
- 11 this group, not the TCS group by itself, how many bargaining
- 12 sessions did you attend?
- THE WITNESS: Well, the TCS group was there while I was
- 14 there.
- JUDGE STECKLER: No, but then you separately did the TCS,
- 16 is that right?
- 17 THE WITNESS: After -- no, no, not -- during all of the six
- 18 sessions that I was there, the TCS group was there.
- 19 The decision by the National Labor Relations Board did not
- 20 occur until I think the 14th or so of April. And I didn't
- 21 attend any sessions in April.
- JUDGE STECKLER: Okay, before April, starting in February,
- 23 you attended sessions?
- 24 THE WITNESS: Had six sessions, four in February, two in
- 25 March and TCS was represented at those sessions. Sometimes they

- 1 would come and go.
- 2 JUDGE STECKLER: So dealing with February and March, can
- 3 you give us an idea of what the schedules were like?
- 4 THE WITNESS: Yes. Nancy would communicate with me as to
- 5 when she was going to show up and whether she was going to be on
- 6 time or not, and sometimes, she'd say, "We're running a little
- 7 late." Sometimes we'd tell her, "We're running a little late."
- 8 And we would generally start -- we would intend to start around
- 9 10. I recommended 9. Because she was driving from Minneapolis,
- 10 she always wanted to start at 10, as opposed to 9. I wanted a
- 11 full day if we could get in a full day, because I wanted to
- 12 finish the thing by the end of March, if I could, because the
- 13 existing contract had expired on March 1, so --
- 14 JUDGE STECKLER: Okay. Well, let's stick with the
- 15 scheduling issue.
- 16 THE WITNESS: So we would exchange calls and sometimes e-
- 17 mails and so forth about when we were showing up and not showing
- 18 up and who was going to be late and so forth. Almost every
- 19 time, both sides would meet somewhere around 10:30; and
- 20 sometimes, she would be late and sometimes we would be, quote,
- 21 "late."
- MS. BURGESS: Objection.
- 23 THE WITNESS: Many times because of the number --
- MS. BURGESS: Objection, Your Honor.
- 25 THE WITNESS: -- of people --

- 1 MS. BURGESS: When there's an objection, made -- Your
- 2 Honor, would you please instruct the witness.
- JUDGE STECKLER: I think Mr. Stokes is aware of that.
- 4 What is the objection?
- 5 MS. BURGESS: The objection is as to a lack of foundation,
- 6 first of all. There's absolutely no dates that have been
- 7 mentioned in terms of, "Well, we start generally at 10:30, and
- 8 she called" -- I mean, this testimony cannot be helpful to the
- 9 record.
- 10 MR. TERRELL: Your Honor, it's appropriate testimony.
- If he's not testifying to a specific date, she can take
- 12 that up on cross. This witness is testifying in a more general
- 13 fashion. I am going to ask questions about at least one
- 14 specific date. There's nothing inappropriate about the
- 15 generalized or the testimony that he is giving that is
- 16 applicable generally to the overall progress of the
- 17 negotiations.
- 18 JUDGE STECKLER: Okay.
- 19 Mr. Terrell, could you start that line of questioning,
- 20 please?
- 21 Q BY MR. TERRELL: Mr. Stokes, did you at any time propose
- 22 bargaining on consecutive days to the Union?
- 23 A Yes, we proposed from the outset -- look, I was flying --
- JUDGE STECKLER: Wait a minute.
- 25 Why are we talking about proposing consecutive days?

- 1 MR. TERRELL: This is a relevant issue, Your Honor. It has
- 2 to do with the timing and the parties' ability to move
- 3 efficiently with sufficient time through the collective
- 4 bargaining.
- 5 MS. BURGESS: And I would object, Your Honor. I mean,
- 6 General Counsel was put to the task specifically of identifying
- 7 the Complaint allegation sections that the testimony that they
- 8 were offering related to. Respondent has apparently been held
- 9 to that same standard. And this -- the back-to-back sessions
- 10 has absolutely no relevance to any -- any allegation in the
- 11 Complaint or overall theory.
- 12 JUDGE STECKLER: Which -- let me ask specifically, Mr.
- 13 Terrell, does it go to a Complaint allegation or does it go to
- 14 an affirmative defense?
- MR. TERRELL: It goes to a Complaint allegation where they
- 16 generally, vaguely allege that we were late often and left early
- 17 often. And what we're trying to show is that by attempting to
- 18 schedule consecutive back-to-back sessions, that it allows the
- 19 parties over a concentrated period that is lengthier than a
- 20 single day to get more done. And so it is highly relevant to
- 21 this issue. The vague allegation in the Complaint is intended
- 22 to suggest that we really weren't interested in bargaining and
- 23 that we were giving short shrift and short time to bargaining.
- 24 And it is highly relevant to establish through this witness
- 25 that, in fact, we were doing just the opposite by seeking

- 1 consecutive back-to-back days, where more can get done than can
- 2 get done in a single day. When you have single day bargaining -
- 3 –
- 4 JUDGE STECKLER: Well, I think --
- 5 MR. TERRELL: -- that is separated by lengths of time, the
- 6 parties have to in many ways reinvent the wheel to catch up to
- 7 where they left off the previous --
- 8 JUDGE STECKLER: Well, I think we've had enough testimony
- 9 on that point too. I think Mr. Henry discussed it, I'm trying
- 10 to remember whether Mr. Goff was asked on cross, so I think we
- 11 can move on to the more specific, narrow issue.
- 12 MR. TERRELL: So you're sustaining her objection.
- 13 JUDGE STECKLER: Yes, sir.
- MR. TERRELL: Okay, we object to your sustaining her
- 15 objection respectfully.
- 16 JUDGE STECKLER: Okay, we'll give you a continuing running
- 17 objection.
- 18 Q BY MR. TERRELL: There has been some testimony about one
- 19 specific incident on March 24 where at the end of the day, the
- 20 way it has come in from the other side, that Respondent caucused
- 21 and the caucus extended over two plus hours. Do you recall the
- 22 circumstances of that day?
- 23 A I do.
- 24 Q What happened?
- 25 A We caucused to correct pie charts and to make a complete

- 1 proposal to them. And it -- we worked very long and Leslie and
- 2 Michael, in particular, worked very long putting together the
- 3 proposal and making the copies necessary. And we were working
- 4 the entire time of that caucus. And then, Nancy commented, we
- 5 said, "Can you work late today?" and she said, "No, I'm
- 6 leaving."
- 7 Q What was the most difficult issue during the bargaining
- 8 from your perspective?
- 9 A Compensation of banquet employees.
- 10 O And how did that negotiation --
- 11 MS. BURGESS: I'm sorry, I'm sorry.
- 12 Can you just say that again? I didn't catch it.
- 13 Compensation of --
- 14 THE WITNESS: Compensation of banquet employees.
- MS. BURGESS: Of banquet, okay, thank you.
- 16 Q BY MR. TERRELL: And what was driving the employer's
- 17 proposal in that regard?
- 18 A The employer had done a survey of what other competitors
- 19 were doing; and, in particular, had studied business that it had
- 20 lost to Joe Powers, a caterer in Rochester that was paying
- 21 banquet servers a flat hourly rate; and some of our own banquet
- 22 servers were working for him. And he, therefore, could price
- 23 his banquets cheaper than the hotels could; and, therefore, they
- 24 lost business in that regard; despite the presentation to the
- 25 Union by Mr. Henry and Leslie Hohmann concerning the

- 1 mathematical legitimacy of their position. It's a very
- 2 difficult issue because -- I was a banquet waiter for 10 years,
- 3 by the way. But the banquet servers are generally paid in most
- 4 big city convention hotels an hourly rate, plus what you might
- 5 call a piece of the action that many call a "service charge."
- 6 Some workers call it a "banquet gratuity." It's an automatic
- 7 charge that the customer doesn't have any choice as to whether
- 8 to pay, so it's not a tip. A "tip" is an acronym "to insure
- 9 promptness." And so whether to tip, and, if so, in what amount
- 10 is determined at the customer's discretion.
- 11 Banquet servers get hourly rates at many big convention
- 12 hotels, plus they get a percentage of what the actual banquet
- 13 was. So, for example, some big city banquet workers will make
- 14 80 to \$200,000 a year, working 37 and a half hours a week. That
- 15 system of compensation was in place at -- under this collective
- 16 bargaining agreement. And management felt substantively that
- 17 they could do better competitively if they paid banquet servers
- 18 by the hour. I had actually negotiated an hourly rate contract
- 19 with the General President of the Hotel Union, of Unite HERE
- 20 years before at a hotel in California.
- 21 So they said, "Why can't we negotiate a flat hourly rate?"
- 22 Now that flat hourly rate has got to be higher than say a
- 23 server's hourly rate or maybe a housekeeper's hourly rate
- 24 usually. But that being the case, you're still taking away --
- 25 it's a take-back, and it's a very substantial take-back. And

- 1 the reason it's a most difficult issue is no person at the
- 2 bargaining table wants to sit there and go, "By the way, I'm
- 3 making an offer that's going to cut out 20 or 30 or 40 or 50
- 4 percent of your annual income, nobody likes to do that. But the
- 5 management had made the decision that they wanted to pay flat
- 6 hourly rates to their banquet servers just like they pay their
- 7 cooks, their housekeepers, their front desk people and every
- 8 other person in the building. They wanted the same method of
- 9 compensation.
- 10 And, unfortunately, in Rochester, it was a major catering
- 11 competitor that was really getting business from them from the
- 12 Mayo Clinic and others. And so that was a problem. So
- 13 management made the decision that the final position that
- 14 management wanted to take at the table was a flat hourly rate
- 15 for banquets; which meant that the regular banquet servers, that
- 16 is, the banquet servers whose primary job is a banquet server
- 17 at, say Kahler Grand; not the part-time banquet that are working
- 18 at 4 -- 5 different hotels and catering services. The regular
- 19 banquet servers that for years have worked getting a piece of
- 20 the action -- their income would be hurt dramatically.
- 21 That was -- if I had to pick one issue, this is the most
- 22 difficult. That was the most difficult issue because it was a
- 23 major --
- JUDGE STECKLER: I'm sorry to interrupt --
- 25 THE WITNESS: -- take-back.

- 1 JUDGE STECKLER: -- Mr. Stokes. This has been kind of
- 2 going on a little while, a long narrative.
- 3 Mr. Terrell, do you have a new question.
- 4 MR. TERRELL: Yes.
- 5 THE WITNESS: I'm sorry.
- 6 O BY MR. TERRELL: With respect to the -- shall we say the
- 7 tone of the negotiations, on a scale from civil and incurious to
- 8 heated and contentious, how would you characterize from your
- 9 perspective these negotiations?
- 10 MS. BURGESS: Objection: relevance.
- 11 THE WITNESS: In comparison to 45 years of bargaining --
- MS. BURGESS: Objection: relevance.
- 13 THE WITNESS: -- it was very civil. People were very civil
- 14 to one another.
- JUDGE STECKLER: I'm going to allow the answer. He's
- 16 already answered.
- 17 O BY MR. TERRELL: The collective bargaining agreement
- 18 expired, as established in the record, February 28, 2015. Were
- 19 there any discussions --
- 20 A Well, technically, 12:01 a.m. on March 1.
- 21 Q Okay. Was there any discussion at the table concerning the
- 22 effect of that termination of the --
- 23 A Nancy Goldman asked if we would agree to an extension of
- 24 the extension that Nancy had previously negotiated in 2014 with
- 25 people in management, and the position of management was "no."

- 1 And she -- and so she said --
- 2 JUDGE STECKLER: Is that what was said to her?
- 3 THE WITNESS: So she said, "Therefore, I can strike, right
- 4 and there's no contract, right."
- 5 Q BY MR. TERRELL: I want to show you a document and ask you
- 6 to identify it.
- 7 Do we have more than two of these?
- 8 (Pause.)
- 9 THE WITNESS: There are probably some more in those boxes.
- 10 You might have to dig down.
- 11 MR. TERRELL: Your Honor, I --
- 12 THE WITNESS: Michael, I think you'll have to look through
- 13 the boxes and you'll see that they are in there somewhere. You
- 14 have to pull all the papers out and then they are probably at
- 15 the bottom of one of those stacks.
- 16 JUDGE STECKLER: Let's go off the record for a moment.
- 17 (Off the record.)
- JUDGE STECKLER: Okay, we're back on the record.
- 19 O BY MR. TERRELL: Mr. Stokes, we've heard a lot of testimony
- 20 about -- I would call it "entrenched positions of the parties."
- 21 Did the Union ever declare impasse or refer to impasse?
- JUDGE STECKLER: Stop right there.
- MS. BURGESS: Objection.
- JUDGE STECKLER: I think we discussed earlier we're not
- 25 going to discuss impasse. I appreciate your efforts -- I know,

- 1 but impasse is not at issue here. So let's not go there.
- 2 MR. TERRELL: I think I'm done.
- 3 MS. BURGESS: Oh, my gosh.
- 4 JUDGE STECKLER: Okay.
- I guess we need to take a -- how long do you need?
- 6 MS. BURGESS: Yes, just about 10 minutes. I'd like to use
- 7 the restroom and just consult briefly.
- 8 JUDGE STECKLER: Okay, go ahead, and when you come back,
- 9 I'll go to the restroom.
- 10 Off the record.
- 11 (Off the record.)
- 12 JUDGE STECKLER: Okay, we're back on the record.
- Before Ms. Burgess starts her cross, I believe Mr. Terrell
- 14 had a document to offer.
- MR. TERRELL: Right, the Decision and Order by the Regional
- 16 Director of Region 18 in the Unit Clarification Petition case
- 17 that has been discussed in this record. And we offer it. And
- 18 Your Honor indicated over the break that you would take
- 19 administrative notice of the decision.
- 20 MR. STOKES: You have to cite it.
- JUDGE STECKLER: What's the --
- 22 MR. TERRELL: It's case 18-UC-145757.
- 23 JUDGE STECKLER: What's going to be the number, the
- 24 Respondent's --
- 25 MR. TERRELL: It will be Respondent's -- whatever is the

- 1 next number. R-14.
- 2 JUDGE STECKLER: Okay. Respondent's 14 is admitted.
- 3 MS. BURGESS: Yes, no objection, Your Honor.
- 4 MR. WIESE: I know we're on one attorney and one witness
- 5 rule, but I believe we already have an R 14 in the record.
- 6 COURT REPORTER: Oh, we do.
- 7 MS. BURGESS: Yes, I think it's the book, Mr. Stokes' book.
- 8 COURT REPORTER: Oh, I'm sorry.
- 9 JUDGE STECKLER: Oh.
- 10 MS. BURGESS: This would be R 15 then.
- 11 COURT REPORTER: It is.
- 12 JUDGE STECKLER: It's R 15, okay -- corrected.
- 13 COURT REPORTER: Yes.
- 14 JUDGE STECKLER: Okay, the UC decision has been renumbered
- 15 to R 15. R 14 is Mr. Stokes book, which has not been offered,
- 16 so R 15 is admitted.
- 17 (EXHIBIT RECEIVED: RESPONDENT'S 15.)
- 18 MR. TERRELL: Oh, I do wish to offer -- I did offer
- 19 beforehand R 14, the book.
- 20 MS. BURGESS: No objection to the extent it was handed
- 21 across the bargaining table, no objection.
- JUDGE STECKLER: Well, what's the relevance, just that they
- 23 got it? Are we going to be looking at anything --
- MR. TERRELL: And it was discussed, you know, including the
- 25 concepts in the book.

- 1 JUDGE STECKLER: Are we using -- are we going to be taking
- 2 any notice of what Mr. Stokes' philosophy is based on the book
- 3 in a brief?
- 4 MR. TERRELL: Possible. I haven't written the brief yet.
- JUDGE STECKLER: I know you haven't written the brief yet,
- 6 but I'm just thinking -- trying to think ahead, because it's a
- 7 hefty document.
- 8 MR. TERRELL: That's true.
- 9 MS. BURGESS: I'd be willing to stipulate that the parties
- 10 discussed the concepts in the book, and those are the employer's
- 11 approaches to bargaining contracts of this nature. I mean, I
- 12 think that's probably what they want to get in the record.
- 13 JUDGE STECKLER: Is that a correct assumption?
- 14 MS. BURGESS: Not that I wouldn't love a copy of the book.
- 15 MR. TERRELL: Then you shall have one.
- 16 THE WITNESS: You already gave her one for free.
- 17 JUDGE STECKLER: In terms of offering it, I am just
- 18 thinking is it something that you need other than to say that it
- 19 was offered and given to the --
- 20 MR. TERRELL: You know, it's really hard for me to answer
- 21 that until I get down to the task of the writing of the brief.
- 22 So we would like it in now.
- JUDGE STECKLER: Well, since Ms. Burgess has no objection,
- 24 I'm going to allow it in.
- 25 (EXHIBIT RECEIVED: RESPONDENT'S 14.)

- 1 MR. TERRELL: Thank you.
- 2 JUDGE STECKLER: And I guess I've got something to read at
- 3 the airport.
- 4 Okay, so that's R 14 is in and R 15, the UC Decision is in.
- 5 MS. BURGESS: Can I proceed.
- 6 JUDGE STECKLER: Yes, please.
- 7 MS. BURGESS: Okay.
- 8 CROSS-EXAMINATION
- 9 Q BY MR. BURGESS: Good afternoon, Mr. Stokes.
- 10 A Good afternoon.
- 11 Q Let's see, I'm going to have you take a look really quick
- 12 at Joint Exhibit 1. Just want to get clear on --
- 13 A I'm sorry, I couldn't hear a word you said.
- 14 You're going to have me do what?
- 15 (Witness proffered document.)
- 16 O I just want to have you take a look at Joint Exhibit 1.
- 17 Can you just identify for me so I'm very clear as to which
- 18 dates you were present for the bargaining between the parties?
- 19 A February and March.
- 20 O Okay, so --
- 21 A I said before.
- 22 Q So February 5th, 13th, 26th ---
- 23 A All the dates in February, all the dates in March.
- 24 O -- 27th, 16th and 24th?
- 25 A Correct.

- 1 Q Okay, But no dates after that with respect to this
- 2 contract. I understand you bargained the TCS contract.
- 3 A And no dates before that.
- 4 Q Okay.
- 5 A Of those negotiations.
- 6 Q Okay, just for my edification, when did the bargaining for
- 7 the TCS contract occur? What month?
- 8 A I thought we weren't supposed to talk about that.
- 9 Q I just want to know the dates. I'm not asking about the
- 10 substance of the discussions, I just want to know the dates, if
- 11 you know.
- 12 A There were three dates. We ended up signing a contract in
- 13 August I believe it was, and I don't remember the exact dates,
- 14 but we can certainly find those records.
- 15 Q Okay.
- 16 A Do you need to know about those bargaining -- those
- 17 negotiations as well?
- 18 Q I just wanted to know what the dates were, but you said you
- 19 signed a contract in August.
- 20 A I think we signed the contract in August, and you have a
- 21 copy of what was offered, but not received, so it would have the
- 22 date on that.
- 23 O Okay.
- 24 A The original was signed on that day.
- 25 Q So you, I understand, have been -- actually, it just became

- 1 clear to me that you have been practicing law for one year
- 2 longer than I've been alive, 45 years?
- 3 A I already told you --
- 4 JUDGE STECKLER: Let's not go there.
- 5 THE WITNESS: I already told you that I was an old guy.
- 6 I'm 69 years old and I've been practicing since I was 23.
- 7 O BY MS. BURGESS: Okay, 1970, right?
- 8 A 1970 is when I was admitted to the bar.
- 9 Q Okay.
- 10 I have a couple questions about the banquet server issue
- 11 that you referenced.
- 12 A Sure.
- 13 Q Isn't it true that both Joe Powers -- well, Joe Powers is
- 14 not a hotel operator, right, it's just a banquet provider?
- 15 A I think you're correct. I do not know Joe Powers and I've
- 16 never been to a Joe Powers' catered event, so everything I know
- 17 is from what my client and people across the table told me.
- 18 Q Okay.
- 19 A But I think you're correct, he's a caterer only.
- 20 O Okay. And the same is true of --
- 21 A Apparently, as cheap caterer too.
- 22 Q The same is true of Canadian Honker, right? They -- that's
- 23 a restaurant.
- 24 A I don't know anything about Canadian Honker, except what I
- 25 heard at the table.

- 1 Q Okay, but to your knowledge, they don't also own hotels
- 2 like the Kahler?
- 3 A Yes, ma'am, I think you're correct.
- 4 Q Thank you, thank you.
- 5 Let's see, now in terms of the pie charts, you indicated
- 6 that you anticipated there would be a number of problems with
- 7 the accuracy of the pie charts, and there always is, is that
- 8 right, when you're doing individualized pie charts?
- 9 A Well, there's always the problem with inaccuracies in all
- 10 collective bargaining negotiations, and that's why I said
- 11 whether it's grammar, syntax, you know, "None of the horses is
- 12 for sale," not, "None of the horses are for sale." There are
- 13 errors made in English, grammar, syntax in every single
- 14 negotiation. And to -- proofreading is like -- drives you nuts.
- 15 Q Yes, and I get that, thank you.
- 16 A Pie charts is just one --
- MS. BURGESS: Your Honor --
- 18 THE WITNESS: Pie charts is just one aspect.
- 19 JUDGE STECKLER: Mr. Stokes --
- 20 MS. BURGESS: Mr. Stokes --
- 21 THE WITNESS: So when you say I anticipate the pie charts,
- 22 I anticipate all errors.
- MS. BURGESS: Right, I understand that, but I want to focus
- 24 --
- THE WITNESS: You know, not just pie charts.

- 1 Q BY MS. BURGESS: I want to focus, if we could, just for a
- 2 minute on just the pie charts.
- 3 So you anticipated that there would be problems, in
- 4 addition to all these other problems with the pie charts, and
- 5 that there would need to be corrections.
- 6 A I just wanted to tell the truth and the whole truth, not
- 7 just part truth.
- 8 0 That's something --
- 9 A And what I'm telling you is I did not sit down and go,
- 10 "Aha, I anticipate there are going to be problems with the pie
- 11 charts." I anticipate both sides are going to make technical,
- 12 substantive, silly, stupid errors, and I'm guilty as well, about
- 13 everything in collective bargaining negotiations.
- 14 Q Okay, and that included errors with the pie charts. Would
- 15 you agree with that?
- 16 A Yes, ma'am, it certainly did.
- 17 O Okay. And some of those errors we've talked about, like
- 18 the inclusion of jury duty for everyone every year, and the
- 19 inclusion of bereavement pay for everyone every year.
- 20 A I don't accept that as an error. People have testified to
- 21 that, and I've heard that, and I heard people say, "I didn't
- 22 make jury duty pay." In quantifying the total remuneration of
- 23 wages, benefits --
- MS. BURGESS: Okay, Your Honor, I'm going to object. This
- 25 is a "yes" or "no."

- 1 MR. TERRELL: Your Honor, is answering the question. She -
- 2 -
- 3 THE WITNESS: I'm answering your question.
- 4 MS. BURGESS: No, I asked if those were the issues --
- 5 THE WITNESS: You --
- 6 (Simultaneous conversation.)
- 7 JUDGE STECKLER: Nobody is getting heard. Stop for a
- 8 moment.
- 9 MS. BURGESS: Your Honor, I wasn't asking if he agreed, I
- 10 was asking if those were issues that were identified at the
- 11 bargaining table as errors in these documents. I don't care if
- 12 he agrees that should be or should not be included. I just want
- 13 to know if those were errors that were identified with these pie
- 14 charts.
- JUDGE STECKLER: So it requires only a "yes" or "no"?
- MS. BURGESS: That's correct.
- 17 JUDGE STECKLER: Okay, Mr. Stokes, do you want to answer.
- 18 MR. TERRELL: The witness is entitled to explain his
- 19 answer.
- 20 THE WITNESS: Your Honor, it's not -- I have to explain,
- 21 because I've sworn to tell the whole truth
- 22 JUDGE STECKLER: Yes, and Mr. Terrell can come back and
- 23 question on redirect.
- 24 THE WITNESS: If you'll read the "costing the contract"
- 25 section of my book --

- JUDGE STECKLER: Mr. Stokes, I've made a ruling here.
- 2 THE WITNESS: All right.
- JUDGE STECKLER: And Mr. Terrell --
- 4 THE WITNESS: Very well, Your Honor.
- 5 JUDGE STECKLER: -- will come back and bring that up, I
- 6 assume.
- 7 MS. BURGESS: Okay.
- 8 Q BY MS. BURGESS: So let's just run by that one more time.
- 9 My question was whether those specific issues were
- 10 identified as problems. I'm not asking if you agree that they
- 11 are problems, I'm asking if the Union identified those as
- 12 problems with the pie charts?
- 13 A The Union, as a generic entity, did not. Human beings at
- 14 the table -- when you said "the Union," no, the answer to your
- 15 question is no.
- 16 O So did Martin Goff identify that as a problem?
- 17 A Let me just answer your question.
- 18 Q No, this is my question.
- 19 A The Union as an entity, did not.
- 20 JUDGE STECKLER: Mr. Stokes, I think you answered her
- 21 question when you said "no." So please give her an opportunity
- 22 to finish her next question.
- 23 O BY MS. BURGESS: Did Martin Goff identify those two issues
- 24 as problems with the pie charts?
- 25 A Only after a worker did, as it applied --

- 1 Q Okay, but he did also.
- 2 A -- to him or her.
- 3 Q That's fine, but he did also. Do you agree with that?
- 4 A He chimed in.
- 5 0 Okay, okay.
- 6 Now I think we've identified that several of the pie charts
- 7 contained decreases in wages.
- 8 A I don't accept that.
- 9 Q Well, let's take a look at General Counsel's 10.
- 10 (Witness proffered the document.)
- 11 Q Directing your attention to 10(i), for example, General
- 12 Counsel Exhibit 10(i), in 2018 -- and I'm just going to ask you
- 13 if I'm reading this correctly.
- In the year 2015, for Richard Lammers, bell, his wage rate
- was 10.39; and then in 2019, his wage rate is 10.26. Did I read
- 16 that correctly?
- 17 A Forgive me a second.
- 18 So 10(i) is what year -- you're looking in --
- 19 Q I'm looking at year 2019.
- 20 A Okay.
- 21 Q Did I read this correctly? Is his wage 10.39 in that year?
- 22 A 10.39?
- 23 O Yes.
- 24 A You viewed it correctly --
- 25 Q Thank you.

- 1 A --- to the extent that Leslie Hohmann explained it.
- 2 Q And then in 2020, is his wage rate listed on your pie chart
- 3 10.26?
- 4 A 1020?
- 5 Q In 2020, year 2020, the following year.
- 6 A Right.
- 7 Q Is his wage rate 10.26?
- 8 A The net --
- 9 Q No, no, no, I'm asking you if the wage rate --
- 10 A -- total real wage. No, ma'am.
- 11 Q -- that I'm reading on here is correct?
- 12 A You're mistaken, the net real wage result is 10.26.
- 13 O Okay.
- 14 A And she factored that in because of the health care
- 15 increases resulted in a net, but his actual hourly rate, if you
- 16 looked at his paycheck, his hourly rate would not have been
- 17 lower than that. This was pie chart that said, "Okay, how does
- 18 this apply --
- 19 MS. BURGESS: Objection, Your Honor.
- 20 THE WITNESS: -- and it --
- MS. BURGESS: Completely non-responsive.
- JUDGE STECKLER: Let me ask you --
- 23 THE WITNESS: We did not propose a wage rate of 10.26.
- JUDGE STECKLER: Mr. Stokes, can I ask you a question.
- 25 THE WITNESS: Yes.

- JUDGE STECKLER: I'm on page 6 of GC Exhibit (i), and
- 2 you're giving me this explanation, if I'm a regular employee in
- 3 the hotel, how am I supposed to interpret this?
- 4 THE WITNESS: That's a great guestion.
- 5 JUDGE STECKLER: And kind of keep it narrow.
- 6 THE WITNESS: I've only had this question like 5 million
- 7 times across the table. It's a great question.
- 8 They are supposed to interpret the truth. And if we say,
- 9 "Your hourly rate is "X," but we're raising your health care
- 10 premium to "X + 2" and it makes the net result of your hourly
- 11 rate to go down, we've got to tell them the truth. So the net
- 12 result is that when you add everything together, that hourly
- 13 rate goes down, just like Leslie Hohmann explained it. But it's
- 14 not the hourly rate that's listed --
- 15 Q BY MS. BURGESS: Is it your testimony here --
- 16 MR. TERRELL: Your Honor --
- 17 THE WITNESS: -- here, but --
- JUDGE STECKLER: I'm sorry, Ms. Burgess, let him go ahead.
- 19 THE WITNESS: Let me just say one thing.
- The hourly rate that would be listed as the hourly rate for
- 21 that person would be the hourly rate that not necessarily 10.26,
- 22 but Leslie did was say, "Here's the totality of everything, the
- 23 plus's and the minus's, and this is going to cause this one to
- 24 appear to go down." But that's a good question. So the workers
- 25 actually said that, they said, "Well, this is a decrease, isn't

- 1 it?" Yes, it is a decrease and the Union specifically said to
- 2 me, "If you make the health care increases go up, then whatever
- 3 their rate is has got to go down because they are paying more
- 4 out of their pocket here, and so, therefore, their rate goes
- 5 down." And I said, "Yes, you're right."
- 6 Q BY MS. BURGESS: Is it your testimony that you discussed
- 7 these specific pie charts and the specific decreases with the
- 8 Union at the bargaining table?
- 9 A My testimony is this --
- 10 O This is a "yes" or "no." Did you discuss --
- 11 A When a worker -- when --
- 12 MR. TERRELL: Objection, Your Honor. She is interrupting
- 13 the witness before he even gets started.
- MS. BURGESS: No, I'm asking --
- JUDGE STECKLER: I think where we're going with this, Ms.
- 16 Burgess asked you a "yes" or "no" question. Mr. Terrell can ask
- 17 for further explanation on redirect.
- 18 MR. TERRELL: Your Honor, I'm objecting to the fact that
- 19 she is interrupting him halfway through his initial sentence.
- 20 JUDGE STECKLER: Well, he's -- what's --
- 21 MS. BURGESS: He's not responding to my question is the
- 22 problem, Your Honor.
- JUDGE STECKLER: I understand Mr. Stokes has a lot of
- 24 experience that he'd like to share with us, however, it may be
- 25 best coming from you, Mr. Terrell on a redirect when Ms. Burgess

- 1 is asking "yes" -- "no" questions.
- 2 So maybe to help us out, Ms. Burgess, as you start the
- 3 question, would you say "yes" or "no," blank
- 4 MR. TERRELL: Your Honor --
- 5 THE WITNESS: The truth is the issue did come up. I don't
- 6 remember --
- JUDGE STECKLER: Well, Mr. Stokes, there's not a question
- 8 out right now.
- 9 THE WITNESS: I don't remember whether it was this person -
- 10 -
- 11 JUDGE STECKLER: Mr. Stokes --
- MS. BURGESS: Your Honor, it's bordering on contemptuous
- 13 conduct here.
- 14 JUDGE STECKLER: What Ms. Burgess is asking for is just a
- 15 "yes" or "no" answer. And then Mr. Terrell can come back on
- 16 redirect and get your explanations.
- 17 THE WITNESS: Right. And all I'm saying is, yes, the issue
- 18 came up --
- 19 JUDGE STECKLER: Wait, stop.
- 20 THE WITNESS: -- but I don't know whether it came up with
- 21 this person.
- JUDGE STECKLER: Okay, so that's the answer.
- We went off -- just a second, I want to make sure that this
- 24 is on the record.
- We went off the record for a moment so that the Court

- 1 Reporter could remind us that we can't all talk at one time.
- 2 It's a well-taken suggestion, so let's all try to keep our cool.
- 3 And that's a legal term, by the way.
- 4 So, Mr. Stokes, it sounds like she is planning to ask you a
- 5 series of yes or no's; and if you could keep your answer to
- 6 that, and then Mr. Terrell will bring out your explanations on
- 7 redirect.
- 8 Is that --
- 9 THE WITNESS: I will do everything in my power to do that,
- 10 Your Honor, but I have sworn to tell the whole truth, not just
- 11 part truth.
- 12 JUDGE STECKLER: I understand that, and you'll have that
- 13 opportunity with Mr. Terrell on redirect.
- 14 Are you saying you -- you're giving me a smile. It's
- 15 making me a little nervous here.
- 16 THE WITNESS: I'm saying this: I have heard a lot of
- 17 people in the years that I've been trying cases say, "Answer
- 18 'yes' or 'no' -- 2 plus 2 is 4, yes or no?" It's hard to find
- 19 another kind of question though than a mathematical question.
- 20 If I'm sworn to answer her question and she says "Are you saying
- 21 that this issue was brought up at the bargaining table?" If
- 22 she's referring to this human being, I would say, "I don't
- 23 remember that."
- JUDGE STECKLER: And you've got -- you were holding GC
- 25 Exhibit 10(i) in your hand.

- 1 THE WITNESS: Yes, I was holding (i) in my hand, thank you.
- 2 And I don't know whether Richard Lammers, bell, brought it
- 3 up, but the exact issue and example that we talked about was
- 4 brought up, and Leslie Hohmann answered it.
- 5 JUDGE STECKLER: And if you can't answer "yes" or "no,"
- 6 just please say, "I can't say 'yes' or 'no'" and then Mr.
- 7 Terrell can bring it up for you.
- 8 THE WITNESS: As you can see, I'm having trouble with that,
- 9 but I'll try.
- 10 JUDGE STECKLER: I appreciate that and as I've said, I
- 11 appreciate that you've got a lot of experience and that you're
- 12 trying to share your experiences with us, but as an experienced
- 13 trial attorney, you also know that things will go a lot faster,
- 14 if you just answer her questions "yes" or "no" --
- 15 THE WITNESS: Yes, ma'am.
- 16 JUDGE STECKLER: And then let Mr. Terrell do the -- clean
- 17 up whatever is there, like a clean-up batter.
- 18 MR. TERRELL: But, Your Honor, I would add that a question
- 19 can be posed to call for a "yes" or "no" response, but a "yes"
- 20 or "no" response may not be appropriate or a true response, so
- 21 the witness should be allowed to answer, "Yes, but" -- and a
- 22 qualification. He's certainly entitled to do that. It would be
- 23 unfair to not allow him to "comma, but" and then leave it to me
- 24 on redirect to try to glean what he was going to say, which I
- 25 didn't hear. It is a fallacy to say that every question posed

- 1 as "yes" or "no" can only elicit "yes" or "no." It's "yes" or
- 2 "no" and often qualified.
- JUDGE STECKLER: Well, let's do this instead: Then, Mr.
- 4 Stokes, you can say "'yes' or 'no' and I have a qualification,"
- 5 and then you can bring it back.
- 6 THE WITNESS: Thank you, Your Honor. I'll be happy to do
- 7 that.
- 8 MR. TERRELL: I won't know what his qualification is if I
- 9 didn't hear it. The witness is here --
- 10 MS. BURGESS: Your Honor, objection.
- 11 MR. TERRELL: -- to testify.
- 12 THE WITNESS: Well, you can write down qualifications --
- 13 she made a good ruling.
- 14 MS. BURGESS: This is a ridiculous colloquy.
- I am cross-examining the witness. They are not entitled to
- 16 allow him to expand. I am entitled to have him answer my
- 17 question in the fashion in which I put it to him. I mean, it's
- 18 -- there's nothing plainer than that. This is cross-exam.
- 19 JUDGE STECKLER: And that's why we've got to this point to
- 20 try to --
- Okay, Ms. Burgess, you can pose your next question, please.
- MS. BURGESS: Thank you, Your Honor, thank you, Your Honor.
- 23 O BY MR. BURGESS: Mr. Stokes, isn't it true that it was not
- 24 the employer's intent to propose wage decreases for any
- 25 employee?

- 1 A Yes, that's true.
- 2 Q Thank you.
- 3 A Except for banquet employees.
- 4 MS. BURGESS: Objection.
- 5 JUDGE STECKLER: No, Mr. Stokes, we just discussed --
- 6 MS. BURGESS: No, that's fine. That's fine. Except for
- 7 banquet employees, I understand their proposal with respect to
- 8 banquet employees was to eliminate the service charge that was
- 9 shared with banquet employees, so that's fine.
- 10 O BY MS. BURGESS: So you indicated that there were
- 11 corrections made to the pie charts where there were --
- 12 A I'm sorry, there were what made?
- 13 O Corrections.
- 14 A I'm sorry, just a little deaf.
- 15 Q Corrections made to pie charts where there were errors,
- 16 correct?
- 17 A Well, I didn't make any corrections to --
- 18 Q Did your bargaining team --
- 19 A -- any pie charts.
- 20 O -- Leslie -- did she make corrections to the pie charts
- 21 that were in error? She did --
- 22 A I think Leslie --
- 23 O -- you testified.
- 24 A -- and Michael heard what workers said --
- 25 MS. BURGESS: Objection. It's a "yes" or "no".

- 1 THE WITNESS: -- and modified pie charts. Whether they are
- 2 called "corrections," it's your judgment. I didn't do any math
- 3 for those pie charts.
- 4 Q BY MS. BURGESS: So the employer modified pie charts where
- 5 there were errors made? Is that your testimony?
- 6 A At the bargaining table, a couple.
- 7 Q Okay. However, can you point to any documents in the
- 8 record which correct the pie charts where there were wage
- 9 decreases that we just discussed? For example, pie chart 10(i)
- 10 was a revised pie chart for 10(i) ever created, and, if it was,
- 11 I would like it to be produced right now, because it's not in
- 12 our possession.
- 13 A I think the record will speak for itself. I have no idea
- 14 whether there was -- I know we gave them two or three sets of
- 15 pie charts and I don't know whether this particular one, 10(i),
- 16 said one thing on the first batch we gave them and one thing on
- 17 the batch that you've got in front.
- 18 Q Okay. And it should be in the record --
- 19 A But I know this, the Union had at least two sets.
- 20 O Your testimony is that it should be in the record, if there
- 21 was such a document. You are not sure, but it --
- 22 A No, I'm not saying that.
- 23 O But it should be in the record.
- 24 A I'm saying I don't know. You asked on 10(i). I don't know
- 25 what the Union did with the first batch that we gave them. The

- 1 second batch, which I believe is the batch you're asking me
- 2 about --
- 3 MS. BURGESS: Objection. That's not responsive to my
- 4 question.
- 5 THE WITNESS: So I don't know.
- 6 JUDGE STECKLER: I'd like to hear the answer.
- 7 Go ahead.
- 8 THE WITNESS: I don't know whether 10(i) shows a difference
- 9 from the one that was first given and this one; or even if there
- 10 was a previous version of this one.
- 11 Q BY MS. BURGESS: So you don't know if 10(i) was ever
- 12 corrected?
- 13 A I haven't -- I don't accept your premise of your question.
- 14 O Or modified.
- 15 A Changed.
- 16 O You don't know if --
- 17 A Yah, I don't know.
- 18 Q -- 10(i) was ever changed.
- 19 A I don't know.
- 20 O And would the same be true for every single pie chart that
- 21 showed a decrease, you don't know if they were modified?
- 22 A I do not know --
- 23 O Okay, thank you. Thank you.
- 24 A -- whether they were modified, that's true.
- 25 Q That's responsive. Thank you.

- 1 MS. BURGESS: I don't have anything further, Your Honor.
- 2 THE WITNESS: Wait a minute.
- JUDGE STECKLER: Mr. Terrell?
- 4 What? Are you disappointed?
- 5 THE WITNESS: I want more questions.
- 6 JUDGE STECKLER: I'm glad to see you have a sense of
- 7 humor, Mr. Stokes.
- 8 You want more questions? Mr. Terrell, you're back up. Ask
- 9 him a few questions, please.
- 10 MR. TERRELL: I think he got out what he wanted to get out
- 11 with those questions.
- 12 JUDGE STECKLER: So you have no more redirect?
- 13 MR. TERRELL: I have no redirect.
- 14 THE WITNESS: Well, they're conspiring -- everybody's
- 15 conspiring against me.
- 16 JUDGE STECKLER: After this is over, you can go to the
- 17 Marriott bar and discuss it with Mr. Terrell.
- I have no questions either, Mr. Stokes. I'm sorry to
- 19 disappoint you. And I appreciate your testimony today.
- 20 You know the drill about the sequestration, so I'm not
- 21 going to repeat it to you.
- 22 And you may step down.
- 23 (Witness excused.)
- JUDGE STECKLER: And we'll go off the record for a moment.
- 25 (Off the record.)

- 1 JUDGE STECKLER: We're back on the record.
- 2 Mr. Terrell, is this the close of your case?
- 3 MR. TERRELL: Yes, Your Honor, we have no further
- 4 witnesses.
- 5 JUDGE STECKLER: Does General Counsel have any rebuttal
- 6 witnesses they would like to call?
- 7 MR. WIESE: No, Your Honor.
- 8 JUDGE STECKLER: I think you guys made a land speed record
- 9 here, in spite of what we thought, we thought we were going to
- 10 be going 4 days, and we're closing now.
- 11 Give me a moment to check my calendar for setting dates of
- 12 briefs, and let's go off the record on that point.
- 13 (Off the record.)
- 14 JUDGE STECKLER: We'll be back on the record.
- We've had some off-the-record discussions about making sure
- 16 that all exhibits were admitted and that the Court Reporter had
- 17 all copies necessary to proceed.
- Based on our off-the-record discussions, Respondent's 2 has
- 19 been broken up into two parts. Part 1 is admitted. Part 2
- 20 consists of pages 52 to 69, and is being put into the Rejected
- 21 Exhibits file.
- 22 (EXHIBIT RECEIVED: RESPONDENT'S 2(PART 1).)
- 23 (EXHIBIT REJECTED: RESPONDENT'S 2(PART 2).)
- MR. TERRELL: And if I may explain for the record, Your
- 25 Honor, R 2 is the TCS Collective Bargaining Agreement. It was

- 1 admitted in evidence during Linda Henry's testimony. Your Honor
- 2 reserved on attaching or keeping the pie charts as part of that
- 3 exhibit and was offered as part of that exhibit. And then in
- 4 Mr. Stokes' examination, you ruled and we objected to your
- 5 ruling, but you ruled that he would not be allowed to testify
- 6 concerning the pie charts being a part of the TCS CBA. And so
- 7 the pie chart portion of that CBA is going into the Rejected
- 8 Evidence file and will be marked as a Rejected Exhibit.
- 9 JUDGE STECKLER: Yes, that is correct.
- 10 MR. WIESE: The exhibit was offered during Ms. Henry's
- 11 testimony, it wasn't entered into evidence.
- 12 JUDGE STECKLER: Okay.
- 13 MR. TERRELL: No, it was -- the CBA was offered.
- 14 JUDGE STECKLER: It was offered, yes, but I had not
- 15 accepted it yet. But, regardless, at this point, R 2 is entered
- 16 into evidence as Part 1. R 2, Part 2, is in the Rejected file.
- 17 MR. WIESE: And that's from pages 52 onward, is that right?
- 18 COURT REPORTER: It's pages 52 through 69.
- 19 MR. WIESE: Okay.
- 20 JUDGE STECKLER: Okav.
- 21 Approximately, how many pages of transcript do we have,
- 22 Sandy?
- 23 COURT REPORTER: Seven hundred.
- JUDGE STECKLER: Thank you.
- 25 I will prepare and file with the Board my decision in this

- 1 proceeding. A copy will be served on each of the parties.
- You are reminded to refer to the Board's rules and
- 3 regulations for information regarding the filing of briefs and
- 4 proposed findings for my consideration and regarding procedures
- 5 before the Board after the issuance of a Judge's decision.
- 6 Now that all the evidence is in, you have a better
- 7 opportunity to assess your chances regarding the outcome of the
- 8 issues that you had at the outset of the hearing.
- 9 All parties should weigh carefully the risks entailed and
- 10 decide whether an amicable settlement can be reached on these
- 11 issues and whether it might offer a more satisfactory solution.
- 12 And I don't -- Mr. Terrell, I saw you twist your head. I
- 13 don't know if that's just an automatic "no" or your neck --
- 14 you've got a crick.
- 15 MR. TERRELL: It's a "no."
- 16 JUDGE STECKLER: It's a "no."
- 17 MR. TERRELL: At this point, we'll certainly consider it.
- 18 JUDGE STECKLER: Okay.
- 19 I will allow until January 21, 2015, which is 35 days from
- 20 today, for the filing of briefs and any proposed findings and
- 21 conclusions.
- 22 Briefs should be filed directly with the Judge's Division
- 23 in Washington, D.C., regardless of whether they are e-mailed or
- 24 filed.
- 25 Any requests for an extension of time for filing of briefs

- 1 should be directed to Deputy Chief, Arthur Amchan in the
- 2 Washington Division of Judges, and should be served on all other
- 3 parties. The positions of other parties regarding the extension
- 4 should be obtained and set forth in the request.
- 5 It is the policy of the Division of Judges to grant
- 6 discretionary extensions only when they are clearly justified.
- 7 Requests of extensions must contain specific reasons and show
- 8 that the requesting party cannot reasonably meet the current
- 9 deadline.
- 10 Anything further before we go off the record.
- 11 MR. TERRELL: No, Your Honor.
- MR. WIESE: No, Your Honor.
- 13 JUDGE STECKLER: Thank you for your professionalism.
- 14 There being nothing further, the trial is now closed.
- We're off the record.
- 16 (Whereupon, at 6:48 p.m., the trial in the above-entitled matter
- 17 concluded.)

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2	This is to certify that the attached proceedings before the
3	National Labor Relations Board, Region 18, Case 18-CA-151245,
4	Richfield Hospitality, Inc., as managing agent for Kahler
5	Hotels, LLC and Unite HERE International Union Local 21, in
6	Rochester, Minnesota, on December 17, 2015, was held according
7	to the record, and that this is the original, complete and true
8	and accurate transcript that has been compared to the recording
9	at the hearing; and that the exhibits are complete and no
LO	exhibits received in evidence or in the rejected exhibit files
L1	are missing.
L2	
L3	Sandra Moberg Walls
L4	Sandra Moberg Walls
L5	Official Reporter
L6	
L7	
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# OFFICIAL REPORT OF PROCEEDINGS before the NATIONAL LABOR RELATIONS BOARD

Volume 1 of

GENERAL COUNSEL EXHIBITS

In the Matter of:

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Party: GENERAL COUNSEL 1-3, 5, 6(a)

Date: December 15-17, 2015

Place: Rochester, Minnesota

GC 1(a) - 1(o)

EXHIBIT NO. \_\_\_\_\_RECEIVED \_\_\_\_\_ REJECTED

CASE NO. \_18-CA-151245 \_ CASE NAME \_\_\_\_ Richfield

NO. OF PAGES \_ 54 \_ DATE \_12/15/15 \_ REPORTER \_\_\_ SMW

### INDEX AND DESCRIPTION OF FORMAL DOCUMENTS

CASE: RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC 18-CA-151245

GENERAL COUNSEL'S EXHIBIT	1(a)	Charge in 18-CA-151245 filed April 29, 2015
	1(b)	Affidavit of Service of 1(a) dated April 30, 2015
	1(c)	First Amended Charge in 18-CA-151245 filed August 20, 2015
	1(d)	Affidavit of Service of 1(c) dated August 21, 2015
	1(e)	Second Amended Charge in 18-CA-151245 filed September 1, 2015
	1(f)	Affidavit of Service of 1(e) dated September 1, 2015
	1(g)	Complaint and Notice of Hearing dated September 3, 2015
	1(h)	Affidavit of Service of 1(g) dated September 3, 2015
	1(i)	Respondent's Answer to Complaint received September 17, 2015
	1(j)	Order Changing Location of Hearing dated October 1, 2015
	1(k)	Affidavit of Service of 1(j) dated October 1, 2015
	1(l)	Amended Complaint dated November 25, 2105
	1(m)	Affidavit of Service of 1(I) dated November 25, 2015
	1(n)	Respondent's Answer to Amended Complaint received December 9, 2015
	1(o)	Index and Description of Formal Documents

Case No.: 18-CA-151245

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

UNITE HERE INTERNATIONAL UNION LOCAL 21

#### ANSWER TO AMENDED COMPLAINT

#### **DATED NOVEMBER 25, 2015**

COMES NOW, the above named Respondent and hereby affirms the responses, answers, denials and affirmative defenses to the original Complaint served in this case, and hereby further responds to the additional enumerated allegations set forth in the Amended Complaint and Notice of Hearing dated November 25, 2015, as follows:

- 4. With respect to the four (4) additional individuals named, Respondent denies that Adcox, Essar and Jeffrey LNU are supervisors or agents within the meaning of Sections 2(13) and 2(11) of the Act, and denies that Decker is a supervisor, but may be for limited purposes an agent within the meaning of the Act.
  - 12(i). Denied.
  - 12(j). Denied.
  - 12(k). Denied.
  - 12(l). Denied.
  - 12(m). Denied.

Respondent further denies any and all allegations in the Complain and Amended Complaint, except to the extent expressly admitted.

WHEREFORE, Respondent respectfully requests that the Complaint and the Amended Complaint be dismissed with prejudice.

Dated: This 9th day of December 2015.

STOKES WAGNER HUNT MARETZ & TERRELL

By: /s/ Karl M. Terrell
Karl M. Terrell
1201 W. Peachtree Street
Suite 2400
A'tlanta, Georgia 30309
Telephone: (404) 766-0076
Facsimile: (404) 766-8823

kterrell@stokeswagner.com

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

UNITE HERE INTERNATIONAL UNION LOCAL 21

**CERTIFICATE OF SERVICE** 

I hereby certify that a copy of the Answer to Amended Complaint Dated November 25, 2015 was electronically filed with Region 18 and counsel for petitioner below:

Marlin O. Osthus
Regional Director
National Labor Relations Board
Region 18
Federal Office Building
212 3<sup>rd</sup> Avenue South, Suite 200
Minneapolis, MN 55401
marlin.osthus@nlrb.gov

Nancy Goldman 312 Central Avenue Suite 444 Minneapolis, MN 55414-4544 ngoldman@here17.org

Case No.: 18-CA-151245

Dated: December 9, 2015.

/s/ Karl M. Terrell

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

**UNITE HERE INTERNATIONAL LOCAL 21** 

AFFIDAVIT OF SERVICE OF: Amended Complaint (with forms NLRB-4338 and NLRB-4668 attached)

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on November 25, 2015, I served the above-entitled document(s) by **certified or regular mail**, as noted below, upon the following persons, addressed to them at the following addresses:

MICHAEL HENRY KAHLER HOSPITALITY GROUP 20 SW 2ND AVENUE ROCHESTER, MN 55902 CERTIFIED MAIL, RETURN RECEIPT REQUESTED

KARL M. TERRELL STOKE WAGNER HUNT MARETZ & TERRELL, ALC ONE ATLANTIC CENTER 1201 W PEACHTREE ST NW SUITE 2400 ATLANTA, GA 30309-3471 REGULAR MAIL

MARTIN GOFF UNITE HERE INT'L LOCAL 21 312 CENTRAL AVE Ste 444 MINNEAPOLIS, MN 55414-4544 **CERTIFIED MAIL** 

November 25, 2015

Date

Olga Bestilny, Designated Agent of NLRB

Name

Signature

P00896

1	U.S. Postal Service					
- 1	CERTIFIED MAIL® RECEIPT					
3	Domestic Mail Only					
7 7 7	For delivery information, visit our website at www.usps.com®.					
	OFF	ICIAL	USE			
5 12 th C	Postage	\$				
	Certified Fee		Destroyel			
	Return Receipt Fee (Endorsement Required)		Postmark Here			
	Restricted Delivery Fee (Endorsement Required)					
2870	Total Postage & Fees	\$				
7074	Sent TO MICHAEL HENRY  Street & Apt. No., RICHAELD HOSHTALITY (N.C. as  or PO Box No. MANAGING AGENT FOR KAHLER  City, State, ZIP+4  20 SW 2ND AV. Rochester MN  PS Form 3800, July 2014  U.S. Postal Service TM					
10	CERTIFIED MAIL® RECEIPT  Domestic Mail Only					
2100	For delivery information, visit our website at www.usps.com®.					
	OFFICIAL USE					
5180	Postag	e \$				
	Certified Fe	е				
2870 0000	Return Receipt Fe (Endorsement Required	e	Postmark Here			
	Restricted Delivery Fe (Endorsement Required	e				
787	Total Postage & Fee	\$	10 CAM 21			
		SOFF UNTIL HE	RE INIC COURT OF			
711.4	Sent TOM ACTIN GOFF UNITE HERE INTL COCAL 21  Street & Apt. No., 312 Central AVS to 444 Mps 55414  or PO Box No.					
1	City, State, ZIP+4					
	PS Form 3800, July	2014	See Reverse for Instructions			

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21

### AMENDED COMPLAINT

UNITE HERE International Union Local 21 (the Union) having filed a charge in Case 18-CA-151245 alleging that Richfield Hospitality, Inc. as Managing Agent for Kahler Hotels, LLC (Respondent) has been engaging in unfair labor practices as set forth in the National Labor Relations Act (the Act), 29 U.S.C. § 151 et seq.; a Complaint and Notice of Hearing having issued on September 3, 2015, scheduling a hearing to begin at 9:00 a.m. on December 15, 2015; and an Order Changing Location of Hearing having issued on October 1, 2015, setting the hearing in Conference Room 3103A in the Olmstead County Government Center, 151 Fourth Street S.E., Rochester, Minnesota, the General Counsel, by the undersigned, pursuant to Section 10(b) of the National Labor Relations Act (the Act) and Section 102.15 of the Rules and Regulations of the National Labor Relations Board (the Board), issues this Amended Complaint alleging that Respondent has violated the Act as described below.

1.(a) The charge in this proceeding was filed by the Union on April 29, 2015, and a copy was served by regular mail on Respondent on about that same date.

- (b) The first amended charge in this proceeding was filed by the Union on August 20, 2015, and a copy was served by regular mail on Respondent on about that same date.
- (c) The second amended charge was filed by the Union on September 1, 2015, and a copy was served by regular mail on Respondent on about that same date.
- 2.(a) Respondent is a Colorado corporation and a Minnesota limited liability company and is engaged in the business of providing hospitality services at four hotels in the Rochester, Minnesota area (The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area Hotel, Kahler Inn & Suites, and Residence Inn Rochester Mayo Clinic).
- (b) During the past calendar year, Respondent, in conducting its operations described above in subparagraph (a), derived gross revenues in excess of \$500,000.
- (c) During the past calendar year, Respondent, in conducting its operations described above in subparagraph (a), purchased and received at its Rochester, Minnesota area hotels goods and services valued in excess of \$50,000 directly from suppliers located outside the State of Minnesota.
- (d) At all material times, Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act.
- 3. At all material times, the Union has been a labor organization within the meaning of Section 2(5) of the Act.
- 4. At all material times, the following individuals held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act and/or agents of Respondent within the meaning of Section 2(13) of the Act:

Michael Henry - Human Resources Representative

Mary Kay Costello - Human Resources Representative

Chad Decker - Human Resources Representative

Robert Ulrich - Head Chef

Mattie (last name unknown) - Restaurant Manager

Josipa Jerkovic - Housekeeping Manager

Crystal Adcox - Housekeeping Manager

Seth Essar - Sous Chef

Jeffrey (last name unknown) - Maintenance Manager

- 5. Since about April 2015, Respondent has interfered with, restrained or coerced employees in the exercise of rights guaranteed in Section 7 of the Act by engaging in the following acts and conduct:
- (a) In about April 2015, the exact date being unknown, Respondent, by
  Restaurant Manager Mattie (last name unknown), at the Rochester Marriott Mayo Clinic
  Area Hotel, threatened an employee by stating that she had been instructed to take
  down Union fliers anywhere outside of the break room.
- (b) About April 2, 2015, Respondent, by Human Resources Representative Mary Kay, at The Kahler Grand Hotel, threatened Union representative Linda Henry, in the presence of employees, that she could not access the maintenance break room at that hotel.
- (c) About April 7, 2015, Respondent, by Housekeeping Manager Josipa

  Jerkovic, at The Kahler Grand Hotel, threatened Union representative Linda Henry, in

the presence of employees, that she could not access the housekeeping break room at that hotel.

- (d) About early May 2015, the exact date being unknown, Respondent, by Head Chef Robert Ulrich, at the Marriott Rochester Mayo Clinic Area Hotel, threatened an employee by stating that Respondent was not giving wage increases because the Union had not accepted Respondent's contract offer.
- (e) About June 4, 2015, Respondent, by Human Resources Representative Michael Henry, at The Kahler Grand Hotel, threatened Union representative Linda Henry, in the presence of employees, that she could not access the housekeeping break room at that hotel.
- (f) About June 19, 2015, Respondent, by Human Resources Representative Michael Henry, at The Kahler Grand Hotel, threatened an employee by stating that Respondent was not giving wage increases because the Union had not accepted Respondent's contract offer.
- 6.(a) In about February 2015, the exact date being unknown, Respondent first disciplined, then reduced the discipline, of its employee Graham Brandon.
- (b) Beginning about February 27, 2015, Respondent has denied work hours to its employee Kelli Johnson.
- (c) In about March 2015, the exact date being unknown, Respondent discontinued its practice of granting employees step wage increases as provided in the collective-bargaining agreement described below in paragraph 7.
- (d) Respondent engaged in the conduct described above in subparagraphs (a) through (c) because the named employees and other employees joined, supported or

assisted the Union, and to discourage employees from engaging in those or other protected concerted activities.

7. The following employees of Respondent, herein called the Unit, constitute a unit appropriate for the purposes of collective bargaining within the meaning of Section 9(b) of the Act:

All full-time and regular part-time employees employed in the job classifications and at the hotels listed in Appendix A of the most recent collective-bargaining agreement, which is effective by its terms from October 1, 2011 through August 31, 2014, between the Union and Sunstone Hotel Properties, Inc., as agent for The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area Hotel, and Kahler Inn & Suites; and all full-time and regular part-time employees employed in the job classifications listed in the Memorandum of Agreement, which is effective beginning on May 4, 2012, between the Union and Sunstone Hotel Properties, Inc., as agent for Residence Inn Rochester Mayo Clinic Hotel; excluding all other employees, guards and supervisors as defined in the Act.

- 8. At all material times, the Union has been the exclusive collective-bargaining agent of the Unit. This recognition has been embodied in the collective-bargaining agreement which Respondent and the Union voluntarily extended for an additional six months follow August 13, 2014, and the Memorandum of Agreement referred to above in paragraph 7.
- 9. At all material times, based on Section 9(a) of the Act, the Union has been the exclusive collective-bargaining representative of the Unit.
- 10. In about October 2013, Respondent became the employer of the employees in the Unit.
- 11. At all material times, the Union has requested that Respondent recognize and bargain with it as the exclusive collective-bargaining representative of the Unit.

- 12. Since about January 29, 2015, Respondent has failed and refused to bargain collectively and in good faith with the Union as the exclusive collective-bargaining representative of the Unit, in that:
- (a) Beginning about January 29, 2015, and on numerous occasions thereafter, Respondent repeatedly showed up late and/or left early during bargaining with the Union.
- (b) Beginning about February 5, 2015, and continuing thereafter, Respondent proposed that employees who take leave to attend a Union convention risked losing their seniority but did not similarly propose that employees who take other types of leave also risked losing their seniority.
- (c) Beginning about February 27, 2015, and continuing thereafter, Respondent offered an obscure and contradictory wage proposal.
- (d) Beginning about February 27, 2015, and continuing thereafter, Respondent sought to undermine the Union by offering the obscure and contradictory wage proposal referred to above in subparagraph (c), which, in effect, allowed Respondent to have unilateral control over wages; refused to answer questions about this proposal; designed the proposal in such a way that it would be impossible for the Union to administer it as part of a final collective-bargaining agreement; and directed employees in the Unit to review with the Union their individualized wage "pie charts" that were internally inconsistent with the terms of Respondent's final offer.
- (e) By email dated April 6, 2015, the Union requested that Respondent furnish it with information concerning the cost of the Union's health care proposal limited to Union employees.

- (f) By email dated May 5, 2015, the Union requested that Respondent furnish it with information concerning the cost of Respondent's vacation proposal.
- (g) The information requested by the Union, as described above in subparagraphs (e) and (f), is necessary for, and relevant to, the Union's performance of its duties as the exclusive collective-bargaining representative of the Unit.
- (h) Since about April 6 and May 5, 2015, Respondent has refused to provide the Union with the information described above in subparagraphs (e) and (f).
- (i) Since about March 2015, Respondent has changed its policies regarding Union representatives' access to its facilities.
- (j) Since about March 25, Respondent has changed its policies regarding the posting of Union materials on its bulletin boards.
- (k) The subjects set forth above in paragraph 6, subparagraph (c) and paragraph 12, subparagraphs (i) and (j) relate to employee terms and conditions of employment and are mandatory subjects for purposes of collective bargaining.
- (I) Respondent engaged in the conduct described above in paragraph 6, subparagraph (c) and paragraph 12, subparagraphs (i) and (j) unilaterally and without prior notice to the Union or affording the Union an opportunity to bargain with Respondent with respect to this conduct or the effects of this conduct.
- (m) Since about November 11, 2015, Respondent has failed and refused to bargain with the Union by conditioning further meetings on the Union presenting an acceptable proposal to Respondent.

- 13. By the conduct described above in paragraph 5, Respondent has been interfering with, restraining and coercing employees in the exercise of rights guaranteed in Section 7 of the Act, in violation of Section 8(a)(1) of the Act.
- 14. By the conduct described above in paragraph 6, Respondent has been discriminating in regard to the hire or tenure or terms or conditions of employment of its employees, thereby discouraging membership in a labor organization, in violation of Section 8(a)(1) and (3) of the Act.
- 15. By the conduct described above in paragraph 12, Respondent has been failing and refusing to bargain collectively and in good faith with the exclusive collective-bargaining representative of its employees, in violation of Section 8(a)(1) and (5) of the Act.
- 16. The unfair labor practices of Respondent described above affect commerce within the meaning of Section 2(6) and (7) of the Act.

#### ANSWER REQUIREMENT

Respondent is notified that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an answer to the amended complaint. The answer must be <u>received by this office on or before December 9, 2015</u>, <u>or postmarked on or before December 8, 2015</u>. Respondent should file an original and four copies of the answer with this office and serve a copy of the answer on each of the other parties.

An answer may also be filed electronically through the Agency's website. To file electronically, go to <a href="https://www.nlrb.gov">www.nlrb.gov</a>, click on File Case Documents, enter the NLRB

Case Number, and follow the detailed instructions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. Unless notification on the Agency's website informs users that the Agency's E-Filing system is officially determined to be in technical failure because it is unable to receive documents for a continuous period of more than 2 hours after 12:00 noon (Eastern Time) on the due date for filing, a failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. The Board's Rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If the answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a complaint is not a pdf file containing the required signature, then the E-filing rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is filed, or if an answer is filed untimely, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the consolidated complaint are true.

Dated: November 25, 2015

MARLIN O. OSTHUS
REGIONAL DIRECTOR
NATIONAL LABOR RELAT

NATIONAL LABOR RELATIONS BOARD

**REGION 18** 

FEDERAL OFFICE BUILDING 212 3<sup>rd</sup> AVENUE SOUTH, SUITE 200

MINNEAPOLIS, MN 55401

Attachments

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

**UNITE HERE INTERNATIONAL LOCAL 21** 

#### AFFIDAVIT OF SERVICE OF ORDER CHANGING LOCATION OF HEARING

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on October 1, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MARTIN GOFF UNITE HERE INTERNATIONAL LOCAL 21 312 CENTRAL AVE STE 444 MINNEAPOLIS, MN 55414-4544

MICHAEL HENRY KAHLER HOSPITALITY GROUP 20 SW 2ND AVENUE ROCHESTER, MN 55902

KARL M. TERRELL STOKE WAGNER HUNT MARETZ & TERRELL, ALC ONE ATLANTIC CENTER 1201 W PEACHTREE ST NW SUITE 2400 ATLANTA, GA 30309-3471

> October 1, 2015 Date

Olga Bestilny, Designated Agent of NLRB

Name

P 00910

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

**UNITE HERE INTERNATIONAL LOCAL 21** 

### ORDER CHANGING LOCATION OF HEARING

IT IS HEREBY ORDERED that the location of the hearing in the above-entitled matter, scheduled for 9:00 AM on December 15, 2015 and consecutive days thereafter, is changed from the NLRB Hearing Room in the Federal Office Building, 212 3rd Ave South, Suite 200, Minneapolis, Minnesota, to <a href="Conference Room 3103">Conference Room 3103</a> in the Olmsted County Government Center, 151 Fourth Street SE, Rochester, Minnesota.

Dated: October 1, 2015

MARLIN O. OSTHUS
REGIONAL DIRECTOR
NATIONAL LABOR RELATIONS BOARD
REGION 18
FEDERAL OFFICE BUILDING
212 THIRD AVENUE SOUTH, SUITE 200
MINNEAPOLIS, MN 55401-2657

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 18

Case No.: 18-CA-151245

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

UNITE HERE INTERNATIONAL UNION LOCAL 21

#### **ANSWER**

COMES NOW, the above named Respondent and hereby responds to the enumerated allegations in the complaint and notice of hearing, as follows:

- 1(a)-(c). Respondent lacks sufficient information to fully respond to the allegations in paragraphs in 1(a)-(c), and therefore denies same and requests presentation of proof at the hearing by submission of the records demonstrating filing and service.
- 2(a)-(d). Admitted.
- 3. Admitted.
- 4. Admitted.
- 5(a)-(f). Respondent denies, with respect to each alleged incident, the occurrence of any threats, or the occurrence of interference, restraint or coercion.
- 6(a). Respondent shows discipline was issued and that it was subsequently modified, but denies the occurrence of any interference, restraint or coercion, and denies that the discipline was in any manner intended to discourage, had a tendency to discourage, or in fact discouraged union membership.
- 6(b). Denied.

- Respondent shows that the dollar-amount increases in the expired CBA which took effect on anniversary dates during the term thereof (incorrectly characterized in the complaint as so-called 'step increases'), were intended as increases only during the terms of the CBA (see third affirmative defense). Per the terms of the expired CBA, the increases ceased upon the expiration of the term, and there was therefore no obligation to bargain over the discontinuance.
- 6(d). Denied.
- 7. Admitted that this paragraph of the complaint accurately identifies the bargaining unit.
- 8. Admitted.
- 9. Admitted.
- 10. Admitted.
- 11. Admitted that the union requested that respondent recognize and bargain with the union as the exclusive bargaining representative, but is unable to admit or deny the qualifier "at all material times," as this phrase is not defined. To that extent, the allegation in paragraph 11 is denied.
- 12(a). Denied.
- 12(b). Denied.
- 12(c). Denied.
- 12(d). Denied.
- 12(e). Respondent admits an email generally fitting this description was received.
- 12(f). Respondent has not been able to identify or locate an email fitting this description.
- 12(g). Respondent denies the assertion that it failed to provide relevant information.

- 12(h). Subject to the response to paragraph 12(g), denied. Relevant responses were provided.
- 12(i). Respondent acknowledges the legal proposition that wages are a mandatory subject of bargaining. All other allegations in this paragraph are denied.
- 12(j). Denied. It is clear in the expired CBA that the anniversary-date increases were only to occur during the term of the expired CBA. See response, above, to paragraph 6(c) and see the third affirmative defense.
- 13. Denied.
- 14. Denied.
- 15. Denied.
- 16. Denied.

#### AFFIRMATIVE DEFENSES

- 1. The complaint fails to state claims upon which relief can be granted or should be granted.
- 2. Some or all of the claims should be dismissed based on the six-month statute of limitations.
- 3. The claim asserted in paragraphs 6(c), 12 (i) & (j), 14 and 15 related to Respondent's discontinuance of the anniversary-date increases set forth in Appendix A to the expired CBA should be dismissed for the following reasons: At page 23 of the expired CBA, addressing "Duration," *i.e.*, the term, the Agreement states clearly that the wage increases in "Appendix A" (including the anniversary-date wage increases set forth therein) are to be in effect only during the term of that Agreement. The specific language reads: "This Agreement shall be effective as

of October 1, 2011 and continue in full force and effect to and including the 31st day of August, 2014... Wage increases set forth in Appendix A will be effective on the first full pay period after September 1, 2011, the first full pay period after September 1, 2012, the first full pay period after September 1, 2013." In addition:

- Nowhere in the Agreement is there any language reflecting any understanding or agreement that the anniversary-date increases would continue after August 31, 2014;
- There is, also, nothing in Appendix A itself that suggests the anniversary-date increases continue after the increases shown for the third year of the contract;
- The anniversary-date increases in Appendix A are, in fact, expressed in fixed dollar amounts, and therefore cannot be construed as so-called 'step increases;' and
- Nothing in the extension agreement, extending the CBA to February 28, 2015, suggests that the anniversary-date increases were to continue after February 28.

In Finley Hospital, 362 NLRB No. 102 (2015), the contract at issue had a one-year term with set wage rates. That contract provided also that "during the term of this Agreement," the employees would receive, on their anniversary date, a "3% increase." Board member Johnson, writing in dissent, was plainly correct in stating: "The meaning of 'during the term of this Agreement' is clear. Once the [employees'] pay has been adjusted, there is neither a contractual nor a statutory duty to keep making further post-expiration adjustments. The status of pay is not dynamic. It has moved from one fixed point to another and stays there upon contract expiration. In fact it would be unlawful for the [employer] to make additional raises unilaterally." See also, Finley Hospital, 359 NLRB No. 9 (2012); vacated by NLRB v Noel Canning (dissenting opinion). The reasoning and application of law by the two dissenting opinions apply with even greater force in the present case.

- 4. The Complaint's claims are barred by the doctrines of waiver and estoppel.
- 5. Any action or omission by Respondent was in good faith and in conformity and in reliance upon state and federal law.
- 6. The Board, its investigators, and its Regional Director, have failed and refused to perform their duties to engage in impartial investigation and attempts to conciliate the parties' disputes.
- 7. The Board has failed to conduct a complete and independent investigation into the merits of these charges before issuing this Consolidated Complaint.
- 8. Respondent has been denied due process because no ULP was ever filed for one or more of the factual allegations in the Consolidated Complaint.
- 9. Respondent has been denied due process because it did not receive sufficient notice of one or more of the factual allegations in the Consolidated Complaint.
- 10. One or more of the ULPs brought against the Respondent by the Union were filed beyond the statute of limitations.
- 11. Comments expressing views held by Respondent's supervisors and agents are protected by section 8(c) of the Act. Such comments may not be used as evidence of the commission of alleged unfair labor practices.
- 12. Respondent reserves the right to assert additional affirmative defenses or defenses of which they become knowledgeable during the course of its further investigation and preparation for the hearing, and during the course of the hearing.

WHEREFORE, Respondent respectfully requests that the Amended Consolidated Complaint be dismissed with prejudice.

Dated: This 17th day of September 2015.

STOKES WAGNER HUNT MARETZ & TERRELL

By: /s/ Karl M. Terrell
Karl M. Terrell
1201 W. Peachtree Street
Suite 2400
Atlanta, Georgia 30309
Telephone: (404) 766-0076
Facsimile: (404) 766-8823
kterrell@stokeswagner.com

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 18

RICHFIELD HOSPITALITY, INC., AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

UNITE HERE INTERNATIONAL UNION LOCAL 21

#### CERTIFICATE OF SERVICE

I hereby certify that a copy of the Answer was electronically filed with Region 18 and emailed to counsel for petitioner below:

Marlin O. Osthus
Regional Director
National Labor Relations Board
Region 18
Federal Office Building
212 3<sup>rd</sup> Avenue South, Suite 200
Minneapolis, MN 55401
marlin.osthus@nlrb.gov

Nancy Goldman 312 Central Avenue Suite 444 Minneapolis, MN 55414-4544 ngoldman@here17.org

Case No.: 18-CA-151245

Dated: September 17, 2015.

/s/ Karl M. Terrell

Karl M. Terrell

## UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 18

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21

AFFIDAVIT OF SERVICE OF: Complaint and Notice of Hearing (with forms NLRB-4338 and NLRB-4668 attached)

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 3, 2015, I served the above-entitled document(s) by **certified or regular mail**, as noted below, upon the following persons, addressed to them at the following addresses:

MICHAEL HENRY KAHLER HOSPITALITY GROUP 20 SW 2ND AVENUE ROCHESTER, MN 55902 CERTIFIED MAIL, RETURN RECEIPT REQUESTED

KARL M. TERRELL STOKE WAGNER HUNT MARETZ & TERRELL, ALC ONE ATLANTIC CENTER 1201 W PEACHTREE ST NW SUITE 2400 ATLANTA, GA 30309-3471 REGULAR MAIL

MARTIN GOFF UNITE HERE INTL LOCAL 21 312 CENTRAL AVE STE 444 MINNEAPOLIS, MN 55414-4544 **CERTIFIED MAIL** 

September 3, 2015

Date

Olga Bestilny, Designated Agent of NLRB

Name

Signature

For delivery information, visit our website at www.usps.com®.  OFFICIAL USE  Postage \$  Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) Total Postage & Fees \$  Sent To IAHUEL HOSPITALITY GROUP/MICHAEL Street & Apt. No., 2 D SW 2ND AV  City, State, 2/P+4  ADCHESTER MN 55902 PS Form 3800, July 2014  V.S. Postal Service Total RECEIPT Domestic Mail Only  For delivery information, visit our website at www.usps.com®.  OFFICIAL USE  Postage \$  Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) Total Postage & Fees  Sent To MARTIN GOFF  CERTIFIED SENTER WWW.usps.com®.	E B	U.S. Postal Service™ CERTIFIED MAIL® RECEIPT  Domestic Mail Only				
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SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> <li>1. Article Addressed to:</li> <li>KAHLER HOSPITALITY GROUP</li> </ul>	A. Signature    Agent   Addressee     Addressee     Addressee     B. Received by (Printed Name)   C. Date of Delivery     Date of Delivery     Signature   Addressee     Agent   Addressee     Address
MICHAELHENRY  20 SW 2ND AVE  ROCHESTER MN SS902	3. Service Type
CA 151245 CPTNH/TDW/06	4. Restricted Delivery? (Extra Fee) ☐ Yes
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MINNE APOLIS, MINN.

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 18

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC

and

Case 18-CA-151245

**UNITE HERE INTERNATIONAL UNION LOCAL 21** 

### COMPLAINT AND NOTICE OF HEARING

This Complaint and Notice of Hearing is based on a charge filed by UNITE HERE International Union Local 21 (the Union) against Richfield Hospitality, Inc. as Managing Agent for Kahler Hotels, LLC (Respondent). It is issued pursuant to Section 10(b) of the National Labor Relations Act (the Act), 29 U.S.C. § 151 et seq., and Section 102.15 of the Rules and Regulations of the National Labor Relations Board (the Board) and alleges that Respondent has violated the Act as described below.

- 1.(a) The charge in this proceeding was filed by the Union on April 29, 2015, and a copy was served by regular mail on Respondent on about that same date.
- (b) The first amended charge in this proceeding was filed by the Union on August 20, 2015, and a copy was served by regular mail on Respondent on about that same date.
- (c) The second amended charge was filed by the Union on September 1, 2015, and a copy was served by regular mail on Respondent on about that same date.
- 2.(a) Respondent is a Colorado corporation and a Minnesota limited liability company and is engaged in the business of providing hospitality services at four hotels

P00922 GC (G) in the Rochester, Minnesota area (The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area Hotel, Kahler Inn & Suites, and Residence Inn Rochester Mayo Clinic).

- (b) During the past calendar year, Respondent, in conducting its operations described above in subparagraph (a), derived gross revenues in excess of \$500,000.
- (c) During the past calendar year, Respondent, in conducting its operations described above in subparagraph (a), purchased and received at its Rochester, Minnesota area hotels goods and services valued in excess of \$50,000 directly from suppliers located outside the State of Minnesota.
- (d) At all material times, Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act.
- 3. At all material times, the Union has been a labor organization within the meaning of Section 2(5) of the Act.
- 4. At all material times, the following individuals held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act and/or agents of Respondent within the meaning of Section 2(13) of the Act:

Michael Henry - Human Resources Representative

Mary Kay - Human Resources Representative

Robert Ulrich - Head Chef

Mattie (last name unknown) - Restaurant Manager

Josepha (last name unknown) - Housekeeping Manager

- 5. Since about Aʻpril 2015, Respondent has interfered with, restrained or coerced employees in the exercise of rights guaranteed in Section 7 of the Act by engaging in the following acts and conduct:
- (a) In about April 2015, the exact date being unknown, Respondent, by
  Restaurant Manager Mattie (last name unknown), at the Rochester Marriott Mayo Clinic
  Area Hotel, threatened an employee by stating that she had been instructed to take
  down Union fliers anywhere outside of the break room.
- (b) About April 2, 2015, Respondent, by Human Resources Representative Mary Kay, at The Kahler Grand Hotel, threatened Union representative Linda Henry, in the presence of employees, that she could not access the maintenance break room at that hotel.
- (c) About April 7, 2015, Respondent, by Housekeeping Manager Josepha (last name unknown), at The Kahler Grand Hotel, threatened Union representative Linda Henry, in the presence of employees, that she could not access the housekeeping break room at that hotel.
- (d) About early May 2015, the exact date being unknown, Respondent, by Head Chef Robert Ulrich, at the Marriott Rochester Mayo Clinic Area Hotel, threatened an employee by stating that Respondent was not giving wage increases because the Union had not accepted Respondent's contract offer.
- (e) About June 4, 2015, Respondent, by Human Resources Representative Michael Henry, at The Kahler Grand Hotel, threatened Union representative Linda Henry, in the presence of employees, that she could not access the housekeeping break room at that hotel.

- (f) About June 19, 2015, Respondent, by Human Resources Representative Michael Henry, at The Kahler Grand Hotel, threatened an employee by stating that Respondent was not giving wage increases because the Union had not accepted Respondent's contract offer.
- 6.(a) In about February 2015, the exact date being unknown, Respondent first disciplined, then reduced the discipline, of its employee Graham Brandon.
- (b) Beginning about February 27, 2015, Respondent has denied work hours to its employee Kelli Johnson.
- (c) In about March 2015, the exact date being unknown, Respondent discontinued its practice of granting employees step wage increases as provided in the collective-bargaining agreement described below in paragraph 7.
- (d) Respondent engaged in the conduct described above in subparagraphs (a) through (c) because the named employees and other employees joined, supported or assisted the Union, and to discourage employees from engaging in those or other protected concerted activities.
- 7. The following employees of Respondent, herein called the Unit, constitute a unit appropriate for the purposes of collective bargaining within the meaning of Section 9(b) of the Act:

All full-time and regular part-time employees employed in the job classifications and at the hotels listed in Appendix A of the most recent collective-bargaining agreement, which is effective by its terms from October 1, 2011 through August 31, 2014, between the Union and Sunstone Hotel Properties, Inc., as agent for The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area Hotel, and Kahler Inn & Suites; and all full-time and regular part-time employees employed in the job classifications listed in the Memorandum of Agreement, which is effective beginning on May 4, 2012, between the Union and Sunstone Hotel Properties, Inc., as agent for

- Residence Inn Rochester Mayo Clinic Hotel; excluding all other employees, guards and supervisors as defined in the Act.
- 8. At all material times, the Union has been the exclusive collective-bargaining agent of the Unit. This recognition has been embodied in the collective-bargaining agreement which Respondent and the Union voluntarily extended for an additional six months follow August 13, 2014, and the Memorandum of Agreement referred to above in paragraph 7.
- 9. At all material times, based on Section 9(a) of the Act, the Union has been the exclusive collective-bargaining representative of the Unit.
- 10. In about October 2013, Respondent became the employer of the employees in the Unit.
- 11. At all material times, the Union has requested that Respondent recognize and bargain with it as the exclusive collective-bargaining representative of the Unit.
- 12. Since about January 29, 2015, Respondent has failed and refused to bargain collectively and in good faith with the Union as the exclusive collective-bargaining representative of the Unit, in that:
- (a) Beginning about January 29, 2015, and on numerous occasions thereafter, Respondent repeatedly showed up late and/or left early during bargaining with the Union.
- (b) Beginning about February 5, 2015, and continuing thereafter, Respondent proposed that employees who take leave to attend a Union convention risked losing their seniority but did not similarly propose that employees who take other types of leave also risked losing their seniority.

- (c) Beginning about February 27, 2015, and continuing thereafter, Respondent offered an obscure and contradictory wage proposal.
- (d) Beginning about February 27, 2015, and continuing thereafter, Respondent sought to undermine the Union by offering the obscure and contradictory wage proposal referred to above in subparagraph (c), which, in effect, allowed Respondent to have unilateral control over wages; refused to answer questions about this proposal; designed the proposal in such a way that it would be impossible for the Union to administer it as part of a final collective-bargaining agreement; and directed employees in the Unit to review with the Union their individualized wage "pie charts" that were internally inconsistent with the terms of Respondent's final offer.
- (e) By email dated April 6, 2015, the Union requested that Respondent furnish it with information concerning the cost of the Union's health care proposal limited to Union employees.
- (f) By email dated May 5, 2015, the Union requested that Respondent furnish it with information concerning the cost of Respondent's vacation proposal.
- (g) The information requested by the Union, as described above in subparagraphs (e) and (f), is necessary for, and relevant to, the Union's performance of its duties as the exclusive collective-bargaining representative of the Unit.
- (h) Since about April 6 and May 5, 2015, Respondent has refused to provide the Union with the information described above in subparagraphs (e) and (f).
- (i) The subject set forth above in paragraph 6, subparagraph (c) relates to employee terms and conditions of employment and is a mandatory subject of bargaining for purposes of collective bargaining.

- (j) Respondent engaged in the conduct described above in paragraph 6, subparagraph (c) unilaterally and without prior notice to the Union or affording the Union an opportunity to bargain with Respondent with respect to this conduct or the effects of this conduct.
- 13. By the conduct described above in paragraph 5, Respondent has been interfering with, restraining and coercing employees in the exercise of rights guaranteed in Section 7 of the Act, in violation of Section 8(a)(1) of the Act.
- 14. By the conduct described above in paragraph 6, Respondent has been discriminating in regard to the hire or tenure or terms or conditions of employment of its employees, thereby discouraging membership in a labor organization, in violation of Section 8(a)(1) and (3) of the Act.
- 15. By the conduct described above in paragraph 12, Respondent has been failing and refusing to bargain collectively and in good faith with the exclusive collective-bargaining representative of its employees, in violation of Section 8(a)(1) and (5) of the Act.
- 16. The unfair labor practices of Respondent described above affect commerce within the meaning of Section 2(6) and (7) of the Act.

#### ANSWER REQUIREMENT

Respondent is notified that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an answer to the complaint. The answer must be <u>received by this office on or before September 17, 2015</u>, <u>or postmarked on or before September 16, 2015</u>. Respondent should file an original and four copies

of the answer with this office and serve a copy of the answer on each of the other parties.

An answer may also be filed electronically through the Agency's website. To file electronically, go to www.nlrb.gov, click on File Case Documents, enter the NLRB Case Number, and follow the detailed instructions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. Unless notification on the Agency's website informs users that the Agency's E-Filing system is officially determined to be in technical failure because it is unable to receive documents for a continuous period of more than 2 hours after 12:00 noon (Eastern Time) on the due date for filing, a failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. The Board's Rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If the answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a complaint is not a pdf file containing the required signature, then the E-filing rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is

filed, or if an answer is filed untimely, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the complaint are true.

## **NOTICE OF HEARING**

PLEASE TAKE NOTICE THAT on December 15, 2015, at 9:00 a.m., in the Federal Office Building, NLRB Hearing Room, Suite 200, 212 3<sup>rd</sup> Avenue South, Minneapolis, Minnesota, and on consecutive days thereafter until concluded, a hearing will be conducted before an administrative law judge of the National Labor Relations Board. At the hearing, Respondent and any other party to this proceeding have the right to appear and present testimony regarding the allegations in this complaint. The procedures to be followed at the hearing are described in the attached Form NLRB-4668. The procedure to request a postponement of the hearing is described in the attached Form NLRB-4338.

Dated: September 3, 2015

/s/ Marlin O. Osthus

MARLIN O. OSTHUS REGIONAL DIRECTOR NATIONAL LABOR RELATIONS BOARD REGION 18 FEDERAL OFFICE BUILDING 212 3<sup>rd</sup> AVENUE SOUTH, SUITE 200 MINNEAPOLIS, MN 55401

Attachments

Form NLRB-4668 (6-2014)

# **Procedures in NLRB Unfair Labor Practice Hearings**

The attached complaint has scheduled a hearing that will be conducted by an administrative law judge (ALJ) of the National Labor Relations Board who will be an independent, impartial finder of facts and applicable law. You may be represented at this hearing by an attorney or other representative. If you are not currently represented by an attorney, and wish to have one represent you at the hearing, you should make such arrangements as soon as possible. A more complete description of the hearing process and the ALJ's role may be found at Sections 102.34, 102.35, and 102.45 of the Board's Rules and Regulations. The Board's Rules and regulations are available at the following link: www.nlrb.gov/sites/default/files/attachments/basic-page/node-1717/rules and regs part 102.pdf.

The NLRB allows you to file certain documents electronically and you are encouraged to do so because it ensures that your government resources are used efficiently. To e-file go to the NLRB's website at www.nlrb.gov, click on "e-file documents," enter the 10-digit case number on the complaint (the first number if there is more than one), and follow the prompts. You will receive a confirmation number and an e-mail notification that the documents were successfully filed.

Although this matter is set for trial, this does not mean that this matter cannot be resolved through a settlement agreement. The NLRB recognizes that adjustments or settlements consistent with the policies of the National Labor Relations Act reduce government expenditures and promote amity in labor relations and encourages the parties to engage in settlement efforts.

#### I. BEFORE THE HEARING

The rules pertaining to the Board's pre-hearing procedures, including rules concerning filing an answer, requesting a postponement, filing other motions, and obtaining subpoenas to compel the attendance of witnesses and production of documents from other parties, may be found at Sections 102.20 through 102.32 of the Board's Rules and Regulations. In addition, you should be aware of the following:

- Special Needs: If you or any of the witnesses you wish to have testify at the hearing have special needs and require auxiliary aids to participate in the hearing, you should notify the Regional Director as soon as possible and request the necessary assistance. Assistance will be provided to persons who have handicaps falling within the provisions of Section 504 of the Rehabilitation Act of 1973, as amended, and 29 C.F.R. 100.603.
- <u>Pre-hearing Conference</u>: One or more weeks before the hearing, the ALJ may conduct a telephonic prehearing conference with the parties. During the conference, the ALJ will explore whether the case may be settled, discuss the issues to be litigated and any logistical issues related to the hearing, and attempt to resolve or narrow outstanding issues, such as disputes relating to subpoenaed witnesses and documents. This conference is usually not recorded, but during the hearing the ALJ or the parties sometimes refer to discussions at the prehearing conference. You do not have to wait until the prehearing conference to meet with the other parties to discuss settling this case or any other issues.

#### II. DURING THE HEARING

The rules pertaining to the Board's hearing procedures are found at Sections 102.34 through 102.43 of the Board's Rules and Regulations. Please note in particular the following:

- <u>Witnesses and Evidence</u>: At the hearing, you will have the right to call, examine, and cross-examine witnesses and to introduce into the record documents and other evidence.
- Exhibits: Each exhibit offered in evidence must be provided in duplicate to the court reporter and a copy of each of each exhibit should be supplied to the ALJ and each party when the exhibit is offered in

(OVER) P 00931

Form NLRB-4668 (6-2014)

evidence. If a copy of any exhibit is not available when the original is received, it will be the responsibility of the party offering such exhibit to submit the copy to the ALJ before the close of hearing. If a copy is not submitted, and the filing has not been waived by the ALJ, any ruling receiving the exhibit may be rescinded and the exhibit rejected.

- Transcripts: An official court reporter will make the only official transcript of the proceedings, and all citations in briefs and arguments must refer to the official record. The Board will not certify any transcript other than the official transcript for use in any court litigation. Proposed corrections of the transcript should be submitted, either by way of stipulation or motion, to the ALJ for approval. Everything said at the hearing while the hearing is in session will be recorded by the official reporter unless the ALJ specifically directs off-the-record discussion. If any party wishes to make off-the-record statements, a request to go off the record should be directed to the ALJ.
- Oral Argument: You are entitled, on request, to a reasonable period of time at the close of the hearing for oral argument, which shall be included in the transcript of the hearing. Alternatively, the ALJ may ask for oral argument if, at the close of the hearing, if it is believed that such argument would be beneficial to the understanding of the contentions of the parties and the factual issues involved.
- <u>Date for Filing Post-Hearing Brief</u>: Before the hearing closes, you may request to file a written brief or proposed findings and conclusions, or both, with the ALJ. The ALJ has the discretion to grant this request and to will set a deadline for filing, up to 35 days.

#### III. AFTER THE HEARING

The Rules pertaining to filing post-hearing briefs and the procedures after the ALJ issues a decision are found at Sections 102.42 through 102.48 of the Board's Rules and Regulations. Please note in particular the following:

- Extension of Time for Filing Brief with the ALJ: If you need an extension of time to file a post-hearing brief, you must follow Section 102.42 of the Board's Rules and Regulations, which requires you to file a request with the appropriate chief or associate chief administrative law judge, depending on where the trial occurred. You must immediately serve a copy of any request for an extension of time on all other parties and furnish proof of that service with your request. You are encouraged to seek the agreement of the other parties and state their positions in your request.
- ALJ's Decision: In due course, the ALJ will prepare and file with the Board a decision in this matter. Upon receipt of this decision, the Board will enter an order transferring the case to the Board and specifying when exceptions are due to the ALJ's decision. The Board will serve copies of that order and the ALJ's decision on all parties.
- Exceptions to the ALJ's Decision: The procedure to be followed with respect to appealing all or any part of the ALJ's decision (by filing exceptions with the Board), submitting briefs, requests for oral argument before the Board, and related matters is set forth in the Board's Rules and Regulations, particularly in Section 102.46 and following sections. A summary of the more pertinent of these provisions will be provided to the parties with the order transferring the matter to the Board.

# **UNITED STATES OF AMERICA**

#### BEFORE THE NATIONAL LABOR RELATIONS BOARD

RICHFIELD HOSPITALITY, INC. AS
MANAGING AGENT FOR KAHLER HOTELS
LLC

**Charged Party** 

and

**UNITE HERE INTERNATIONAL LOCAL 21** 

**Charging Party** 

Case 18-CA-151245

#### AFFIDAVIT OF SERVICE OF SECOND AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 1, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MICHAEL HENRY KAHLER HOSPITALITY GROUP 20 SW 2ND AVENUE ROCHESTER, MN 55902

KARL M. TERRELL STOKE WAGNER HUNT MARETZ & TERRELL, ALC One Atlantic Center 1201 W Peachtree St NW Suite 2400 Atlanta, GA 30309-3471

September 1, 2015	Shane Hose, Designated Agent of NLRB		
Date	Name		
	•		
	/s/ Shane Hose		
	Signature		

Form NLRB - 501 (2-08)

# **UNITED STATES OF AMERICA**

# SECOND AMENDED CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE NATIONAL LABOR RELATIONS BOARD Date Filed Case INSTRUCTIONS: 18-CA-151245 September 01, 2015

File an original of this charge with NLRB Regional Director in which the alleged unfair labor practice occurred or is occurring.					
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT					
Name of Employer     Richfield Hospitality, Inc. as Managing	b. Tel. No. (507)285-2708 c. Cell No.				
d. Address (street, city, state ZIP code) 20 SW 2ND AVENUE,	e. Employer Representative MICHAEL HENRY	f. Fax No. (507)285-2793			
ROCHESTER, MN 55902		g. e-Mail mhenry@kahlerhospitalitygroup.com			
· · · · · · · · · · · · · · · · · · ·		h. Dispute Location (City and State) ROCHESTER, MN			
i. Type of Establishment (factory, nursing home, hotel)	j. Principal Product or Service	k. Number of workers at dispute location 250			
Hotels	Hospitality Services				
of the National Labor Relations Act, and these unfallabor practices are unfair practices affecting comm	is engaging in unfair labor practices within the mea air labor practices are practices affecting commerce terce within the meaning of the Act and the Postal R	within the meaning of the Act, or these unfair eorganization Act.			
2. Basis of the Charge (set forth a clear and concis	se statement of the facts constituting the alleged unf	air labor practices)			
See Attachment A					
3. Full name of party filing charge (if labor organize UNITE HERE INTERNATIONAL LOCATION AND ADMINISTRATION ADMINISTRATION ADMINISTRATION AND ADMINISTRATION ADMINISTR	ation, give full name, including local name and numb AL 21	er)			
4a. Address (street and number, city, state, and ZI 312 CENTRAL AVE Ste 444, MINNEA		4b. Tel. No. (612)379-4730			
•	4c. Cell No. (612)709-0950				
	4d. Fax No. (612)379-8698				
		4e. e-Mail mgoff@here17.org			
5 Full name of national or international labor organ	nization of which it is an affiliate or constituent unit (				
organization)	RECEION OF WHICH IS SET CHINESE OF CONSULERIE WHILE	o be miled in when charge is med by a labor			
DECLARATION     I declare that I have read the above charge a my knowledge and belief	Tel. No. (612)379-4730				
6y: //// //	Nancy Goldman	Office, if any, Cell No. (612)709-0950			
(signature of representative or person making cl	narge) Print Name and Title	Fax No. (612)379-8698			
Address: 312 CENTRAL AVE Ste 444, MINNEAPOLIS, MN 55414-4544	e-Mail ngoldman@here17.org				
	Date: 9-/-/5	<u> </u>			

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

RECEIVED NLRB REGION 18

2015 AUG 32 AM 10: 54 MINNE APOLIS, MINN.

#### ATTACHMENT A

#### 8(a)(5) allegations:

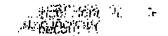
- During all relevant times, the Employer engaged in bad faith bargaining by repeatedly showing up late to bargaining and leaving early without providing notice to the Union.
- During all relevant times, the Employer engaged in bad faith bargaining by proposing that employees
  who attend union convention risk losing seniority, without loss of seniority for employees who use other
  types of leave.
- During all relevant times, the Employer engaged in bad faith bargaining by offering an obscure and contradictory wage proposal.
- During all relevant times, the Employer undermined the union through its wage proposal by, inter alia, instructing employees to see individual pie charts to determine their wages, transmitting its wage proposal through voluminous and contradictory wage charts, and refusing to answer certain questions about the its wage proposals.
- Since about March 2015, the Employer unilaterally changed the terms of the expired contract by refusing to continue to provide employees step increases in their wages.
- Since about March 26, 2015, the Employer unitaterally changed its past practice of allowing the union to post notices in various locations by limiting the postings to only specific bulletin boards during negotiations.
- Since about March 26, 2015, the Employer unilaterally changed its past practice of allowing the union representative access to areas throughout its facility.
- On about May 6, 2015, and continuing to the date, the Employer has failed to respond to the Union's
  request for information about the costs of its vacation proposals.
- On about April 6, 2015, and continuing to date, the Employer has failed to respond to the Union's
  request for information about the cost of its health insurance proposals for bargaining unit employees.

## 8(a)(3)/(1) allegations:

- On about February 25, 2015, the Employer issued discipline, then lowered this discipline without removing it, to employee Graham Brandon in retaliation for his union and protected concerted activities.
- Since on or about February 27, 2015, the Employer has been denying working hours to employee Kelli Johnston in retaliation for her union and protected concerted activities.
- Since about March 2015, the Employer has been denying wage increases to employees, in retaliation for their union and protected concerted activities.

#### 8(a)(1) allegations:

- On about June 19, the Employer, through Michael Henry threatened employees that the Union caused the employee not to receive wage increases because the Union had not accepted the Employer's contract offer.
- In about early May, the Employer, through Robert Ulrich, threatened employees that the Employer was not providing wage increases because the Union had not agreed to its contract offer.
- In about April 2015, the Employer, through its restaurant manager, threatened an employee that the Union could no longer post fliers in its facility.
- On about April 2, 2015, the Employer, through Mary Kay, threatened Union representative Linda Henry that she could not access the maintenance breakroom at the Kahler Grand Hotel, in the presence of employees.
- On about April 7, 2015, the Employer, through its housekeeping manager, threatened Union representative Linda Henry that she could not access the housekeeping breakroom at the Kahler Grand Hotel, in the presence of employees.
- On about June 4, the Employer, through Michael Henry, threatened Union representative Linda Henry that she could not access the housekeeping breakroom at the Kahler Grand Hotel, in the presence of employees.



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RÈCEIVED NLRB REGION 18

2015 AUG 20 PM 4: 25 MINNE APOLIS, MINN.



# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

**Charged Party** 

and

**UNITE HERE INTERNATIONAL LOCAL 21** 

**Charging Party** 

Case 18-CA-151245

#### AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on August 21, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MICHAEL HENRY KAHLER HOSPITALITY GROUP 20 SW 2ND AVENUE ROCHESTER, MN 55902

KARL M. TERRELL STOKE WAGNER HUNT MARETZ & TERRELL, ALC One Atlantic Center 1201 W Peachtree St NW Suite 2400 Atlanta, GA 30309-3471

August 21, 2015	Snane Hose, Designated Agent of NLKB	
Date	Name	
	/s/ Shane Hose	
	Signature	

Form NLRB - 501 (2-08)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD FIRST AMENDED CHARGE AGAINST EMPLOYER INSTRUCTIONS:

DO NOT WRITE IN THIS SPACE					
Case	Date Filed				
18-CA-151245	August 20, 2015				

File an original of this charge with NLRB Regional Director in which the alleged unfair labor practice occurred or is occurring.					
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT					
a. Name of Employer		b. Tel. No.			
KAHLER HOSPITALITY GROUP		(507)285-2708 c. Cell No.			
	C. Cell No.				
d. Address (street, city, state ZIP code)	e. Employer Representative	í. Fax No.			
20 SW 2ND AVENUE.	MICHAEL HENRY	(507)285-2793			
· ·	WIOTIACL FICARY	g. e-Mail			
ROCHESTER, MN 55902		mhenry@kahlerhospitalitygroup.com			
		h. Dispute Location (City and State)			
		ROCHESTER, MN			
i. Type of Establishment (factory, nursing home,	j. Principal Product or Service	k. Number of workers at dispute location			
helei)		250			
Hotels	Hospitality services				
	•				
I. The above-named employer has engaged in and	is engaging in unfair labor practices within the mea	ning of section 8(a), subsections (1), (3), and			
(5) of the National Labor Relations Act, and these	unfair labor practices are practices affecting comme	rce within the meaning of the ACI, or these			
uniau labor practices are unlair practices affecting	commerce within the meaning of the Act and the Pore statement of the facts constituting the alleged unfo	sir latter resctices)			
2. Basis of the Charge (set forth a clear and concis	te statement of the facts constituting the aneged unit	an racci practices;			
See Altachment A.					
See Allachment A.					
	<i>§</i> <sub>5</sub> ,				
	าเกราสใหญิตีประกาศเรา	·			
4	T VARON 14				
A 11 partition					
3. Full name of party filling charge (if Jahor organiza	ition, give full name, including local name and numb	ήe			
UNITE HERE INTERNATIONAL LOCA		~· <b>,</b>			
4a. Address (street and number, city, state, and ZI		4b. Tel. No.			
312 CENTRAL AVE Ste 444, MINNEA		(612)379-4730			
012 0E141177E774E 018 444, 1411777E7	0210, 10114 004 14-1041	4c. Cell No.			
		(612)709-0950			
		4d. Fax No.			
		(612)379-8698			
		4e. e-Mail			
	mgoff@here17.org				
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor					
organization)					
6. DECLARATION Tel. No.					
I declare that I have read the above charge ar	(612)379-4730				
my knowledge and belief.					
By: Office, If any, Cell No. (612)709-0950					
- VIWV ( ( ) //	(612)709-0950				
(signature of representative or person making ch	narge) Print Name and Title	Fax No.			
<b>/</b>	1 15 .	(612)379-8698			
Address: 312 CENTRAL AVE Ste 444.	Date: \$ (144. 20. 70) 5	e-Mell			
MINNEAPOLIS, MN 55414-4544	mgoff@here17.org				

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

RECEIVED NLRB REGION 18

2015 AUG 20 PM 4: 25 MINNEAPOLIS, MINN.



#### ATTACHMENT A

### 8(a)(5) allegations:

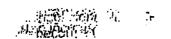
- During all relevant times, the Employer engaged in bad faith bargaining by repeatedly showing up late to bargaining and leaving early without providing notice to the Union.
- During all relevant times, the Employer engaged in bad faith bargaining by proposing that employees
  who attend union convention risk losing seniority, without loss of seniority for employees who use other
  types of leave.
- During all relevant times, the Employer engaged in bad faith bargaining by offering an obscure and contradictory wage proposal.
- During all relevant times, the Employer undermined the union through its wage proposal by, inter alia, instructing employees to see individual pie charts to determine their wages, transmitting its wage proposal through voluminous and contradictory wage charts, and refusing to answer certain questions about the its wage proposals.
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- Since about March 26, 2015, the Employer unilaterally changed its past practice of allowing the union representative access to areas throughout its facility.
- On about May 6, 2015, and continuing to the date, the Employer has failed to respond to the Union's request for information about the costs of its vacation proposals.
- On about April 6, 2015, and continuing to date, the Employer has failed to respond to the Union's
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### $\vartheta(a)(3)/(1)$ allegations:

- On about February 25, 2015, the Employer issued discipline, then lowered this discipline without removing it, to employee Graham Brandon in retaliation for his union and protected concerted activities.
- Since on or about February 27, 2015, the Employer has been denying working hours to employee Kelli
  Johnston in retaliation for her union and protected concerted activities.
- Since about March 2015, the Employer has been denying wage increases to employees, in retaliation for their union and protected concerted activities.

### 8(a)(1) allegations:

- On about June 19, the Employer, through Michael Henry threatened employees that the Union caused the employee not to receive wage increases because the Union had not accepted the Employer's contract offer
- In about early May, the Employer, through Robert Ulrich, threatened employees that the Employer was not providing wage increases because the Union had not agreed to its contract offer.
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- On about June 4, the Employer, through Michael Henry, threatened Union representative Linda Henry that she could not access the housekeeping breakroom at the Kahler Grand Hotel, in the presence of employees.



RECEIVED NLRB REGION 18

2015 AUG 20 PM 4: 25 MINNE APOLIS, MINN.



# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

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**Charged Party** 

and

Case 18-CA-151245

### **UNITE HERE INTERNATIONAL LOCAL 21**

**Charging Party** 

# AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on April 30, 2015, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

MICHAEL HENRY RICHFIELD HOSPITALITY 20 SW 2ND AVENUE ROCHESTER, MN 55902

April 30, 2015	Shane Hose, Designated Agent of NLRB
Date	Name
	/s/ Shane Hose
	Signature

Doc. 1-2

Filed 08/25/17

Page 7533 of 1459

FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET FORM NLR8-501 (2-08)

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE			
Case	Date Filed		
18-CA-151245	April 29, 2015		

CHANGE AGAINST EMPLOTER			1.	3012 - 1104	
INSTRUCTIONS:				April 29, 2015	
ile an original with NLRB Regional Director for the region in which			ring.		
	RAGAINST WHOM CHARGE	IS BROUGHT	Tol.	Al-	
a. Name of Employer			b, Tel. No. 507-285-2708		
Kahler Hospitality Group			c. Cell No.		
			f. Fax f	Vn	
Address (Street, city, state, and ZIP code)     B. Employer Representative		·	1. Fax No. 507-285-2793		
20 S. W. Second Ave.	Michael Henry		g. e-Mail		
Rochester, Mn. 55902	n. 55902 Area Managing Director of HR		mhenry@kahlerhospitalitygrou		
			h, Number of workers employed 250		
i. Type of Eslablishment (factory, mins, wholesaler, etc.) Hotel/Restaurant	j. Identify principal product of Lodging and food/beve		ces		
k. The above-named employer has engaged in and is engagi	ing in unfair labor practices within	the meaning of se	ction 8(a), s	ubsections (1) and (list	
subsections) 8 (a) 1 & 5		of the National Lai	or Relation:	s Act, and these unfair labor	
practices are practices affecting commerce within the mea within the meaning of the Act and the Postal Reorganization	ining of the Act, or these unfair tal				
2. Basis of the Charge (set forth a clear and concise stateme	ant of the facts constitution the alle	eaed unfelc labor n	raclica el		
Since on or about January 20, 2015 and continui				d to harnain surface	
bargained and on numerous occasions has failed	• • • • •		-		
requested for the purpose of negotiations.	o to provide information and	3,0,00,00,000	NIII GLIOII (		
· oquotion for the purpose of hegetications.	·				
Since on or about April 16, 2015 the employer had offering job advancements that were made available.	<b>▼</b>	nion Negotiating	g Commit	lee members by not	
*please see Appendix "A"					
	,				
3. Full name of party filing charge (If labor organization, give Unite HERE Local 21	full name, including local name a	nd number)			
Unite HERE Local 21		•			
			1 <del></del>		
4a. Address (Street and number, city, state, and ZIP code)			4b. Tel. No	612-379-4730	
312 Central Ave SE suite 444			4c. Cell No	612-709-0950	
Minnsapolis, Mn 55414					
			4d. Fax N	o. 612-379-8698	
			4e. e-Mail		
•			mgoff@l	here17.org	
5. Full name of national or international labor organization of organization) Unite HERE International Union	which it is an affiliate or constitue	nt unit (to be filled	in when cha	nge is filed by a labor	
8. DECLARATIO	ON		Tel. No.		
I declare light maye read the above chalge and that the statements are true to the best of		dge and belief.	612-379-4730 ext:14		
Illast of la	!:- O-E		Office, if ar	ny, Cell No.	
89 and 1 and	artin Goff	<del></del>	N/A		
(signiflure of representative or person making charge)	(PrinVlype name and title or office, t	Tany)	Fax No. s	ame as above	
( V	A	cil 28 2015	e-Mail		

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or Rigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information@944NLRB is

PPR-29-2015 13:22

Address

312 Central Ave SE suite 444 Mpls, Mn 55414

(dale)

mgoff@here17.org

### **APPENDIX "A"**

Since on or about March 17, 2015 and continuing, the Employer has changed past conditions of employment by removing Union postings from bulletin boards.

Since on or about March 17, 2015, the Employer has discriminated against Union Negotiating Committee members by skipping usual steps in discipline.

Since on or about April 16, 2015 the Employer coerced and intimidated probationary employee(s) by instructing them to remove Union buttons.

Since on or about April 16, 2015 the Employer has changed conditions of employment, by ceasing steps in the pay schedule because there isn't a signed contract extension.

Since on or about April 16, 2015 and continuing, the Employer has discriminated against Union Negotiating Committee members by changing past conditions of employment by not offering available work in various departments.

Unite Here Local 17

Martin Goff 612-379-4730 Ext 14

EXHIBIT NO. GC 2 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 52 DATE 12/15/15 REPORTER SMW

Execution Copy

# **AGREEMENT**

Between

Sunstone Hotel Properties, Inc.

And

UNITE HERE Local 21 AFL-CIO

Rochester, Minnesota

October 1, 2011

to

August 31, 2014

GC Exhibit 2

13826232v.2

### TABLE OF CONTENTS

	PAGE
ARTICLE 1 RECOGNITION	1
ARTICLE 2 UNION SECURITY	1
ARTICLE 3 SENIORITY	3
ARTICLE 4 PROBATIONARY PERIOD	6
ARTICLE 5 DISCHARGE OR DISCIPLINE	6
ARTICLE 6 GRIEVANCE AND ARBITRATION PROCEDURE	7
ARTICLE 7 LEAVES OF ABSENCE	9
ARTICLE 8 WORKWEEK	10
ARTICLE 9 HOLIDAYS	13
ARTICLE 10 VACATIONS	14
ARTICLE 11 INSURANCE BENEFITS	16
ARTICLE 12 UNIFORMS AND LAUNDRY	16
ARTICLE 13 BULLETIN BOARDS	16
ARTICLE 14 WAGES	17
ARTICLE 15 HEALTH, SAFETY AND SICK BENEFITS	18
ARTICLE 16 PENSION	
ARTICLE 17 UNITE HERE 401(K)	19
ARTICLE 18 NO STRIKE OR LOCKOUT	19
ARTICLE 19 MAINTENANCE TOOL ALLOWANCE	20
ARTICLE 20 DISCRIMINATION	20
ARTICLE 21 RESPECT & DIGNITY	20
ARTICLE 22 MANAGEMENT RIGHTS	21
i	

ARTICLE 23 LAUNDRY AND DRY CLEANING WORK STABILIZATION	21
ARTICLE 24 SUCCESSORSHIP	22
ARTICLE 25 LATERAL SERVICE	22
ARTICLE 26 ENTIRE AGREEMENT	22
ARTICLE 27 DURATION	23
SIDE LETTER	24
APPENDIX "A" PAYROLL RATES	
APPENDIX "B" DEPARTMENTS AND SENIORITY SECTIONS	B-1
APPENDIX "C" MAINTENANCE ADDENDUM	
APPENDIX "D" HOUSEKEEPING ADDENDUM	D-1
APPENDIX "E" BANQUET DEPARTMENT ADDENDUM	E-1
APPENDIX "F" FOOD AND BEVERAGE ADDENDUM	F-1
APPENDIX "G" TEXTILE CARE SERVICES ADDENDUM	G-1
APPENDIX "H" BELL POSITION ADDENDUM	H-1
APPENDIX "I" GUEST SERVICE	I-1
APPENDIX "J" DRUG AND ALCOHOL TESTING	J-1
APPENDIX "K" MUTUAL AGREEMENTS (CUSTOM, PAST PRACTICE,	<b>K</b> _1

This Agreement made this 1st day of October, 2011 by and between Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites, and Textile Care Services located in Rochester, Minnesota, hereinafter referred to as "Employer," and UNITE HERE Local 21 AFL-CIO, hereinafter referred to as "Union."

WHEREAS, it is the desire of the respective parties hereto to avoid disruption in the service and operation of the units covered by this Agreement and to secure the benefits intended to be derived by the Employer, its employees and the Union under these articles of Agreement, it is agreed by and between the Employer and the Union as follows:

### ARTICLE 1 RECOGNITION

The Employer above named, for and on behalf of the properties above named, recognizes the Union as the exclusive bargaining representative of all employees of the Employer employed at the above-properties in Rochester, Minnesota listed in the classifications set forth in Appendix A, with respect to wages, hours, and all other working conditions. All other employees, including supervisors, managers, administrative employees and confidential employees, are excluded from the bargaining unit.

### ARTICLE 2 UNION SECURITY

- 1. The Employer agrees not to enter into any contract or agreement with the employees herein, individually or collectively, which conflicts with the terms and provisions hereof.
- 2. All employees covered by this Agreement who are now or who may hereafter become members of the Union shall, during the life of this agreement, remain members of the Union in good standing or pay fees in lieu thereof as a condition of continued employment. "In good standing" for the purposes of this agreement is defined to mean the payment as required by the Union of a standard initiation fee and standard regular monthly dues relating to the Union's collective bargaining function, applied uniformly to all members of the bargaining unit covered by this Agreement.

Provided, however, temporary summer employees hired between May 1 and September 30 will be exempt for four (4) months from initiation fees normally charged other employees. It is agreed that these temporary positions shall be posted and that any employees who take a temporary position shall not be restricted from bidding on a regular position should one become available. If such employees are retained past September 30, they will be obligated to pay the initiation fee. Such employees will be entitled to all fringe benefits for which they qualify except seniority and insurance.

3. Employees hired who average ten (10) hours or more per week in a four (4) week

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13826232v.2

period or who have been working under a work permit shall, as a condition of employment, become and remain members in good standing of the Union or pay fees in lieu thereof after thirty (30) calendar days employment. All such employees averaging over said ten (10) hours shall have all of the benefits under this Agreement except as to insurance benefits, and such employees shall average twenty-five (25) hours or more per week. Provided, however, that employees in tip classifications who average twenty (20) hours or more per week will be covered by the insurance benefits. In determining the average, the weekly average shall be determined the last pay period of each month based upon the previous twenty-six (26) week period. The twenty-six (26) week period shall be a floating period.

- 4. The Employer shall update the dues checkoff list provided by the Union on a monthly basis to reflect new hires and terminations.
- 5. The Employer and the Union agree not to adopt rules or regulations or to engage in practices that conflict with the express terms of this Agreement.
- 6. The Employer shall check off monthly Union dues and initiation fees and/or other required fees in a manner according to procedures agreed upon between the representatives of both parties, upon receipt of written authorization form to deduct Union dues or fees signed by the employee. By the twelfth (12th) of each month the Union must submit to the Employer in duplicate a current list of deductions to be made. The Employer agrees to remit such deductions to the Union by the last Friday of the current month after receipt of deduction list. The date of dues deductions may be changed by agreement between the Employer and the Union.
- 7. The Employer will send copies of bargaining unit job postings to the Union which may refer candidates for employment. The Employer shall have no obligation to hire any person so referred.
- 8. The designated union representative will be allowed to visit the premises of the Employer for the purpose of administering this Agreement. The Union representative will provide the Human Resources Director or General Manager with as much advance notice as is possible prior to visiting the facility. Upon arriving at the facility, the Union representative will check in at the office. It is agreed that the work of the employees will not be interrupted by such visits. Union representatives will not meet with employees during working time without the knowledge and permission of the Employer.
- 9. New Member Orientation. The Union or a designated representative will be provided access to newly hired employees on the Employer's premises, after thirty (30) days of employment, to provide information about this Agreement and the employees' rights thereunder. Such access shall be for up to thirty (30) minutes, once per month, at a mutually agreed upon time and location.
- 10. Tip Check-Off the Employer agrees to honor political contribution deduction authorizations from employees in the following form:

I hereby authorize my Employer to deduct from my pay the sum of \$\_\_\_\_ per pay period and to forward that amount as my voluntary contribution to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001 ("PAC"). My decision to participate in the UNITE HERE PAC is a

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voluntary one and I understand that I am under no compulsion to contribute to it, since such contributions are neither a condition of my continued employment or of membership in the Union. I also understand that this authorization may be revoked by me at any time and that it is automatically revoked upon termination of my employment.

The political contribution deduction shall be made once each month during which an employee who has performed compensated service has in effect a voluntarily executed political contribution deduction authorization. The money shall be remitted within thirty (30) days after the last day of the preceding month to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001, accompanied by a form stating the name of each employee for whom a deduction has been made, and the amount deducted. The parties have taken into account the cost of administration of this deduction in negotiating the wage increases and benefits specified in this Agreement.

The Union shall indemnify, defend and save the Employer harmless against any and all claims, demands, suits, or other terms of liability that may arise out of or by reason of action taken by the Employer to comply with this Article.

## ARTICLE 3 SENIORITY

- 1. Seniority shall be by section (see Appendix B) and by work location (e.g., The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites, or Textile Care Services), except the banquet department as set forth in the Banquet Department addendum. Employees shall not acquire seniority until they have completed their probationary period; then seniority shall revert to the date of employment.
- 2. Where qualifications to perform the available work are equal, layoff and recall will be by seniority as defined in paragraph 3.

When recalling employees who have been laid off because of reduction of work force, the Union shall be notified by the Employer of such employees who are to be rehired. If an employee so notified does not report for work within seven (7) days from the date his notice was mailed by certified mail, he shall forfeit seniority unless he has reasonable excuse for his failure. In the event an employee is employed elsewhere when he/she receives a notice to report for work, the employee shall not forfeit his/her seniority by not reporting unless the Employer gives the employee reasonable assurance of at least three (3) months steady employment. However, the employee must immediately notify the Employer and waive his right to the particular job that is open.

- 3. Seniority shall mean continuous length of employment with the Employer in the sections listed in Appendix "B." Any employee transferred or re-employed in another section retains but does not accumulate his seniority in his original section and, in addition, commences seniority in his new section.
- 4. Where qualifications are equal, employees shall be promoted within their departments on the basis of seniority. Only when a vacancy occurs or a new position is created can seniority be exercised for purposes of shift preference, days off, and server sections.

- 5. Except where rotation is practiced, servers shall be given preferential stations on the basis of seniority, provided that they are sufficiently qualified.
- 6. As with permanent job openings under section 7, an employee laid off from his/her section shall have preference based on seniority in hiring over any other applicant for other sections of the Employer, even though it may be in another operation, provided qualifications are equal. Seniority shall be forfeited on the following grounds:
  - (a) Voluntarily leaving the employ of the Employer;
  - (b) Discharge for proper cause;
  - (c) Layoffs in excess of six (6) months; or
- (d) Failure to report for work after a layoff within a reasonable time, not to exceed seven (7) days, after the Employer has notified employee to report for work, as previously provided.
- (e) Absences for any reason longer than one (1) year, unless a longer period is required by the Americans with Disabilities Act.
- 7. Permanent job openings in the classifications covered in this Agreement will be posted for a minimum of five (5) days on the Human Resources bulletin board at the Kahler Grand Hotel and in the break rooms at all other facilities covered by this Agreement to advise employees of the opening. Employees interested in the position must advise the Human Resources office in writing of their desire to be transferred or promoted to the open position. Where qualifications are equal, the opening will be filled by seniority, adhering to the following preferences:
- 1st Employees working in the classification at the property where the opening is available;
  - 2nd Employees working in the classification at other properties;
- 3rd Employees working outside of the classification who have demonstrated the skill or potential ability to successfully perform in the position, and who have notified the Employer of their desire to change classifications;
  - 4th Employees working outside of the classification.

For purposes of scheduling, employees moving to a new location in the same classification shall move to the bottom of the seniority list. However, prior classification seniority shall be maintained. If an employee bids for and receives a permanent job vacancy, he/she cannot bid again for a posted job opening for a period of six (6) months. The six (6) month limitation will not apply to requests for shift preferences or work schedules within a classification and section. "Qualifications," as used herein, shall be based on the Employer's reasonable judgment of the applicant's skills, abilities, aptitude, and overall work record. Notwithstanding the foregoing, the Employer may postpone a transfer or promotion where it would leave another department with an insufficient number of skilled employees or an

excessive number of vacancies. Until a permanent job opening is filled, the Employer may use its discretion to select an employee to temporarily fill the opening.

A permanent job opening is a vacancy in a position which is scheduled for fifteen (15) or more hours per week on a regular basis. A permanent job opening will not exist when any person who has a seniority claim on that position is on vacation, sick leave or other leave of absence.

Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the employee is unable to meet job requirements or is unhappy in the position, he/she will be returned to the previous position. Either the employee or management may initiate the return. In the event management initiates the return, the employee shall have the right to grieve the decision under the Grievance and Arbitration Procedure contained herein, provided however, the Employer has acted in an arbitrary or capricious manner.

Any regular employee within a classification and section may exercise their seniority as it applies to shift preference schedules and/or days off up to forty (40) hours in a work week. In the Maintenance Departments, new hires may be trained on the day shift for up to thirty (30) days before shift preference can be exercised to displace that employee; provided, however, that there will be an automatic extension of an additional thirty (30) days in the event the Employer believes that additional time is required to determine the employee's ability to work off-shifts. Employees shall not be placed on the third shift until supervisor is satisfied the employee is capable of working alone.

- 8. The Employer shall furnish a complete up to date seniority list to the Union of all employees covered by this Agreement within thirty (30) days following a request from the Union representative.
- 9. Re-employment of members of the Armed Forces shall be governed by applicable law.
- 10. The Employer and the Union shall make every effort to provide work for incapacitated employees returning from the Armed Forces.
- 11. When an employee is transferred to a position outside the coverage of this Agreement, he/she shall retain seniority for thirty (30) days. At the end of such time, seniority shall be forfeited if the employee retains a position outside the unit.
- 12. In the event the Employer rehires an employee who has been discharged, such employee shall not be reinstated in accordance with his accumulated seniority unless such action is approved by both the Union and the Employer.
- 13. Retirees working on an on-call or part-time basis shall receive the benefits of this Agreement except those provided under Article 3 and Article 11.
- 14. If there is a reposting of a section, the Union will be notified and upon request the Employer will explain the reason for the reposting.

### ARTICLE 4 PROBATIONARY PERIOD

The first sixty (60) days of employment shall be probationary, during which time an employee may be discharged with or without cause and without recourse to the grievance procedure. An automatic extension of the probationary period of an additional thirty (30) days will apply at the written request of the Employer, in the event the Employer believes that additional time is required to determine the employee's qualifications.

### ARTICLE 5 DISCHARGE OR DISCIPLINE

- 1. No employee will be disciplined or (except for a probationary employee) discharged without just cause. In the event a meeting is held for disciplinary purposes, the affected employee shall have the right to have a Union steward and/or Union Business Agent present if the employee so requests.
- 2. Warning notices and other disciplinary action which are to become part of an employee's file shall be read and signed by the employee. Such signature shall not be an admission of wrongdoing by the employee.

Copies of all warning notices and all other disciplinary action given to employees will be mailed to the Union without delay. In addition, it is agreed that if a verbal warning results in a written report by a supervisor for the employee's personnel file, a copy of such notice will be given to the employee.

If an employee avoids disciplinary offenses for a period of eighteen (18) consecutive months, offenses in his/her personal record which preceded that time will not be used as a basis for disciplinary action; such discipline may, however, be introduced in any arbitration proceeding involving the employee.

- 3. The Employer may decline to give the employee the name of the complaining party, but must, upon request, divulge such information to the Union after the Union has received a copy of the discipline, which information the Union will keep confidential. The Employer will provide this information to the employee at an arbitration hearing if so directed by the arbitrator.
- 4. The Employer shall at reasonable times and at reasonable intervals, upon the request of an employee, permit the employee to inspect such employee's personnel file on the employee's own time.

# ARTICLE 6 GRIEVANCE AND ARBITRATION PROCEDURE

- 1. The grievance procedure set forth in this Article is established for the specific purpose of providing prompt and amicable means of settlement of all questions arising under the terms of this Agreement or the application of them. Both the Employer and the Union intend to make every effort to settle grievances quickly and amicably and with a minimum of friction.
- 2. An employee may, with or without the assistance of a shop steward, first attempt to resolve workplace disputes with the employee's manager. If not resolved informally, the following shall be the grievance procedure:
- Step 1. The grievance shall be reduced to writing by the Union Business Agent within twenty-one (21) calendar days from the date of the incident giving rise to the grievance, or within twenty-one (21) calendar days of when the employee reasonably should have had knowledge, and shall be furnished to the Human Resources Director. The written grievance shall set forth the facts giving rise to the grievance, including the dates and persons involved, identify the Agreement provisions violated, and state the relief requested.
- Step 2. The Union Business Agent and the Human Resources Director shall meet within fourteen (14) calendar days of receipt of the written grievance and attempt to settle the grievance. If the grievance is not settled, the Employer shall issue a written response to the grievance within seven (7) calendar days of the meeting. The Employer's failure to issue a written response within this time period shall be considered a denial of the grievance; provided, however, it is the Employer's intent and it will use its best efforts to provide a substantive written response to the grievance within seven (7) calendar days of the grievance meeting.
- Step 3 (Optional). If the grievance is not settled at Step 2, the Union Business Agent may appeal the grievance to mediation within seven (7) calendar days from the date of the decision rendered in Step 2 by giving written notice of a request for mediation to the Employer and the Federal Mediation and Conciliation Service (FMCS), Minnesota Bureau of Mediation, or other neutral mediation agency. Mediation shall consist of up to two (2) Employer representatives and up to two (2) Union representatives, and a neutral mediator acceptable to both parties, who shall mediate the dispute in an attempt to have the parties reach a settlement. No attorneys or other consultants may participate in the mediation. The proceedings shall be informal and no formal record of the proceedings shall be made. If no settlement is reached, the mediator shall provide the parties with an immediate written advisory decision of the grievance, including the grounds for such decision. All offers to compromise presented during the mediation, as well as any decision of the mediator, shall be confidential and non-admissible in any subsequent proceedings.
- Step 4. If the grievance is not settled at Step 3, or if the Union Business Agent chooses to skip Step 3, the Union may submit the matter to arbitration within fourteen (14) calendar days of the date of the mediation or the Employer's written response (or failure to respond) to the grievance by furnishing the Employer with a written request for arbitration and proposing therein the names of three (3) arbitrator(s) acceptable to the requesting party. The Union shall also state in writing the matter to be arbitrated and the relief that is sought. If the parties are unable to

agree upon an arbitrator within fourteen (14) days, the Union shall request the FMCS to submit a panel of seven (7) names. The Employer and the Union shall alternate striking one name from the list submitted until only one name remains. The Union shall take the first strike. The cost of securing the list of arbitrators shall be shared equally between the Employer and the Union.

Arbitration shall be handled in the following manner:

The authority of the arbitrator shall be limited solely to the determination of the matter submitted in writing at the time of request for arbitration. The arbitrator shall not have power to add to, subtract from, or modify in any way the terms of this Agreement. If, during the course of the arbitration hearing, either party introduces any facts which were not introduced during any of the steps of the grievance procedure, the other party shall be granted an extension of hearing upon request.

The decision of the arbitrator shall be made not later than thirty (30) days after the submission of post-hearing briefs, and his/her decision shall be final and binding upon both parties and the employee(s) involved.

Expenses of the arbitrator shall be paid equally by the Employer and the Union. If a court reporter is used, the ordering party shall pay the cost thereof, unless the other party requests a copy of the transcript, in which case the cost of the court reporter and transcript shall be paid equally.

The time limitations set forth herein relating to the time for filing a grievance and the demand for arbitration shall be mandatory. Failure to follow said time limitations shall result in the grievance being permanently barred and waived. The time limitations and/or grievance steps provided for herein may be extended or waived by mutual written agreement between the parties.

- 3. Mathematical or mechanical mistakes on a paycheck resulting in an under or overpayment of the employee may be corrected within sixty (60) calendar days of the pay day involved. If an error is discovered, it must be corrected by payment within five (5) business days (Monday Friday); provided, however, that the Employer will make a concerted effort to make the corrected payment sooner than five (5) business days if possible.
- 4. The Union shall advise the Employer of the names of the Union Stewards who shall participate in the grievance procedure and who shall be recognized by the Employer as representatives of the employees for purposes of enforcing this Agreement, and who will generally act as representatives on the job of the Union.

The words "Union Steward" shall mean and refer only to employees who are designated by the Union in writing to the Employer as authorized representatives of the employees of a specific department for grievance procedure purposes. Whenever such authorization is withdrawn as to an individual Union steward or a new Union steward is added to the number of those authorized, the Union shall promptly notify the Employer in writing of such action. The Employer and its representatives shall be fully protected with a Union steward so authorized with respect to any grievance as to which he has at any time purported to represent the aggrieved employee and they need not deal with any Union steward not so authorized.

5. When a grievance requires the attention of a shop steward during working hours,

he/she shall first secure the permission of his/her supervisor. The handling of all grievances shall be done during working hours, without any deduction from wages of employees who necessarily attend. The Union agrees to attempt to minimize any disruption to the Employer's operation

## ARTICLE 7 LEAVES OF ABSENCE

1. Employees may be granted unpaid leaves of absence by the Employer for a period of not more than thirty (30) days. Leaves of absence in excess of thirty (30) days, and any extensions of leaves beyond the thirty (30) days, shall be put into writing by the Employer and a copy kept by the employee, the Employer and the Union. Requests for such leaves and extensions shall be made to the employee's immediate supervisor. However, leaves of absence for illness or injury will be granted upon request for absences not to exceed one (1) year, unless a longer period is required by the Americans with Disabilities Act.

Illness or injury which qualifies for leave under the Federal and Family Medical Leave Act will run concurrently with Family and Medical Leave Act leaves.

Employees who have been employed for at least one (1) year may be granted a leave of absence for educational purposes up to twelve (12) months, provided the leave is used for future employment with the Employer, and is approved by management.

- 2. The Employer agrees to grant necessary time off without pay or loss of seniority rights to any employee designated by the Union to attend a labor convention or serve in any capacity on other official Union business. The Union agrees to give in writing two (2) weeks notice to the Employer. It is agreed that there shall be no disruption of the Employer's operation.
- 3. Any employee who is appointed as a full time Business Representative or is elected to a full time office of the Union, or the International Union, shall be given a leave of absence not to exceed a total of six years.
- 4. Disability leaves of absence for employees will be granted in accordance with the recommendation of the attending physician, not to exceed one (1) year unless a longer period is required by the American with Disabilities Act. Maternity/paternity leaves will not be granted beyond three (3) months unless supported by the attending physician.
- 5. Failure to report for work at the end of the period of a leave of absence is equivalent to resignation.
  - 6. Seniority shall accumulate during the period of leave of absence.
- 7. In the event of the death of a member of an employee's immediate family, he/she will be granted time off from work with pay for up to three (3) consecutive days, one of which must be the day of the funeral. The employee will be paid for that portion of his/her regular week's work which falls within the above three (3) day period if he/she was, under the terms of this Agreement, scheduled to work. If bereavement leave occurs during an employee's scheduled vacation, the employee will be permitted to substitute bereavement leave in lieu of vacation time. The Employer may require an employee to provide proof of death.

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Immediate family shall mean the employee's father, mother, father-in-law, mother-in-law, spouse, previously declared (on Employer form) same-gender domestic partner, children, stepchildren, stepparents, guardian, brother or sister, grandchild, son-in-law, daughter-in-law, grandparents, half-brother, stepbrother, half-sister, stepsister, current grandparent-in-law, current brother-in-law and current sister-in-law.

In addition to the foregoing paid leave, an employee will be permitted to take one (1) day of unpaid leave in order to serve as a pallbearer. An employee also may take off up to three (3) days without pay to attend the funeral of an aunt or uncle.

8. Jury Duty: If a regular employee with seniority is summoned for petit or grand jury service, such employee shall be paid the difference between jury pay and the pay the employee would have earned from the Employer for each day of jury duty which falls on a day on which the employee would otherwise be scheduled to work. If on a day the employee would otherwise be working for the Employer, he or she is released from jury duty prior to the end of his or her scheduled shift, the employee will be expected to return to work as soon as possible. No employee shall be required to perform work for the employer during any twenty-four (24) hour period (11:00 p.m. - 11:00 p.m.) during which the employee is required to be present for a petit jury or grand jury service.

To be eligible for benefits under this section, the employee must endorse and turn over to the Employer the check received for jury duty. All hours spent on jury duty will be credited for purposes of calculating vacation and holiday benefits. The Employer will in turn pay the employee the pay the employee would otherwise have earned on that day. Payment for jury duty service will be limited to a maximum of six (6) calendar weeks for each Agreement year.

9. The Employer is obligated to continue to provide health insurance benefits during an employee's leave of absence under this Article only to the extent required by law (e.g., FMLA or Minnesota Parental Leave Law).

# ARTICLE 8 WORKWEEK

1. The basic work week shall consist of five (5) days, forty (40) hours, and two (2) consecutive days of rest within a seven (7)-day period, starting the first shift on Friday of each week; provided, however, this shall not serve as a guarantee of a minimum number of hours or a minimum number or length of shifts. Time and one-half shall be paid after forty (40) hours worked or paid as an approved holiday or vacation day in any one work week. Time and one-half shall be paid for all hours worked on the sixth (6th) and seventh (7) day in a work week to all employees except banquet servers. However, banquet servers will not normally be required to work a sixth (6th) day until all regular full-time servers have been rescheduled for five (5) days. Double time will be paid after forty-eight (48) hours worked or paid as an approved holiday or vacation day in a work week. Employees recalled from layoff to work on the employee's scheduled days of work will be paid straight time.

Time and one-half is to be paid for any hours worked in excess of eight (8) hours worked in a work day. Provided, however, that daily overtime will not apply to function and on-call employees who work less than four (4) days per week. There shall be no pyramiding of

overtime.

Sick leave, paid or unpaid, will not count for purposes of computing overtime.

By mutual agreement between employees and the Employer, nonconsecutive days off may be scheduled. The nonconsecutive days off schedule will not be binding on any other employee. Irrespective of the foregoing, non-consecutive days off may be scheduled for function and on-call personnel.

- 2. Any employee in the maintenance departments who is called from home for an emergency condition shall be given two (2) hours minimum pay at time and one-half for such call-in work. Emergency callbacks will be handled on a seniority basis among employees qualified to correct the emergency. Employees shall be paid for all overtime work and shall not be required to take time off for extra time worked.
- 3. Employees in the maintenance departments, housekeepers and Kahler PBX operators shall be paid a premium of fifty cents (50¢) for all hours worked between 10:00 p.m. and 6:00 a.m., except for call-in work.
- 4. Employees who work beyond their regular daily schedule in any day shall not be required to take time off later in the work week because of such extra hours. The Employer agrees not to schedule employees for work with less than eight (8) hours between shifts, unless mutually agreed upon by the employee and the Employer; provided, however, that this provision shall not apply to employees working split-shifts pursuant to Article 8, Section 11.
- The Employer reserves the right to prepare work schedules and to schedule days off. Work schedules and scheduled days off shall be posted in each department as far in advance as possible, but not later than Monday at 5:00 p.m, prior to the beginning of the work week involved. Employees will have until 5:00 p.m. on Tuesday to contest any discrepancies in the schedule. After 5:00 p.m. on Tuesday, the schedule will not be changed except in emergencies and/or for business needs. When cancelling a scheduled shift, the Employer will attempt, at least two (2) hours before the start of the employee's scheduled shift, to speak with the employee directly by calling the phone number in the employee's personnel file; if the Employer gets the employee's voicemail/answering machine or another person answers, the Employer will leave a message. Work schedules and scheduled days off may be changed without notice in case of emergency and/or for business needs. Any employee who reports to work on his scheduled day off at the request of the Employer will be paid time and one-half for all hours worked on that date. Employees who are scheduled in advance to work one or both of their days off, and calls in sick earlier in the week, shall not be eligible for time and one-half on the scheduled day off. In order to secure time off for a doctor's appointment, employees must provide notice of the appointment at least a week prior to the start of the new schedule, except in cases of emergency.
- 6. Temporary Hours Reductions. In the event it is necessary to reduce staffing on a short term basis because of low occupancy, the Employer will grant employees, at their request, absent days on a voluntary basis.
- 7. New extra or additional employees will not be utilized to prevent regular full-time employees from working forty (40) hours during a work week; provided, however, this does not constitute a guarantee of hours.

- 8. Employees shall be granted preferential work schedules and preferential days off in accordance with their seniority within the section and the respective units consistent with the efficient operation of the section.
  - 9. The following reporting pay guarantees will apply:
- (a) A four (4) hour call-in on an employee's day off and a minimum reporting pay on a regular work day of four (4) hours for all employees normally scheduled to work in excess of twenty (20) hours per week.
- (b) Employees who normally are scheduled for twenty (20) or less hours per week or on-call employees including function personnel will be guaranteed two (2) hours.
- (c) A person-called back after having completed his work shift will receive a minimum of two (2) hours call back pay.
- (d) Split-shift employees will receive a three (3) hour guarantee per shift, however, this guarantee would not apply to those employees who choose to voluntarily leave early.
- (e) Employees scheduled or called in for a training session or mandatory meeting will be paid a minimum of two (2) hours at the appropriate rate of pay.
- 10. The senior employees in a classification who are on duty shall be given first preference to work overtime. If senior employees on duty in a particular job classification reject an offer of overtime, the junior employees on duty must perform the overtime work. Involuntary overtime will be assigned based on reverse seniority.

An employee working on his/her regular day shall be required to work overtime before an employee who is working on his/her day off.

11. Lunch periods will be scheduled for a maximum of thirty (30) minutes for all employees, except for food and beverage employees, who will be expected to take lunch when and to the extent that operations permit.

Meal periods shall be an uninterrupted one-half (1/2) hour for which the employee is not to be compensated. If employees are required to work any portion of the meal period, they shall be paid for the entire meal period. Employees are responsible for clocking in and out at the beginning and end of each thirty (30) minute meal period.

The Employer shall provide meals which are palatable and wholesome at a cost to employees which it determines. Employee meals shall be served under clean and sanitary conditions.

- 12. Employees shall be entitled to one (1) fifteen (15) minute break for each four (4) hours of work. It is understood, however, that the Employer reserves the right to schedule the breaks. Employees who work overtime beyond the end of their shift will be entitled to an additional fifteen (15) minute break after each additional two (2) hours of overtime worked.
  - 13. Textile Care Services shall have the option to schedule a four (4) day work week

using ten (10) hour days in accordance with the following:

The work weeks shall consist of four (4) work days and three (3) scheduled days off.

Two (2) days scheduled off to be consecutive.

Hours in excess of ten (10) in a work day or forty (40) in a work week to be paid at one and a half (1-1/2) times base rate. Hours worked on scheduled days off to be paid at one and a half (1-1/2) times base rate.

When computing holiday pay, participating employees will have average days computed using four (4) days per week to a maximum of ten (10) hours.

When computing vacation pay, participating employees will have average days computed using four (4) days per week. Vacation taken in less than full week increments will be computed based on this average day to a maximum of ten (10) hours.

- 14. At Textile Care Services, the Employer agrees to give preference to senior employees in selecting shifts. Where operations permit, the Employer will provide a Textile Care Services driver with fourteen (14) days' notice of any reassignment to a new route.
- 15. The Employer shall not require an employee to work alone without a reasonable amount of training as provided and determined by the Employer.

### ARTICLE 9 HOLIDAYS

1. The following shall be classified as holidays: Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day and New Year's Day. Any time worked on those days shall be paid for at double time. Management shall have the exclusive right to determine which holidays are to be worked.

Should one of the foregoing holidays fall on Sunday, it will be celebrated on Monday in Textile Care Services. In all other facilities covered by this agreement, Sunday holidays will be celebrated on that day and paid for accordingly.

Should one of the following holidays fall on Saturday, it will be celebrated on Friday in Textile Care Services. In all other facilities covered by this Agreement, Saturday holidays will be celebrated on that day and paid for accordingly.

2. Holiday pay will be granted to all employees with established seniority irrespective of the day of the week on which it falls. To qualify for pay, an employee must work his regular scheduled work day before and after the holiday. Pay for holidays for employees not scheduled to work will be based on the average daily hours worked by each eligible employee in the twelve (12) week period preceding the holiday up to a maximum of eight (8) hours. An employee who is scheduled to work on a holiday and then fails to report for work will not receive holiday pay unless the absence is an excused absence.

An employee who is absent on the day before or after the holiday on compensable time

(vacation, paid sick-leave, etc.) will not be disqualified if otherwise eligible for holiday pay. In addition, an excused absence on the day before or day after a holiday will not disqualify an employee from receiving holiday pay.

Such hours paid for will be counted as hours worked for overtime purposes if the holiday falls on an employee's regularly scheduled work day.

Employees with seniority who are normally scheduled to work five (5) days will have designated sixth (6th) and seventh (7th) days and such days will not be changed in holiday weeks to avoid payment of overtime for work on the sixth (6th) and seventh (7th) day of a work week. This does not apply to function employees who work on an on call basis.

- 3. If the holiday comes during the employee's regularly scheduled vacation the employee shall have the option to convert vacation pay to holiday pay or to receive an extra day's pay.
- 4. An employee receiving sick leave pay on leave of absence shall not receive holiday pay.
- 5. Regular full time bartenders shall not suffer a loss of pay due to their inability to work at their usual assignment or a related assignment during scheduled hours on an election day.
- 6. Employees with eight (8) or more years of service shall be entitled to one (1) paid personal day. Employees with sixteen (16) or more years of service shall be entitled to two (2) paid personal days. Employees with twenty (20) or more years of service shall be entitled to three (3) paid personal days. The paid personal days are to be scheduled by mutual agreement in advance or to cover uncompensated days of sick leave. The paid personal days must be used within the employee's anniversary year. Eligibility is based upon the employee's anniversary date of employment.

Personal days may be scheduled and taken in advance of the employee's anniversary date, subject to repayment by the employee if the employee does not remain an employee until his/her next anniversary date. Each employee's check stub will reflect the employee's personal day balance.

# ARTICLE 10 VACATIONS

1. Employees shall receive vacations at the following rates:

After one (1) year of continuous service - one (1) work week vacation;
After three (3) years continuous service - two (2) work weeks vacation;
After fifteen (15) years continuous service - four (4) work weeks vacation.

2. Any employee whose average work week during the vacation year is between thirty-eight (38) and forty (40) hours will be paid vacation pay for forty (40) hours. Any employee whose average work week during the vacation year is less than thirty-eight (38) hours

or more than forty (40) hours will be paid vacation pay equal to his average work week. Time spent by members of the Union Negotiating Committee in negotiations for renewal of this Agreement at the time of its expiration shall be included in computing an employee's average work week.

Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued vacation pay for each hour on vacation.

<u>Tipped Employee Vacation Adjustment.</u> In addition to their base hourly rates, tipped employees working in the classifications of doorperson, bellperson, bell captain, all servers in functions, room service and all restaurants and lounges, shall be compensated at the rate of three dollars (\$3.00) per hour for all vacation hours taken; effective September 1, 2012, this rate will be increased to three dollars and twenty five cents (\$3.25); and effective September 1, 2013 this rate will be increased to three dollars and fifty cents (\$3.50). "Base rate" for purposes of this Agreement means the wage rate assigned to the position excluding any premiums or differentials.

Employees shall earn vacation on a biweekly basis prorated in accordance with compensable hours for the pay period. The biweekly pay stub shall show total vacation hours accumulated. Employees will be eligible to receive their vacation benefits as they accrue it each pay period, providing, however, it can be scheduled with the employee's supervisor. A maximum of two (2) years' vacation may be accumulated. Once the employee has accumulated the maximum of two (2) years' vacation entitlement he/she shall stop accumulating additional vacation but shall not lose any vacation accumulated. Accumulation shall resume as soon as the employee uses accumulated vacation.

By mutual agreement, Employees may sell up to forty (40) hours of accrued vacation one time during a calendar year.

- 3. Fully earned vacation pay shall be paid in advance of the scheduled vacation if requested by the employee at least two (2) weeks in advance.
- 4. A vacation sign-up list shall be posted in each work unit at which time employees will sign for vacation between February 15 and March 31 for the period April 1 of the same year to March 31 of the following year. Thereafter, vacations will be selected on a first come, first serve basis and will not be subject to being bumped. Scheduling of vacation shall be arranged so that the functioning of the department shall not be impaired and shall be subject to the Employer's approval. Vacations can be arranged in one (1)-day increments by mutual agreement between the supervisor and the employee involved.

Vacation requests made over forty-eight (48) hours in advance will be honored whenever reasonably possible. The Employer agrees to affirm or deny in writing the employee's written request for vacation within seven (7) days of receiving such request.

Employees will be permitted to take vacation year round, provided, however, that the Employer may require adequate staffing levels to meet business needs.

5. Vacations shall be taken within the year following the date the employee becomes eligible. In case of emergency, by mutual agreement an employee may work his vacation and

receive his vacation pay in addition to wages for the hours worked or arrange his vacation for some other time.

Employees may take their vacations in one (1) hour increments if requested and approved in advance.

- 6. If an employee's services are terminated prior to the time of the taking of his vacation, he shall be immediately paid the full amount of his accumulated vacation pay, provided such employee has completed one or more years of service.
- 7. If an employee becomes ill during his regularly scheduled vacation and qualifies for sick benefits he may re-schedule his unused vacation.

### ARTICLE 11 , <u>INSURANCE BENEFITS</u>

- 1. Employees who satisfy the average hours worked requirements set forth in Article 2, Paragraph 3, will be eligible to participate in the Employer's health and life insurance plans on the same terms and conditions as all other employees of the Employer. The Employer has the right to modify or eliminate these benefits (including providers) and increase the employee contributions to same. Said changes or increases in contributions shall be the same as those applicable to all other employees of the Employer. It is also agreed that the plan year, including enrollment periods, shall be the same as is applicable to all other employees of the Employer.
- 2. Except for a violation of the express terms of this Article, any question or dispute in connection with the Employer's health insurance plans is specifically excluded from the grievance and arbitration procedures of this Agreement.

### ARTICLE 12 UNIFORMS AND LAUNDRY

The Employer agrees to furnish and launder uniforms for all employees who are required to wear them. These uniforms shall not be worn off the premises unless authorized. The employee is expected to treat the uniforms with care. The Employer also agrees to replace, at no cost to the employees, those uniforms which have become permanently stained or worn out.

### ARTICLE 13 BULLETIN BOARDS

The Union shall be entitled to reasonable use of the bulletin boards of the Employer for the purpose of posting notices of official business. Other matters of interest to employees may be posted if approved by the Employer. It is agreed that the bulletin boards may be locked and a key maintained by the Management.

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### ARTICLE 14 WAGES

- 1. A schedule of "Appendix A" covering job classifications and base wage rates is attached and made a part of this Agreement. Additionally, the parties agree as follows:
- (a) <u>Maintenance Boiler Pay</u> \$1.00 per hour paid for all hours worked to employees with a license, working full-time at a hotel where a license is required. (TCS will continue current practice.)
- (b) If applicable state or federal minimum wage is increased, all bellpersons, doorpersons, and servers will receive the same cents per hour as the minimum wage increase cents per hour.
- 2. Except pursuant to the Lateral Service Article of this Agreement (Article 25), an employee required to fill a higher rated job temporarily shall receive the rate for that job while on that job and must be paid such higher rate for at least three-tenths (3/10ths) of an hour. An employee required to fill a lower job temporarily shall receive his regular rate while on that job.

Employees who request hours in a lower paying job in order to more nearly reach full-time employment will be paid at the rates of the job being performed.

Except pursuant to the Lateral Service Article of this Agreement (Article 25), bargaining unit employees will not be required to temporarily fill in for non-bargaining unit positions.

- 3. Employees being paid over scale shall receive the same percentage increase as employees paid on the Agreement's scale.
- 4. If any new classifications are added during the life of this Agreement, wages for the same shall be negotiated by letter or addendum and made a part of this Agreement.
- 5. All rate increases shall become effective in the first pay period after the employee becomes eligible for the rate increase, it being the intention of the parties that changes in rates of pay not be made during a work week.
- 6. Employees who are required to leave their jobs because of occupational injury will receive pay for all hours scheduled to work on the day of the injury or accident.
- 7. Banquet servers working cashier/vending functions shall receive the five (5) year snack bar attendant rate of pay. Senior employees shall have the right to defer such functions to the junior employee provided there are sufficient employees to staff the event.
- 8. A split shift shall be defined as any break of more than one (1) hour during working hours. All split shifts will be completed within a twelve (12) hour period, with the exception of function employees and bellpersons. A premium of twenty cents (20¢) per hour will be paid for all hours worked on any split-shift except tip classifications.

### ARTICLE 15 HEALTH. SAFETY AND SICK BENEFITS

1. All regular employees who have completed their probationary period of employment and attained seniority status will be eligible for sick leave benefits beginning with the second day of absence for actual illness. However, sick leave will be paid to any employee on the first day of hospitalization and for the first work day of any three (3) or more consecutively scheduled work day absences.

For employees hired prior to September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of eighty (80) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year. The maximum benefit that can be accumulated by such an employee will be three hundred (300) hours. Upon an employee reaching the maximum balance, the employee may sell up to sixty (60) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date.

For employees hired on or after September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of forty (40) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year of employment. The maximum benefit that can be accumulated by such an employee will be three hundred (300) hours. Upon an employee reaching the maximum balance, the employee may sell up to sixty (60) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date.

2. Sick leave may be converted to hours in the instance of an employee becoming ill after having reported for work. The hours lost by such an employee on that day by reasons of illness will be accumulated to establish eligibility for sick leave after the required waiting period.

Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued sick leave for each hour of sick leave provided they meet the sick leave eligibility requirements.

- 3. To receive sick pay after three (3) days of illness, an employee must present a doctor's certificate as proof of illness. Absences due to accidents covered by workers' compensation are not eligible for sick leave benefits; provided, however, that sick leave which has been accumulated can be utilized in connection with workers' compensation benefits in order to permit an employee to receive up to the employee's average income in a combination of workers' compensation and sick leave pay.
- 4. The Employer will do everything reasonably possible to create and maintain safe, healthful and sanitary working conditions. The Union agrees that it will endeavor to have its members observe all of the safety rules.
- 5. The Employer may make temporary work reassignments in order to accommodate the light duty or special work requirements of an employee returning to work from a work-related injury or illness. Such reassignments will be limited to sixty (60) days unless extended by mutual agreement.
- 6. The Employer agrees that employees shall be allowed to use any of their accrued sick time for those absences which would be covered by the Family and Medical Leave Act.

### ARTICLE 16 PENSION

- 1. The Employer will continue to maintain and administer a pension plan ("Plan"). The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service. The pension program will be funded by the Employer as required by ERISA and the Internal Revenue Code. Employees who work beyond age sixty-five (65) will continue to accrue the full benefits subject to the maximum accumulation of forty-five (45) years.
- 2. The following is a brief outline of pension eligibility and benefits. The Plan and Trust Document are the ruling documents in all respects:

Eligibility - Age twenty-one (21), one (1) year of service, and 1,000 hours worked within a twelve (12) month period.

Normal Retirement Age sixty-five (65) and five (5) years of participation.

Early Retirement Age sixty-two (62) and five (5) years of participation.

Disability retirements are available to qualified employees.

The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service up to forty-five (45) years.

There are a number of optional methods for payments of benefits

Vesting occurs after five (5) years of Vesting Service

3. The Employer shall have the right to amend the Plan from time to time, consistent with the foregoing terms.

### ARTICLE 17 UNITE HERE 401(k)

Employees will be eligible to participate in the 401(k) plan created and administered by the Union ("Union 401(k) Plan"). The Employer will not match employee contributions to and the Union will be responsible for all the costs of the Union 401(k) Plan, including all costs associated with the administration of the Union 401(k) Plan.

### ARTICLE 18 NO STRIKE OR LOCKOUT

1. There shall be no strike, picketing, work stoppage, slow down, sit downs, or cessation of work, including of a sympathy nature, boycotts, or any walk out of any kind or for any reason, including any dispute relating to alleged unfair labor practices, during the term of this Agreement. The provisions of this Article shall be absolute and shall apply regardless of whether the dispute is subject to arbitration under the provisions of Article 6 of this Agreement.

2. It will not be a violation of this agreement for employees to refuse to go through a legally authorized picket line in any strike approved by a two-thirds (2/3) vote of the executive board of the Union.

## ARTICLE 19 MAINTENANCE TOOL ALLOWANCE

Maintenance employees will be entitled to a tool allowance of up to \$325.00 per year to maintain and replace tools required by the Employer. Paid receipts must be presented to the Employer before payment is received by the employee. Employees will be reimbursed within fifteen (15) business days of presenting a receipt.

The tool allowance will be paid to eligible employees who are actively employed on September 1. For those employed less than a full year at that time, the tool allowance will be prorated based on the number of full months worked in the preceding twelve (12) months resulting in one-twelfth (1/12th) of the allowance for each month.

Specialized tools and test equipment required for maintaining equipment, but not listed on the employee tool list will be provided by the employer. These tools will not generally be issued to maintenance employees, but will be stocked in the maintenance shop to be issued on an as needed basis.

# ARTICLE 20 DISCRIMINATION

The Employer and the Union agree to abide by the federal law prohibiting discrimination in hiring practices because of sex, race, age, religion, color, national origin or union membership. The Employer further agrees that there shall be no discrimination in regard to tenure, upgrading or work assignments because of sex, race, age, religion, color, national origin or union membership. All employees shall be permitted to wear their official Union button and/or official steward button provided the button is no larger than one and one-quarter (1-1/4) inches in diameter. However, the foregoing limitation on the number and size of buttons worn shall not apply to employees in classifications that are not visible to the public.

### ARTICLE 21 RESPECT & DIGNITY

The Union and the Employer recognize that workers in the hospitality industry are professional employees deserving of the highest regard. The Union, the Employer, the non-Union and Union employees will work together to honor the principles of respect, and dignity. The parties and non-Union and Union employees agree that the continued success and operation of this establishment is dependent upon their mutual respect for one another's work.

## ARTICLE 22 MANAGEMENT RIGHTS

Except as limited by the express provisions of this Agreement, and longstanding mutually agreed written custom and past practice, the management of the business and the direction of the working forces shall rest solely and exclusively with the Employer. This includes, but is not limited to, the right to hire; to determine the quality and quantity of work performed; to determine the number of employees to be employed; to determine the jobs and job classifications; to layoff employees; to assign and delegate work; to maintain and improve efficiency; to promulgate, rescind, revise and require observance of rules, regulations, and other policies; to direct the activities of all employees employed by the Employer; to schedule work and to determine the number of hours to be worked; to determine the methods and equipment to be utilized and the type of service to be provided; to create, combine and to eliminate job classifications; except as limited by Appendix F, to subcontract bargaining unit work including using temporary agency employees for same; and to change, modify or discontinue existing methods of service and equipment to be used or provided.

### ARTICLE 23 LAUNDRY AND DRY CLEANING WORK STABILIZATION

Notwithstanding any strike, work stoppage, interruption of work or other economic sanction instigated or conducted by the Union or employees against the Employer for any good reason, specifically including the occasion of negotiating new or different terms of collective bargaining agreements, there shall be no work stoppage or interruption of work as relates to materials being processed in the laundry and dry cleaning departments for the use of any public and private hospitals, the Mayo buildings and nursing homes.

If such a strike or work stoppage occurs, the Employer and the Union will cooperate with the other to the end that regular employees of the Employer will be made available for the processing of such uninterrupted work and services of public and private hospitals, the Mayo buildings and nursing homes.

In consideration of the foregoing no-strike agreement, the Employer agrees that it will not process any other work in its laundry and dry cleaning facilities during the term of a strike, so long as the Union and the employees do process, without interruption, all work required for the use of any public and private hospitals, the Mayo buildings and nursing homes.

This Article shall remain in full force and effect for a period equal to the life of this Agreement and/or any renewal thereof plus six (6) months in addition thereto.

Any breach or threatened breach of this Article or any of the terms thereof in addition to any remedies at law or this Agreement shall be subject to suit for specific performance by the Employer or the Union.

### ARTICLE 24 SUCCESSORSHIP

In the event the owner of a facility covered by this Agreement decides to sell, transfer or assign its interest in any of the three (3) hotels listed on page one (1) of this Agreement, or in Textile Care Services, it will, prior to closing, provide a copy of this Agreement to the purchaser, assignee or transferee. In addition, the Employer will notify the Union and bargain in good faith over the effects of the pending sale, transfer or assignment on bargaining unit employees, prior to closing. The Employer agrees to notify the Union of the owner's intent to sell, transfer or assign its interest at the earliest possible date but in any case, no later than the date, of the execution of the purchase agreement.

### ARTICLE 25 LATERAL SERVICE

To support the Employer's provision of a high level of service to guests of the hotels covered by this Agreement, a high degree of cooperation with managers and with workers is required. In order to promote cooperation in the workplace, managers and workers are encouraged to develop ongoing communication. Consistent with the needs of the workplace, the Union recognizes that cooperation can be beneficial to both the workers and a hotel.

Management may, using reasonable discretion, utilize a policy of lateral service for limited periods of time to satisfy guests' and the hotel's needs. Lateral service consists of an employee performing work which ordinarily is performed by employees in a different job classification and is designed to allow employees to help where needed until guest or other hotel needs are satisfied.

### ARTICLE 26 ENTIRE AGREEMENT

This Agreement incorporates the entire understanding between the parties and supersedes all prior agreements, letters of understanding, grievance settlements and past practices between the parties except for those practices identified by the parties in Appendix K that may continue to be relevant. This Agreement shall be modified or amended only by a writing referring to this Agreement executed by both parties setting forth the amendment or modification.

### ARTICLE 27 DURATION

This Agreement shall be effective as of October 1, 2011 and continue in full force and effect to and including the 31st day of August, 2014 and continue thereafter from year to year unless either party hereto shall, at least sixty (60) days previous to the termination of any yearly period, notify the other party in writing of its intention to amend, modify or terminate this agreement. By yearly period the parties understand that the anniversary date of this Agreement will be August 31st of any succeeding year unless changed by mutual consent of the parties.

Wage increases set forth in Appendix A will be effective on the first full pay period after September 1, 2011, the first full pay period after September 1, 2012 and the first full pay period after September 1, 2013.

In the event of actual declaration of war by the Congress of the United States, this Agreement may be reopened by either party on sixty (60) days written notice.

IN WITNESS WHEREOF, The Employer and the Union have hereto set their hands this day of October 2011.

SUNSTONE HOTEL PROPERTIES, INC.
AS AGENT FOR DBA THE KAHLER
GRAND HOTEL, ROCHESTER
MARRIOTT MAYO CLINIC AREA,
KAHLER INN & SUITES AND
TEXTILE CARE SERVICES

Robert LaCasse

Regional Director of Operations

UNITE HERE LOCAL 21 AFL-CIO

Brian Brandt

President /, Business Representative

Nancy Goldman

International VP, UNITE HERE

#### SIDE LETTER

Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites and Textile Care Services located in Rochester, Minnesota, ("Employer") and UNITE HERE Local 21 AFL-ClO, enter into this Side Letter to their October 1, 2011 – August 31, 2014 collective bargaining agreement.

As a result of the elimination of the retiree life insurance benefit set forth in the parties' September 1, 2005 to August 31, 2010 collective bargaining agreement, the Employer has agreed that employees who were eligible as of September 1, 2011 or would become eligible by August 31, 2014 for this benefit will receive no later than December 15, 2011, a payment of two hundred and fifty dollars (\$250.00) minus applicable legal deductions.

SUNSTONE HOTEL PROPERTIES, INC.
AS AGENT FOR DBA THE KAHLER
GRAND HOTEL, ROCHESTER
MARRIOTT MAYO CLINIC AREA,
KAHLER INN & SUITES AND
TEXTILE CARE SERVICES

Robert LaCasse

Regional Director of Operations

UNITE HERE LOCAL 21 AFL-CIO

Brian Brandt

President / Business Representative

Nancy Goldman

International VP, UNITE HERE

#### APPENDIX "A"

#### Payroll Rates

The rates of pay for classifications are set forth below. The hiring rate shall apply to employees transferring to another classification, providing there is no decrease in hourly rate. After an employee who has transferred to another classification completes three (3) months of continuous employment in the new classification, he/she will be granted the appropriate service rate based upon his/her continuous employment with the Employer. Employees will, however, be given credit for actual experience in filling in on the new position towards the three (3) months of continuous employment.

The Employer will have the right to select the individuals who will be classified lead and to determine the number of lead positions and the shifts where they will be utilized. The Employer will also have the right to increase or decrease the number of lead positions in the future. The minimum wage differential for lead positions will be fifty cents (50¢) per hour. Leads shall be responsible for the general direction of employees in the department and ensuring that all tasks are completed.

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Textile Care Services Production I Feeder/Folder Inventory Preparation	<u>Hire</u> 8.76	<u>12 Mo</u> 9.90	24 Mo 10.66	42 Mo 11.51	60 Mo 12.25	<u>Hire</u> 8.76	<u>12</u> <u>Mo</u> 10.10	24 <u>Mo</u> 10.87	<u>42</u> <u>Mo</u> 11.74	6 <u>0</u> <u>Mo</u> 12.50	<u>Hire</u> 8.76	<u>12 Mo</u> 10.30	24 Mo 11.09	<u>42 Mo</u> 11.97	60 Mo 12.75	-
Production II Cart Handler	9.10	10.30	11.09	11.87	12.68	9.10	10.51	11.31	12.11	12.93	9.10	10.72	11.54	12.35	13.19	
Production     Janitor Dock Worker Soil Sorter	9.54	10.74	11.56	12.34	13.17	9.54	10.96	11.79	12.59	13.43	9.54	11.17	12.02	12.84	13.70	
Production IV Dry Cleaner/Presser Mender Wearing Apparel Finisher Checker Washer Machine Op. Soil Sort Cart Dumper	9.90	11.12	11.96	12.77	13.62	9.90	11.34	12.20	13.03	13.89	9.90	11.57	12.45	13.29	14.17	
Production V Dry Cleaner Machine Operator	10.98	11.99	12.89	13.69	14.58	10.98	12.22	13.15	13.96	14.87	10.98	12.47	13.41	14.24	15.16	,
Production VI Tunnel Machine Operator	11.28	12.30	13.24	14.03	14.93	11.28	12.55	13.50	14.31	15.23	11.28	12.80	13.77	14.59	15.54	
<u>Distribution VII</u> Commercial Driver	14.02	15.19	16.34	17.07	18.13	14.02	15.49	16.67	17.42	18.49	14.02	15.80	17.00	17.76	18.86	
Distribution VIII Service Rep / Utility	14.99	16.22	17.45	18.18	19.29	14.99	16.54	17.80	18.54	19.67	14.99	16.87	18.16	18.91	20.07	
Production IX CDL	15.80	17.16	18.46	19.10	20.26	15.80	17.50	18.83	19.49	20.66	15.80	17.85	19.21	19.88	21.08	
TCS Maintenance Laundry Specialist Mechanic Apprentice Preventive Maintenance Light/Yard Maintenance	21.34 18.53 16.09 14.53 9.82	22.88 19.93 17.35 15.73 10.76	24.62 21.45 18.67 16.93 11.58	25.31 22.16 19.40 17.66 12.38	26.78 23.47 20.57 18.75 13.21	21.34 18.53 16.09 14.53 9.82	23.34 20.33 17.70 16.04 10.98	25.12 21.88 19.04 17.27 11.81	25.81 22.61 19.79 18.01 12.63	27,31 23.94 20.98 19.12 13.47	21.34 18.53 16.09 14.53 9.82	23.80 20.74 18.05 16.36 11.20	25.62 22.32 19.42 17.62 12.04	26.33 23.06 20.18 18.37 12.88	27.86 24.42 21.40 19.51 13.74	
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Cahler Hotel	Hire	<u>12 Mo</u>	<u>24 Mo</u>	<u>42 Mo</u>	<u>60 Mo</u>	<u>Hire</u>	<u>12 Mo</u>	<u>24 Mo</u>	<u>42 Mo</u>	<u>60 Mo</u>	<u>Hire</u>	<u>12 Mo</u>	<u>24 Mo</u>	<u>42 Mo</u>	<u>60 Mo</u>	
<u>looks</u>	45.00	40.00	47.40	40.00	40.00	45.00	40.50	47.04	40.50	40.74	45.00	30.00	40.00	40.05	00.40	
nd Cook	15.03	16.26	17.49	18.22	19.32	15.03	16.58	17.84	18.58	19.71	15.03	16.92	18.20	18.95	20.10	
ead Cook	13.97	15.15	16.30	17.03	18.08	13.97	15.45	16.63	17.37	18.45	13.97	15.76	16.96	17.72	18.82	
ook	13.49	14.62	15.73	16.48	17.50	13.49	14.91	16.04	16.81	17.85	13.49	15.21	16.36	17.15	18.21	
ine Cook	10.41	11.34	12.21	13.02	13.88	10,41	11.57	12.45	13,28	14.16	10.41	11.80	12.70	13.54	14.44	•
rep & Serving				44.54	10.10			40.70	44.59	40.00	0.00	40.40	40.07	44.00	40.04	
antry & Veg-Lead	8.88	9.79	10.55	11.34	12.12	8.88	9.99	10.76	11.57	12.36	8.88	10.19	10.97	11.80	12,61	
antry & Veg-Prep	8.41	9.27	9.98	10.79	11.54	8.41	9.46	10.18	11.01	11.77	8,41	9.65	10.38	11.23	12.00	
ervice Bar-Attend	8.35	9.23	9.93	10.75	11.49	8.35	9.42	10.13	10,97	11.71	8.35	9.60	10.34	11,19	11.95	
nack Bar-Attend	10.07	11.00	11.83	12.64	13.46	10.07	11.22	12.07	12.89	13.73	10.07	11.44	12.31	13.15	14.01	
toreroom Helper	8.73	9.61	10.33	11.14	11.90	8.73	9.80	10.54	11.36	12.14	8.73	10.00	10.75	11.59	12.38	
Room SV Tele & Setup	8.78	9.68	10.41	11.22	11.96	8.78	9.87	10.62	11.44	12.20	8.78	10.07	10.83	11.67	12.45	
toom SV Overnight	10.07	11.00	11.83	12.64	13.46	10.07	11.22	12.07	12.89	13.73	10.07	11.44	12.31	13.15	14.01	
lanquet Set up ianitation	8.59	9.90	10.65	11.92	12.72	8.59	10.10	10.86	12.16	12.97	8,59	10.30	11.08	12.41	13.23	
lusperson	8.52	9.82	10.58	11.85	12.66	8.52	10.02	10.79	12.09	12.91	8.52	10.22	11.00	12.33	13.17	
ishmachine Oper & Porter	8.59	9.90	10,65	11.93	12,72	8.59	10.10	10.86	12,17	12.97	8.59	10.30	11.08	12.42	13.23	
otwasher	8.59	9.90	10.65	11.93	12.72	8.59	10.10	10.86	12.17	12.97	8.59	10.30	11.08	12.42	13.23	
Bartenders																
Bartenders	8.85	9.66	10.40	11,02	11.66	8.85	9.85	10.61	11,24	11.89	8.85	10,05	10.82	11.46	12.13	
lartenders hired b4 9/1/91					15.40					15.71					16.02	
Rooms																
lousekeeper	9.91	10.84	11.66	12.46	13.28	9.91	11.06	11.89	12.71	13.55	9.91	11.28	12.13	12.97	13.82	
obby Porter	8.23	9.08	9.77	10.61	11.32	8.23	9.26	9.97	10.82	11.55	8.23	9.44	10.17	11.04	11.78	
oor Person	7.91	8.48	9.30	9.44	9.44	7.91	8.65	9.49	9.62	9.62	7.91	8.82	9.68	9.82	9.82	
BX Operator	10.42	11.99	12.89	13.95	14.85	10.42	12.22	13.15	14.23	15.15	10.42	12.47	13.41	14.52	15.45	
ip Classifications																
Server	7.25	7.25	7.25	7.25	7.25	7.25	7,25	7.25	7.25	7.25	7.25	7.25	7,25	7.25	7.25	
leliperson	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	
ell Captain	7:25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	
laintenance Dept.																
pecialist/Lead/Required												•				
cense	19.49	20.94	22.53	23.19	24.55	19.49	21.36	22.98	23.66	25.04	19.49	21.79	23.44	24.13	25.54	
echanic	18.52	19.93	21.44	22.16	23.46	18.52	20.33	21.87	22.61	23.93	18.52	20.74	22.31	23.06	24,41	
pprentice	16.09	17.36	18.68	19.40	20.57	16.09	17.71	19.05	19.79	20.98	16.09	18.06	19.43	20.18	21.40	
reventative Maintenance	14.53	15.73	16.93	17.66	18.75	14.53	16.04	17.27	18.01	19.12	14.53	16.36	17.62	18.37	19.51	
ight/Yard Maintenance	9.82	10.76	11.58	12.38	13.21	9.82	10.98	11.81	12.63	13.47	9.82	11.20	12.04	12.88	13.74	
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		Sept. 1,	2011				Sept. 1					Sept. 1,	2013			
Marriott Hotel Cooks	<u>Hire</u>	<u>12 Mo</u>	<u>24 Mo</u>	42 Mo	60 Mo	<u>Hire</u>	<u>12</u> <u>Mo</u>	<u>24</u> <u>Mo</u>	<u>42</u> <u>Mo</u>	<u>60</u> <u>Mo</u>	<u>Hire</u>	<u>12 Mo</u>	24 Mo	<u>42</u> <u>Mo</u>	<u>60</u> <u>Mo</u>	
Lead Cook	13.97	15.15	16.30	17.03	18.08	13.97	15.45	16.63	17.37	18.45	13.97	15.76	16.96	17.72	18.82	
Cook	13.49	14.62	15.73	16.48	17.50	13.49	14.91	16.04	16.81	17.85	13.49	15.21	16.36	17.15	18.21	
Line Cook	10.41	11.34	12.21	13.02	13.88	10.41	11.57	12.45	13.28	14.16	10.41	11.80	12.70	13.54	14.44	
Prep & Serving																
Pantry & Veg-Prep	8.41	9.27	9.98	10.79	11.54	8.41	9.46	10.18	11.01	11.77	8.41	9.65	10.38	11.23	12.00	
Banquet Set up	8.59	9.90	10.65	11.93	12.72	8.59	10.10	10.86	12.17	12.97	8.59	10.30	11.08	12.42	13.23	
Sanitation	0.50	0.00	40.50	44.05	40.00	0.50	40.00	40.70	40.00	40.04	0.50	40.00		40.00	40.47	
Busperson	8.52	9.82	10.58	11.85	12.66	8.52	10.02	10.79	12.09	12.91	8.52	10.22	11.00	12.33	13.17	
Dishmachine Oper & Porter	8.59	9.90	10.65	11.93	12.72	8.59	10.10	10.86	12.17	12.97	8.59	10.30	11.08	12.42	13.23	
Potwasher	8.89	9.90	10.65	11.93	12.72	8.89	10.10	10.86	12.17	12.97	8.89	10.30	11.08	12.42	13.23	
Bartenders			10.10	44.00	44.00	0.05	0.05	40.04	44.04	44.00	0.05	40.05	40.00	44.46	40.40	
Bartenders Bartenders hired b4 9/1/91	8.85	9.66	10.40	11.02	11.66 15.40	8.85	9.85	10.61	11.24	11.89 15.71	8.85	10.05	10.82	11,46	12.13 16.02	
Rooms																
Housekeeper	9.91	10.84	11.66	12.46	13.28	9.91	11.06	11.89	12.71	13.55	9.91	11.28	12.13	12.97	13.82	
Lobby Porter	8.23	9.08	9.77	10.61	11,32	8.23	9.26	9.97	10.82	11.55	8.23	9.44	10.17	11.04	11.78	
Door Person	7.91	8.48	9.30	9.44	9.44	7.91	8.65	9.49	9.62	9.62	7.91	8.82	9.68	9.82	9.82	•
Tip Classificatons												,				
Server	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	
Bellperson	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	
Maintenance Dept.	40.40		00.50	00.40	04.55	40.40	04.00	00.00	00.00	05.04	40.40	04.70	00.44	04.40	05.54	
Specialist/Lead/Required License	19.49	20.94	22.53	23,19	24.55	19.49	21.36	22.98	23.66	25.04	19.49	21.79	23.44	24.13	25.54	
Mechanic	18.52	19.93	21.44	22.16	23.46	18.52	20.33	21.87	22.61	23.93	18.52	20.74	22.31	23.06	24.41	
Apprentice	16.09	17.36	18.68	19.40	20.57	16.09	17.71	19.05	19.79	20.98	16.09	18.06	19.43	20.18	21.40	
Preventative Maintenance	14.53	15.73	16.93	17.66	18.75	14.53	16.04	17.27	18.01	19.12 13.47	14.53 9.82	16.36 11.20	17.62 12.04	18.37 12.88	19.51 13.74	
Light/Yard Maintenance	9.82	10.76	11.58	12.38	13.21	9.82	10.98	11.81	12.63	13.47	9.02	11.20	12.04	12.00	13./4	

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		Sept. 1,			Sept. 1,	2012		Sept. 1, 2013							
		• •	<u>24</u>	<u>42</u>	<u>60</u>			<u>24</u>	<u>42</u>	<u>60</u>			<u>24</u>	<u>42</u>	<u>60</u> <u>Mo</u>
Kahler Inn & Suites	<u>Hire</u>	<u>12 Mo</u>	Mo	Mo	Mo	<u>Hire</u>	<u>12 Mo</u>	Mo	Mo	<u>Mo</u>	<u>Hire</u>	<u>12 Mo</u>	Mo	Mo	<u>Mo</u>
Cooks															
Lead Cook	13.97	15.15	16.30	17.03	18.08	13.97	15.45	16.63	17.37	18.45	13.97	15.76	16.96	17.72	18.82
Cook	13.49	14.62	15.73	16.48	17.50	13,49	14.91	16.04	16.81	17.85	13,49	15.21	16.36	17.15	18.21
Line Cook	10.41	11.34	12.21	13.02	13.88	10.41	11,57	12.45	13.28	14.16	10.41 -	- 11.80	12.70	13.54	14.44
Prep & Serving															
Pantry & Veg-Prep	8.41	9.27	9.98	10.79	11.54	8.41	9.46	10.18	11.01	11.77	8.41	9.65	10.38	11.23	12.00
Service Bar-Attend	8.35	9.23	9.93	10.75	11.49	8.35	9.42	10.13	10.97	11.71	8.35	9.60	10.34	11.19	11.95
Sanitation															
Busperson	8.52	9.82	10.58	11.85	12.66	8.52	10.02	10.79	12.09	12.91	8.52	10.22	11.00	12.33	13.17
Dishmachine Oper & Porter	8.59	9.90	10.65	11.93	12.72	8.59	10.10	10.86	12.17	12.97	8.59	10.30	11.08	12.42	13.23
Potwasher	8.59	9.90	10.65	11.93	12.72	8.59	10.10	10.86	12.17	12.97	8.59	10.30	11.08	12.42	13.23
Rooms															
Housekeeper	9.91	10.84	11.66	12.47	13.28	9.91	11.05	11.90	12.72	13.55	9.91	11.28	12.13	12.97	13.82
Lobby Porter	8.23	9.08	9.77	10.61	11.32	8.23	9.26	9.97	10.82	11.55	8.23	9.44	10.17	11.04	11.78
Door Person	7.91	8.48	9.30	9.44	9,44	7.91	8.65	9.49	9.62	9.62	7.91	8.82	9.68	9.82	9.82
Tip Classificatons															
Server	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25
Beliperson	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25	7.25
Maintenance Dept.															
Specialist/Lead/Required	40.40	00.04	00.50	22.40	04.55	19.49	21.36	22.98	23.66	25.04	19.49	21.79	23.44	24.13	25.54
License	19.49	20.94	22.53	23.19	24.55 23.46	19.49	20.33	22.98	23.00	23.93	19.49	21.79	23.44	23.06	25.54 24.41
Mechanic	18.52	19.93	21.44	22.16				21.87 19.05	22.01 19.79	20.98	16.09	20.74 18.06	19.43	20.18	24.41
Apprentice	16.09	17.36	18.68	19.40	20.57	16.09	17.71				14,53	16.36	17.62	18,37	19.51
Preventative Maintenance	14.53	15.73	16.93	17.66	18.75	14.53	16.04	17.27	18.01	19.12		16.36			19.51
Light/Yard Maintenance	9.82	10.76	11.58	12.38	13.21	9.82	10.98	11,81	12.63	13.47	9.82	11.20	12.04	12.88	13.74

A-5

13826232v.2

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# APPENDIX "B" <u>Departments And Seniority Sections</u>

### THE KAHLER GRAND HOTEL

#### Food & Beverage Department

- Cooking Section
- Preparation Section
- Room Service Serving Section
- Grand Grill Serving Section
- Function Serving Section
- Function Set-Up
- Sanitation Section
- Bartenders Section
- Stores Section
- Room Service Telephone Section
- Starbucks Section
- U-Club Section
- Martini's

#### Rooms Department

- PBX Section
- Bellperson, Lobby Porter Section
- Housekeeping Section

#### Maintenance Department

• Maintenance Section

#### **KAHLER INN & SUITES**

#### Food and Beverage Department

- Cooking Section
- Serving Section
- Sanitation Section

#### Rooms Department

- Housekeeping Section
- Bellperson Section

#### Maintenance Department

• Maintenance Section

B-1

13826232v.2

### **ROCHESTER MARRIOTT**

### Food and Beverage Department

- Cooking Section
- Preparation Section
- Serving Section
- Room Service Serving Section
- Function Serving Section
- Function Set-Up
- Sanitation Section
- Bartenders Section
- Stores Section

### Rooms Department

- Bellperson, Doorperson Section
- Housekeeping Section

### Maintenance Department

- Maintenance Section
- Housekeeping Section

#### **TEXTILE CARE SERVICES**

#### Production Department

- Receiving Section
- Wash Section
- Flat/Tumble Finishing Section
- Wearing Apparel Finishing Section
- Packaging/Shipping Section
- Dry Cleaning Section
- Utility Section

#### Distribution Department

• Service Representative Section

#### Maintenance Department

• Maintenance Section

B-2

13826232v 2

#### APPENDIX "C"

#### MAINTENANCE ADDENDUM

Apprentices in the Maintenance Department will be permitted to take a test to move to the "mechanic" classification after completing two years of service as an apprentice. The apprentice wishing to take the test will furnish the employer with a written request and will be permitted to take the test within thirty (30) calendar days following the written request. The employer will inform the apprentice of the test results within thirty (30) calendar days of taking the test. If the apprentice passes the test he/she will be promoted to the "mechanic" classification.

At Textile Care Services, the laundry specialist classification will require the employee to have a class 1-B boiler license and maintenance electrician's license. The employee will be required to demonstrate skills in electronics to troubleshoot PLC and computer control systems, make programming changes as directed by the equipment manufacturer and repair circuit boards. The employee will be required to demonstrate proficiency in welding and plumbing.

The Employer agrees to provide, at no cost to the employee, for all training and education that is required for maintenance employees to obtain and maintain licensure provided the training is approved by the Employer and the course is successfully completed.

The Employer agrees to employ no more than the following number of employees in the classifications of light/yard maintenance and preventative maintenance:

Facility	Light/Yard	Preventative
The Kahler Grand Hotel	2	2
Kahler Inn and Suites	1	1
Rochester Marriott	1	1
TCS	1	3

Seniority Rights. The Employer will maintain separate seniority lists at each hotel, by classification. A separate seniority list for maintenance employees working at Textile Care Services will be maintained for purposes of all seniority rights.

Staffing and appropriate coverage at all locations will be determined by management.

With the exception of apprentices, advancement to a higher paid classification will only be permitted when a new position opens in a specific classification.

Any and all license requirements will be determined by management and/or applicable law.

C-1

13826232v.2

#### APPENDIX "D"

#### Housekeeping Addendum

A housekeeping employee shall not be required to clean more than sixteen (16) rooms within eight (8) hours. When a housekeeping employee cleans eleven (11) or more check outs in a day, the maximum number of assigned rooms shall be reduced by one (1), and when a housekeeping employee cleans fourteen (14) or more check outs in a day, the maximum number of assigned rooms shall be reduced by two (2). Each bedroom or separate sitting room of a suite shall count as one (1) room. Management in its sole discretion may reduce the number of rooms to be cleaned during a shift or assign a houseperson to assist a housekeeping employee with rooms where, for example, rooms are exceptionally dirty or extraordinary cleaning is required.

Except pursuant to the provisions of Article 25, Lateral Service, Room Attendants will not normally be required to perform houseperson work in addition to their normal duties.

Housekeepers assigned to clean rooms on three (3) or more floors during a shift shall have their room quota reduced by one (1) room.

A housekeeping employee who volunteers to clean more than the foregoing amount of rooms within eight (8) hours shall be paid a bought room bonus of seven dollars (\$7.00) for each additional room. However, the bought room bonus shall not apply to rooms cleaned during overtime.

Housekeeping supervisors will make reasonable efforts to have housekeepers assigned to the room accompanying them when entering s a checkout room before it is cleaned.

The Employer shall not arbitrarily reassign housekeeping sections.

The Employer will install sharps containers in all Hotel public restrooms.

Bargaining unit employees shall not normally be required to clean up and/or dispose of human or animal waste, vomit or significant blood spill. Employees shall comply with the Employer's procedures whenever they encounter human or animal feces, vomit, or significant blood spill in the workplace, and shall immediately contact a qualified responder who will handle disposal. Where a bargaining unit employee is required to clean human or animal waste, vomit or a significant blood spill, they will receive a six dollar (\$6.00) payment.

Hotel employees shall not be required to handle any items that have been placed in a bio-hazard bag. Employees shall contact their supervisor for handling of those items.

In the event Hotel employees encounter improperly discarded syringes or other sharp objects while working, they shall be disposed of in accordance with established policy. The policy will include adequate available "sharps" containers for collection.

The Employer will provide linen, equipment and cleaning materials which is sufficient for Housekeeping employees to perform their jobs. Room Attendants will not be disciplined where they could not perform a task because they did not have the necessary equipment or supplies.

The Employer will provide assistance to a housekeeper in connection with moving or lifting any furniture weighing more than twenty-five (25) pounds. No Room Attendant will be required to stand on a ladder, bath tub or vanity.

The Employer will provide at least thirty (30) day's notice to the Union and, upon the Union's request, meet and discuss any renovation or new amenities or service standards which will significantly affect the housekeeper's work loads.

13826232v.2

#### APPENDIX "E"

#### Banquet Department Addendum

<u>Banquet Definition</u>. A banquet shall be deemed to be any reserved function with a pre-set menu and a fixed cost, including receptions, supervised by the banquet department.

<u>System-Wide Seniority</u>. For purposes of lay-off, recall and filling available positions, the Employer shall maintain a master seniority list which shall contain the names of all regular full-time and regular part-time banquet servers who work in each of the Employer's hotels. Seniority shall be based on first function worked as a regular server following completion of probation.

<u>Seniority by Location</u>. The Employer will maintain at each location three (3) banquet employee seniority lists for purposes of scheduling at each of the Hotels.

#### A. First List.

The First List will contain the names of all regular full-time banquet employees. These employees must be available to work any shift at the Hotel(s), seven days per week. The seniority list for regular full-time banquet employees shall be posted every month.

Although fluctuations in business will have an impact on the Employer's ability to consistently schedule these employees on a full-time basis, it is the intention of the parties to provide First List employees with a reasonable opportunity to work a full-time schedule. Accordingly, the number of employees on the First List will be established and maintained so as to reflect this intention.

#### B. Second List.

The Second List will contain the names of banquet employees who are available to work a minimum of three (3) shifts, per week, at the Hotel(s). The days and shifts on which such employees are available will be submitted to management in writing. Second List employees will be on a separate seniority list, which will be posted. Second List employees will be scheduled only after the First List has been exhausted, when necessary, to meet staffing needs, or where use of the First List employees would result in the payment of overtime.

# C. On-Call List.

The On-Call List will contain the names of banquet employees who are called and work on an "as needed" basis at the Hotel(s). On-Call employees may be scheduled when the First and Second Lists have been exhausted, where necessary to meet staffing needs, or where use of First or Second List employees would result in the payment of overtime. Local 21 will check hours worked on a monthly basis to see if hours worked fall under guidelines (average 10 hours/week are subject to dues).

E-1

# D. <u>Seniority Standing.</u>

- 1. First List employees moving to the Second List will be "dove-tailed" based on seniority date. The Second List employees moving to the First List will go to the bottom of the list with seniority based on date of transfer.
- 2. First List servers will have preference for scheduling purposes at their own Hotel and preference over Second List and On-Call servers at the other Hotels.
- 3. Maximum hours available will be offered to senior First List employees, up to forty (40) hours per week, but no employee will work a-triple shift until all First List employees have been offered a double shift.
- 4. Any regular server involuntarily cut from a function shall be entitled to bump the least senior server scheduled to work at their home Hotel, in that work week.
- 5. Employee requests for days off must be received in writing by noon, forty-eight (48) hours prior to posting of the weekly schedule, and will be duly considered. A regular server will not be disciplined for his/her inability to work a shift if the server is notified less than twenty-four (24) hours before the scheduled shift.
- 6. All weekly banquet schedules shall be posted at each of the Hotels. It is understood that employees may be required to work at all locations.

# E. Special Conditions/Scheduling.

Employees will be scheduled by shifts. A shift is defined as a work period of no less than three (3) hours and no more than eight (8) hours. A shift may include working one, or any combination of, the following events:

- Breakfasts
- Coffee Breaks
- Lunches
- Dinners
- Receptions
- Special Events

#### Banquet Employee Compensation.

A. <u>Banquet Service Charge.</u> Banquet servers shall receive fifteen (15%) of the banquet service charge on all functions, including those contracted for at the Civic Center. This service charge applies to food and beverages served to guests who have functions at a hotel covered by this Agreement and does not apply to any other fees and/or charges to guests who have functions at a hotel covered by this Agreement, including but not limited to room fees,

audio-visual equipment charges, etc.

- B. <u>Service Charges on Guaranteed Meals.</u> Service charges shall be paid on the guaranteed number of meals paid for by the customer.
- C. <u>Service Charge on Complimentary Functioning.</u> Servers who work a promotional function for which the Hotel does not charge the guest will be paid a service charge percentage consistent with the above schedule. The service charge will be calculated on the retail value of the function.
- D. <u>Corkage Fees.</u> When the Employer collects a corkage fee for guest-supplied alcohol, fifty percent (50%) of that fee shall be added to the service charge pool. For events where no corkage fee is collected, fifty percent (50%) of the customary fee will be added to the service charge pool. However, the foregoing shall not apply to off-site events where the customer will not pay the corkage fee.
- E. <u>Cake Cutting.</u> One-half of the cake plating charge shall be included in the tip pool.
- F. <u>Service Charge Increase.</u> Should the service charge be increased, the hotel will retain the full increase up to eighteen percent (18%) percent. Any service charge over eighteen percent (18%) percent will be divided equally between the employees and the hotel.

# Tip Pooling System

A. The service charge will be pooled and divided on a daily basis based on hours worked at each hotel.

Temporary employees shall not be included in the tip pool.

B. <u>Employer Records.</u> The employer records on the amount of service charge and method of distribution shall be made available to the Union Representative or designee for purposes of monitoring the tip pooling system. The Union may request a meeting on a quarterly basis to review the system.

#### APPENDIX "F"

# Food and Beverage Addendum

All Employees working in the cook classification will receive a meal during their shift at no cost to the employee.

The lead cook rate of pay will be applied to at least one (1) cook in the Grand Grill, Center Street Country Cafe, and Vino for all hours of operation.

It is agreed there will be no more than one (1) sous chef and one (1) executive chef assigned per shift at each hotel.

Starbucks employees will be paid at the snack bar rate.

The Employer will not subcontract or lease out to another food and beverage operator any kitchen, bar, or restaurant in either the Marriott or Kahler Grand that is subject to this Agreement.

# APPENDIX "G"

# Textile Care Services Addendum

Employees in the janitor classification shall receive the same night shift differential as the maintenance classification.

The Employer shall provide employees working in the soil sort department with OSHA-approved gowns and gloves. Employees shall not remove these items from the premises.

# APPENDIX "H"

# **Bell Position Addendum**

For groups of more than ten (10) people, bellpersons shall receive a portage rate of two dollars (\$2.00) per person each coming in and two dollars (\$2.00) each for going out if negotiated and collected. It is agreed that these amounts are only minimums.

H-1

#### APPENDIX "I"

#### **GUEST SERVICE**

The parties agree to supplement the Agreement for the following purposes:

WHEREAS, the parties recognize that premier guest service is essential to the success of the Hotel and its ability to employ persons who are paid competitive wages;

WHEREAS, the parties recognize that because most guest dissatisfaction is not reported, and most dissatisfied guests simply take their business elsewhere, the guest complaints received by the Hotel are a reflection of dissatisfaction by some who have not complained but who will not return to the Hotel;

WHEREAS, the parties agree that the Hotel shall train employees on how to provide premier guest service and that each employee may be expected to successfully complete such training;

WHEREAS, the parties agree that the Hotel should not employ or continue to employ employees who are either unable or unwilling to provide, or who do not provide, premier guest service;

NOW, THEREFORE, the parties agree as follows:

- (1) The Hotel has the right to establish service standards and appearance, grooming, and dress standards that must be adhered to by all employees and managers.
- (2) The parties agree that the Hotel may apply progressive discipline, up to and including discharge, against employees who are the subject of guest complaints other than those set forth in the following paragraph 3 (examples of complaints include, but are not limited to, misplaced luggage, guest room not completely cleaned, mishandled food or beverage order, incorrect credit card charge).
- (3) The parties agree that the Hotel shall have just cause for discharge of any employee who, among other reasons:
- a) Is the subject of two or more legitimate complaints from guests within one year of poor, rude, or discourteous service (examples include, but are not limited to, use of foul language in the presence of a guest, arguing with a guest, indifference to a guest concern, carrying on personal business while a guest is waiting);
- b) Is the subject of one legitimate complaint from a guest of extraordinarily poor, rude, or discourteous guest service (examples include, but are not limited to, directing foul language toward a guest, sexual or other harassment of a guest, refusal to assist a guest, requesting or adding a gratuity);
  - c) Fails to pass a course pertaining to the Hotel's service standards;

d) Acts in gross neglect of the Hotel's service standards on one occasion or more, unless the Hotel deems it appropriate to excuse such neglect on a non-precedent setting basis.

The parties further agree that the foregoing are examples and that employees may terminated in other circumstances, subject to the requirement that the termination be for just cause.

- (4) In the event the Hotel chooses to conduct written or oral testing of employees in connection with guest service training, such tests must be reasonable, job related, and non-discriminatory. Such tests shall be limited to guest service and communication skills and abilities, as well as employee knowledge of the services and products offered by the Hotel. The Union shall be permitted to a copy of any tests used in advance of utilization by the Hotel. The Union shall be permitted to grieve such tests if it believes they are unreasonable, not job related, and/or discriminate on an unlawful basis.
- (5) Where a guest complaint is reduced to writing, the Hotel shall not be required to compel the guest to testify during the grievance and arbitration procedure or reveal the guest's address or telephone number to the Union or to the employee. The Hotel may introduce into evidence at arbitration written guest complaints. Upon request of the Union, the Hotel shall provide the Union with a copy of any written guest complaint that resulted in disciplinary action being taken against an employee, with the guest's identity redacted from such copy. Where the Union wishes to investigate a complaint, the Hotel shall arrange for a conference call between the guest, a representative of the Union, and a representative of Hotel management. Where, however, an employee has been discharged based on one or more guest complaints the Union shall be permitted to investigate the complaint to the extent permitted by the National Labor Relations Act, as interpreted by the National Labor Relations Board and the courts.

#### APPENDIX "J"

#### DRUG AND ALCOHOL TESTING

This Drug and Alcohol Testing Policy is intended to be in accordance with Minnesota law and with the terms of the Agreement.

#### **OBJECTIVE:**

The Company strives to maintain a work environment free from the effects of drug and alcohol abuse for the protection of our customers, employees, and the community.

The Company recognizes that alcoholism and other drug dependencies are behavioral/medical problems which can be treated.

#### **POLICY STATEMENTS:**

- 1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL PROPERTIES, INC. worksites, including parking facilities. Employees violating this provision may be terminated.
- 2. Employees are not permitted to work under the influence of alcohol or any illegal drug. Employees violating this provision are subject to disciplinary action up to and including termination.
- 3. Abuse of legally prescribed drugs or controlled substances, or over-the-counter drugs, is prohibited because it may impair an employee's ability to perform his or her job responsibilities. Depending on individual circumstances, this abuse could result in termination.
- 4. Employees suffering from drug dependency are encouraged to seek medical treatment. The Human Resources representative may be contacted for referrals for evaluation and/or treatment facilities and the application of Company medical benefits for evaluation and treatment. No employee may suffer reprisals as a result of seeking help. If an employee feels he/she has suffered reprisals, he/she should report it to the Human Resources representative immediately and an appropriate investigation and action will take place.
- 5. Every employee will receive a copy of the Drug and Alcohol Testing Policy and will be required to sign an Acknowledgment Form, Attachment A, which will be kept in the employee's personnel file. In addition, the Company shall post notices in appropriate and conspicuous locations at each of its worksites that the Company has adopted a Drug and Alcohol Testing Policy and that copies of the Policy are available for inspection during regular business hours by its employees and job applicants in the Company's Human Resources office.
- 6. An employee may be required to undergo drug and alcohol testing when at least two (2) supervisors (if feasible) have reasonable suspicion that the employee:
- a) is under the influence of drugs or alcohol. Factors that may be considered in determining whether an employee is under the influence of drugs and alcohol include but are not 13826232v.2 J-1

limited to: evidence of repeated errors on the job, Company rule violation, and unsatisfactory time and attendance patterns, if coupled with specific facts and rational inferences drawn from those facts that indicate possible drug use; or

- b) has violated the Company's written Policy Statements (numbers 1, 2, or 3 above); or
- c) has had a personal injury while working or has caused a personal injury to another person; or
- d) has caused a work-related accident or was operating or helping to operate machinery, equipment or vehicles in a work-related accident.

Post-accident or injury testing will be conducted as soon as practical following the accident, but not later than thirty-two (32) hours following the accident.

7. Drug and alcohol testing will be accomplished by the collection of hair, urine, and/or blood. The screening of hair, urine, and/or blood samples will be performed by qualified and certified testing laboratories. Testing is done for alcohol and the following drugs and drug classes:

Marijuana metabolites, cocaine metabolites, the opiates morphine and codeine, phencyclidine (PCP, angel dust), and amphetamines (amphetamine and methamphetamine), and/or all other drug classes as described in Schedules I through V of Minn. Stat. Section 152.02.

The detection levels of confirmatory tests shall be those established under Minnesota Rules.

- 8. Every employee has the right to refuse to undergo drug and alcohol testing. Employees who refuse to undergo testing are subject to disciplinary action up to and including termination.
- 9. Any employee who tests positive shall have the right to explain the positive test result of a confirmatory test or request and pay for a confirmatory retest of the original specimen sample.
- 10. If a positive test result on a confirmatory test was the first such result for the employee on a drug or alcohol test by the Company, the employee will be immediately suspended without pay. The employee can be reinstated upon participation in either a drug or alcohol counseling or rehabilitation program, whichever is more appropriate as determined by the Company after consultation with a certified chemical use counselor or a physician trained in the diagnosis and treatment of chemical dependency. The cost for the evaluation will be paid by the Company. Costs for the recommended treatment will be the employee's responsibility. Employees who refuse to participate in the counseling or rehabilitation program or fail to successfully complete the program, as evidenced by withdrawal from the program before its completion or by a positive test result on a confirmatory test after the completion of the program, may be subject to termination.

- 11. An employee who is referred by the Company for chemical dependency treatment or evaluation or is participating in a chemical dependency treatment program may be requested or required to undergo drug or alcohol testing without prior notice during the evaluation or treatment period and for up to one (1) year following completion of any prescribed chemical dependency treatment program. An employee testing positive during this period may be subject to termination.
- 12. A Medical Review Officer (M.R.O.) will review all test results. All positive test results shall be confirmed by a Gas Chromatography Mass Spectrometry analysis of the original specimen sample. The M.R.O. will review and interpret analytical (laboratory) results, validate the results scientifically, and determine if there is a legitimate medical explanation for a positive test result, and notify the Company of the results. The M.R.O. is a third party licensed physician with specialized knowledge of substance abuse.
- 13. The Company reserves the right to change or terminate this Policy and Procedures at any time, after prior notice and negotiation with the Union. Every employee will be given a copy of the amended policy if a change is made.
- 14. Test result reports and other information acquired in the drug and alcohol testing process are confidential information. Disclosure of the results to third parties may be done with the employee's prior written consent. Notwithstanding the above, test results may be disclosed to any federal agency or other unit of the United States government as required under federal law, regulation or order, or in accordance with compliance requirements of a federal government contract. The test results may also be disclosed to a substance abuse treatment facility for the purpose of evaluation or treatment of the employee, or may be disclosed to the Union or other necessary persons in connection with a potential or actual grievance or threatened or actual litigation. An employee has the right to request and receive from the Company, a copy of the test result report on any drug or alcohol test.

No employee may be required to undergo drug or alcohol testing without the prior approval of the Director of Human Resources or the General Manager or his/her designee.

#### PROCEDURES:

- 1. When at least two (2) supervisors (if feasible) have reasonable suspicion to test an employee as stated in Policy Statement #6, the request must go to the applicable Human Resources representative or his/her designee to arrange for the collection and begin the required paperwork designating the need for hair, urine, and/or blood specimen.
- 2. Before a test is administered, the Company will ensure that the employee has completed a Drug and Alcohol Acknowledgment Form.
- 3. The employee will go to the collection site and provide a hair, urine, and/or blood specimen and appropriate identification. The collection site staff will begin the chain of custody paperwork and forward the specimen to the certified laboratory for testing. If an employee appears impaired and unable to safely go to the collection site on his/her own, the Company will arrange for transportation to the collection site and home following the collection procedure. Under no circumstances should an employee suspected of being impaired be allowed

to drive. The employee will be reimbursed for any out-of-pocket expense incurred in taking the test, with proper documentation.

- 4. Test results will be reviewed to determine if there is evidence of the use of alcohol, drugs or controlled substances and forwarded to the M.R.O. If the specimen sample shows a positive result, the original sample will be kept for additional confirming tests.
- 5. The M.R.O. will communicate the results to the Company Human Resources representative.
- 6. The Human Resources representative and/or the employee's supervisor will communicate the results of the test to the employee or job applicant, as the case may be, within three working days upon receipt of the results.
- 7. If an employee tests positive for drug use, the employee will be notified in writing of his/her right to explain the positive test and the Company may request that the employee indicate any over-the-counter or prescription medication that the individual is currently taking or has recently taken and any other information relevant to the reliability of, or explanation for, a positive test result.
- 8. Within three (3) working days after notice of a positive test result on a confirmatory test, the employee may submit information to the Company, in addition to any information already submitted under paragraph 7, to explain that result, or may request a confirmatory re-test of the original sample at the employee's own expense.
- 9. The Human Resources representative will follow up on any recommended treatment and determine whether the employee has successfully completed the treatment.

13826232v 2 J-4

Execution Copy
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#### Attachment A

# DRUG AND ALCOHOL POLICY ACKNOWLEDGMENT FORM

I, the undersigned, certify that I have received and read a copy of the Company's Policy regarding drug and alcohol abuse.

As part of my employment with the Company, I understand that my position is subject to drug and alcohol testing and that I may be requested to provide a hair, urine, and/or blood specimen for a drug or alcohol test.

I understand that I may refuse to take the drug and alcohol test and that such refusal may result in termination.

Employee		
Social Security Number	Date	<u>.                                    </u>
Witness		

13826232v.2 J-5

#### APPENDIX "K"

# Mutual Agreements (Custom, Past Practice, Letters of Understanding)

As part of the 2001 contract negotiations and settlement, the parties added a new Article 25 entitled, "Entire Agreement" to the Agreement. In connection with adding Article 25 to the Collective Bargaining Agreement, both parties made a reasonable and good faith effort to locate, identify and discuss all separate mutually agreed upon custom, past practices, or letters of agreement understanding which they believe were part of the collective bargaining agreement. As a result, the parties agree that the following items are part of the Collective Bargaining Agreement:

- 1. From letter of August 19, 1988 to Dan Skinner, HR Manager, Kahler Hotel, from Terry Weivoda, Local 21 any employee with prior service and seniority in a room (restaurant) is permitted to use that seniority to bid on any posted jobs in that room (restaurant).
- 2. From letter of September 24, 1991 to Terry Weivoda, Local 21, from Kevin Molloy, Senior VP Operations,
- a. It is agreed that no absolute room quota exists for room housekeepers, but the employer has the right to set reasonable performance standards.
- b. Consistent with past practice, it is agreed that full time housekeepers shall be able to use their seniority to select work areas, part time housekeepers shall be assigned as needed.
- c. It is agreed that when employees have been requested to work on their day off, such designation shall be made on the employee's work schedule noting any sixth or seventh day worked.
- d. It is agreed that tipped employees shall not be required to share gratuities with other Hotel employees.
- e. It is agreed that the Employer will continue for the life of their 2011 2014 Collective Bargaining Agreement the current employee discount for meals purchased in restaurants in accordance with the established policy, i.e. employee cafeteria, or if not available, from employee menu.
- 3. From letter of October 23, 1997 to Dave Blanchard, Local 21, from Kevin Molloy, Regional VP Sunstone.
- a. It is agreed that a shift chef will not be used to fill a regular shift while a cook is on lay off. It is understood, however, that under such circumstances a laid off cook will not be recalled to cover short periods of cooking that could occur during the heavy volume periods of the day.
- b. It is agreed that when an employee is required to work through the lunch, the supervisor will be advised, and will attempt to reschedule the lunch periods. Employees will not, however, have lunch periods scheduled during the last one half hour of the shift.
- c. With respect to Article 9, Section 1, it is agreed that the language will be interpreted to require that employees who work on a holiday will be paid double time for all hours worked on that

K-1

day.

- 4. From letter of October 5, 1998 to Kevin Molloy, Senior VP Operations, from Brian Brandt, Local 21. Concerning the issue of the employer using workers obtained through temporary employment agencies, it is understood that the Union would not consider it to be a violation of the Collective Bargaining Agreement should the Employer choose to utilize such workers provided that the Employer has made every reasonable effort to fill all positions with regular employees and, where practicable, to offer the work to all bargaining unit employees in the job classification first. The temporary workers will have no seniority rights and will not be used to prevent overtime or additional hours for Union members. Any temporary worker utilized by the Employer for more than thirty (30) calendar days will immediately become a Sunstone employee subject to the Collective Bargaining Agreement and the thirty days as a temporary worker will be considered the probationary period. The Employer will provide the Union with a record of all temporary workers' names and hours worked upon request.
- 5. From letter of June 22, 1994 to Jim Porrett, VP (Textile Care Services), from Terry Weivoda, Local 21 it is agreed that maintenance employees with boiler operator responsibilities will be paid \$1.00 per hour for those hours during which they perform boiler operator job duties.
- 6. From letter of January 4, 1993 to Randy Lacey, Plant Manager (TCS), from Terry Weivoda, Local 21 the union has accepted the employer's proposal to adopt an absence control policy.
- 7. From letter of August 1, 2001, to Frank Heavlin, from David Blanchard, Local 21, regarding treatment of biohazard bags at Textile Care Services in Rochester, Minnesota. It is agreed that employees at TCS will no longer be required to handle any bio-hazard bags when they come to the plant. Any such bags will be placed, unopened, into a special bin that will be picked up by Mayo to be handled by them. This policy will not change during the life of the 2001-2005 Agreement unless required by law.
- 8. As a result of discussions between the parties during the 2001 contract negotiations, the Employer agrees that bargaining unit employees will no longer be required to push wheelchairs occupied by non-hotel guests, or wheelchairs occupied by guests off company premises.

In addition, the parties recognize and agree that despite their efforts, there may be other separate documented mutual agreements which the Union or the Employer did not locate, identify or discuss, but which one party may believe is a part of the Collective Bargaining Agreement. If, after the 2001 Agreement is settled, either party discovers a previously undiscovered, documented mutual agreement, which they believe is part of the Collective Bargaining Agreement, Article 26 will not operate as a waiver of their right to assert their rights under the newly discovered and documented mutual agreement.

EXHIBIT NO. GC 3 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 3 DATE 12/15/15 REPORTER SMW

P.02

S.No.	Article	Subject	Current Language	Proposed Language
1_	8	Work week	Double time will be paid after 48 hours worked or paid as an approved holiday or vacation day in a work week.	Pay calculation shall be the same as is applicable to our other employees and in accordance with applicable MN/Federal Law. Eliminate double time completely. Time and one half paid for any hours worked over 40 hours in a work week.
2	Appendix A	Payroli Rates	Currently, in addition to the annual increases, the rate increases are set at 4 additional service points - 12 months, 24 months, 42 months and 60 months.	Retain the annual increases, however eliminate rate increases at various service points. This would be consistent with standard practice across various organizations and with other employees.
3	8 .	Work week	Time and one-half to be paid for any hours worked in excess of eight (8) hours worked in a work day.	Pay calculation shall be the same as is applicable to our other employees and in the accordance with applicable MN/Federa Law.  Time and one-half to be paid only for hours in a work week. Eliminate daily OT.
4	15	Health, Sick and Safety benefits	The maximum benefit that can be accumulated by an employee will be three hundred (300) hours. Upon an employee reaching the maximum balance, the employee may sell upto sixty (60) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date.	The maximum benefit that can be accumulated by an employee will be one hundred twenty (120) hours. Upon an employee reaching the maximum balance, the employee may sell up to 30 (30) hours of sick pay at 50% of value on the employee's anniversary date.  Associates who currently have 300 hours will get a one-time sell option of 60 hours at 50% of value on the anniversary date during this transition period following which the 30 hours sick pay sell off (as outlined above) will be in effect.

5	8	Work week	The senior employees in a classification who are on duty shall be given the first preference to work OT.	Prior to giving OT to associates, if there are associates in the same classification in a different property who are not receiving 40 hours due to lack of business at that property, management should be able to schedule them prior to giving OT hours.
б	10	Vacations	A maximum of two(2) years vacation (benefit) may be accummulated. Once the employee has accumulated the maximum of two (2) years' vacation entitlement he/she shall stop accumulating additional vacation but shall not lose any vacation accumulated.	Vocation benefit will be granted on associate's anniversary date and upto a maximum of 40 hours carry over is permitted.
7	Appendix E	Banquet Service Addend वेंग्ल,	Banquet servers shall receive 15% of the banquet service charge on all functions. Should the service charge be increased, the hotel will retain the full increase upto 18%. Any service charge over 18% percent will be divided equally between the employees and the hotel.	or other increases.
8	Appendix E	Banquet Service Addendum	One-half of the cake plating charge shall be included in the tip pool.	Eliminate cake cutting 50/50 split. This should be part of any wedding service or meal service not any different than a dessert course. This makes us non-competitive both in terms of pricing and service standards.
9	Appendix E	Banquet Service Addendum	When the Employer collects a corkage fee for guest supplied alcohol, 50% of that fee shall be added to the service charge pool. For events where no corkage fee is collected, 50% of the customary fee will be added to the service charge pool(Clarification for Javon: this amount is going out of the hotel account).	Corkage will be paid at 15% of the total negotiated corkage fee paid by the client. If no corkage fee is collected from the client, there will be no payment to the staff. If the hotel does not receive any amount, the hotel does not have to pay this out from its own pocket.

P.04

10	2	Union Security	LIAN PACTINGS TA THE LIBION WHICH PASH YORGE	The union shall be aware of all hotels open position list at all times and proactively assist the hotel in filling positions through networking and marketing. The hotel reserves the right to select referred candidates.
11	7	Leaves of Absence	one (1) year, unless a longer period is required by	Leaves of absence for illness or injury will be granted upon request for absences not to exceed 12 weeks, unless a longer period is required by the ADA. Not reasonable to hold a position for one year.
12	3	Seniority	Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the employee is unable to meet the job requirements or is unhappy in the position, he/she will be returned to the previous position.	Employees who bid and accept a new position are subject to a 30-day trial period. If after 30-days the employee cannot perform the task then the employee can look at other positions but cannot go back to where they were unless the position is still vacant.
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EXHIBIT NO. GC 5 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/15/15 REPORTER SMW

# Wichmann, Andrea G.

From:

Martin Goff <mgoff@here17.org>

Sent:

Wednesday, June 17, 2015 12:45 PM

To:

Wiese, Tyler

**Subject:** 

FW: Ashley Rudloff

Sensitivity:

Personal

Flag Status:

Completed

I just saw this from Rochester regarding wage increases for people still in the negotiated step wage increases. Martin Goff

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Wednesday, June 17, 2015 12:01 PM

To: brian@local21.com

Cc: Mary Costello; Chad Decker; Martin Goff; Nancy Goldman

Subject: RE: Ashley Rudloff

Hello Brian,

In reference to your grievance on Ashley Rudloff for her 12 month pay increase.

As of 2.28.15 the mutually extended collective bargaining agreement, has expired. Currently the properties are without a contract. The employer has shared with the union that we will not be extending the previous contract.

The employer has provided a comprehensive and ready to execute last best and final offer on the table since 3.24.15 which the union has not signed.

The employers position is that we are awaiting the signed and to be executed proposal that is on the table to provide all affected associates with the appropriate negotiated increase.

Michael Henry
Area Managing Director of Human Resources **Kahler Hospitality Group**20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: brian@local21.com [mailto:brian@local21.com]

Sent: Thursday, June 11, 2015 4:28 PM

To: Michael Henry

Cc: Mary Costello; Chad Decker; <a href="mailto:mgoff@here17.org">mgoff@here17.org</a>; Nancy Goldman

Subject: Ashley Rudloff

Michael,

GC Exhibit 5

Ashley Rudloff, a housekeeper at the Marriott has informed me that she should have received her 12 month pay increase as of 5-12-15 but when she spoke to you she was told she would not get it until the contract is settled. The Union is filing a grievance concerning this matter. The employer is in violation of, including but not limited to, Appendix "A" of the CBA. The union requests as resolution to this grievance that Ms Rudloff's pay be increased immediately to the correct rate and that she be made whole. Please let me know when she can expect to see the pay increase and back pay.

Brian Brandt President UNITE HERE Local 21

Phone: 507-288-2021 Cell: 507-254-5735 Fax: 507-281-3491

EXHIBIT NO. GC 6(a) RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 160 DATE 12/15/15 REPORTER SMW

PROPOSED CONTRACT CURRENT CONTRACT **AGREEMENT** AGREEMENT Between between Sunstone Hotel Properties, Inc. Textile Care Services Ánd and UNITE HERE Local 21 AFL-CIO UNITE HERE Local 21 AFL-CIO Rochester, Minnesota October 1, 2011 Rochester, Minnesota date to August 31, 2014 [date] Union TA:

**7** 1 **8**4

Employer TA:

GC Exhibit 6 (a)

TABLE OF CONTENTS	PAGE	TABLE OF CONTENTS	PAGE
ARTICLE 1 RECOGNITION.	.1		
ARTICLE 2 UNION			
SECURITY	.1		•
ARTICLE 3			
SENIORITY	.3		
ARTICLE 4 PROBATIONARY			
PERIOD.	.6		
ARTICLE 5 DISCHARGE OR DISCIPLINE			
	.6	:	
ARTICLE 6 GRIEVANCE AND ARBITRATION			
PROCEDURE.	.7		
ARTICLE 7 LEAVES OF			
ABSENCE.	.9		
ARTICLE 8			
WORKWEEK.	.10		
ARTICLE 9 HOLIDAYS			
	.13		
ARTICLE 10			
VACATIONS.	.14		
ARTICLE 11 INSURANCE BENEFITS			
	.16		
ARTICLE 12 UNIFORMS AND			•
LAUNDRY	.16		
ARTICLE 13 BULLETIN			
BOARDS.	16		

		the state of the s	
ARTICLE 14 WAGES			
	.17		
ARTICLE 15 HEALTH, SAFETY AND SICK BENEFITS			
	.18		
ARTICLE 16	.19		
PENSION. ARTICLE 17 UNITE HERE	.19		
1	.19		
401(K).   ARTICLE 18 NO STRIKE OR LOCKOUT	.19		
ARTICLE IS NO BIRDED OR LOCKOOT	.19		
ARTICLE 19 MAINTENANCE TOOL ALLOWANCE			
	.20		
ARTICLE 20 DISCRIMINATION			
	.20		
ARTICLE 21 RESPECT &			
DIGNITY	.20		
ARTICLE 22 MANAGEMENT RIGHTS			
	.21		
ARTICLE 23 LAUNDRY AND DRY CLEANING WORK			
STABILIZATION.	.21		
ARTICLE 24 SUCCESSORSHIP	.22		
ARTICLE 25 LATERAL	.22		
SERVICE.	.22		
ARTICLE 26 ENTIRE			
AGREEMENT	.22		
ARTICLE 27			
DURATION.	.23		

SIDE		
LETTER.	.24	
APPENDIX "A" PAYROLL RATES	ĺ	
	. <b>A</b> -1	
APPENDIX "B" DEPARTMENTS AND SENIORITY		
SECTIONS. "	.B-1	
APPENDIX "C" MAINTENANCE		
ADDENDUM.	.C-1	
APPENDIX "D" HOUSEKEEPING		
ADDENDUM.	.D-1	
APPENDIX "E" BANQUET DEPARTMENT ADDENDUM		
	.E-1	
APPENDIX "F" FOOD AND BEVERAGE	- 4	
ADDENDUM.	.F-1	
APPENDIX "G" TEXTILE CARE SERVICES	<i>a</i> 1	
ADDENDUM.	.G-1	
APPENDIX "H" BELL POSITION	TT 1	
ADDENDUM.	.H-1	
APPENDIX "I" GUEST SERVICE	T 1	
APPENDIX (III POLIC AND ALCOHOL	.I-1	·
APPENDIX "J" DRUG AND ALCOHOL	.J-1	
TESTING.	.J-1	
APPENDIX "K" MUTUAL AGREEMENTS (CUSTOM, PAST		
PRACTICE, LETTERS OF	.K-1	
UNDERSTANDING).	.17-1	

Union TA:

	Employer TA:	-
This Agreement made this 1st day of October, 2011 by and between Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites, and Textile Care Services located in Rochester, Minnesota, hereinafter referred to as "Employer," and UNITE HERE Local 21 AFL-CIO, hereinafter referred to as "Union."	This Agreement made this day of, to Textile Care Services located in Rochester, Minnesota, I referred to as "Employer," and UNITE HERE Local 21 hereinafter referred to as "Union."	
	Union TA:	
WHEREAS, it is the desire of the respective partie hereto to avoid disruption in the service and operat the units covered by this Agreement and to secure benefits intended to be derived by the Employer, it employees and the Union under these articles of Agreement, it is agreed by and between the Emplo and the Union as follows:	tion of the No change (except to singularize the term "t	unit")
	Union TA:	

# ARTICLE 1 RECOGNITION

The Employer above named, for and on behalf of the properties above named, recognizes the Union as the exclusive bargaining representative of all employees of the Employer employed at the above-properties in Rochester, Minnesota listed in the classifications set forth in Appendix A, with respect to wages, hours, and all other working conditions. All other employees, including supervisors, managers, administrative employees and confidential employees are excluded from the bargaining unit.

The Employer above named, for and on behalf of Textile Care Services, recognizes the Union as the exclusive bargaining representative of all employees of the Employer employed at this location in Rochester, Minnesota listed in the classifications set forth in Appendix A, with respect to wages, hours, and all other working conditions. All other employees, including supervisors, managers, administrative employees and confidential employees are excluded from the bargaining unit.

Union TA:	 
Employer TA:	

ARTICLE 2 UNION SECURITY  The Employer agrees not to enter into any contract or agreement with the employees herein, individually or collectively, which conflicts with the terms and provisions hereof.	No change
	Union TA:
All employees covered by this Agreement who are now or who may hereafter become members of the Union shall, during the life of this agreement, remain members of the Union in good standing or pay fees in lieu thereof as a condition of continued employment. "In good standing" for the purposes of this agreement is defined to mean the payment as required by the Union of a standard initiation fee and standard regular monthly dues relating to the Union's collective bargaining function, applied uniformly to all members of the bargaining unit covered by this Agreement.	No change
	Union TA: Employer TA:

Provided, however, temporary summer employees hired between May I and September 30 will be exempt for four (4) months from initiation fees normally charged other employees. It is agreed that these temporary positions shall be posted and that any employees who take a temporary position shall not be restricted from bidding on a regular position should one become available. If such employees are retained past September 30, they will be obligated to pay the initiation fee. Such employees will be entitled to all fringe benefits for which they qualify except seniority and insurance.

Provided, however, temporary employees hired between two (2) and twenty four (24) weeks will be exempt from initiation fees and dues normally charged other employees. It is agreed that these temporary positions shall be posted and that any employees who take a temporary position shall not be restricted from bidding on a regular position should one become available. If such employees are retained past Twenty-four (24) weeks, they will be obligated to pay the initiation fee. Such employees will be entitled to all fringe benefits for which they qualify except seniority and insurance.

Union TA: _		 	
Employer T	A:		

3. Employees hired who average ten (10) hours or more per week in a four (4) week period or who have been working under a work permit shall, as a condition of employment, become and remain members in good standing of the Union or pay fees in lieu thereof after thirty (30) calendar days employment. All such employees averaging over said ten (10) hours shall have all of the benefits under this Agreement except as to insurance benefits, and such employees shall average twenty five (25) hours or more per week Provided; however, that employees in tipic lassifications who average twenty (20) flours of more per week will be covered by the ansurance benefits. In determining the average, the weekly average shall be determined the last pay period of each month based upon the previous twenty-six (26) week period. The twenty-six (26) week period shall be a floating period.

Employees hired who average ten (10) hours or more per week in a four (4) week period or who have been working under a work permit shall, as a condition of employment, become and remain members in good standing of the Union or pay fees in lieu thereof after thirty (30) calendar days of employment. All such employees averaging over said ten (10) hours shall have all of the benefits under this Agreement except as to insurance benefits. Such employees who average thirty (30) hours or more per week shall have insurance benefits. In determining the average, the weekly average shall be determined the last pay period of each month based upon the previous twenty-six (26) week period. The twenty-six (26) week period shall be a floating period.

	Union TA:
	Employer TA:
The Employer shall update the dues checkoff list provided by the Union on a monthly basis to reflect new hires and terminations.	No change
	Union TA:
	Employer TA:

No change
Union TA:
Employer TA:
No change
Union TA:  Employer TA:

7. The Employer will send copies of bargaining unit job postings to the Union which may refer candidates for employment. The Employer shall have no obligation to hire any person so referred.	The Employer will post all bargaining unit job openings on its property, to which the Union has access. The Union may refer candidates for employment. The Employer shall have no obligation to hire any person so referred.
•	Union TA: Employer TA:
§. The designated union representative will be allowed to visit the premises of the Employer for the purpose of administering this Agreement. The Union representative will provide the Human Resources Director or General Manager with as much advance notice as is possible prior to visiting the facility. Upon arriving at the facility, the Union representative will check in at the office. It is agreed that the work of the employees will not be interrupted by such visits. Union representatives will not meet with employees during working time without the knowledge and permission of the Employer.	
•	Union TA:

Employer TA:\_\_\_

9. New Member Orientation. The Union or a designated	
representative will be provided access to newly hired	
employees on the Employer's premises, after thirty (30)	
days of employment, to provide information about this	
Agreement and the employees' rights thereunder. Such	
access shall be for up to thirty (30) minutes, once per	
month, at a mutually agreed upon time and location.	

No change

Union TA:\_\_\_\_

Employer TA:\_\_\_\_\_

10. Tip Check-Off – the Employer agrees to honor political contribution deduction authorizations from employees in the following form:

No change

Union TA:

Employer TA:

I hereby authorize my Employer to deduct from my pay the sum of \$\_\_\_ per pay period and to forward that amount as my voluntary contribution to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y 10001 ("PAC"). My decision to participate in the UNITE HERE PAC is a voluntary one and I understand that I am under no compulsion to contribute to it, since such contributions are neither a condition of my continued employment or of membership in the Union. I also understand that this authorization may be revoked by me at any time and that it is automatically revoked upon termination of my employment.

Union TA:

Employer TA:

The political contribution deduction shall be made once each month during which an employee who has performed compensated service has in effect a voluntarily executed political contribution deduction authorization. The money shall be remitted within thirty (30) days after the last day of the preceding month to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001, accompanied by a form stating the name of each employee for whom a deduction has been made, and the amount deducted. The parties have taken into account the cost of administration of this deduction in negotiating the wage increases and benefits specified in this Agreement.	No change
	Union TA:
	Employer TA:
The Union shall indemnify, defend and save the Employer harmless against any and all claims, demands, suits, or other terms of liability that may arise out of or by reason of action taken by the Employer to comply with this Article.	No change
	Union TA:
	Employer TA:

ARTICLE 3 SENIORITY	
1. Seniority shall be by section (see Appendix B) and by work location (e.g.). The Kahler Grand Hotel, Rochester	Seniority shall be by department. Employees shall not acquire seniority until they have completed their
Marriott Mayo Clinio Area, Kalifer Inn & Suries, or Textue Care Services; except the banquet department	probationary period; then seniority shall revert to the date of employment.
as set forth in the Banquet Department addending	
Employees shall not acquire seniority until they have	
completed their probationary period; then seniority shall	
revert to the date of employment.	
	Union TA:
	Employer TA:
22	
Where qualifications to perform the available work	A) domes
are equal, layoff and recall will be by seniority as	No change
defined in paragraph 3.	
	Union TA:
	Employer TA:

When recalling employees who have been laid off because of reduction of work force, the Union shall be notified by the Employer of such employees who are to be rehired. If an employee so notified does not report for work within seven (7) days from the date his notice was mailed by certified mail, he shall forfeit seniority unless he has reasonable excuse for his failure. In the event an employee is employed elsewhere when he/she receives a notice to report for work, the employee shall not forfeit his/her seniority by not reporting unless the Employer gives the employee reasonable assurance of at least three (3) months steady employment. However, the employee must immediately notify the Employer and waive his right to the particular job that is open.

§17. When recalling employees who have been laid off because of reduction of work force, the Union shall be notified by the Employer of such employees who are to be rehired. If an employee so notified does not report for work within seven (7) days from the date his notice was mailed by certified mail, he shall forfeit seniority unless he has reasonable excuse for his failure. In the event an employee is employed elsewhere when he/she receives a notice to report for work, the employee shall not forfeit his/her seniority by not reporting unless the Employer gives the employee reasonable assurance of at least three (3) months steady employment. However, the employee must immediately notify the Employer no later than the seventh (7th) day after the recall notice is marked denuty his/her current employment, and waive his right to the particular job that is open.

Union TA:	 
Employer TA:	 

- 3. Seniority shall mean continuous length of employment with the Employer in the sections listed in Appendix Bar Any employee transferred or reemployed in another section retains but does not accumulate his seniority in his original section and, in addition, commences seniority in his new section.
- 3. Seniority shall mean continuous length of employment with the Employer within any department. Any employee transferred or re-employed in another department retains but does not accumulate his seniority in his original section and, in addition, commences seniority in his new section.

	Union TA:
4. Where qualifications are equal, employees shall be promoted within their departments on the basis of seniority. Only when a vacancy occurs or a new position is created can seniority be exercised for purposes of shift preference, days off, and server sections.	No change
	Union TA:
	Employer TA:
§. Except where rotation is practiced, servers shall be given preferential stations on the basis of seniority, provided that they are sufficiently qualified.	Omit
	Union TA:
	Emploeyr TA
6. As with permanent job openings under section 7, an employee laid off from his/her section shall have preference based on seniority in hiring over any other applicant for other sections of the Employer, ever thought may be in another operation provided qualifications are equal. Seniority shall be forfeited on the following grounds:	As with permanent job openings under section 7, an employee laid off from his/her department shall have preference based on seniority in hiring over any other applicant for other sections of the Employer, provided qualifications are equal. Seniority shall be forfeited on the following grounds:

<u></u>	1
	Union TA:
	Employer TA:
	Employer IA.
(a) Voluntarily leaving the employ of the Employer;	No change
	Union TA:
	Employer TA:
(b) Discharge for proper cause;	No change
	Union TA:
	Employer TA:
(c) Layoffs in excess of six (6) months; or	No change
	Union TA.
	Employer TA:
(d) Failure to report for work after a layoff within a	
reasonable time, not to exceed seven (7) days, after the	No change
Employer has notified employee to report for work, as	·
previously provided.	Union TA:
	Omon III.

	Employer TA:
	No change  Union TA:  Employer TA:
7 Permanent job openings in the classifications covered in this Agreement will be posted for a minimum of five (5) days on the Human Resources bulletin board at the Kahler Grand Hotel and in the break rooms at all other facilities covered by this Agreement to advise employees of the opening. Employees interested in the position must advise the Human Resources office in writing of their desire to be transferred or promoted to the open position. Where qualifications are equal, the opening will be filled by seniority, adhering to the following preferences:	Permanent job openings in the classifications covered in this Agreement will be posted for a minimum of five (5) days on the Human Resources bulletin board. Employees interested in the position must advise the Human Resources office in writing of their desire to be transferred or promoted to the open position. Where qualifications are equal, the opening will be filled by seniority, adhering to the following preferences:
	Union TA:Employer TA:
1st Employees working in the classification at the property where the opening is available.	1st Employees currently working in the classification. Union TA:

	Employer TA:
2nd Employees working in the classification at other properties;	Omit
	Union TA:
3rd Employees working outside of the classification who have demonstrated the skill or potential ability to successfully perform in the position, and who have notified the Employer of their desire to change classifications;	No change
	Union TA:
4th Fimployees working still side of the classifications	3. Employees not unitedly in the barganing unit.

Union TA:		
Employer TA:		

For purposes of scheduling, employees moving to a new location in the same classification shall move to the bottom of the seniority list. However, prior classification seniority shall be maintained. If an employee bids for and receives a permanent job vacancy, he/she cannot bid again for a posted job opening for a period of six (6) months. The six (6) month limitation will not apply to requests for shift preferences or work schedules within a classification and section. "Qualifications," as used herein, shall be based on the Employer's reasonable judgment of the applicant's skills, abilities, aptitude, and overall work record. Notwithstanding the foregoing, the Employer may postpone a transfer or promotion where it would leave another department with an insufficient number of skilled employees or an excessive number of vacancies. Until a permanent job opening is filled, the Employer may use its discretion to select an employee to temporarily fill the opening.

For purposes of scheduling, employees moving to a new location in the same classification shall move to the bottom of the seniority list. However, prior classification seniority shall be maintained. If an employee bids for and receives a permanent job vacancy, he/she cannot bid again for a posted job opening for a period of six (6) months. The Employer need not permit an employee more than one transfer to a different job classification in a twelve (12) month periodi The six (6) month limitation will not apply to requests for shift preferences or work schedules within a classification and section. "Qualifications," as used herein, shall be based on the Employer's reasonable judgment of the applicant's skills, abilities, aptitude, and overall work record. Notwithstanding the foregoing, the Employer may postpone a transfer or promotion where it would leave another department with an insufficient number of skilled employees or an excessive number of vacancies. Until a permanent job opening is filled, the Employer may use its discretion to select an employee to temporarily fill the opening.

	Union TA: Employer TA:
A permanent job opening is a vacancy in a position which is scheduled for fifteen (15) or more hours per week on a regular basis. A permanent job opening will not exist when any person who has a seniority claim on that position is on vacantin siek; leave of other leave of absence.	A permanent job opening is a vacancy in a position which is scheduled for fifteen (15) or more hours per week on a regular basis.
	Union TA Employer TA:
Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the employee is unable to meet job requirements or is unhappy in the position, he/she will be retained to the previous position. Enther the employee or management may initiate the retain. In the event management	Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the employee is unable to meet job requirements or is unhappy in the position, he/she may consider oidding for another position for which he of she is qualified, but may not refurn to the former position it that position has

initiates the return, the employee shall have the right to grieve the decision under the Grievance and Arbitration Procedure contained herein, provided however, the Employer has acted in an arbitrary or capricious manner. been filled. In the event management, at the end of this thirty (30)-day period, initiates the process of the employee either bidding or returning (because management has decided the employee is unable to meet job requirements), the employee shall have the right to grieve the decision under the Grievance and Arbitration Procedure contained herein; however, the sole permitted basis for asserting a grievance in this instance is that the Employer has acted in an arbitrary or capricious manner.

Page 838 of 1459

Union TA:
Employer TA:

Any regular employee within a classification and section may exercise their seniority as it applies to shift preference schedules and/or days off up to forty (40) hours in a work week. In the Maintenance Departments, new hires may be trained on the day shift for up to thirty (30) days before shift preference can be exercised to displace that employee; provided, however, that there will be an automatic extension of an additional thirty (30) days in the event the Employer believes that additional time is required to determine the employee's ability to work off-shifts. Employees shall not be placed on the third shift until supervisor is satisfied the

Any regular employee within a classification and section may exercise their seniority as it applies to shift preference schedules and/or days off up to forty (40) hours in a work week. In the Maintenance and Clecking Departments, new hires may be trained on the day shift for up to interview (30) days before shift preference can be exercised to displace that employee; provided, however, that there will be an automatic extension of an additional thirty (30) days in the event the Employer believes that additional time is required to determine the employee's ability to work off-shifts. Employees shall not be placed on the third shift until supervisor is satisfied the

employee is capable of working alone.	employee is capable of working alone.
	Union TA:
§ The Employer shall furnish a complete up to date seniority list to the Union of all employees covered by this Agreement within thirty (30) days following a request from the Union representative.	No change
	Union TA:
9. Re-employment of members of the Armed Forces shall be governed by applicable law.	No change
	Union TA: Employer TA:
10. The Employer and the Union shall make every effort to provide work for incapacitated employees returning	No change

from the Armed Forces.	
	Union TA:
	Employer TA:
11. When an employee is transferred to a position	
outside the coverage of this Agreement, he/she shall retain seniority for thirty (30) days. At the end of such	No change - §30
time, seniority shall be forfeited if the employee retains	
a position outside the unit.	
	Union TA:
	Employer TA:
12. In the event the Employer rehires an employee who	
has been discharged, such employee shall not be	
reinstated in accordance with his accumulated seniority	No change - §31
unless such action is approved by both the Union and the Employer.	·
	Union TA:
	Employer TA:

13. Retirees working on an on-call or part-time basis shall receive the benefits of this Agreement except those provided under Article 3 and Article 11.	No change - §32
	Union TA:
	Employer TA:
14. If there is a reposting of a section, the Union will be notified and upon request the Employer will explain the reason for the reposting.	Omit
	Union TA:
	Employer TA:

## ARTICLE 4 PROBATIONARY PERIOD

The first six (60) days of employment shall be probationary, during which time an employee may be discharged with or without cause and without recourse to the grievance procedure. An automatic extension of the probationary period of an additional thirty (30) days will apply at the written size of the Employer, in the event the Employer believes that additional time is required to determine the employee's qualifications.

The first (100) days of employment shall be probationary, during which time an employee may be discharged with or without cause and without recourse to the grievance procedure. An automatic extension of the probationary period of an additional thirty (30) days will apply at the written direction of the Employer, in the event the Employer believes, in its sole discretion, that additional time is required to determine the employee's qualifications.

	Union TA: Employer TA:
ARTICLE 5 DISCHARGE OR DISCIPLINE  If No employee will be disciplined or (except for a probationary employee) discharged without just cause. In the event a meeting is held for disciplinary purposes, the affected employee shall have the right to have a Union steward and/or Union Business Agent present if the employee so requests.	No employee who has completed his/her probationary period will be disciplined or discharged without just cause. In the event a meeting is held for disciplinary purposes, the affected employee shall have the right to have a Union steward and/or Union Business Agent present if the employee so requests.
	Union TA: Employer TA:
2. Warning notices and other disciplinary action which are to become part of an employee's file shall be read and signed by the employee. Such signature shall not be an admission of wrongdoing by the employee.	No change - §36
an aumission of wronguoing by the employee.	Union TA:

28

Copies of all warning notices and all other disciplinary action given to employees will be mailed to the Union without delay. In addition, it is agreed that if a verbal warning results in a written report by a supervisor for the employee's personnel file, a copy of such notice will be given to the employee.

For less serious work rule and policy violations, the Employer will follow a progressive disciplinary procedure in an effort to correct the Employee's performance. Copies of all warning notices and all other disciplinary action given to employees will be provided to the Union without delay. In addition, it is agreed that if a verbal warning results in a written report by a supervisor for the employee's personnel file, a copy of such notice will be given to the employee.

Union TA:	
Employer TA:	

The Employer, will follow the steps of progressive
The state of the s
discipline, except in more serious violations; where
immediate termination is appropriate; including buynot
limited to the following
THE RESERVE THE PARTY OF THE PA
it violation of the diagrandal cool policy
25 Repeated temparity to now unsersy
Repeated refitsal to follow directives:  3. An act of physical or threatened physical violence or
harassment on the Employer's property
The state of the s
4-Intentional damage or destruction of the Employer's
 4 Chievitra unitage of gent action of this triple of the

or customer's properly.  5. Possession of firearfits, with or without a permit on the Employer's property.  6. Intentionally providing false information to the Employer resulting in a financial loss to the Employer.
Union TA:
Employer TA:
• • • • • • • • • • • • • • • • • • •
The Employers is all have the right to establish reasonable tiles applied evenly among the employees with consideration to the operation of the business and for the conductor, the employees. The suies will be communicated countermolosees and accomy of any amendments shall be entire the formor. The union's prior approvator the falles shall not be required.
Union TA:
Employer TA:

If an employee avoids disciplinary offenses for a period of eighteen (18) consecutive months, offenses in his/her personal record which preceded that time will not be used as a basis for disciplinary action; such discipline may, however, be introduced in any arbitration proceeding involving the employee.	If an employee avoids disciplinary offenses for a period of fivenity four (24) consecutive months, offenses in his/her personal record which preceded that time will not be used as a basis for disciplinary action, except as necessary pursuant to the Attendance Policy; such discipline may, however, be introduced in any arbitration proceeding involving the employee.
	Union TA:
	Employer TA:
The Employer may decline to give the employee the name of the complaining party, but must, upon request, divulge such information to the Union after the Union has received a copy of the discipline, which information the Union will keep confidential. The Employer will provide this information to the employee at an arbitration hearing if so directed by the arbitrator.	No change - §39
	Union TA:
	Employer TA:

The Employer shall at reasonable times and at reasonable intervals, upon the request of an employee, permit the employee to inspect such employee's personnel file on the employee's own time.	No change - §40
	Union TA:
	Employer TA:
ARTICLE 6 GRIEVANCE AND ARBITRATION PROCEDURE	
The grievance procedure set forth in this Article is established for the specific purpose of providing prompt and amicable means of settlement of all questions arising under the terms of this Agreement or the application of them. Both the Employer and the Union intend to make every effort to settle grievances quickly and amicably and with a minimum of friction.	No change - §41
	Union TA:
	Employer TA:

2. An employee may, with or without the assistance of a shop steward, first attempt to resolve workplace disputes with the employee's manager. If not resolved informally, the following shall be the grievance procedure:	No change - §42
	Union TA:
	Employer TA:
Step 1. The grievance shall be reduced to writing by the Union Business Agent within twenty-one (21) calendar days from the date of the incident giving rise to the grievance, or within twenty-one (21) calendar days of when the employee reasonably should have had knowledge, and shall be furnished to the Human Resources Director. The written grievance shall set forth the facts giving rise to the grievance, including the dates and persons involved, identify the Agreement provisions violated, and state the relief requested.	
	Union TA:
	Employer TA:

Resources Director shall meet within fourteen (14) calendar days of receipt of the written grievance and attempt to settle the grievance. If the grievance is not settled, the Employer shall issue a written response to the grievance within seven (7) calendar days of the meeting. The Employer's failure to issue a written response within this time period shall be considered a denial of the grievance; provided, however, it is the Employer's intent and it will use its best efforts to provide a substantive written response to the grievance within seven (7) calendar days of the grievance meeting.

No change

Union TA:

Employer TA:

Step 3 (Optional): If the grievance is not settled at Step 2, the Union Business Agent may appeal the grievance to mediation within seven (7) calendar days from the date of the decision rendered in Step 2 by giving written notice of a request for mediation to the Employer and the Federal Mediation and Conciliation Service (FMCS), Minnesota Bureau of Mediation, or other neutral mediation agency. Mediation shall consist of up to two (2) Employer representatives and up to two (2) Union representatives, and a neutral mediator acceptable to both parties, who shall mediate the dispute in an attempt to have the parties reach a settlement. No attorneys or other consultants may participate in the mediation. The proceedings shall be informal and no formal record of the proceedings shall be made. If no settlement is reached, the mediator shall provide the parties with an immediate written advisory decision of the grievance, including the grounds for such decision. All offers to compromise presented during the mediation, as well as any decision of the mediator, shall be confidential and non-admissible in any subsequent proceedings.

No change

Union TA:
Employer TA:

Step 4. If the grievance is not settled at Step 3, or if the Union Business Agent chooses to skip Step 3, the Union may submit the matter to arbitration within fourteen (14) calendar days of the date of the mediation or the Employer's written response (or failure to respond) to the grievance by furnishing the Employer with a written request for arbitration and proposing therein the names of three (3) arbitrator(s) acceptable to the requesting party. The Union shall also state in writing the matter to be arbitrated and the relief that is sought. If the parties are unable to agree upon an arbitrator within fourteen (14) days, the Union shall request the FMCS to submit a panel of seven (7) names. The Employer and the Union shall alternate striking one name from the list submitted until only one name remains. The Union shall take the first strike. The cost of securing the list of arbitrators shall be shared equally between the Employer and the Union.

Step 4. If the grievance is not settled at Step 3, or if the Union Business Agent chooses to skip Step 3, the Union may submit the matter to arbitration within fourteen (14) calendar days of the date of the mediation or the Employer's written response (or failure to respond) to the grievance by furnishing the Employer with a written request for arbitration and proposing therein the names of three (3) arbitrator(s) acceptable to the requesting party. The Union shall also state in writing the matter and issue to be arbitrated and the relief that is sought; provided, however that if the Employer is dissaustied with the Union's statement of the Issue to be desided
it is that in sunraily maccurately or accompletely
stated, then the Chiplovershallshave the option to
propose a joint statement of the issue. If the parties are unable to agree on a joint statement of the 1550e, the bittator shall be empowered to determine after lence, the appropriate statement of the issue to be decided. If the parties are unable to agree upon an arbitrator within fourteen (14) days, the Union shall request the FMCS to submit a panel of seven (7) names. The Employer and the Union shall alternately strike one name from the list submitted until only one name remains. The Union shall take the first strike. The cost of securing the list of arbitrators shall be shared equally

	between the Employer and the Union.
	Union TA:
	Employer TA:
rbitration shall be handled in the following manner:	
	Union TA:
	Employer TA:
ne authority of the arbitrator shall be limited solely to be determination of the matter submitted in writing at se time of request for arbitration. The arbitrator shall be thave power to add to, subtract from, or modify in many way the terms of this Agreement. If, during the burse of the arbitration hearing, either party introduces by facts which were not introduced during any of the eps of the grievance procedure, the other party shall be canted an extension of hearing upon request.	§43. The authority of the arbitrator shall be limited solely to the determination of the same submitted in writing at the time of request for arbitration. The arbitrator shall not have power to add to, subtract from, or modify in any way the terms of this Agreement. If, during the course of the arbitration hearing, either party introduces any facts, deemed by the arbitrator as material and significant which were not introduced during any of the steps of the grievance procedure, the other party shall be granted an extension of hearing upon request.
	Union TA:
•	Employer TA:

The decision of the arbitrator shall be made not later than thirty (30) days after the submission of post-hearing briefs, and his/her decision shall be final and binding upon both parties and the employee(s) involved.	No change - §44  Union TA:
	Employer TA:
Expenses of the arbitrator shall be paid equally by the	
Employer and the Union. If a court reporter is used, the ordering party shall pay the cost thereof, unless the other party requests a copy of the transcript, in which case the cost of the court reporter and transcript shall be paid equally.	No change - §45
	Union TA:
	Employer TA:
The time limitations set forth herein relating to the time for filing a grievance and the demand for arbitration shall be mandatory. Failure to follow said time limitations shall result in the grievance being permanently barred and waived. The time limitations	No change - §46

and/or grievance steps provided for herein may be extended or waived by mutual written agreement		
between the parties.		
	Union TA:	
	Employer TA:	

- Mathematical or mechanical mistakes on a paycheck resulting in an under or overpayment of the employee may be corrected within sixty (60) calendar days of the pay day involved. If an error is discovered, it must be corrected by payment within five (5) business days (Monday Friday); provided, however, that the Employer will make a concerted effort to make the corrected payment sooner than five (5) business days if possible.
- 3. Mathematical or mechanical mistakes on a paycheck resulting in an under or overpayment of the employee may be corrected within sixty (60) calendar days of the pay day involved. If an error which results in preater than \$50.00 financial impact to the Employee is
- discovered, it must be corrected by payment within five (5) business days (Monday Friday); provided, however, that the Employer will make a concerted effort to make the corrected payment sooner than five (5) business days if possible.

Union TA:
Employer TA:

- 4. The Union shall advise the Employer of the names of the Union Stewards who shall participate in the grievance procedure and who shall be recognized by the Employer as representatives of the employees for purposes of enforcing this Agreement, and who will generally act as representatives on the job of the Union.
- 4. The Employer shall not recognize the Union Stewards until the Union has advised the Employer in writing the names of the Union Stewards who shall participate in the grievance procedure and who shall be recognized by the Employer as representatives of the employees for purposes of enforcing this Agreement, and who will generally act as representatives on the job of the Union

Page 854 of 1459

Union TA:
Employer TA:

The words "Union Steward" shall mean and refer only to employees who are designated by the Union in writing to the Employer as authorized representatives of the employees of a specific department for grievance procedure purposes. Whenever such authorization is withdrawn as to an individual Union steward or a new Union steward is added to the number of those authorized, the Union shall promptly notify the Employer in writing of such action. The Employer and its representatives shall be fully protected with a Union steward so authorized with respect to any grievance as to which he has at any time purported to represent the

§49. The words "Union Steward" shall mean and refer only to employees who are designated by the Union in writing to the Employer as authorized representatives of the employees of a specific department for grievance procedure purposes. Whenever such authorization is withdrawn as to an individual Union steward or a new Union steward is added to the number of those authorized, the Union shall promptly notify the Employer in writing of such action. In the absence of notice of such authorization, the Employer shall have the right to refuse recognition of an employee asserting shop steward authority, until such time as the Union

aggrieved employee and they need not deal with any Union steward not so authorized.	confirms authority. The Employer and its representatives shall be entitled to rely upon the representations and authority of a Union steward so
	authorized with respect to any grievance as to which he or she has at any time purported to represent the
	aggrieved employee(s), and the Union and aggrieved employee(s) shall be bound by the representations and
	authority exercised by the Union Staward

Union TA:

Employer TA:

5. When a grievance requires the attention of a shop steward during working hours, he/she shall first secure the permission of his/her supervisor. The handling of all grievances shall be done during working hours, without any deduction from wages of employees who necessarily attend. The Union agrees to attempt to minimize any disruption to the Employer's operation.

\$511, In, the event an employee alleges, a breach of the Employer soling around discriminate, as provided in A tage 2000 this agreement the ended.

New section to be added

	waived in favor of the above-stated Grievance &
1	Arbitration Procedure. Provided, however, the
	procedures stated above in this Article shall be
	modified, with respect to Discrimination Claims only, as
Ì	follows:
	rotio wat
- {	a. The arbitration of the Discrimination Claim
	will be conducted in accordance with the
Ì	Employment Arbitration Rules of the
	American Arbitration Association
ł	
1	b. Employees will not be bound to the grievance deadlines above, and will be
. {	grievance deadlines, above, and will be
	entitled instead to the Mil penetit of the
Į	applicable statutes of timitations
	2000年1月1日 1月1日 1月1日 1日 1
	The Employer will pay all fees and costs charged by the American. Arbitration
1	Association and by the arbitrator, with the
- [	following single exception: the employee
ļ	or the Union (as determined between them)
	will-be responsible for any initial filing ree
	imposed by the American Arbitration
	Association
	Marie de la companya de companya produce por desenta programa de la companya del companya de la companya de la companya del companya de la companya del la companya de la c
	de The employee & will otherwise be
Į	responsible for all costs, and expenses
l	incurred in attigating the Discrimination
	Claims including the fees and expenses
	charged, by any attorneys retained to represent him of hers. However, as stated
	immediately below, the arbitrator shall be
	illingeratery, here at hit are at

rec	powered (subject to applicable law) to juite the Employer to pay such costs and benses.
aw ap Ar	e arbitrator shall be fully empowered to ard any and all remedies under the plicable laws referenced in Article 20, award of any such remedy may be forced by a federal or state court under provisions of the Federal Arbitration
Ā	t or under the Minnesofa equivalent
co fil di di in in an	thing in this section, however, shall be instrued to prevent an employee from ing a pre-lawsud administrative charge of crimination; with any, federal, state, or id; administrative darked. State or id; administrative defeat, state or id; administrative defeat, state or id; administrative defeat, but as the Lal. Employment Copportunities in mission, and, the Limployer, will not be to prevent the agency from processing administrative charges. However, and sissuance of a Notice of Right to Shall or equivalent under a state or local agency din the event the simployee files suit the ployer may file a nichon to dismiss for thoses of enforcing this section.
jji	dhing in this section stall be construed to event an employee montiling an initial for practice charge with the National
į tyš	bor Relations Board

	Union TA:
•	Employer TA:

## CHRONOLOGY & CHART OF GRIEVANCE & ARBITRATION PROCEDURE

<u>Event</u>	Total Business Days (M-F) Elapsed	Business Days (M-F) Elapsed Between Events
Incident giving rise to grievance		
Step 1. Informal discussion between employee and immediate manager (with shop steward, if elected)	5	·5
Response by immediate manager	10	5
Step 2: Written Grievance provided to General Manager ("GM")	15	5
Meeting with GM, Local 100 representative, shop steward and employee	20	5
Written response by GM	25	5
Step 3: Request for panel of seven (7) arbitrators from the American Arbitration Association (AAA)	55 calendar days	30 calendar days
Strike and select <i>one (1)</i> arbitrator		
Hearing		
Arbitrator's Decision	30 <i>calendar</i> days after hearing	

## ILLUSTRATIVE CALENDAR FOR GRIEVANCE & ARBITRATION SCHEDULE

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31	1	2	3	4
5	6	7.	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25

## ARTICLE 7 LEAVES OF ABSENCE

Employees may be granted unpaid leaves of absence by the Employer for a period of not more than thirty (30) days. Leaves of absence in excess of thirty (30) days, and any extensions of leaves beyond the thirty (30) days, shall be put into writing by the Employer and a copy kept by the employee, the Employer and the Union. Requests for such leaves and extensions shall be made to the employee's immediate supervisor. However, leaves of absence for illness or injury will be granted upon request for absences not to exceed one (1) year unless a longer period is required by the Americans with Disabilities Act.

Employees may be granted unpaid leaves of absence by the Employer for a period of not more than thirty (30) days. Leaves of absence in excess of thirty (30) days, and any extensions of leaves beyond the thirty (30) days, shall be put into writing by the Employee and a copy kept by the employee, the Employer and the Union. Requests for such leaves and extensions shall be made to the employee's immediate supervisor. A specific leave and return date must be coordinated with the employee's supervisor. However, leaves of absence for illness or injury will be granted upon request for absences not to exceed in (6) months, unless a longer period is required by the Americans with Disabilities Act. Work related in the substantiated by a physician's of hospital's apendorate

Union TA:	
Employer TA:	

Illness or injury which qualifies for leave under the Federal and Family Medical Leave Act will run concurrently with Family and Medical Leave Act

Family and Medical Leave Act (FMLA)
Unpaid leave shall be granted according to FMLA and based on a calendar year method. Employees are

eligible if they have worked for the Employer for at least twelve (12) months and for at

47

least one thousand two hundred fifty (1,250) hours in the previous twelve (12) months of employment.

1. Notice and Procedures for Requesting Leave:
Employees must notify their Supervisor a minimum of fifteen (15) days in advance of beginning leave if he/she knows in advance. If circumstances require that FMLA begin in less than fifteen (15) days; the employee must notify the Supervisor as soon as practical.

2. Certification: In the case of Medical Leave, the Employer requires medical certification to be provided in a timely manner. The Certification will include the date of onset, probable duration, and appropriate medical facts concerning the condition. If an employee is seeking Medical Leave for his/her own health condition, the certification myst also state that he/she is unable to perform the functions of his/her position. If the employee is seeking a Medical Leave to care for a family member the certification must also state that he/she is needed to care for the family member and an estimate of the amount of time needed.

3. Additional Requirements. If medically necessary for an employee is serious health condition of that of his/her spouse child or parent leave may be taken on an intermittent of reduced leave schedule. If leave is requested on this basis, the employee may be required to temporarily transfer to an alternative position with equivalent pay and benefits which better accommodates recurring periods of absence of a part-time schedule.

	Health Care Coverage: While an employee is on Family and Medical Leave, the Employer will pay its share of the premiums for health care coverage it provides, provided the employee has elected coverage. It is the employee's responsibility to pay his/her share of the premium.
	Union TA:
	Employer TA:
Employees who have been employed for at least one (1) year may be granted a leave of absence for educational purposes up to twelve (12) months, provided the leave is used for future employment with the Employer, and is approved by management.	No change - §54
	Union TA:
	Employer TA:
(i#	1 - 7 - 1
	1 • 1
2. The Employer agrees to grant necessary time off without pay or loss of seniority rights to any employee designated by the Union to attend a labor convention or serve in any capacity on other official Union business. The Union agrees to give in writing two (2) weeks	The Employer agrees to grant necessary time off, without pay or loss of seniority rights to any employee designated by the Union to attend a labor convention or serve in any capacity on other official Union business. The Union agrees to give in writing a minimum of two

notice to the Employer. It is agreed that there shall be no	(2) weeks notice to the Employer. It is agreed that there
disruption of the Employer's operation.	shall be no disruption of the Employer's operation.
	Union TA:
	Employer TA:
	•
3 Any employee who is appointed as a full time	
Business Representative or is elected to a full time	
office of the Union, or the International Union, shall be	No change- §56.
given a leave of absence not to exceed a total of six	The strange grant
1 -	
years.	Union TA:
	Omon 1A.
	Employer TA:
	Employer TA.
4. Disability leaves of absence for employees will be	
granted in accordance with the recommendation of the	N. Change
attending physician, not to exceed one (1) year unless a	No Change
longer period is required by the American with	
Disabilities Act. Maternity/paternity leaves will not be	

granted beyond three (3) months unless supported by the attending physician.	
	Union TA:
	Employer TA:
Failure to report for work at the end of the period of a leave of absence is equivalent to resignation.	No change - §58
	Union TA:
	Employer TA:
Seniority shall accumulate during the period of leave of absence.	Seniority shall accumulate during the period of approved leave of absence.
	Union TA
	Employer TA:
In the event of the death of a member of an employee's immediate family, he/she will be granted time off from work with pay for up to three (3) consecutive days, one of which must be the day of the funeral. The employee will be paid for that portion of his/her regular week's work which falls within the	7. In the event of the death of a member of an employee's immediate family, he/she will be granted time off from work with pay for up to three (3) consecutive days, one of which must be the day of the funeral. The employee will be paid for that portion of his/her regular week's work which falls within the

Filed 08/25/17

above three (3) day period if he/she was, under the terms of this Agreement, scheduled to work. If bereavement leave occurs during an employee's scheduled vacation, the employee will be permitted to substitute bereavement leave in lieu of vacation time. The Employer may require an employee to provide proof of death.

above three (3) day period if he/she was, under the terms of this Agreement, scheduled to work. Time paid as funeral leave will not be considered compensable in determining overtime. If bereavement leave occurs during an employee's scheduled vacation, the employee will be permitted to substitute bereavement leave in lieu of vacation time. The Employer may require an employee to provide proof of death.

Union TA: Employer TA:

Immediate family shall mean the employee's father, mother, father-in-law, mother-in-law, spouse, pieviously declared (on Employer form) same gender domestic paitmer, children, stepchildren, stepparents, guardian, brother or sister, grandchild, son-in-law, daughter-inlaw, grandparents, half-brother, stepbrother, half-sister, stepsister, current grandparent-in-law, current brotherin-law and current sister-in-law.

Immediate family shall mean the employee's father, mother, father-in-law, mother-in-law, spouse, children. stepchildren, stepparents, guardian, brother or sister, grandchild, son-in-law, daughter-in-law, grandparents, half-brother, stepbrother, half-sister, stepsister, current grandparent-in-law, current brother-in-law and current sister-in-law.

Union TA: Employer TA:

In addition to the foregoing paid leave, an employee will be permitted to take one (1) day of unpaid leave in order to serve as a pallbearer. An employee also may take off up to three (3) days without pay to attend the funeral of an aunt or uncle.

No change - §62

Union TA:

Employer TA:

Jury Duty: If a regular employee with seniority is summoned for petit or grand jury service, such employee shall be paid the difference between jury pay and the pay the employee would have earned from the Employer for each day of jury duty which falls on a day on which the employee would otherwise be scheduled to work. If on a day the employee would otherwise be working for the Employer, he or she is released from jury duty prior to the end of his or her scheduled shift, the employee will be expected to return to work as soon as possible. No employee shall be required to perform work for the employer during any twenty-four (24) hour period (11:00 p.m. - 11:00 p.m.) during which the employee is required to be present for a petit jury or grand jury service.

No change

Union TA:

	Employer TA:
To be eligible for benefits under this section, the employee must endorse and turn over to the Employer the check received for jury duty. All hours spent on jury duty will be credited for purposes of calculating vacation and holiday benefits. The Employer will in turn pay the employee the pay the employee would otherwise have earned on that day. Payment for jury duty service will be limited to a maximum of six (6) calendar weeks for each Agreement year.	No change - §64
	Union TA:
	Employer TA:
•	
9. The Employer is obligated to continue to provide freatth insurance benefits during an employee's leave of absence under this Article only to the extent required by taw (e.g., FMLA or Minnesota Parental Leave Law).	Omit, covered above
MACHINA STATE OF THE STATE OF T	
	Union TA:
	Employer TA:

## ARTICLE 8 WORKWEEK

1. The basic work week shall consist of five (5) days, forty (40) hours, and two (2) consecutive days of rest within a seven (7)-day period, starting the first shift on Friday of each week; provided, however, this shall not serve as a guarantee of a minimum number of hours or a minimum number or length of shifts. Time and one-half shall be paid after forty (40) hours worked or paid as an approved holiday or yacation day in any one work week. Time and one half shall be paid for all hours worked on the sixth (6th) and seventh (7) day in a work week to all employees except banquet servers. However, banquet servers will not normally be required to work a sixth (6fb) day until alluegolar full-time servors have been rescheduled for five (3) days. Double time will be paid after forty-eight (48) hours worked or paid as an approved holiday of vacation day in a work week. Employees recalled from layoff to work on the employee's scheduled days of work will be paid straight time.

1. The basic work week shall consist of five (5) days, forty (40) hours, and two (2) consecutive days of rest within a seven (7)-day period, starting the first shift on Monday of each week; provided, however, this shall not serve as a guarantee of a minimum number of hours or a minimum number or length of shifts. Time and one-half shall be paid after forty (40) hours worked. Employees recalled from layoff to work on the employee's scheduled days of work will be paid straight time.

Union TA:	
Employer TA:	

Time and one-half is to be paid for any hours worked in excess of eight (8) hours worked in a work day.  Provided, however, that daily overtime will not apply to function and on-call employees who work less than four (4) days per week. There shall be no pyramiding of overtime.	Omit
	Union TA:
	Employer TA:
	,
Sick leave; paid of unpaid, will not count for purposes of computing overtime.	Time not worked including vacation, holiday or sick leave, will not count for purposes of computing overtime.
	Union TA:
	Employer TA:
By mutual agreement between employees and the Employer, nonconsecutive days off may be scheduled. The nonconsecutive days off schedule will not be binding on any other employee. Irrespective of the foregoing, non-consecutive days off may be scheduled for function and on-call personnel.	No change - §68
AND ADDRESS OF THE POST OF THE	Union TA:
	Employer TA:
	56

2. Any employee in the maintenance departments who is
called from home for an emergency condition shall be
given two (2) hours minimum pay at time and one-half
for such call-in work. Emergency callbacks will be
handled on a seniority basis among employees qualified
to correct the emergency. Employees shall be paid for
all overtime work and shall not be required to take time
off for extra time worked.

No change - §69

Union TA:	
Employer TA	

3. Employees in the maintenance departments, housekeepers and Kahler PBX operators shall be paid a premium of fifty cents (50¢) for all hours worked between 10:00 p.m. and 6:00 a.m., except for call-in work.

3. Employees in the maintenance department, shall be paid a premium of fifty cents (50¢) for all hours worked between 10:00 p.m. and 3.00 a.m., except for call-in work.

Union TA:	 	 
Employer TA		

- 4. Employees who work beyond their regular daily schedule in any day shall not be required to take time off later in the work week because of such extra hours. The Employer agrees not to schedule employees for work with less than eight (8) hours between shifts, unless mutually agreed upon by the employee and the Employer; provided, however, that this provision shall not apply to employees working split-shifts pursuant to Article 8, Section 11.
- §71. Employees who work beyond their regular daily schedule in any day shall not be required to take time off later in the work week because of such extra hours. The Employer agrees not to schedule employees for work with less than eight (8) hours between shifts, unless mutually agreed upon by the employee and the Employer; provided, however, that this provision shall not apply to employees working split-shifts.

Union TA:	 	
-		
Employer TA:	_	

- 5. The Employer reserves the right to prepare work schedules and to schedule days off. Work schedules and scheduled days off shall be posted in each department as far in advance as possible, but not later than Monday at 5:00 p.m. prior to the beginning of the work week involved. Employees will have until 5:00 p.m. on Tuesday to contest any discrepancies in the schedule. After 5:00 p.m. on Tuesday, the schedule will not be changed except in emergencies and/or for business needs. When cancelling a scheduled shift, the Employer will attempt, at least two (2) hours before the start of the employee's scheduled shift, to speak with the employee directly by calling the phone number in the employee's personnel file: if the Employer gets the employee's voicemail/answering machine or another person answers, the Employer will leave a message. Work schedules and scheduled days off may be changed without notice in case of emergency and/or for business needs. Any employee who reports to work on his scheduled day off at the request of the Employer will be paid time and one-half for all hours worked on that date. Employees who are scheduled in advance to work one or both of their days off, and calls in sick earlier in the week, shall not be eligible for time and one-half on the scheduled day off. In order to secure time off for a doctor's appointment, employees must provide notice of the appointment at least a week prior to the start of the new schedule, except in cases of emergency.
- 5. The Employer reserves the right to prepare work schedules and to schedule days off. Work schedules and scheduled days off shall be posted in each department as far in advance as possible, but not later than Monday at 5:00 p.m. prior to the beginning of the work week involved. Employees will have until 5:00 p.m. on Tuesday to contest any discrepancies in the schedule. After 5:00 p.m. on Tuesday, the schedule will not be changed except in emergencies and/or for business needs. When cancelling a scheduled shift, the Employer will attempt, at least two (2) hours before the start of the employee's scheduled shift, to speak with the employee directly by calling the phone number in the employee's personnel file; if the Employer gets the employee's voicemail/answering machine or another person answers, the Employer will leave a message. Work schedules and scheduled days off may be changed without notice in case of emergency and/or for business needs. Any employee who reports to work on his scheduled day off at the request of the Employer will be paid time and one-half for all hours worked on that date. Employees who are scheduled in advance to work one or both of their days off, and calls in sick earlier in the week, shall not be eligible for time and one-half on the scheduled day off. In order to secure time off for a doctor's appointment, employees must provide notice of the appointment at least a week prior to the appointment, except in cases of emergency.

60

Union TA:
Employer TA
6. Temporary Hours Reductions. In the event it is
necessary to reduce staffing on a short term basis due to
business levels, the Employer will grant employees, at
their request, absent days on a voluntary basis.
Union TA:
Employer TA:
No change - §74
Union TA:
Employer TA:
No change - §75
3.5
Union TA:

	Employer TA:
The following reporting pay guarantees will apply:	No change - §76.
·	Union TA:
	Employer TA.
(a) A four (4) hour call-in on an employee's day off and a minimum reporting pay on a regular work day of four (4) hours for all employees normally scheduled to work in excess of twenty (20) hours per week.	No Change
	Union TA
	Employer TA
(b) Employees who normally are scheduled for twenty (20) or less hours per week or on-call employees including function personnel will be guaranteed two (2) hours.	No change
,	Union TA:
	Employer TA.
(c) A person called back after having completed his work shift will receive a minimum of two (2) hours call back pay.	No Change
	Union TA:

62

	Employer TA:
(d) Salitabile annularing will massive a three (2) hour	
(d) Split-shift employees will receive a three (3) hour guarantee per shift, however, this guarantee would not apply to those employees who choose to voluntarily leave early.	No change
	Union TA:
	Employer TA:
(e) Employees scheduled or called in for a training session or mandatory meeting will be paid a minimum of hours at the appropriate rate of pay.	(e) Employees scheduled or called in for a training session or mandatory meeting will be paid a minimum of big. hour at the appropriate rate of pay.
	Union TA:
	Employer TA:
10. The senior employees in a classification who are on duty shall be given first preference to work overtime. If senior employees on duty in a particular job classification reject an offer of overtime, the junior employees on duty must perform the overtime work. Involuntary overtime will be assigned based on reverse seniority.	No Change
	Union TA:
	Employer TA:

An employee working on his/her regular day shall be required to work overtime before an employee who is working on his/her day off.	No change - §78
	Union TA:
	Employer TA:
11: Lunch periods will be softeduled of a maximum of thirty. (30) minutes for all employees accept to 100d and beverage employees who will be expected to take funch when and to take funch when and to take the operations permit.	Omit
	Union TA:
	Employer TA:
Meal periods shall be an uninterrupted one-half (1/2) hour for which the employee is not to be compensated. If employees are required to work any portion of the meal period, they shall be paid for the entire meal period. Employees are responsible for clocking in and out at the beginning and end of each thirty (30) minute meal period.	No change - §80

	Union TA:
	Employer TA:
The Employer shall provide meals which are palatable and wholesome at a cost to employees which it	No change - §81
determines. Employee meals shall be served under clean and sanitary conditions.	-
	Union TA:
	Employer TA:
Employees shall be entitled to one (1) fifteen (15) minute break for each four (4) hours of work. It is understood, however, that the Employer reserves the right to schedule the breaks. Employees who work because beyond the end of their shift will be entitled to an additional fifteen (15) minute break after each additional two (2) hours of their shift worked.	12. Employees shall be entitled to one (1) fifteen (15) minute break for each four (4) consecutive hours of work. It is understood, however, that the Employer reserves the right to schedule the breaks. Employees who work beyond the end of their shift will be entitled to an additional fifteen (15) minute break after each additional two (2) hours worked.
	Union TA:
	Employer TA:
13. Textile Care Services shall have the option to	13. The Employer shall have the option to schedule a

schedule a four (4) day work week using ten (10) hour days in accordance with the following:	four (4) day work week using ten (10) hour days in accordance with the following:
	Union TA:
	Employer TA:
The work weeks shall consist of four (4) work days and	
three (3) scheduled days off.	Union TA:
	Employer TA.
•	
Two (2) days scheduled off to be consecutive.	
	Union TA:
	Employer TA:
	<u></u>
Hours in excess of ten (10) in a work day or forty (40) in a work week to be paid at one and a half (12/22) times	
base rate-Hours worked on scheduled days off to be	Omit
pard at one and a half (12 1/2) Junies base rate	Union TA:
	Employer TA:

When computing holiday pay, participating employees will have average days computed using four (4) days per	
week to a maximum of ten (10) hours.	Union TA
	Union TA:
	Employer TA:
When computing vacation pay, participating employees will have average days computed using four (4) days per	
week. Vacation taken in less than full week increments will be computed based on this average day to a	
maximum of ten (10) hours.	
Indicated of the (10) nouse.	Union TA:
	Employer TA:
14 At Textile Care Services, the Employer agrees to	14. The Employer agrees to give preference to senior
give preference to senior employees in selecting shifts.	employees in selecting shifts. Where operations permit,
Where operations permit, the Employer will provide a Textile Care Services ariver with fourteen (14) days'	the Employer will provide an employee 10 the transportation class in cations with fourteen (14) days'
notice of any reassignment to a new route.	notice of any reassignment to a new route.
House of any reassignment to a new roate.	
	Union TA:
	Employer TA:

15: The Employer shall not require an employee to work alone without a reasonable amount of training as provided and determined by the Employer.	No change - §83	
	Union TA:	
	Employer TA:	
ARTICLE 9 HOLIDAYS		
The following shall be classified as holidays: Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day and New Year's Day. Any time worked on those days shall be paid for at double time. Management shall have the exclusive right to determine which holidays are to be worked.	No change - §84	
Union TA:		
Employer TA:		
Should one of the foregoing holidays fall on Sunday, it will be celebrated on Monday in Jextile Care Services will be celebrated on Monday.  In all office facilities covered by this agreement Sunday holidays will be celebrated on that day and paid for according by		
Union TA:		
Employer TA:		
Should one of the following holidays fall on Saturday, it	Should one of the following holidays fall on Saturday, it	

will be celebrated on Friday in Textile Care Services. In all other facilities covered by this Agreement, Saturday holidays will be celebrated on that day and paid for accordingly.	will be celebrated on Friday.  Union TA:	
	Employer TA	
2. Holiday pay will be granted to all employees with established seniority irrespective of the day of the week on which it falls. To qualify for pay, an employee must work his regular scheduled work day before and after the holiday. Pay for holidays for employees not scheduled to work will be based on the average daily hours worked by each eligible employee in the twelve (12) week period preceding the holiday up to a maximum of eight (8) hours. An employee who is scheduled to work on a holiday and then fails to report for work will not receive holiday pay unless the absence is an excused absence.	No change - §87 Union TA:	
	Omon 1A.	
	Employer TA:	1
An employee who is absent on the day before or after the holiday on compensable time (vacation, paid sick-	No change - §88	

leave, etc.) will not be disqualified if otherwise eligible for holiday pay. In addition, an excused absence on the day before or day after a holiday will not disqualify an employee from receiving holiday pay.	
	Union TA:
	Employer TA.
Such hours paid for will be sounted as hours worked for overtune purposes it the holiday falls on an employee's regularly scheduled work day.	Omit
	Union TA:
	Employer TA:
Employees with seniority who are normally scheduled to work five (5) days will have designated sixth (6th) and seventh (7th) days and such days will not be changed in holiday weeks to avoid payment of overtime for work on the sixth (6th) and seventh (7th) day of a work week. This does not apply to function employees who work on an on call basis.	Employees with seniority who are normally scheduled to work five (5) days will have designated sixth (6th) and seventh (7th) days and such days will not be changed in holiday weeks to avoid payment of overtime for work on the sixth (6th) and seventh (7th) day of a work week

Union TA:\_

Employer TA:

3. If the holiday comes during the employee's regularly scheduled vacation the employee shall have the option to convert vacation pay to holiday pay or to receive an extra day's pay:	3. If the holiday falls during the employee's regularly scheduled vacation the employee shall have the option to convert vacation pay to holiday pay.
	Union TA:
	Employer TA:
4. An employee receiving sick leave pay on leave of absence shall not receive holiday pay.	No change - §92
	Union TA:
	Employer TA:
5. Regular full time partenders shall not suffer a loss of pay due to their mability to work at their usual assignment of a related assignment during scheduled hours on an election day.	Omit
	Union TA:
	Employer TA:
Employees with eight (8) or more years of service shall be entitled to one (1) paid personal day. Employees with sixteen (16) or more years of service shall be entitled to two (2) paid personal days. Employees with	No change - §94

twenty (20) or more years of service shall be entitled to three (3) paid personal days. The paid personal days are to be scheduled by mutual agreement in advance or to cover uncompensated days of sick leave. The paid personal days must be used within the employee's anniversary year. Eligibility is based upon the employee's anniversary date of employment.	Union TA. Employer TA.
Personal days may be scheduled and taken in advance of the employee's anniversary date, subject to repayment by the employee if the employee does not remain an employee until his/her next anniversary date. Each employee's check stub will reflect the employee's personal day balance.	No change - §95
	Union TA:
ARTICLE 10 VACATIONS  Employees shall receive vacations at the following rates:	1. Employees shall receive vacation benefits at the following rates:
100.	

Employer 7	`A:	
F7	· · · · · · · · · · · · · · · · · · ·	

After one (1) year of continuous service - one (1) work
week vacation:

After times (3) years continuous service - two (2) work
weeks vacation:

After nine (2) years continuous service - two (2) work
weeks vacation:

After nine (3) years continuous service - three (3) work
weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

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Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Work weeks vacation:

After fifteen (15) years continuous service - four (4)

Employer TA:

2) Any employee whose average work week during the vacation year is between thirty each (18) and forty (40) hours will be paid activities a vork week during the vacation year is less than thirty-eight (38) flour of more than forty (40) hours will be paid vacation pay equal to his average work week. Time spent by members of the Union Negotiating Committee in negotiations for renewal of this Agreement at the time of also expiration shall be included in computing an employee's average work week.

§97. After one (1) year of continuous services employees will accide vacation pay equal to their average work week over the proceeding seat. Thereafter, vacation time will accide per the average of the maximum number of hours of hours worked up to the maximum number of hours in the finiteline above. Time spent by members of the Union Negotiating Committee in negotiations for renewal of this Agreement shall be included in computing an employee's average work week.

	Union TA:
	Employer TA:
Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued vacation pay for each hour on vacation.	Omit
Control of the Contro	Union TA:
	Employer TA.

Tripped Employee Vacation Adjustment. In addition to their base hourly rates, tipped employees working an the classifications of doorperson, bell person, bell captain all servers in functions room service and all restallants and folinges, shall be compensated at the rate of three dollars (\$3.00), per hour for all vacation flours taken.	Omit
dollars (\$3.00) per hour for all vacation hours taken; effective September 1, 2012, this rafe will be increased to three dollars and twenty five cents (\$3.25); and	

Union TA:\_\_\_\_\_

Employer TA:

Employees shall earn vacation on a biweekly basis
prorated in accordance with compensable hours for the
pay period. The biweekly pay stub shall show total
vacation hours accumulated. Employees will be eligible
to receive their vacation benefits as they accrue it each
pay period, providing, however, it can be scheduled wit
the employee's supervisor. A maximum of two (2)
years; vacation may be accumulated. Once the
employee has accumulated the maximum of two (2)
years, vacation entitlement he/she shall stop
accumulating additional vacation but shall not lose any
vacation accumulated. Accumulation shall resume as
soon as the employee uses accumulated vacation

Employees shall earn vacation on a biweekly basis prorated in accordance with compensable hours for the pay period. The biweekly pay stub shall show total vacation hours accumulated. Earned vacation benefit shall be granted on the anniversary date, and scheduled with the employee's supervisor.

Union TA:	
Employer TA	

By mutual agreement, Employees may sell up to forty	
(40) hours of accrued vacation one time during a	Omit
calendar year.	

Union TA:

Employer TA:

3. Fully earned vacation pay shall be paid in advance of
the scheduled vacation if requested by the employee at
least two (2) weeks in advance.

No change - §102

Union TA:
-----------

Employer TA:

4. A vacation sign-up list shall be posted in each work unit at which time employees will sign for vacation between February Sand Marchest for the period April 1 of the same year to March 31 of the following year. Thereafter, vacations will be selected on a first come, first serve basis and will not be subject to being bumped. Scheduling of vacation shall be arranged so that the functioning of the department shall not be impaired and shall be subject to the Employer's approval. Vacations can be arranged in one (1)-day increments by mutual agreement between the supervisor and the employee involved.

Between January 15 and February 28, a vacation sign-up list shall be posted in each work unit, which employees will sign for vacation for the period April 1 of the same year to March 31 of the following year. Thereafter, vacations will be selected on a first come, first serve basis and will not be subject to being bumped. Scheduling of vacation shall be arranged so that the functioning of the department shall not be impaired and shall be subject to the Employer's approval. Vacations can be arranged in one (1)-day increments by mutual agreement between the supervisor and the employee involved.

Union	TA:			

Employer TA:

77

Vacation requests made over forty-eight (48) fours in advance will be honored whenever reasonably possible.	Vacation requests made over two (2) weeks in advance will be honored whenever reasonably possible. The
The Employer agrees to affirm or deny in writing the	Employer agrees to affirm or deny in writing the
employee's written request for vacation within seven (7)	employee's written request for vacation within seven (7)
days of receiving such request.	days of receiving such
	Union TA:
	Employer TA.
Employees will be permitted to take vacation year	
round, provided, however, that the Employer may	No change - §105
require adequate staffing levels to meet business needs.	
	Union TA:
	Employer TA:
Vacations shall be taken within the year following the	
date the employee becomes eligible. In case of	
emergency, by mutual agreement an employee may	No change - §106
work his vacation and receive his vacation pay in	
addition to wages for the hours worked or arrange his	
vacation for some other time.	Union TA:
	Omon IA.
	Employer TA:

Employees may take their vacations in one (1) hour increments if requested and approved in advance.	No change - §107
	Union TA:
	Employer TA:
If an employee's services are terminated prior to the time of the taking of his vacation, he shall be immediately paid the full amount of his accumulated vacation pay, provided such employee has completed one or more years of service.	6. If an employee's services are voluntarily terminated prior to the time of the taking of his/her vacation, he shall be paid the full amount of his/her accumulated vacation pay, provided such employee has provided a minimum five (5) day notice and completed one or more years of service.
	Union TA:
	Employer TA:
·	
If an employee becomes ill during his regularly scheduled vacation and qualifies for sick benefits he may re-schedule his unused vacation.	7. If an employee becomes ill during his regularly scheduled vacation and qualifies for sick benefits he may re-schedule his unused vacation, provided the employee has provided sufficient medical certification.
	Union TA:

Employer TA.	

## \*\* PROPOSE TO MOVE SICK BENEFITS TO ARTICLE 10, PAID TIME OFF BENEFITS \*\*

ARTICLE II INSURANCE BENEFITS	Health and Welfare Benefits
Employees who satisfy the average hours worked requirements set forth in Article 2, Paragraph 3, will be eligible to participate in the Employer's health and life insurance plans on the same terms and conditions as all other employees of the Employer. The Employer has the right to modify or eliminate these benefits (including providers) and increase the employee contributions to same. Said changes or increases in contributions shall be the same as those applicable to all other employees of the Employer. It is also agreed that the plan year, including enrollment periods, shall be the same as is applicable to all other employees of the Employer.	No change

Union TA:	
Employer TA.	

2 Except for a violation of the express terms of this	NT1 C111
I W Liveant for a wigletion of the everess terms of this	No change - §111
LYCEDI 101 & AIGISTICII OL IIIC CADICOS COLINS OL IIIG	Tro ottungo 3xxx
74	

	Article, any question or dispute in connection with the Employer's health insurance plans is specifically excluded from the grievance and arbitration procedures of this Agreement.	
•		Union TA:
		Employer TA:
ſ	ARTICLE 12 UNIFORMS AND LAUNDRY	
	The Employer agrees to furnish and launder uniforms for all employees who are required to wear them. These uniforms shall not be worn off the premises unless authorized. The employee is expected to treat the uniforms with care. The Employer also agrees to replace, at no cost to the employees, those uniforms which have become permanently stained or worn out.	No change - §112
		Union TA:
		Employer TA:
	ADDICA DA DANA DEDA DO ADDO	
	ARTICLE 13 BULLETIN BOARDS  The Union shall be entitled to reasonable use of the	
	bulletin boards of the Employer for the purpose of posting notices of official business. Other matters of interest to employees may be posted if approved by the Employer. It is agreed that the bulletin boards may be locked and a key maintained by the Management.	No change - §113

Union TA	 
Employer TA:	

ARTICLE 14 WAGES	
L. A schedule of "Appendix A" covering job	§114. A schedule a "Appendix A" covering job
classifications and base wage rates is attached and made	classifications and base wage rates is attached and made
a part of this Agreement. Additionally, the parties agree	a part of this Agreement. Additionally, the parties agree
as follows:	as follows:
	Union TA:
	Employer TA:

(a) Maintenance Boiler Pay - \$1.00 per hour paid for all hours worked to employees with a license, working full-time and license where a license is required. (TCS will continue continue continues)

(a) Maintenance Boiler Pay - \$1.00 per hour paid for all hours worked to an employee will a license when such appemptoyee is responsible for the operation of the boiler, working full-time where a license is required. Only one boile operator will be required any time during operations

Union TA:

	Employer TA:
(b) If applicable state or federal minimum wage is increased, all belipersons, doorpersons, and servers will receive the same cents per hour as the minimum wage littrease cents per hour.	Omit
	Union TA:
	Employer TA:
2. Except pursuant to the Lateral Service Article of this Agreement (Article 23) an employee required to fill a higher rated job temporarily shall receive the rate for that job while on that job and must be part spen ingher rate for a least three temps (3/10ms) of an hour. An employee required to fill a lower job temporarily shall receive his regular rate while on that job.	An employee required to fill a higher rated job temporarily shall receive the rate for that job while on that job. An employee required to fill a lower job temporarily shall receive his regular rate while on that job.  Union TA:
	Employer TA:
Employees who request hours in a lower paying job in order to more nearly reach fulltime employment will be paid at the rates of the job being performed.	No change - §116

	Union TA:
	Employer TA:
Except pursuant to the Lateral Service Article of this Agreement (Article 25), bargaining unit employees will not be required to temporarily fill in for non-bargaining unit positions.	§117. Except in those instances of work performed for a limited periods of time pursuant to the Lateral Service Article of this Agreement (Article 25), bargaining unit employees will not be required to temporarily fill in for non-bargaining unit positions.
	Union TA
	Employer TA:
3. Employees being paid over scale shall receive the same percentage increase as employees paid on the Agreement's scale.	No change - §118
	Union TA:
	Employer TA:
If any new classifications are added during the life of this Agreement, wages for the same shall be negotiated by letter or addendum and made a part of this Agreement.	No change - §119
	Union TA:

	Employer TA:	
68 411 4 2 4 4 5 4 5 6 4		
All rate increases shall become effective in the first pay period after the employee becomes eligible for the rate increase, it being the intention of the parties that changes in rates of pay not be made during a work week.	No change - §120	
	Union TA:	
	Employer TA:	
Employees who are required to leave their jobs because of occupational injury will receive pay for all hours scheduled to work on the day of the injury or accident.	No change - <b>§121</b>	
	Union TA:	
	Employer TA:	
7. Banguet servers working cashiet/yending nuisuons		<u>-</u>
Shall receive the five (5) year snack par attendants are of pay Senior employees shall have the right to deter such rungrous to the surror employee provided there are sufficient oraployees to staff the event.	Omit	
- Faretaine	Union TA:	

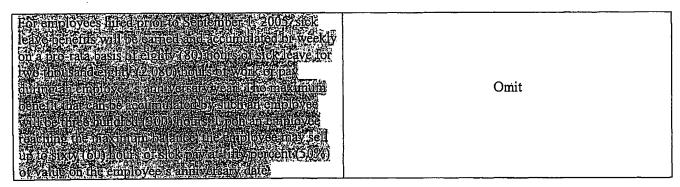
	Employer TA:
8. A split shift shall be defined as any break of more than one (1) hour during working hours. All split shifts will be completed within a twelve (12) hour period, with the exception of function employees and bellpersons. A premium of twenty cents (202) per hour will be paid for all hours worked on any split-shift except tip classifications.	A split shift shall be defined as any break of more than one (1) hour during working hours. All split shifts will be completed within a twelve (12) hour period.
	Union TA:
	Employer TA:
	ARTICER SHEAT TEVANDS MEETLY
	PTHE PHISTONE WILL do a lidinatured by order and recommend to overhead no recommendation as a few middle addition work environment. The Union sences that it will endeavon to have its members observe all sately rules.
	24 The Employer are estimated will adhere to all applicable rederal and state laws practed for the purpose of protecting the employees with respect to safety and health.
	3. Pollowing an injuly which is compensable under

worker's compensation, an employee who presents a statement from his/her medical practitioner recommending the need to amend work responsibilities may be temporarily reassigned, in order to provide a reasonable accommodation. Such temporary assignments shall not exceed twelve (12) weeks. The Union supports and encourages a return to work as soon as possible following an injury.
The Employer may make temporary work reassignments in order to reasonably accommodate the light drive or special work requirements of an employed returning forwards from a work related in urey of thress such reasignments will be innifed to sixty (60) days thress extended by mutual agreement.
5-11 is agreed that employees will not be required to handle any bio-hazard bags when they come to the blane Amount in the placed unopened into a special pinarial will be picked tiploy Mayorto; be handled by these
b. The Employer shall provide employees working in the soil soil department with OSHA approved gowns and gloves Employees shall not remove these neight

	evice many was a feature.	
	from the premises.	
	none are premiero.	

ARTICLE IS HEALTH, SAFETY AND SICK BENEDITS	CONSOLIDATE WITH PAID TIME OF ARTICLE
It All regular employees who have completed their probationary period of employment and antained seniority stants will be eligible for side leave benefits beginning with the second day of absence for actual illness. However, side seave till be paid to and amployee on the first day of respitalization and for the first core day of any dase (3) or morphalization and for the first work day of any dase (3) or morphalization and for the first work day of any dase (3) or morphalization and for the	Omit

Union TA:



	Union TA:
	Employer TA:
For employees hired on or after September 1, 2005, sick eave benefits will be earned and accumulated bi-weekly on a pro-rata basis of forty (40) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year of employment. The maximum benefit that can be accumulated by such an employee will be three hundred (300) hours. Upon an employee will be three hundred (300) hours. Upon an employee seathing the maximum balance, the employee may sell tip to stay (60), hours of sick pay at fifty percent (50%) of walke on the employee's anniversary late.	Sick leave benefits will be earned and accumulated biweekly on a pro-rata basis of forty eight (48) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year of employment. The maximum benefit that can be accumulated or carried after the effective date of this Agreement by such an employee will be two hundred forty (240) hours.  Union TA:
	Employer TA:
Sick leave may be converted to hours in the instance of an employee becoming ill after having reported for work. The hours lost by such an employee on that day by reasons of illness will be accumulated to establish eligibility for sick leave after the required waiting period.	No change - §127
	Union TA:

•	Employer TA:
Employees in the server and beligerson sections shall be given the option to use two (2) hours of their accrited sick leave for each hour of sick leave proyided they meet the sick leave eligibility requirements.	Omit
	Union TA:
	Employer TA:
To receive sick pay after three (3) days of illness, an employee must present a doctor's certificate as proof of illness. Absences due to accidents covered by workers' compensation are not eligible for sick leave benefits; provided, however, that sick leave which has been accumulated can be utilized in connection with workers' compensation benefits in order to permit an employee to receive up to the employee's average income in a combination of workers' compensation and sick leave pay.	No change - §129
	Union TA.
	Employer TA:

4. The Employer will do everything reasonably possible to create and maintain safe, healthful and sanitary working conditions. The Union agrees that it will endeavor to have its members observe all of the safety rules.	Ömit (moved to Health and Safety Article)
	Union TA:
	Employer TA:
The Employer may make temporary work reassignments in order to accommodate the light duty or special work requirements of an employee returning to work from a work-related injury or illness. Such reassignments will be limited to sixty (60) days unless extended by mutual agreement.	Omil/moved to Health and Safety Article)
	Union TA:
	Employer TA:
The Employer agrees that employees shall be allowed to use any of their accrued sick time for those absences	No change - §132

which would be covered by the Family and Medical	
Leave Act.	Union TA:
•	Employer TA.
ARTICLE 16 PENSION	
The Employer will continue to maintain and administer a pension plan ("Plan"). The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service. The pension program will be funded by the Employer as required by ERISA and the Internal Revenue Code. Employees who work beyond age sixty-five (65) will continue to accrue the full benefits subject to the maximum accumulation of forty-five (45) years.	No change - §133
	Union TA:
	Employer TA:
25 The following is a brief outline of pension eligibility and benefits. The Plan and Trust Document are the ruling documents in all respects:	No change §134
	Union TA:
	Employer TA:
Eligibility - Age twenty-one (21), one (1) year of	No change -§134(a)

service, and 1,000 hours worked within a twelve (12) month period.	
	Union TA:
	Employer TA:
Normal Retirement Age sixty-five (65) and five (5) years of participation.	No change - §134(b)
) out of participants	Union TA:
	Employer TA:
Early Retirement Age sixty-two (62) and five (5) years of participation.	No change - §134(c)
	Union TA:
	Employer TA:
Disability retirements are available to qualified employees.	No change - §134(d)
	Union TA:
	Employer TA:
The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service up to forty-five (45) years.	No change - §134(e)
	Union TA:
	Employer TA:

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There are a number of optional methods for payments of benefits	No change - §134(f)
	Union TA:
	Employer TA.
Vesting occurs after five (5) years of Vesting Service	No change - §134(g)
	Union TA
	Employer TA:
3. The Employer shall have the right to amend the Plan	
from time to time, consistent with the foregoing terms.	No change -§135
	Union TA:
	Employer TA:
ARTICLE 17 UNITE HERE 401(k)	
Employees will be eligible to participate in the 401(k) plan created and administered by the Union ("Union 401(k) Plan"). The Employer will not match employee contributions to and the Union will be responsible for all the costs of the Union 401(k) Plan, including all costs associated with the administration of the Union 401(k) Plan.	No change - §136
· <del></del>	Union TA:

•	
ARTICY E 19 NO STRIVE OR LOCKOUT	
ARTICLE 18 NO STRIKE OR LOCKOUT  There shall be no strike, picketing, work stoppage, slow down, sit downs, or cessation of work, including of a sympathy nature, boycotts, or any walk out of any kind or for any reason, including any dispute relating to alleged unfair labor practices, during the term of this Agreement. The provisions of this Article shall be absolute and shall apply regardless of whether the dispute is subject to arbitration under the provisions of Article 6 of this Agreement.	No change - §137
	Union TA:
2. It will not be a violation of this agreement for	

employees to refuse to go through a legally authorized

picket line in any strike approved by a two-thirds (2/3) vote of the executive board of the Union.

Union TA:

No change - §138

ARTICLE 19 MAINTENANCE TOOL ALLOWANCE	
Maintenance employees will be entitled to a tool	·
allowance of up to \$325.00 per year to maintain and	
replace tools required by the Employer. Paid receipts	No change \$120
must be presented to the Employer before payment is	No change - §139
received by the employee. Employees will be	
reimbursed within fifteen (15) business days of	
presenting a receipt.	TILL TA
	Union TA:
	Employer TA:
The tool allowance will be paid to eligible employees	
who are actively employed on September 1. For those	
employed less than a full year at that time, the tool	
allowance will be prorated based on the number of full	No change - §140
months worked in the preceding twelve (12) months	<b>6</b> - <b>3</b>
resulting in one-twelfth (1/12th) of the allowance for	
each month.	
Out monds	Union TA.
	Employer TA:

Specialized tools and test equipment required for maintaining equipment, but not listed on the employee tool list will be provided by the employer. These tools will not generally be issued to maintenance employees, but will be stocked in the maintenance shop to be issued on an as needed basis.

No change - §141

Union TA.

## ARTICLE 20 DISCRIMINATION

The Employer and the Union agree to abide by the federal law prohibiting discrimination in hiring practices because of sex, race, age, religion, color, national origin or union membership. The Employer further agrees that there shall be no discrimination in regard to tenure, upgrading or work assignments because of sex, race, age, religion, color, national origin or union membership. All employees shall be permitted to wear their official Union button and/or official steward button provided the button is no larger than one and one-quarter (1-1/4) inches in diameter. However, the foregoing limitation on the number and size of buttons worn shall not apply to employees in classifications that are not visible to the public.

§142. The Employer and the Union agree to abide by all federal, state and local laws prohibiting discrimination in hiring practices because of sex, race, age, religion, color, disability, national origin, union membership and all other legally protectable categories. The Employer further agrees subject to all rederal state and local laws, that there shall be no discrimination in regard to terms, conditions and privileges of employment because of sex, race, age, religion, color, disability, national origin, union membership and all other legally protectable categories. The Grievance and Arbitration Procedure as set tords in Article of shall be the sole dispute resolution procedure at employee may utilize in the event he or she alleges the Employee has breached the preceding sentence by a violation of the laws referenced thereif.

All employees shall be permitted to wear their official Union button and/or official steward button provided the button is no larger than one and one-quarter (1-1/4) inches in diameter. However, the foregoing limitation on the number and size of buttons worn shall not apply to employees in classifications that are not visible to the public.

Union TA:	 	
Employer TA:_		

### ARTICLE 21 RESPECT & DIGNITY

The Union and the Employer recognize that workers in the hospitality industry are professional employees deserving of the highest regard. The Union, the Employer, the non-Union and Union employees will work together to honor the principles of respect, and dignity. The parties and non-Union and Union employees agree that the continued success and operation of this establishment is dependent upon their mutual respect for one another's work.

The Union and the Employer recognize that all employees are professional employees deserving of the highest regard. The Union, the Employer, the non-Union and Union employees will work together to honor the principles of respect, and dignity. The parties and non-Union and Union employees agree that the continued success and operation of this establishment is dependent upon their mutual respect for one another's work.

Union TA:	
Employer TA:	_

ARTICLE 22 MANAGEMENT RIGHTS	
Except as limited by the express provisions of this	No change - <b>§144</b>
Agreement, and longstanding mutually agreed written	110 0114150 311.

The second secon	
custom and past practice, the management of the	
business and the direction of the working forces shall	
rest solely and exclusively with the Employer. This	
includes, but is not limited to, the right to hire; to	
determine the quality and quantity of work performed;	
to determine the number of employees to be employed;	
to determine the jobs and job classifications; to layoff	
employees; to assign and delegate work; to maintain and	
improve efficiency; to promulgate, rescind, revise and	
require observance of rules, regulations, and other	
policies; to direct the activities of all employees	
employed by the Employer; to schedule work	
and to determine the number of hours to be worked; to	
determine the methods and equipment to be utilized and	
the type of service to be provided; to create, combine	
and to eliminate job classifications; except as limited by	
Appendix F, to subcontract bargaining unit work	
including using temporary agency employees for same;	
and to change, modify or discontinue existing methods	
of service and equipment to be used or provided.	
	IImiom TA.

ARTICLE 23 LAUNDRY AND DRY CLEANING	
WORK STABILIZATION	
Notwithstanding any strike, work stoppage, interruption	No change

of work or other economic sanction instigated or conducted by the Union or employees against the Employer for any good reason, specifically including the occasion of negotiating new or different terms of collective bargaining agreements, there shall be no work stoppage or interruption of work as relates to materials being processed in the laundry and dry cleaning departments for the use of any public and private hospitals, the Mayo buildings and nursing homes.	Union TA:Employer TA:
If such a strike or work stoppage occurs, the Employer and the Union will cooperate with the other to the end that regular employees of the Employer will be made available for the processing of such uninterrupted work and services of public and private hospitals, the Mayo buildings and nursing homes.	No change
	Union TA:
	Employer TA:

In consideration of the foregoing no-strike agreement, the Employer agrees that it will not process any other work in its laundry and dry cleaning facilities during the term of a strike, so long as the Union and the employees do process, without interruption, all work required for the use of any public and private hospitals, the Mayo buildings and nursing homes.	No Change
	Union TA:
	Employer TA:
This Article shall remain in full force and effect for a period equal to the life of this Agreement and/or any renewal thereof plus six (6) months in addition thereto.	No change
Tollowin increos plan bir (c) months in accurate moreon	Union TA:
	Employer TA:
Any breach or threatened breach of this Article or any of the terms thereof in addition to any remedies at law or this Agreement shall be subject to suit for specific performance by the Employer or the Union.	No change
	Union TA:
	Employer TA:

### **ARTICLE 24 SUCCESSORSHIP**

In the event the owner of a facility covered by this Agreement decides to sell, transfer or assign its interest in any of the three (3) hotels listed on page one (1) of this Agreement, or in Textile Care Services, it will, prior to closing, provide a copy of this Agreement to the purchaser, assignee or transferee. In addition, the Employer will notify the Union and bargain in good faith over the effects of the pending sale, transfer or assignment on bargaining unit employees, prior to closing. The Employer agrees to notify the Union of the owner's intent to sell, transfer or assign its interest at the earliest possible date but in any case, no later than the date, of the execution of the purchase agreement.

### ARTICLE 23

In the event the owner of the facility covered by this Agreement decides to sell, transfer or assign its interest, it will, prior to closing, provide a copy of this Agreement to the purchaser, assignee or transferee. In addition, the Employer will notify the Union and bargain in good faith over the effects of the pending sale, transfer or assignment on bargaining unit employees, prior to closing. The Employer agrees to notify the Union of the owner's intent to sell, transfer or assign its interest at the earliest possible date but in any case, no later than the date, of the execution of the purchase agreement.

Union TA:	
Employer TA:	

### ARTICLE 25 LATERAL SERVICE

To support the Employer's provision of a high level of service to guests of the hotels covered by this Agreement, a high degree of cooperation with managers and with workers is required. In order to promote cooperation in the workplace, managers and workers are encouraged to develop ongoing communication. Consistent with the needs of the workplace, the Union recognizes that cooperation can be beneficial to both the workers and a hotel.

#### ARTICLE 24

To support the Employer's provision of a high level of performance, a high degree of cooperation with managers and with workers is required. In order to promote cooperation in the workplace, managers and workers are encouraged to develop ongoing communication. Consistent with the needs of the workplace, the Union recognizes that cooperation can be beneficial to both the workers and the Company.

Union TA:	 	
Employer TA:		

Management may, using reasonable discretion, utilize a policy of lateral service for limited periods of time to satisfy guests? and the hotel's needs. Lateral service consists of an employee performing work which ordinarily is performed by employees in a different job classification and is designed to allow employees to help where needed until guest or other hotel needs are satisfied.

Management may, using reasonable discretion, utilize a policy of lateral service for limited periods of time to satisfy business needs. Lateral service consists of an employee performing work which ordinarily is performed by employees in a different job classification and is designed to allow employees to help where needed until needs are satisfied.

Union TA:	
Employer TA:	

ARTICLE 26 ENTIRE AGREEMENT	ARTICLE 25
This Agreement incorporates the entire understanding between the parties and supersedes all prior agreements, letters of understanding, grievance settlements and past practices between the parties except for those practices identified by the parties in Appendix K that may continue to be relevant. This Agreement shall be modified or amended only by a writing referring to this Agreement executed by both parties setting forth the amendment or modification.	No change - §148
	Union TA:

## ARTICLE 27 DURATION

This Agreement shall be effective as of October 1-2011 and continue in full force and effect to and including the 31st day of August 2014 and continue thereafter from year to year unless either party hereto shall, at least sixty (60) days previous to the termination of any yearly period, notify the other party in writing of its intention to amend, modify or terminate this agreement. By yearly period the parties understand that the anniversary date of this Agreement will be August 31st of any succeeding year unless changed by mutual consent of the parties.

# ARTICLE-26

and continue in full force and effect to and including the day of 20 and continue thereafter from year to year unless either party hereto shall, at least sixty (60) days previous to the termination of any yearly period, notify the other party in writing of its intention to amend, modify or terminate this agreement. By yearly period the parties understand that the anniversary date of this Agreement will be of any succeeding year unless changed by mutual consent of the parties.

Union TA:

Employer TA:

Wage increases set forth in Appendix A will be effective	§150. Wage increases set forth in Appendix A will be
on the first full pay period after September 1-2011, the	effective on the first full pay period after
first full pay period after September 1: 2012 and the first	, the first full pay period after
full pay period after September 1, 2013.	and
Union TA:	Union TA:
Restaurants TA:	Restaurants TA
In the event of actual declaration of war by the Congress	No change \$151
of the United States, this Agreement may be reopened by either party on sixty (60) days written notice.	No change - <b>§151</b>
by ordior party or barry (00) days written action	Union TA:
	Employer TA:
IN WITNESS WHEREOF, The Employer and the	IN WITNESS WHEREOF, The Employer and the
Union have hereto set their hands this day of October 2011.	Union have hereto set their hands this day of
	Union TA:
	Employer TA:

SUNSTONE HOTEL PROPERTIES, INC. AS AGENT FOR DBA THE KAHLER GRAND HOTEL, ROCHESTER MARRIOTT MAYO CLINIC AREA, KAHLER INN & SUITES AND TEXTILE CARE SERVICES	SUNSTONE HOTEL PROPERTIES, INC. AS AGENT FOR DBA TEXTILE CARE SERVICES
Robert LaCasse	Robert LaCasse
Regional Director of Operations	Regional Director of Operations
	Union TA.
	Employer TA:
UNITE HERE LOCAL 21 AFL-CIO  Brian Brandt	
President / Business Representative	
Nancy Goldman	
International VP, UNITE HERE	This TA
	Union TA:

SIDE LETTER  Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites and Textile Care Services located in Rochester, Minnesota, ("Employer") and UNITE HERE Local 21 AFL-CIO, enter into this Side Letter to their October 1, 2011 - August 31, 2014 collective bargaining agreement.	[delete]
L TOPE & STOREGIS	Union TA:
	Employer TA:
As a result of the elimination of the retiree life insurance benefit set forth in the parties. September 1; 2005 to August 31, 2010 collective bargaining agreement, the Employer has agreed that employees who were eligible as of September 1; 2011 for would become eligible by August 31, 2014 for this benefit will receive no later than December 15, 2011, a payment of two hundred and fifty dollars (\$250.00) minus applicable legal deductions.	[delete] Union TA:
	Employer TA:

GRAND HOTEL, ROCHESTER	
MARRIOTT MAYO CLINIC AREA,	
KAHLER INN & SUITES AND	
TEXTILE CARE SERVICES	
Robert LaCasse	
Regional Director of Operations	
	Union TA:
	Employer TA:
	Employer III.
LD RED TYPED LOCAL OL API OLO	
UNITE HERE LOCAL 21 AFL-CIO	
D.1 D 4	
Brian Brandt	
President / Business Representative	
Nancy Goldman	<u> </u>
International VP, UNITE HERE	YI : TA
	Union TA:
	Employer TA:

APPENDIX "A"	
Payroll Rates	
The rates of pay for classifications are set forth below. The hiring rate shall apply to employees transferring to another classification, providing there is no decrease in hourly rate. After an employee who has transferred to another classification completes three (3) months of continuous employment in the new classification, he/she will be granted the appropriate service rate based upon his/her continuous employment with the Employer. Employees will, however, be given credit for actual experience in filling in on the new position towards the three (3) months of continuous employment.	No change
	I Injon TA

The Employer will have the right to select the individuals who will be classified lead and to determine the number of lead positions and the shifts where they will be utilized. The Employer will also have the right to increase or decrease the number of lead positions in the future. The minimum wage differential for lead positions will be fifty cents (50¢) per hour. Leads shall be responsible for the general direction of employees in the department and ensuring that all tasks are completed.	No change
	Union TA:
	Employer TA:

[ADD new wage table, with annual increases – NO rate increases at 12-month, 24-month, 42-month and 60-month service points]

Room Service Serving Section Grand Grill Serving Section Function Serving Section Function Serving Section Function Function Section Function Fu	Production Department  Receiving Section  Wash Section  Flat/Tumble Finishing Section  Packaging/Shipping Section  Dry Cleaning Section  Distribution Department  Service Representative Section  Utility Section  Maintenance Department  Maintenance Section
--	--

Maintenance Department	
Maintenance Section	•
KAHLER INN & SUITES	
Food and Beverage Department  Cooking Section  Serving Section  Sanitation Section  Rooms Department  Housekeeping Section  Bellperson Section  Maintenance Department  Maintenance Section	
B-1	
Union TA:	Union TA
Restaurants TA.	Restaurants TA
ROCHESTER MARRIOTT Food and Beverage Department  Cooking Section Preparation Section Serving Section	

 Room Service Serving Section • Function Serving Section • Function Set-Up Sanitation SectionBartenders SectionStores Section Rooms Department Bellperson, Doorperson Section
Housekeeping Section Maintenance Department

Maintenance Section

Housekeeping Section TEXTILE CARE SERVICES Production Department Receiving Section
Wash Section Flat/Tumble Finishing Section • Wearing Apparel Finishing Section Packaging/Shipping Section Dry Cleaning Section
Utility Section

Distribution Department	
	Union TA:
	Employer TA:
APPENDIX "C" MAINTENANCE ADDENDUM  Apprentices in the Maintenance Department will be permitted to take a test to move to the "mechanic" classification after completing two years of service as an apprentice. The apprentice wishing to take the test will furnish the employer with a written request and will be permitted to take the test	Ömit addendum and oreate maintenance article
within thirty (30) calendar days following the written request. The employer will inform the apprentice of the test results within thirty (30) calendar days of taking the test. If the apprentice passes the test he/she will be promoted to the "mechanic" classification.	
	Union TA:
	Employer TA

	The laundry specialist classification will require the employee to have a class 1-B boiler license and maintenance electrician's license. The employee will be required to demonstrate skills in electronics to troubleshoot PLC and computer control systems, make programming changes as directed by the equipment manufacturer and repair circuit boards.  Union TA:  Employer TA:
The employee will be required to demonstrate proficiency in welding and plumbing. The Employer agrees to provide, at no cost to the employee, for all training and education that is required for maintenance employees to obtain and maintain licensure provided the training is approved by the Employer and the course is successfully completed.	No change Union TA: Employer TA:
The Employer agrees to employ no more than the, following number of employees in the classifications of light/yard maintenance and preventative maintenance.	Omit, these numbers will be determined by management/business needs  Union TA:  Employer TA:

Facility Light/Yard; Preventative The Kahler Grand Hotel 2 2 Kahler Inn and Stiffes 1 Rochester Marriott 1 TCS 3	Omit Union TA: Employer TA:
Seniority Rights. The Employer will maintain separate seniority lists at each hotel, by classification. A separate seniority list for maintenance employees working at Textile Care Services will be maintained for purposes of all seniority rights.	Seniority Rights. The Employer will maintain separate seniority lists by classification.  Union TA:  Employer TA:
Staffing and appropriate coverage at all locations will be determined by management.	Staffing and appropriate coverage will be determined by management.  Union TA:  Employer TA:

With the exception of apprentices, advancement to a higher paid classification will only be permitted when a new position opens in a	No change
specific classification.	Union TA:
	Employer TA:
Any and all license requirements will be determined by management and/or applicable law.	No change
C-1	
	Union TA:
	Employer TA:

APPENDIX "D"	Omit
A housekeeping employee shall not be required to clean more than sixteen (16) rooms within eight (8) hours. When a housekeeping employee cleans eleven (11) or more check outs in a day, the maximum number of assigned rooms shall be reduced by one (1), and when a housekeeping employee cleans fourteen (14) or more check outs in a day, the maximum number of assigned rooms shall be reduced by two (2). Each bedroom or separate sitting room of a suite shall count as one (1) rooms Management in its sole discretion may reduce the number of rooms to be cleaned during a shift or assign a houseperson to assist a housekeeping employee with rooms where, for example, rooms are exceptionally dirty or extraordinary cleaning is required.	
	Union TA:
	Employer TA:
Except pursuant to the provisions of Article 25, Lateral Service, Room Attendants will not normally be required to perform houseperson work in addition to their normal duties.	
Control of the Contro	Union TA:
	Employer TA:

Housekeepers assigned to clean rooms on three (3) or more floors during a shift shall have their room quota reduced by one (1) room.	
	Union TA
	Employer TA:
A housekeeping employee who volunteers to clean more than the foregoing amount of rooms within eight (8) hours shall be paid a bought room bonus of seven dollars (\$7.00) for each additional room. However, the bought room bonus shall not apply to rooms cleaned during overtime.	
	Union TAPES ASSESSMENT OF THE PROPERTY OF THE
	Employer TA:
Housekeeping supervisors will make reasonable efforts to have housekeeping assigned to the room accompanying them when entering s a checkout room before it is cleaned.	
	Union TA:
	Employer TA:
The Employer shall not arbitrarily reassign housekeeping sections.	
· · · · · · · · · · · · · · · · · · ·	Union TA:
	Employer TA:
	120

The Employer will install sha	arps containers in all Hotel publ	restrooms:		
्रिक्ष करिया है। इस स्वाहित करिया करिया करिया है। स्वाहित करिया है।		Union TA:	n og gregoria skriver for til gregoria skriver i kallet skriver. Det for skriver i skri	
end organization and	the tradition of the second	Employer T.	Karangan dan Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupatèn Kabupat Kabupatèn Kabupatèn	
and/or dispose of human or a Employees shall comply with encounter human or animal a workplace, and shall immed handle disposal, Where a ban human or animal waster your a six dollar (\$6.00) payment	hall not normally be required to nimal waste, yount or significan in the Employer's procedures wh eces, yount, or significant blood ately contact a qualified respond gaining unit employee is require it or a significant blood spills th	blood spill: never they spill in the er who will d to clean y will receive		
	required to handle any items th		ge 1 - March 1 - Not 15 Tone of 18 Phys. B physical De	
placed in a biohazard bag. E handling of those items:	mployees shall contact their sup	rvisor for		
		Union TAS		
		Employer T	A. M. S. A. S.	

In the event Hotel employees encounter improperly discarded syringes or other sharp objects while working, they shall be disposed of in accordance with established policy. The policy will include adequate available "sharps" containers for collection.
D-1
D-1
Employer TA
The Employer will provide linen, equipment and cleaning materials which is sufficient for Housekeeping employees to perform their jobs. Room Attendants will not be disciplined where they could not perform a task because they did not have the necessary equipment or supplies:
Union TA:
Employer TA
The Employer will provide assistance to a housekeeper in connection with moving or lifting any furniture weighing more than twenty-five (25) pounds. No Room Attendant will be required to stand on a ladder, bath tub or vanity.
Union TA Service Contract Cont
Employer TAP
The Employer will provide at least thirty (30) day's notice to the Union and, upon the Union's request, meet and discuss any renovation or new

amenities or service standards which will significantly affect the housekeeper's work loads.	
D-2	·
	Union TA:
	Employer TA:
APPENDIX."E" Banquet Department Addendum	
Banquet Definition: A banquet shall be deemed to be any reserved function with a pre-set menu and a fixed cost, including receptions, supervised by the banquet department.	
	Union TA;
	Employer TA:
System-Wide Seniority. For purposes of lay-off, recall and filling available positions; the Employer shall maintain a master seniority list which shall contain the names of all regular fulltime and regular part-unite banquet servers who work in each of the Employer's hotels. Seniority shall be base on first function worked as a regular server following completion of probation:	<u>ā</u>
	Union TA:
	Employer TA:

Seniority by Location. The Employer will maintain at each location three (3) banquet employee seniority lists for purposes of scheduling at each of the Hotels.	
	Union TA.
	Employer TA:
A. First List.  The First List will contain the names of all regular full-time banquet employees. These employees milst be available to work any shift at the Hotel(s) its even days per week. The semonty list for regular full-time banquet employees shall be posted every month.	
	Union TA
	Employer TA
Although fluctuations in business will have an impact on the Employer's ability to consistently schedule these employees on a full-time basis, it is the intention of the parties to provide First List employees with a reasonable opportunity to works Tall hime schedule. Accordingly, the number of employees on the birst List will be established and maintained so as to reflect this intention.	
	Union TA
	Employer TA

## B. Second List.

The Second List will contain the names of banquet employees who are available to work a minimum of three (3) shifts, per week, at the Hotel(s). The days and shifts on which such employees are available will be submitted to management in writing. Second List employees will be on a separate seniority list, which will be posted. Second List employees will be scheduled only after the First List has been exhausted; when necessary, to meet staffing needs, or where use of the First List employees would result in the payment of overtime.

Umon TA

Employer TA ....

## O: On-Call List:

The On-Call List will contain the names of banquet employees who are called and work on an "as needed" basis at the Hotel(s). On-Call employees may be scheduled when the First and Second Lists have been exhausted; where necessary to meet staffing needs, or where use of First or Second List employees would result in the payment of overtime. Local 21 will check hours worked on a monthly basis to see it hours worked fall under guidelines (average 10 hours/week are subject to dues).

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Jinion TA'c Sara Lawrence

Employer TA:

<u> </u>
D. Seniority Standing.
1. First List employees moving to the Second List will be "dove-tailed"
based on seniority date. The Second List employees moving to the First List
will go to the bottom of the list with semority based on date of transfer.
Union TAY
Onto 17A
(1986)
Employer TA
2: First List servers will have preference for scheduling purposes at their
own Hotel and preference over Second List and On-Call servers at the other
Hotels.
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Contract Contract Contractions where the contract contract contract is a contract of the contr
Employer TA
3. Maximum hours available will be offered to senior First List employees;
up to forty (40) bours per week but no employee will work a-trole shift
up to forty (40) hours per week; but no employee will work a triple shift until all First List employees have been offered a double shift.  Union TA
Union TA Language
Employer TA:
4 Any regular server involuntarily cut from a function shall be entitled to
bump the least senior server scheduled to work at their home Hotel, in that
work week
Union TA.

5. Employee requests for days off must be received in writing by noon; forty-eight (48) hours prior to posting of the weekly schedule; and will be duly considered. A regular server will not be disciplined for his/her inability to work a shift if the server is notified less than twenty-four (24) hours before the scheduled shift.
Union TA
Employer TA1
6: All weekly banquet schedules shall be posted at each of the Hotels. It is understood that employees may be required to work at all locations.
The state of the s
Employer TA 2012 A 2012
P. Special Conditions/Scheduling.
Employees will be scheduled by shifts. A shift is defined as a Work period
of no less than three (3) hours and no more than eight (8) hours. A shift may should sworking one; or any combination of the following eyents:
THE THE PARTY OF T

Breakfasts	
Coffee Breaks	
• Lunches	
• Dinners	
• Receptions	
Special Events	
A STATE OF THE STA	Union TA.
	Employer TA:
Banquet Employee Compensation:	
A. Banquet Service Charge Banquet servers shall receive fifteen (15%)	
of the banquet service charge on all functions, including those	
contracted for at the Civic Center. This service charge applies to food	
and beverages served to guests who have functions at a hotel covered	
by this Agreement and does not apply to any other fees and/or	
charges to guests who have functions at a hotel covered by this	· ·
Agreement, including but not limited to room fees, audio-visual	
equipment charges, ête	
in dicade interpretation of the Calabra of	
E-2	
	Union TA:
在一个时间,我们就是一个一个时间,我们就是这个时间的一个时间,我们就是这种时间的一个时间,我们就是一个时间的一个时间,这个一个一个一个一个一个一个一个一个一个一	ica want dia
	Employer TA:

B. Service Charges on Guaranteed Meals: Service charges shall be paid on the guaranteed number of meals paid for by the customer.	
Union TA	
Employer TA	
C. Service Charge on Complimentary Functioning. Servers who work a promotional function for which the Hotel does not charge the guest will be paid a service charge percentage consistent with the above schedule. The service charge will be calculated on the retail value of the function.	
Union TA	
Employer TA	
D. Corkage Fées: When the Employer collects a corkage fee for guest; supplied alcoholt, fifty percent (50%) of that fee shall be added to the service charge pool. For events where no corkage fee is collected, fifty percent (50%) of the customary fee will be added to the service charge pool. However, the foregoing shall not apply to off-site events where the customer will not pay the corkage fee.	
Land Design Control of the Control o	
Programme Two Penniover Two	

E. <u>Cake Cutting</u> the tip pool.	One-hal	lf of the cak	e plating charge shall be inc		
***		وثناء	EX 學及200 安全基本 1.4	The state of	Union TA
eta seja		æ/	The state of the s		Employer TA:
hotel will retain	the full i ver eight	ncrease up een percen	he service charge be increar to eighteen percent (18%) p (18%) percent will be divid	ercent. Any	
100	第 24 等			<b>在基本层</b>	Union TA
3 × 4	H B				Employer TA:
hours worked a	harge w	tel.	d and divided on a daily bas		
	- 作- 建	<b>30.75</b>		<b>建筑的</b>	_Union TA
	Signal .				Employer TA:
Temporary emi	loyees sl	hall not be	ncluded in the tip pools		
					Union TA
# Y UK	rs.) Grije - zez -			g and the line to Selfer Lands	Employer TA:

B. Employer Records: The employer records on the amount of service charge and method of distribution shall be made available to the Union Representative or designee for purposes of monitoring the tip pooling system. The Union may request a meeting on a quarterly basis to review the system.	
	Union TA
	Employer TA
s construction de la company de la compa	***************************************
APPENDIX F	
Food and Beverage Addendum	
All Employees working in the cook classification will receive a meal during their shift at no cost to the employee.	
	Union TA
	Employer TA: Takkara
THE STATE OF THE S	大大学的 1000 1000 1000 1000 1000 1000 1000 10
The lead cook rate of pay will be applied to at least one (1) cook in the Grand Grill, Center Street Country Cafe, and Vino for all hours of operation.	
	Union TA
	Employer TA:

131

It is agreed there will be no more than one (1) sous chef and one (1) executive chef assigned per shift at each hotel.	
	Union TA:
	Employer TA.
Starbucks employees will be paid at the snack bar rate:	
	Union TA.
	Employer TA.
The Employer will not subcontract or lease out to another food and	
beverage operator any kitchen, bar, or restaurant in either the Mair off or Kabler Grand that is subject to this Agreement.	
	Union TA:
	Employer TA:

APPENDIX "G"  Textile Care Services Addendum  Employees in the janitor classification shall receive the same night shift differential as the maintenance classification.	Omit. Move to Maintenance article
	Union TA:
	Employer TA:
The Employer shall provide employees, working in the soil sort department with OSHA approved gowns and gloves. Employees shall not remove these items from the premises,	Move to Health and Safety Article
	Union TA:
	Employer TA:

APPENDIX "H" Bell Position Addendum	
For groups of more than ten (10) people, belipersons shall receive a portage rate of two dollars (\$2,00) per person each coming in and two dollars (\$2,00) each for going out if negotiated and collected. It is agreed that these amounts are only minimums.	Ömit
② ② ② ② (EF)	
	Union TAx-
A STANDARD OF THE STANDARD OF	Employer TA:
Single Company (2012) COST COST COST COST COST COST COST COST	
APPENDIX."II: GUEST SERVICE	ã≈2
	<u>Ōmij</u>
The paintes agree to supplement the Agreement for the following purposes:	The state of the s
	Union IA
	Employer TA:
WHEREAS, the parties recognize that premier guest service is essential to	
the success of the Hotel and its ability to employ persons who are paid competitive wages	·
	Union TA:
	Employer TA

WHEREAS, the parties recognize that because most guest dissatisfaction is not reported, and most dissatisfied guests simply take their business elsewhere, the guest complaints received by the Hotel are a reflection of dissatisfaction by some who have not complained but who will not return to the Hotel;	
the Hotel;	nion TA
E <sub>1</sub>	mployer TA
WHEREAS, the parties agree that the Hotel shall train employees on how to provide premier guest service and that each employee may be expected to successfully complete such training;	
U	nion TA
B	mployer TA
NOW. THEREFORE: the parties agree as follows:  (1) The Hotel has the right to establish service standards and appearance, grooming; and dress standards that must be adhered to by all employees and managers:	
	mon TA
The second secon	mployer TA:

(2) The parties agree that the Hotel may apply progressive discipline, up to
and including discharge, against employees who are the subject of guest
complaints other than those set forth in the following paragraph 3
(examples of complaints include, but are not limited to, misplaced luggage,
guest room not completely cleaned, mishandled food or beverage order,
incorrect credit card charge).
Union TA
Employer TA:
AND HARMON OF THE CONTROL OF THE STATE OF TH
(3) The parties agree that the Hotel shall have just cause for discharge of
any employee who, among other reasons:
Union TATALLE UN
Employer TA
的是最近的大学等于是一个人的情况。
a) is the subject of two or more legitimate complaints from guests within
one year of poor, rude, or discourteous service (examples include, but are
not limited to use of four language in the presence of a guest arguing with
ā guesteindifference to a guest concern carrying on personal business while
a guest is waiting);
Union TA
Employer TA

b) Is the subject of one legitimate complaint from a guest of extraordinarily	
poor, rude, or discourteous guest service (examples include, but are not	
limited to, directing foul language foward a guest, sexual or other	
harassment of a guest, refusal to assist a guest, requesting or adding a	
gratuity);	
	Inion TA:
en er en	
The second of th	imployer TA 23-4.
c) Fails to pass a course perfaining to the Hotel's service standards;	
id a life	The state of the s
	hion TAX TX
	Imployer LA
d) Acts in gross neglect of the Hotel's service standards on one occasion or	·
more, unless the Hotel deems it appropriate to excuse such neglect on a	
non-precedent setting basis:	
	Union TA:
275.4 T	
	CIUDIOACE A September 2000 September
The parties further agree that the foregoing are examples and that	
employees may terminated in other circumstances, subject to the	
requirement that the fermination be for just cause.	
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Employer TA:

(4) In the event the Hotel chooses to conduct written or oral testing of employees in connection with guest service training, such tests must be reasonable, job related, and nondiscriminatory. Such tests shall be limited to guest service and communication skills and abilities, as well as employee knowledge of the services and products offered by the Hotel. The Union shall be permitted to a copy of any tests used in advance of utilization by the Hotel. The Union shall be permitted to grieve such tests if it believes they are unreasonable; not job related, and/or discriminate on an unlawful basis.

Inion TA

Employer TA

(5) Where a guest complaint is reduced to writing, the Hotel shall not be
required to compel the guest to testify during the grievance and arbitration
procedure or reveal the guest saddress or telephone number to the Union or
to the employee. The Hotel may introduce into exidence at arbitration
written guest complaints. Upon request of the Union, the Hotel shall
provide the Union with a copy of any written guest complaint that resulted
in disciplinary action being taken against an employee; with the guest s
identity redacted from such copy. Where the Union wishes to investigate a
complaint, the Hotel shall arrange for a conference call between the guest, a
representative of the Union, and a representative of Hotel management.
Where, however, an employee has been discharged based on one or more
guest complaints the Union shall be permitted to investigate the complaint
to the extent permitted by the National Labor Relations Act, as interpreted
by the National Labor Relations Board and the courts
)100 -4473×

Union TA

Employer TA:

APPENDIX

DRUG AND ALCOHOL TESTING

This Drug and Alcohol Testing Policy is intended to be in accordance with Minnesota law and with the terms of the Agreement.

No change

Union TA:

Employer TA:

139

OBJECTIVE: The Company strives to maintain a work environment free from the effects	
of drug and alcohol abuse for the protection of our customers, employees,	
and the community.	
	Union TA:
	Employer TA:
•	
The Company recognizes that alcoholism and other drug dependencies are	
behavioral/medical problems which can be treated.	·
	Union TA:
	Employer TA:
	Employer TA:
	Employer TA:
POLICY STATEMENTS:  1. The respection use manufacture transfer or sale of illegal drugs during	
1. The possession, use, manufacture, transfer, or sale of illegal drugs during	
1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL	
1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL PROPERTIES, INC. worksites, including parking facilities. Employees	
1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL	
1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL PROPERTIES, INC. worksites, including parking facilities. Employees	

2. Employees are not permitted to work under the influence of alcohol or any illegal drug. Employees violating this provision are subject to disciplinary action up to and including termination.	
	Union TA:
	Employer .TA:
	••••••••••••••••••••••••••••••••••••••
3. Abuse of legally prescribed drugs or controlled substances, or over-the-counter drugs, is prohibited because it may impair an employee's ability to perform his or her job responsibilities. Depending on individual circumstances, this abuse could result in termination.	
	Union TA:
	Employer TA:
4. Employees suffering from drug dependency are encouraged to seek medical treatment. The Human Resources representative may be contacted for referrals for evaluation and/or treatment facilities and the application of Company medical benefits for evaluation and treatment. No employee may suffer reprisals as a result of seeking help. If an employee feels he/she has suffered reprisals, he/she should report it to the Human Resources representative immediately and an appropriate investigation and action will take place.	
	Union TA:
	Employer TA:

5. Every employee will receive a copy of the Drug and Alcohol Testing	
Policy and will be required to sign an Acknowledgment Form, Attachment	
A, which will be kept in the employee's personnel file. In addition, the	
Company shall post notices in appropriate and conspicuous locations at	
each of its worksites that the Company has adopted a Drug and Alcohol	
Testing Policy and that copies of the Policy are available for inspection	
during regular business hours by its employees and job applicants in the	
Company's Human Resources office.	
	Union TA:
	Employer TA:
6. An employee may be required to undergo drug and alcohol testing	
when at least two (2) supervisors (if feasible) have reasonable suspicion	
that the employee:	
	Union TA:
	Employer TA:

a.) is under the influence of drugs or alcohol. Factors that may be considered in determining whether an employee is under the influence of drugs and alcohol include but are not limited to: evidence of repeated errors on the job, Company rule violation, and unsatisfactory time and attendance patterns, if coupled with specific facts and rational inferences drawn from those facts that indicate possible drug use; or	
T 1	
J-1	
	Union TA:
	Employer TA.
b.) has violated the Company's written Policy Statements	
(numbers 1, 2, or 3 above); or	
	Union TA
	Employer TA:
	Employer III.
c.) has had a personal injury while working or has caused a	
personal injury to another person; or	
porportal injury to anomor porpor,	Union TA:
	OHIOH III.
	E1 TA.
	Employer TA:

d.) has caused a work-related accident or was operating or helping to operate machinery, equipment or vehicles in a work-related accident.	Union TA.
	Employer TA:
Post-accident or injury testing will be conducted as soon as practical following the accident, but not later than thirty-two (32) hours following the accident.	
the desident	Union TA.
	Employer TA:
7 Drug and alcohol testing will be accomplished by the collection of hair, urine, and/or blood. The screening of hair, urine, and/or blood samples will be performed by qualified and certified testing laboratories. Testing is done for alcohol and the following drugs and drug classes:	
done for alcohol and the following drugs and drug classes.	Union TA:
	Employer TA:

Marijuana metabolites, cocaine metabolites, the opiates morphine and codeine, phencyclidine (PCP, angel dust), and amphetamines (amphetamine and methamphetamine), and/or all other drug classes as described in Schedules I through V of Minn. Stat. Section 152.02.	
	Union TA:
	Employer TA:
The detection levels of confirmatory tests shall be those established under Minnesota Rules.	
	Union TA:
	<u></u>
	Employer TA:
8. Every employee has the right to refuse to undergo drug and alcohol testing. Employees who refuse to undergo testing are subject to disciplinary action up to and including termination.	
	Union TA:
	<del>(m + m + p 200 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -</del>
	Employer TA:
9. Any employee who tests positive shall have the right to explain the positive test result of a confirmatory test or request and pay for a	
confirmatory retest of the original specimen sample.	
	Union TA:
	Employer TA:

10. If a positive test result on a confirmatory test was the first such result for the employee on a drug or alcohol test by the Company, the employee will be immediately suspended without pay. The employee can be reinstated upon participation in either a drug or alcohol counseling or rehabilitation program, whichever is more appropriate as determined by the Company after consultation with a certified chemical use counselor or a physician trained in the diagnosis and treatment of chemical dependency. The cost for the evaluation will be paid by the Company. Costs for the recommended treatment will be the employee's responsibility. Employees who refuse to participate in the counseling or rehabilitation program or fail to successfully complete the program, as evidenced by withdrawal from the program before its completion or by a positive test result on a confirmatory test after the completion of the program, may be subject to termination.  J-2	Union TA:
	Employer TA:
	Employer TA.
11. An employee who is referred by the Company for chemical dependency treatment or evaluation or is participating in a chemical dependency treatment program may be requested or required to undergo drug or alcohol testing without prior notice during the evaluation or treatment period and for up to one (1) year following completion of any prescribed chemical dependency treatment program. An employee testing positive during this period may be subject to termination.	
	Union TA:
	Employer TA:

146

12. A Medical Review Officer (M.R.O.) will review all test results. All positive test results shall be confirmed by a Gas Chromatography Mass Spectrometry analysis of the original specimen sample. The M.R.O. will review and interpret analytical (laboratory) results, validate the results scientifically, and determine if there is a legitimate medical explanation for a positive test result, and notify the Company of the results. The M.R.O. is a third party licensed physician with specialized knowledge of substance abuse.	
	Union TA:
	Employer TA:
13. The Company reserves the right to change or terminate this Policy and Procedures at any time, after prior notice and negotiation with the Union. Every employee will be given a copy of the amended policy if a change is made.	
<u> </u>	Union TA:
	Employer TA:

14. Test result reports and other information acquired in the drug and alcohol testing process are confidential information. Disclosure of the results to third parties may be done with the employee's prior written consent. Notwithstanding the above, test results may be disclosed to any federal agency or other unit of the United States government as required under federal law, regulation or order, or in accordance with compliance requirements of a federal government contract. The test results may also be disclosed to a substance abuse treatment facility for the purpose of evaluation or treatment of the employee, or may be disclosed to the Union or other necessary persons in connection with a potential or actual grievance or threatened or actual litigation. An employee has the right to request and receive from the Company, a copy of the test result report on any drug or alcohol test.	Union TA: Employer TA:
No employee may be required to undergo drug or alcohol testing without the prior approval of the Director of Human Resources or the General Manager or his/her designee.	
intering of institut designee.	Union TA:
	Employer TA:

PROCEDURES:  1. When at least two (2) supervisors (if feasible) have reasonable suspicion to test an employee as stated in Policy Statement #6, the request must go to the applicable Human Resources representative or his/her designee to arrange for the collection and begin the required paperwork designating the	
need for hair, urine, and/or blood specimen.	Union TA:
	Employer TA:
•	
2. Before a test is administered, the Company will ensure that the employee has completed a Drug and Alcohol Acknowledgment Form.	
has completed a Drug and Alcohol Acknowledgment Polin.	Union TA:
	Employer TA

3. The employee will go to the collection site and provide a hair, urine, and/or blood specimen and appropriate identification. The collection site staff will begin the chain of custody paperwork and forward the specimen to the certified laboratory for testing. If an employee appears impaired and unable to safely go to the collection site on his/her own, the Company will arrange for transportation to the collection site and home following the collection procedure. Under no circumstances should an employee suspected of being impaired be allowed to drive. The employee will be reimbursed for any out-of-pocket expense incurred in taking the test, with proper documentation.	
J-3	
	Union TA:
	Employer TA:
4. Test results will be reviewed to determine if there is evidence of the use of alcohol, drugs or controlled substances and forwarded to the M.R.O. If the specimen sample shows a positive result, the original sample will be kept for additional confirming tests.	
and the same of th	Union TA:
	Employer TA:

5. The M.R.O. will communicate the results to the Company Human	·
Resources representative.	
	Union TA:
	Employer TA:
6. The Human Resources representative and/or the employee's supervisor will communicate the results of the test to the employee or job applicant, as the case may be, within three working days upon receipt of the results.	
	Union TA:
	Employer TA:

7. If an employee tests positive for drug use, the employee will be notified in writing of his/her right to explain the positive test and the Company may request that the employee indicate any over-the-counter or prescription medication that the individual is currently taking or has recently taken and any other information relevant to the reliability of, or explanation for, a positive test result.	Union TA:
	OHIOH III.
	Employer TA:
8. Within three (3) working days after notice of a positive test result on a confirmatory test, the employee may submit information to the Company, in addition to any information already submitted under paragraph 7, to explain that result, or may request a confirmatory re-test of the original sample at the employee's own expense.	
	Union TA:
	CALLON AT A CONTRACT OF THE CO
	Employer TA:
9. The Human Resources representative will follow up on any recommended treatment and determine whether the employee has successfully completed the treatment.  J-4	
	Union TA:
	OIMOM 171.
	Employer TA:

Attachment A DRUG AND ALCOHOL POLICY ACKNOWLEDGMENT FORM	
I, the undersigned, certify that I have received and read a copy of the Company's Policy regarding drug and alcohol abuse.	
	Union TA:
	Employer TA:
As part of my employment with the Company, I understand that my position is subject to drug and alcohol testing and that I may be requested to provide a hair, urine, and/or blood specimen for a drug or alcohol test.	
	Union TA:
	Employer TA:

I understand that I may refuse to take the drug and alcohol test and that such refusal may result in termination.	
Employee	
Social Security Number Date	
Witness	
J-5	
	Union TA:
	Employer TA:

APPENDIX "K" Mutual Agreements (Custom, Past Practice, Letters of Understanding)	Omit Appendix, items can be included in body of bargaining agreement, if deemed necessary
As pair of the 2001 contract negotiations and settlement, the parties added a new Arricle 25 entitled, "Entire Agreement" to the Agreement, In Connection with adding Article 25 to the Collective Bargaining Agreement, both parties made a reasonable and good faith effort to locate, identify and discuss all separate mutually agreed upon custom, past practices of letters of agreement understanding which they believe were part of the sollective bargaining agreement as a result, the parties agree that the following items are part of the Collective Bargaining Agreement.	
	Union TA:
	Employer TA.
Hotel from letter of August 19, 1988 to Daniskinger His Manager Kahler Hotel from Terry. Welvoda: Local 21 any employee with pittor service and senjoury in a room (restaurant) is permitted to use that semonity to bid on any posted jobs in that room (restaurant):	Omit
	Union TA:
	Employer TA:

2. From letter of September 24, 1991 to Terry Weivoda, Local 21, from Kevin Molloy,	Omit
Senior VP Operations,	
	Union TA.
	Employer TA
a. It is agreed that no absolute room quota exists for room housekeepers, but the employer has the right to set reasonable performance standards:	Omit
O Day San Day	Union TA:
	Employer TA:
b. Consistent with past practice, it is agreed that full time housekeepers shall be able to use their seniority to select work areas; part time housekeepers shall be assigned as heeded	Omit
nogodypokoligioniki an nasibika hasana	Union TA:
	Employer TA:

## CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 971 of 1459

A list agreed that when employees have been requested to work on their day off, such designation shall be made on the employee's work schedule noting any sixth or seventh day worked.	Omit (no posted work schedules)
	Union TA:
	Employer TA:
•	
d. It is agreed that tipped employees shall not be required to share gratuities with other libite employees	Omit
	Union TA:
	Employer TA:
e. It is agreed that the Employer will continue for the life of their 2011; 2012 Collective Bargaining Agreement the current employee discount for meals purchased in restaurants in accordance with the established policy; exemployee caleteria, or if not available, from employee meau.	Omit
The property of the second sec	Union TA:
	Employer TA:
37 Promiterior of October 23, 1997 to Dave Blanchard, 1862 205 from Review Mollow Regional VP Similore.	Omit
	Union TA
	Employer TA.
·	157

a. It is agreed that a shift chef will not be used to fill a regular shift while a cook is on lay off. It is understood, however, that under such circumstances a laid off cook will not be recalled to cover short periods of cooking that could occur during the heavy volume periods of the day.	Union TA:
	Employer TA:
b. It is agreed that when an employee is required to work through the lunch,	Move to Article 37 Work Week
the supervisor will be advised and will attempt to reschedule the lunch	
periods: Employees will story ever have tinch periods scheduled during the last one half-hour of the shift	
me day offer talking an experience	Union TA:
	Employer TA:
c. With respect to Article of Section 2. 12 is agreed that the language will be	Omit, if language is unclear in Article 9, revise
interpreted to require that employees who work on a holiday will be paid	
double time for all bours worked out harday.	
K-1	
K-1	Union TA:
	Employer TA:
· · · · · · · · · · · · · · · · · · ·	158

The second secon	The state of the s
4 From letter of October 5, 1998 to Kevin Molloy, Senior VP Operations,	Move to Union Security Article, no changes other than removing
from Brian Brandt, Local 21. Concerning the issue of the employer using	highlighted text.
workers oblamed through temporary employment agencies, it is understood	
that the Union would not consider it to be a violation of the Collective	
Bargaining Agreement should the Employer choose to utilize such workers	
provided that the Employer has made every reasonable effort to fill all	
positions with regular employees and, where practicable, to offer the work	
to all bargaining unit employees in the job classification first. The	
to all bargaining unit employees in the job classification inst. The temporary workers will have no seniority rights and will not be used to	
prevent overtime or additional hours for Union members. Any temporary	
prevent overtime of auditional hours for Official memoris. Any temporary	
worker utilized by the Employer for more than thirty (30) calendar days	
will immediately become a Sunstone employee subject to the Collective	
Bargaining Agreement and the thirty days as a temporary worker will be	
considered the probationary period. The Employer will provide the Union	
with a record of all temporary workers' names and hours worked upon	
request.	
	Union TA:
	Pro-Invest TA
	Employer TA:
5. From letter of June 22, 1992 to Jim Porrett- VP (Textile care Services).	Omit
from Jerry Welvoda Local 21 Lit is agreed that maintenance employees	
with boiler operator responsibilities will be paid \$2.00 perhops for those	
hours aliming which they perform boiler operator job duties	
MANTE STREET AND STREET OF	Union TA:
	OMOLE AAN
	Employer TA:
	<u> </u>

159

6. From letter of January 4, 1993 to Randy Lacey, Plant Manager (TCS), from Terry Weivoda, Local 21 - the union has accepted the employer's proposal to adopt an absence control policy.	Omit
	Union TA:
	Employer TA:
	Meyero Health and Safety Africity
7. From letter of August 18 2001, to Frank Heavilm from David Blanchard, Hocal 2 E regarding freatment of biolazard bags at Textile Care Services in	t is agreed that employees will not be required to handle any big-hazard
Rochester Minnesola. It is agreed that employees at IICS will no longer be required to handle any bio-hazard bags when they come to the plant. Any	bagg when they come to the plant. Any such bags will be placed, imperied, into a special bindharwill be picked in by Mayo to be handled by them.
such bags will be placed, unopened, into a special bin that will be picked up	
by Mayo to be handled by them. This policy willing change during the life of the 2001-2005 Agreement in less required by law.	
THE TOTAL CONTROL OF THE TOTAL	Union TA:
	Employer TA:

8. As a result of discussions between the parties during the 2001 contract negotiations, the Employer agrees that bargaining unit employees will no longer be required to push wheelchairs occupied by non-hotel guests, or wheelchairs occupied by guests off company premises.	Omit Union TA Employer TA
In addition, the parties recognize and agree that despite their efforts, there may be other separate documented mutual agreements which the Union or the Employer did not locate, identify or discuss, but which one party may believe is a part of the Collective Bargaining Agreement. If, after the 2001 Agreement is settled, either party discovers a previously undiscovered, documented mutual agreement, which they believe is part of the Collective Bargaining Agreement, Article 26 will not operate as a waiver of their right to assert their rights under the newly discovered and documented mutual agreement.	
K-2	Union TA:
	Employer TA

# OFFICIAL REPORT OF PROCEEDINGS before the NATIONAL LABOR RELATIONS BOARD

Volume 2 of

GENERAL COUNSEL EXHIBITS

In the Matter of:

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Party: GENERAL COUNSEL 6(g) and 9

Date: December 15-17, 2015

Place: Rochester, Minnesota

Veritext National Court Reporting Company 1250 Eye Street NW - Suite 1201 Washington, DC 20005 (888)777-6690

EXHIBIT NO. GC 6(g) RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 150 DATE 12/15/15 REPORTER SMW

Cr. Mach 28th?

**AGREEMENT** 

between

Richfield Hospitality, Inc.

as managing agent for

Kahler Hotels, LLC

jointly with

- KAH 20 2nd Avenue LLC d/b/a The Kahler Grand Hotel
- MAR 1st Avenue SW LLC d/b/a Marriott Rochester Mayo Clinic Area
- KINN 9 3rd Avenue LLC d/b/a Kahler Inn & Suites
- RES 441 Center Street LLC d/b/a Residence Inn Rochester Mayo Clinic Area

(TC Services, LLC d/b/a Textile Care Services)

and

UNITE HERE Local 21 AFL-CIO

## UNITE! LOCAL 21

Rochester, Minnesota

April 24th, 2015

to

April 23rd, 2020 (five (5) years)

1

GC Exhibit 6(g)

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Marriott.

ROCHESTER

IAYO CLINIC AREA

#### TABLE OF CONTENTS

§1. Recognition.	1
§2. Union Security.	1
§3. Union Membership.	1
§4. Temporary Employees.	. 2
§5. Union Insurance Benefits.	. 2
§6. Dues Checkoff List.	. 2
§7. Rules or Regulations.	. 2
§8. Monthly Dues and Initiation Fees.	. 2
§9. Job Openings	. 3
§10. Union Representative.	.3
§11. New Member Orientation .	.3
§12. Tip Check-Off.	.3
§13. Political Contribution	. 4
§14. Political Contribution Liability.	. 4
§15. Seniority	. 4
§16. Recall .	. 4
§17. Notification of Recall.	.4
§18. Seniority Definition.	. 5
819. Equal Qualifications.	.5

**Page 3 of 150** P 01171

§20. Seniority Preference.	. 5
§21. Permanent Job Openings .	. 6
§22. New Location Seniority.	. 6
§23. Trial Period.	7
§24. Shift Preference.	7
§25. Up to Date Seniority List.	7
§26. Re-employment of Armed Forces.	7
§27. Incapacitated Employees.	7
§28. Seniority Outside Coverage.	7
§29. Discharged Employee Seniority.	. 8
§30. Retirees Working	. 8
§31. Probationary Period.	. 8
§32. No Discharge Without Just Cause.	. 8
§33. Discipline and Discharge	. 8
§34. Written Notices.	. 8
§35. Suspension and Discharges	.9
§36. Mail Warning Notices.	.9
§37. Eighteen (18) Months No Discipline.	.9
§38. Confidentiality	.9
§39. Right of Review.	.9

§40. Posting of Rules	10
§41. Employee's Human Resources File.	10
§42. Grievance and Arbitration Procedure.	10
First Attempt.	10
Step One (1).	10
Step Two (2).	10
Step Three (3) (Optional).	11
Step Four (4) Arbitration.	11
CHRONOLOGY & CHART OF GRIEVANCE.	13
CHRONOLOGY & CHART OF GRIEVANCE & ARBITRATION	
PROCEDURE	13
ILLUSTATIVE CALENDAR	15
ILLUSTATIVE CALENDAR OR ARBITRATION & GRIEVANCE	
SCHEDULE.	15
§43. Authority of Arbitrator.	17
§44. Arbitrator Decision.	17
§45. Arbitrator Expense.	17
§46. Grievance Time Limitations	17
§47. Election of Remedies.	17
§48. Mistakes on Paycheck	17

III

§49. Names of Union Stewards.	18
§50. Grievance During Work Hours.	18
§51. Leave of Absence.	18
§52. FMLA.	18
§53. Leave for Education.	18
§54. Time off for Union Activity.	18
§55. Business Representative.	19
§56. Medical Leave of Absence.	19
§57. Failure to Report.	19
§58. Seniority During Leave of Absence.	19
§59. Bereavement.	19
§60. Immediate Family Definition.	19
§61. Leave for Non-Immediate Family	. 20
§62. Jury Duty	. 20
§63. Check Received for Jury Duty.	. 20
§64. Leave of Absence Benefits.	. 20
§65. Workweek.	. 20
§66. Overtime.	. 21
§67. Nonconsecutive Days Off.	. 21
§68. Emergency Callhacks.	. 21

§69. Housekeeper Premium Pay.	. 21
§70. No Make-up Time	.21
§71. Work Schedules.	. 22
§72. Temporary Hours Reductions.	. 22
§73. No Guarantee of Hours.	. 22
§74. Preferential Work Schedules .	.22
§75. Reporting Pay.	. 22
§76. Offer of Overtime.	. 23
§77. Overtime While Present .	.23
§78. Meal Periods .	. 23
§79. Provide Meals .	. 24
§80. Fifteen (15) Minute Break	. 24
§81. No Work Alone.	. 24
§82. Holidays.	. 24
§83. Holiday Pay.	. 25
§84. Seniority and Holiday.	. 25
§85. Holiday Comes During Vacation.	. 25
§86. Holiday While Sick	. 25
§87. No Loss of Pay	. 25
§88. Paid Personal Days.	. 26

**Page 7 of 150** P 01175

§89. Paid Personal Day in Advance of Anniversary Date.	. 26
§90. Vacations.	. 26
§91. Forty (40) Hours Vacation Pay.	. 26
§92. Server and Bellperson Vacation Pay.	. 27
§93. Tipped Employee Vacation Adjustment.	. 27
§94. Bi-Weekly Vacation Accrual.	. 27
§95. Pay in Advance of Vacation .	. 27
§96. Vacation Sign-Up List.	. 27
§97. Vacation Requests.	. 28
§98. Year Round Vacation .	. 28
§99. Vacations Within the Year.	. 28
§100. Vacations in One (1) Hour Increments.	. 28
§101. Paid Accumulated Vacation Pay	. 28
§102. Becomes Ill During Vacation.	. 28
§103. Insurance Benefits.	. 28
§104. Uniforms and Laundry.	. 29
§105. Bulletin Boards.	. 29
§106. Maintenance Boiler Pay.	. 29
§107. Minimum Wage.	. 29
§108. Higher Rated Job Pay.	. 29

νi

§109. Lower Paying Job.	. 30
§110. Limited Period of Alternative Work.	.30
§111. Paid Over Scale .	. 30
§112. Banquet Employee Compensation.	.30
§113. New Classifications.	. 30
§114. Rate Increases.	. 30
§115. Leave Because of Occupational Injury.	.30
§116. Banquet Server Working Cashier Pay.	. 30
§117. Split Shift	.30
§118. Health, Safety and Sick Benefits.	.31
§119. Sick Leave for Employees Hired Prior to September 1, 2005.	.32
§120. Sick Leave for Employees Hired On or After September 1, 2005.	. 32
§121. Sick Leave Conversion	.32
§122. Accrued Sick Leave for Server/Bellperson.	.33,
§123. Doctor's Certificate.	. 33
§124. Safe Work Environment.	. 33
§125. Temporary Work Reassignments.	.33
§126. Pension Plan.	.33
§127. Pension Plan Eligibility.	.34
§128. Employer's Right to Amend Pension Plan	.34

vii

9129. UNITE HERE 401K Plan.	. 34
§130. No Strike or Lockout .	. 34
§131. Refuse to go Through Legal Picket Line.	. 35
§132. Maintenance Tool Allowance.	. 35
§133. Tool Allowance Prorated.	. 35
§134. Specialized Tools and Test Equipment.	. 35
§135. Discrimination .	. 35
§136. Union Buttons.	. 35
§137. Respect & Dignity	. 35
§138. Management Rights .	. 36
§139. Successorship.	. 37
§140. Communication .	.37
§141. Lateral Service.	. 37
§142. Agreement Supersedes Prior Agreements.	. 38
§143. Duration .	. 38
§144. Wage Increases.	. 38
§145. Declaration of War.	. 38
§146. Agreement.	. 38
APPENDIX "A".	. 40
APPENDIX "B".	. 45

/iii

	. 48
1	. 50
,	. 52
	. 55
	. 56
	, 56
	. 57
• • • • • • • • • • • • • • • • • • •	. 60
	. 67
	. 69
	124

This Agreement made this 24th day of March, 2015 by and between Richfield Hospitality, Inc. as managing agent for Kahler Hotels, LLC, jointly with KAH 20 2nd Avenue LLC d/b/a The Kahler Grand Hotel, and jointly with MAR 1st Avenue SW LLC d/b/a Marriott Rochester Mayo Clinic Area, and jointly with KINN 9 3nd Avenue LLC d/b/a Kahler Inn & Suites and jointly with RES 441 Center Street LLC d/b/a Residence Inn Rochester Mayo Clinic Area (TC Services, LLC d/b/a Textile Care Services) located in Rochester, Minnesota, hereinafter referred to as [Employer] and UNITE HERE Local 21 AFL-CIO, hereinafter referred to as [Union].

It is the desire of the respective parties to avoid disruption in the service and operation of the units covered by this Agreement and to secure the benefits intended to be derived by the Employer, its Employees and the Union under these Sections of Agreement, it is agreed by and between the Employer and the Union as follows:

- §1. Recognition. The Employer above named, for and on behalf of Richfield Hospitality, Inc. as managing agent for Kahler Hotels, LLC, jointly with KAH 20 2<sup>nd</sup> Avenue LLC d/b/a The Kahler Grand Hotel, and jointly with MAR 1<sup>st</sup> Avenue SW LLC d/b/a Marriott Rochester Mayo Clinic Area, and jointly with KINN 9 3<sup>nd</sup> Avenue LLC d/b/a Kahler Inn & Suites and jointly with RES 441 Center Street LLC d/b/a Residence Inn Rochester Mayo Clinic Area (TC Services, LLC d/b/a Textile Care Services) recognizes the Union as the exclusive bargaining representative of all employees of the Employer employed at these properties in Rochester, Minnesota listed in the classifications set forth in Appendix A, with respect to wages, hours, and all other working conditions. All other employees, including supervisors, managers, administrative employees and confidential employees are excluded from the bargaining unit.
- §2. Union Security. The Employer agrees not to enter into any contract or agreement with the Employees, individually or collectively, which conflicts with the terms and provisions.
- §3. Union Membership. All Employees covered by this Agreement who are now or who may become members of the Union shall, during the life of this Agreement, remain members of the Union in good standing or pay fees in lieu of as a condition of continued employment. "In good standing" for the purposes of this agreement is

defined to mean the payment as required by the Union of a standard initiation fee and standard regular monthly dues relating to the Union's collective bargaining function, applied uniformly to all members of the bargaining unit covered by this Agreement.

- §4. Temporary Employees. Provided, however, temporary Employees hired between two (2) and twenty four (24) weeks will be exempt from initiation fees and dues normally charged other Employees. It is agreed that these temporary positions shall be posted and that any Employees who take a temporary position shall not be restricted from bidding on a regular position should one become available. If such Employees are retained past Twenty-four (24) weeks, they will be obligated to pay the initiation fee. Such Employees will be entitled to all fringe benefits for which they qualify except seniority and insurance.
- §5. Union Insurance Benefits. Employees hired who average ten (10) hours or more per week in a four (4) week period or who have been working under a work permit shall, as a condition of employment, become and remain members in good standing of the Union or pay fees in lieu after thirty (30) calendar days employment. All such Employees averaging over said ten (10) hours shall have all of the benefits under this Agreement except as to insurance benefits. Such Employees who average thirty (30) hours or more per week shall have insurance benefits. (Provided, however, that Employees in tip classifications who average twenty-five (25) hours or more per week will be covered by the insurance benefits.) OMIT TC In determining the average, the weekly average shall be determined the last pay period of each month based upon the previous twenty-six (26) week period. The twenty-six (26) week period shall be a floating period.
- §6. Dues Checkoff List. The Employer shall update the dues checkoff list provided by the Union on a monthly basis to reflect new hires and terminations.
- §7. Rules or Regulations. The Employer and the Union agree not to adopt rules or regulations or to engage in practices that conflict with the express terms of this Agreement.
- §8. Monthly Dues and Initiation Fees. The Employer shall check off monthly Union dues and initiation fees and/or other required fees in a manner according to procedures agreed upon between the representatives of both parties, upon receipt

of written authorization form to deduct Union dues or fees signed by the Employee. By the twelfth (12th) of each month the Union must submit to the Employer in duplicate a current list of deductions to be made. The Employer agrees to remit such deductions to the Union by the last Friday of the current month after receipt of deduction list. The date of dues deductions may be changed by agreement between the Employer and the Union.

- §9. Job Openings. The Employer posts all job openings electronically and on post boards, to which the Union has access. The Union shall proactively assist the Employer in filling positions. The Employer shall have no obligation to hire any person referred by the Union, and shall retain the right to select the best qualified candidates.
- §10. Union Representative. The designated Union representative will be allowed to visit the premises of the Employer for the purpose of administering this Agreement. The Union representative will provide the Human Resources Director or General Manager with as much advance notice as is possible prior to visiting the facility. Upon arriving at the facility, the Union representative will check in at the office. It is agreed that the work of the Employees will not be interrupted by such visits. Union representatives will not meet with Employees during working time without the knowledge and permission of the Employer.
- §11. New Member Orientation. The Union or a designated representative will be provided access to newly hired employees on the Employer's premises, after thirty (30) days of employment, to provide information about this Agreement and the employees' rights thereunder. Such access shall be for up to thirty (30) minutes, once per month, at a mutually agreed upon time and location.
- §12. Tip Check-Off. The Employer agrees to honor political contribution deduction authorizations from Employees in the following form:
  - I hereby authorize my Employer to deduct from my pay the sum of \$\_\_\_ per pay period and to forward that amount as my voluntary contribution to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001 ("PAC"). My decision to participate in the UNITE HERE PAC is a voluntary one and I understand that I am under no compulsion to contribute to it, since such

contributions are neither a condition of my continued employment or of membership in the Union. I also understand that this authorization may be revoked by me at any time and that it is automatically revoked upon termination of my employment.

- §13. Political Contribution. The political contribution deduction shall be made once each month during which an Employee who has performed compensated service has in effect a voluntarily executed political contribution deduction authorization. The money shall be remitted within thirty (30) days after the last day of the preceding month to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001, accompanied by a form stating the name of each Employee for whom a deduction has been made, and the amount deducted. The parties have taken into account the cost of administration of this deduction in negotiating the wage increases and benefits specified in this Agreement.
- §14. Political Contribution Liability. The Union shall indemnify, defend and save the Employer harmless against any and all claims, demands, suits, or other terms of liability that may arise out of or by reason of action taken by the Employer to comply with this Article.
- §15. Seniority. Seniority shall be by job classification (see Appendix B) and by work location (e.g., The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, The Kahler Inn and Suites and Residence Inn by Marriott Mayo Clinic Area) (Textile Care Services) except the banquet department as set forth in the Banquet Department addendum. Employees shall not acquire seniority until they have completed their probationary period. Then seniority shall revert to the date of employment.
- §16. Recall. Where qualifications to perform the available work are equal, layoff and recall will be by seniority as defined in §15.
- §17. Notification of Recall. When recalling Employees who have been laid off because of reduction of work force, the Union shall be notified by the Employer of such Employees who are to be rehired. If an Employee so notified does not report for work within seven (7) days from the date his notice was mailed by certified mail, he shall forfeit seniority unless he has reasonable excuse for his failure. If an Employee is employed elsewhere when she/he receives a notice to report for work, the Employee shall not forfeit her/his seniority by not reporting unless the Employer

4

gives the Employee reasonable assurance of at least three (3) months steady employment. However, the employee must immediately notify the Employer no later than the seventh (7th) day after the recall notice is mailed, identify her/his current employment, and waive her/his right to the particular job that is open.

- §18. Seniority Definition. Seniority shall mean continuous length of employment with the Employer in the job classification and work location. Any employee transferred or re-employed in another job classification and work location retains but does not accumulate her/his seniority in her/his original job classification and work location and, in addition, commences seniority in her/his new job classification and work location.
- §19. Equal Qualifications. Where qualifications are equal, Employees shall be promoted within their job classification and work location on the basis of seniority. Only when a vacancy occurs or a new position is created can seniority be exercised for purposes of shift preference, days off, and server sections. An Employee who bids on and is awarded a posted position in another classification or property shall be transferred to the new position no later than ninety (90) calendar days after they are awarded the position.

Except where rotation is practiced, servers shall be given preferential stations on the basis of seniority, provided they are sufficiently qualified. Note TC: OMIT

- §20. Seniority Preference. As with permanent job openings under §21, an Employee laid off from her/his job classification and work station shall have preference based on seniority in hiring over any other applicant for other job classification and work station of the Employer, even though it may be in another operation, provided qualifications are equal. Seniority shall be forfeited on the following grounds:
  - (a) Voluntarily leaving the employ of the Employer;
  - (b) Discharge for proper cause;
  - (c) Layoffs in excess of six (6) months; or
  - (d) Failure to report for work after a layoff within a reasonable time, not to exceed seven (7) days, after the Employer has notified employee to report for work, as previously provided.

§21. Permanent Job Openings. Permanent job openings in the classifications covered in this Agreement will be posted for a minimum of five (5) days on the Human Resources bulletin board and online at the Employer's Outlets to advise Employees of the opening. Employees interested in the position must advise the Human Resources office in writing of their desire to be transferred or promoted to the open position. Where qualifications are equal, the opening will be filled by seniority, adhering to the following preferences:

First - Employees working in the classification at the property where the opening is available;

Second - Employees working in the classification at the other properties; NOTE TC: Omit

Third(Second) - Employees working outside of the classification who have demonstrated the skill or potential ability to successfully perform in the position, and who have notified the Employer of their desire to change classifications;

Fourth - Employees working outside of the classification. Third-Employees not currently within the bargaining agreement.

§22. New Location Seniority. For purposes of scheduling, Employees moving to a new location in the same classification shall move to the bottom of the seniority list. However, prior classification seniority shall be maintained. If an employee bids for and receives a permanent job vacancy, she/he cannot bid again for a posted job opening for a period of six (6) months. (The Employer need not permit an employee more than one transfer to a different job classification in a twelve (12) month period. TCS ONLY). The six (6) month limitation will not apply to requests for shift preferences or work schedules within a job classification. "Qualifications," as used herein, shall be based on the Employer's reasonable judgment of the applicant's skills, abilities, aptitude, and overall work record. Notwithstanding the foregoing, the Employer may postpone a transfer or promotion where it would leave another department with an insufficient number of skilled Employees or an excessive number of vacancies. Until a permanent job opening is filled, the Employer may use its discretion to select an employee to temporarily fill the opening.

- §23. Trial Period. Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the Employee is unable to meet job requirements or is unhappy in the position, she/he may consider bidding for another position for which she or he is qualified, but may not return to the former position if that position has been filled. In the event management initiates the process of the employee either bidding or returning, by virtue of advising that the Employee is unable to meet job requirements, the Employee shall have the right to grieve the decision under the Grievance and Arbitration Procedure contained herein; however, the sole permitted basis for asserting a grievance in this instance is that the Employer has acted in an arbitrary or capricious manner.
- §24. Shift Preference. Any regular Employee within a job classification and work location may exercise their seniority as it applies to shift preference schedules and/or days off up to forty (40) hours in a workweek. In the Maintenance Departments (Maintenance and Checking Department), new hires may be trained on the day shift for up to thirty (30) days (ninety (90) days) before shift preference can be exercised to displace that Employee. There will be an automatic extension of an additional thirty (30) days if the Employer believes that additional time is required to determine the Employee's ability to work off-shifts. Employees shall not be placed on the third shift until supervisor is satisfied the Employee is capable of working alone.
- §25. Up to Date Seniority List. The Employer shall furnish a complete up to date seniority list to the Union of all Employees covered by this Agreement within thirty (30) days following a request from the Union representative.
- §26. Re-employment of Armed Forces. Re-employment of members of the Armed Forces shall be governed by applicable law.
- §27. Incapacitated Employees. The Employer and the Union shall make every effort to provide work for incapacitated Employees returning from the Armed Forces.
- §28. Seniority Outside Coverage. When an Employee is transferred to a position outside the coverage of this Agreement, she/he shall retain seniority for *thirty (30)* days. At the end of such time, seniority shall be forfeited if the employee retains a position outside the unit.

- §29. Discharged Employee Seniority. If the Employer rehires an Employee who has been discharged, such Employee shall not be reinstated in accordance with his accumulated seniority unless such action is approved by both the Union and the Employer.
- §30. Retirees Working. Retirees working on an on-call or part-time basis shall receive the benefits of this Agreement except those provided under §15 and §103.
- §31. Probationary Period. The first ninety (90) days of employment shall be probationary, during which time an Employee may be discharged with or without cause and without recourse to the grievance procedure.
- §32. No Discharge Without Just Cause. No Employee (except for a probationary Employee) will be disciplined or discharged without just cause. If a meeting is held for disciplinary purposes, the affected Employee (including probationary Employees) shall have the right to have a Union steward and/or Union Business Agent present if the employee so requests. Prior to any such meeting or investigatory interview of an employee, the Employer shall present a copy of The Right To Union Representation Form.
- §33. Discipline and Discharge. The Employer will discipline employees for just cause only. Discipline will normally be in the following form:
  - a) Verbal warning
  - b) Written warning
  - c) Suspension/Final warning
  - d) Discharge

Progressive discipline need not be followed in incidents of violations of a serious nature as provided in the Employer Handbook, or Standards of Conduct, a copy of which shall be provided to each employee.

§34. Written Notices. Written reprimands, notices of suspension and notices of discharge, which are to become part of the Employee's file, shall be read and signed by the Employee. Such signature shall in no way be an admittance of wrongdoing

on the part of the Employee. A copy of such reprimands and/or notices shall be given to the Employee and the Union.

- §35. Suspension and Discharges. All suspensions and discharges will be in written form and copies will be mailed to the Union upon issuance of such notices. Discharges will be preceded by a suspension during which an investigation of the incident leading to the discharge will be conducted. No Employee shall be placed on suspension pending investigation status for an unreasonable period of time. An issue specifically brought by the employee to a Human Resources representative shall be responded to within seven (7) calendar days excluding weekends. Such time line may be extended by mutual agreement.
- §36. Mail Warning Notices. Copies of all warning notices and all other disciplinary action given to employees will be provided to the Union without delay. In addition, it is agreed that if a verbal warning results in a written report by a supervisor for the employee's personnel file, a copy of such notice will be given to the employee.
- §37. Eighteen (18) Months No Discipline. If an employee avoids disciplinary offenses for a period of eighteen (18) consecutive months, offenses in her/his personal record which preceded that time will not be used as a basis for disciplinary action (except as necessary pursuant to the Attendance Policy NOTE: TCS ONLY); such discipline may, however, be introduced in any arbitration proceeding involving the employee.
- §38. Confidentiality. The Employer may decline to give the Employee the name of the complaining party, but must, upon request, divulge such information to the Union after the Union has received a copy of the discipline, which information the Union will keep confidential. The Employer will provide this information to the Employee at an arbitration hearing if so directed by the Arbitrator.
- §39. Right of Review. The Union shall have the right of review of any discharge of an Employee who has completed the probationary period by following the grievance procedure of this Agreement.

9

- §40. Posting of Rules. All rules shall be conspicuously posted by time clocks or on Employee bulletin boards. The Employer's rules shall not conflict with this Agreement.
- §41. Employee's Human Resources File. The Employer shall at reasonable times and at reasonable intervals, upon the request of an Employee, permit the Employee to inspect such Employee's personnel file on the Employee's own time.
- §42. Grievance and Arbitration Procedure. The grievance procedure set forth in this section is established for the specific purpose of providing prompt and amicable means of settlement of all questions arising under the terms of this Agreement or the application of them. Both the Employer and the Union intend to make every effort to settle grievances quickly and amicably and with a minimum of friction.

First Attempt. An Employee may, with or without the assistance of a shop steward, first attempt to resolve workplace disputes with the Employee's manager. If not resolved informally, the following shall be the grievance procedure:

- (a) Step One (1). The grievance shall be reduced to writing by the Union Business Agent within twenty-one (21) calendar days from the date of the incident giving rise to the grievance, or within twenty-one (21) calendar days of when the Employee reasonably should have had knowledge, and shall be furnished to the Human Resources Director. The written grievance shall set forth the facts giving rise to the grievance, including the dates and persons involved, identify the Agreement provisions violated, and state the relief requested.
- (b) Step Two (2). The Union Business Agent and the Human Resources Director shall meet within fourteen (14) calendar days of receipt of the written grievance and attempt to settle the grievance. If the grievance is not settled, the Employer shall issue a written response to the grievance within seven

- (7) calendar days of the meeting. Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration.
- (c) Step Three (3) (Optional). If the grievance is not settled at Step 2, the Union Business Agent may appeal the grievance to mediation within seven (7) calendar days from the date of the decision rendered in Step 2 by giving written notice of a request for mediation to the Employer and the Federal Mediation and Conciliation Service (FMCS), Minnesota Bureau of Mediation, or other neutral mediation agency. Mediation shall consist of up to two (2) Employer representatives and up to two (2) Union representatives, and a neutral mediator acceptable to both parties, who shall mediate the dispute in an attempt to have the parties reach a settlement. No attorneys or other consultants may participate in the mediation. The proceedings shall be informal and no formal record of the proceedings shall be made. If no settlement is reached, the mediator shall provide the parties with an immediate written advisory decision of the grievance, including the grounds for such decision. All offers to compromise presented during the mediation, as well as any decision of the mediator, shall be confidential and nonadmissible in any subsequent proceedings.
- (d) Step Four (4) Arbitration. If the grievance is not settled at Step 3, or if the Union Business Agent chooses to skip Step 3, the Union may submit the matter to arbitration within fourteen (14) calendar days of the date of the mediation or the Employer's written response (or failure to respond) to the grievance by furnishing the Employer with a written request for arbitration and proposing therein the names of three (3) arbitrator(s) acceptable to the requesting party. The Union shall also state in writing the matter and issue to be

arbitrated and the relief that is sought. If the Employer is dissatisfied with the Union's statement of the issue to be decided (e.g., that it is unfairly, inaccurately or incompletely stated); then the Employer shall have the option to propose a joint statement of the issue. If the parties are unable to agree on a joint statement of the issue, the Employer shall have the option of presenting an alternative statement of the issue. In the latter event, the arbitrator shall be empowered to determine, after hearing evidence, the appropriate statement of the issue to be decided. If the parties are unable to agree upon an arbitrator within fourteen (14) days, the Union shall request the FMCS to submit a panel of seven (7) names. The Employer and the Union shall alternately strike one name from the list submitted until only one name remains. The Union shall take the first strike. The cost of securing the list of arbitrators shall be shared equally between the Employer and the Union.

## CHRONOLOGY & CHART OF GRIEVANCE & ARBITRATION PROCEDURE

Event	Total Business Days (M- F) Elapsed	Business Days (M-F) Elapsed Between Events
Incident giving rise to grievance		
First Attempt		
Step 1: Written Grievance provided to Director of Human Resources (DHR)	21	21
Step 2: Meeting with DHR, GM, Local 21 representative, shop steward and employee	35	14
Written response by DHR/GM	42	7
Step 3: Appeal to Mediation	49	7 calendar days
Step 4: Union submit to Arbitration	63	14
Must agree on Arbitrator or begin to strike names	77	14

13

CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1002 of 1459

Arbitrator's Decision 30 calendar days after hearing

14

### ILLUSTATIVE CALENDAR FOR GRIEVANCE & ARBITRATION SCHEDULE

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
1	2	3	4	5	6	7
Incident giving rise to grievance						
8	9	10	11	12	13	14
15	16	17	18	19	20	21
Written Grievance provided to Director of Human Resources (DHR)	23	24	25	26	27	28
29	30	31	1	2	3	4
5 Meeting with DHR, GM, Local	6.	7	8	9	10	11

representati ve, shop steward and employee						
Written response by DHR/GM	13	14	15	16	17	18
19 Appeal to Mediation	20	21	22	23	24	25
26	27	28	29	30	31	1
2 Union submit to Arbitration	3	4	5	6	7	8
9	10	11	12	13	14	15
Must Agree on Arbitrator or begin to strike names	17	18	19	20	21	22

- §43. Authority of Arbitrator. The authority of the arbitrator shall be limited solely to the determination of the issue submitted in writing at the time of request for arbitration, as provided in Step 4. The arbitrator shall not have power to add to, subtract from, or modify in any way the terms of this Agreement. If, during the course of the arbitration hearing, either party introduces any facts, deemed by the arbitrator as material and significant, which were not introduced during any of the steps of the grievance procedure, the other party shall be granted an extension of hearing upon request.
- §44. Arbitrator Decision. The decision of the Arbitrator shall be made not later than thirty (30) days after the submission of post-hearing briefs, and her/his decision shall be final and binding upon both parties and the Employee(s) involved.
- §45. Arbitrator Expense. Expenses of the Arbitrator shall be paid equally by the Employer and the Union. If a court reporter is used, the ordering party shall pay the cost of, unless the other party requests a copy of the transcript, in which case the cost of the court reporter and transcript shall be paid equally.
- §46. Grievance Time Limitations. The time limitations set forth relating to the time for filing a grievance and the demand for arbitration shall be mandatory. Failure to follow said time limitations shall result in the grievance being permanently barred and waived. The time limitations and/or grievance steps provided may be extended or waived by mutual written agreement between the parties.
- §47. Election of Remedies. The sole remedy available to any employee for any alleged breach of this Agreement shall be pursuant to the grievance procedure; provided, however, that nothing shall prevent an employee from electing to pursue a legal or statutory remedy providing such election will bar any further or subsequent proceeding for relief under the grievance/arbitration procedure.
- §48. Mistakes on Paycheck. Mathematical or mechanical mistakes on a paycheck resulting in an under or overpayment of the Employee may be corrected within thirty (30) calendar days of the pay day involved. If an error (which results in greater than fifty (350.00) dollar financial impact to the Employee is discovered) is discovered, it must be corrected by payment within five (5) business days (Monday Friday). The Employer will make a concerted effort to make the corrected payment sooner than five (5) business days if possible.

- §49. Names of Union Stewards. The Union shall advise the Employer of the names of the Union Stewards who shall participate in the grievance procedure and who shall be recognized by the Employer as representatives of the Employees for purposes of enforcing this Agreement, and who will generally act as representatives on the job of the Union.
- §50. Grievance During Work Hours. When a grievance requires the attention of a shop steward during working hours, she/he shall first secure the permission of her/his supervisor, which shall not be unreasonably withheld, bearing in mind that the immediate needs of guest service shall take priority. The handling of all grievances shall be done during working hours, without any deduction from wages of employees who necessarily attend. The Union agrees to attempt to minimize any disruption to the Employer's operation.
- §51. Leave of Absence. Employees may be granted unpaid leaves of absence by the Employer for a period of not more than thirty (30) days. Leaves of absence in excess of thirty (30) days, and any extensions of leaves beyond the thirty (30) days, shall be put into writing by the Employer and a copy kept by the employee, the Employer and the Union. Requests for such leaves and extensions shall be made to the Employee's immediate supervisor. However, leaves of absence for illness or injury will be granted upon request consistent with the law.
- §52. FMLA. Illness or injury which qualifies for leave under the Federal and Family Medical Leave Act will run concurrently with and shall be governed by the federal and Minnesota Family and Medical Leave Acts.

The Employer agrees that employees shall be allowed to use any of their accrued sick time for those absences which would be covered by the Family and Medical Leave Act.

- §53. Leave for Education. Employees who have been employed for at least one (1) year may be granted a leave of absence for educational purposes up to twelve (12) months, provided the leave is used for future employment with the Employer, and is approved by management.
- §54. Time off for Union Activity. The Employer agrees to grant necessary time off, limited to three (3) working days, without pay or loss of seniority rights to any

Employee designated by the Union to attend a labor convention or serve in any capacity on other official Union business. The Union agrees to give in writing a minimum of two (2) weeks notice to the Employer. It is agreed that there shall be no disruption of the Employer's operation.

- §55. Business Representative. Any employee who is appointed as a full time Business Representative or is elected to a full time office of the Union, or the International Union, shall be given a leave of absence not to exceed a total of six years.
- §56. Medical Leave of Absence. Disability leaves of absence for employees will be granted in accordance with the recommendation of the attending physician, not to exceed twelve (12) weeks. Maternity/paternity leaves will not be granted beyond three (3) months unless supported by the attending physician.
- §57. Failure to Report. Failure to report for work at the end of the period of a leave of absence is equivalent to resignation.
- §58. Seniority During Leave of Absence. Seniority shall accumulate during the period of leave of absence.
- §59. Bereavement. In the event of the death of a member of an Employee's immediate family, she/he will be granted time off from work with pay for up to three (3) consecutive days, one of which must be the day of the funeral. The Employee will be paid for that portion of her/his regular week's work which falls within the above three (3) day period if she/he was, under the terms of this Agreement, scheduled to work. If bereavement leave occurs during an Employee's scheduled vacation, the Employee will be permitted to substitute bereavement leave in lieu of vacation time. The Employer may require an employee to provide proof of death.
- §60. Immediate Family Definition. Immediate family shall mean the employee's father, mother, father-in-law, mother-in-law, spouse, previously declared (on Employer form) same-gender domestic partner, children, stepchildren, stepparents, guardian, brother or sister, grandchild, son-in-law, daughter-in-law, grandparents, half-brother, stepbrother, half-sister, stepsister, current grandparent-in-law, current brother-in-law and current sister-in-law.

- §61. Leave for Non-Immediate Family. In addition to the foregoing paid leave, an employee will be permitted to take one (1) day of unpaid leave in order to serve as a pallbearer. An employee also may take off up to three (3) days without pay to attend the funeral of an aunt or uncle.
- §62. Jury Duty. If a regular Employee with seniority is summoned for petit or grand jury service, such Employee shall be paid the difference between jury pay and the pay the Employee would have earned from the Employer for each day of jury duty which falls on a day on which the Employee would otherwise be scheduled to work. Employees are required to give prompt advance notice after receipt of the summons to jury duty, and failure to do so with at least seventy-two (72) hours' notice prior to a scheduled shift shall result in forfeiture of jury pay. If on a day the Employee would otherwise be working for the Employer, and he or she is released from jury duty at least six (6) hours prior to the end of his or her scheduled shift, the Employee will be expected to return to work as soon as possible, unless the Employer has scheduled another employee in his or her place. Otherwise, where less than six (6) hours remain on the scheduled shift, the Employee shall return to work on the next scheduled shift after being released.
- §63. Check Received for Jury Duty. To be eligible for benefits under this section, the employee must endorse and turn over to the Employer the check received for jury duty. All hours spent on jury duty will be credited for purposes of calculating vacation and holiday benefits. The Employer will in turn pay the Employee the pay the Employee would otherwise have earned on that day. Payment for jury duty service will be limited to a maximum of six (6) calendar weeks for each Agreement year.
- §64. Leave of Absence Benefits. The Employer is obligated to continue to provide health insurance benefits during an Employee's leave of absence under this Article only to the extent required by law (e.g., FMLA or Minnesota Parental Leave Law).
- §65. Workweek. The basic work week shall consist of five (5) days, forty (40) hours, and two (2) consecutive days of rest within a seven (7) day period, starting the first shift on Monday of each week; provided, however, this shall not serve as a guarantee of a minimum number of hours or a minimum number or length of shifts. Time and one-half shall be paid after forty (40) hours worked or paid as an approved

holiday or vacation day in any one work week. Banquet servers will not normally be required to work a sixth (6th) day until all regular full-time servers have been rescheduled for five (5) days. Employees recalled from layoff to work on the Employee's scheduled days of work will be paid straight time.

§66. Overtime. Time and one-half is to be paid for any hours worked in excess of forty (40) hours (eight (8) hours - TCS ONLY) worked in a work day. Provided, however, that daily overtime will not apply to function and on-call employees who work less than four (4) days per week. There shall be no pyramiding of overtime.

Time not worked, including vacation, holiday or sick leave, will not count for purposes of computing overtime.

- §67. Nonconsecutive Days Off. By mutual agreement between Employees and the Employer, nonconsecutive days off may be scheduled. The nonconsecutive days off schedule will not be binding on any other employee. Irrespective of the foregoing, non-consecutive days off may be scheduled for function and on-call personnel.
- §68. Emergency Callbacks. Any Employee in the maintenance departments who is called from home for an emergency condition shall be given two (2) hours (four(4)hours) minimum pay at time and one-half for such call-in work. Emergency callbacks will be handled on a seniority basis among Employees qualified to correct the emergency. Employees shall be paid for all overtime work and shall not be required to take time off for extra time worked.
- §69 Housekeeper Premium Pay. Employees in the maintenance departments, housekeepers and Employer's PBX operators shall be paid a premium of *fifty cents* (50¢) for all hours worked between 10:00 p.m. and 6:00 a.m., except for call-in work. NOTE: OMIT TC
- §70. No Make-up Time. Employees who work beyond their regular daily schedule in any day shall not be required to take time off later in the work week because of such extra hours. The Employer agrees not to schedule employees for work with less than eight (8) hours between shifts, unless mutually agreed upon by the employee and the Employer. This provision shall not apply to employees working split-shifts.

- §71. Work Schedules. The Employer reserves the right to prepare work schedules and to schedule days off. Work schedules and scheduled days off shall be posted in each department as far in advance as possible, but not later than four (4) days, prior to the beginning of the work week involved. Employees will have until 5:00 p.m. on the day after the schedule is posted to contest any discrepancies in the schedule. After 5:00 p.m. that day, the schedule will not be changed except in emergencies and/or for business needs. When cancelling a scheduled shift, the Employer will attempt, at least two (2) hours before the start of the employee's scheduled shift, to speak with the Employee directly by calling the phone number in the Employee's personnel file; if the Employer gets the Employee's voicemail/answering machine or another person answers, the Employer will leave a message. Work schedules and scheduled days off may be changed without notice in case of emergency and/or for business needs. Any Employee who reports to work on his scheduled day off at the request of the Employer will be paid time and one-half for all hours worked on that date. Employees who are scheduled in advance to work one or both of their days off, and calls in sick earlier in the week, shall not be eligible for time and one-half on the scheduled day off. In order to secure time off for a doctor's appointment, employees must provide notice of the appointment at least a week prior to the start of the new schedule, except in cases of emergency.
- §72. Temporary Hours Reductions. In the event it is necessary to reduce staffing on a short term basis because of low occupancy, the Employer will grant Employees, at their request, absent days on a voluntary basis.
- §73. No Guarantee of Hours. New extra or additional employees will not be utilized to prevent regular full-time employees from working forty (40) hours during a work week, provided, however, this does not constitute a guarantee of hours.
- §74. Preferential Work Schedules. Employees shall be granted preferential work schedules and preferential days off in accordance with their seniority within the section and the respective units consistent with the efficient operation of the section.
- §75. Reporting Pay. The following reporting pay guarantees will apply:
  - (a) A four (4) hour call-in on an Employee's day off (and a minimum reporting pay on a regular work day of four (4) hours) OMIT TC for all Employees normally scheduled to work in excess of twenty (20) hours per week

- (b) Employees who normally are scheduled for twenty (20) or less hours per week or on-call Employees including function personnel will be guaranteed two (2) hours.
- (c) A person called back after having completed his work shift will receive a minimum of two (2) hours call back pay.
- (d) Split-shift Employees will receive a three (3) hour guarantee per shift, however, this guarantee would not apply to those Employees who choose to voluntarily leave early.
- (e) Employees scheduled or called in for a training session or mandatory meeting will be paid a minimum of two (2) hours (one (1) hour) at the appropriate rate of pay.
- §76. Offer of Overtime. When overtime is necessary, the Employer reserves the right to offer that work to another Employee in the same classification at the other hotel, provided that employee is scheduled for less than forty (40) hours for that work week. Absent this ability, the senior Employees in a classification who are on duty shall be given first preference to work overtime. If senior Employees on duty in a particular job classification reject an offer of overtime, the junior employees on duty must perform the overtime work. Involuntary overtime will be assigned based on reverse seniority.
  - \*\*\*\*\*Note TC: Omit addition (yellow) for TC\*\*\*\*\*
- §77. Overtime While Present. An Employee working on her/his regular day shall be required to work overtime before an Employee who is working on her/his day off.
- §78. Meal Periods. (Meal periods will be scheduled for a maximum of thirty (30) minutes for all Employees, except for food and beverage Employees, who will be expected to take lunch when and to the extent that operations permit.) OMIT TC

Meal periods shall be an uninterrupted one-half (1/2) hour for which the employee is not to be compensated. If Employees are required to work any portion of the meal period, they shall be paid for the entire meal period. Employees are responsible for clocking in and out at the beginning and end of each thirty (30) minute meal period.

- §79. Provide Meals. The Employer shall provide meals which are palatable and wholesome at a cost to employees which it determines. Employee meals shall be served under clean and sanitary conditions.
- §80. Fifteen (15) Minute Break. Employees shall be entitled to one (1) fifteen (15) minute break for each four (4) hours of work. It is understood, however, that the Employer reserves the right to schedule the breaks. Employees who work overtime beyond the end of their shift will be entitled to an additional fifteen (15) minute break after each additional two (2) hours of overtime worked.
- TC Services, LLC shall have the option to schedule a four (4) day work week using ten (10) hour days in accordance with the following:
  - (a) The work weeks shall consist of four (4) work days and three (3) scheduled days off.
  - (b) Two (2) days scheduled off to be consecutive.
  - (c) When computing holiday pay, participating employees will have average days computed using four (4) days per week to a maximum of ten (10) hours.
  - (d) When computing vacation pay, participating employees will have average days computed using four (4) days per week. Vacation taken in less than full week increments will be computed based on this average day to a maximum of ten (10) hours.
  - (e) At TC Services, LLC, the Employer agrees to give preference to senior employees in selecting shifts. Where operations permit, the Employer will provide a TC Services, LLC driver with fourteen (14) days' notice of any reassignment to a new route.
- §81. No Work Alone. The Employer shall not require an Employee to work alone without a reasonable amount of training as provided and determined by the Employer.
- §82. Holidays. The following shall be classified as holidays: Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day and New Year's

Day. Any time worked on those days shall be paid for at double time. Management shall have the exclusive right to determine which holidays are to be worked.

Sunday Holidays. Sunday holidays will be celebrated on that day and paid for accordingly.

Saturday Holidays. Saturday holidays will be celebrated on that day and paid for accordingly.

§83. Holiday Pay. Holiday pay will be granted to all Employees with established seniority irrespective of the day of the week on which it falls. To qualify for pay, an employee must work his regular scheduled work day before and after the holiday. Pay for holidays for Employees not scheduled to work will be based on the average daily hours worked by each eligible employee in the twelve (12) week period preceding the holiday up to a maximum of eight (8) hours. An employee who is scheduled to work on a holiday and then fails to report for work will not receive holiday pay.

An employee who is absent on the day before or after the holiday on compensable time (vacation, paid sick-leave, etc.) will not be disqualified if otherwise eligible for holiday pay.

- §84. Seniority and Holiday. Employees with seniority who are normally scheduled to work five (5) days will have designated sixth (6th) and seventh (7th) days and such days will not be changed in holiday weeks to avoid payment of overtime for work on the sixth (6th) and seventh (7th) day of a work week. This does not apply to function employees who work on an on call basis.
- §85. Holiday Comes During Vacation. If the holiday comes during the employee's regularly scheduled vacation the employee shall have the option to convert vacation pay to holiday pay.
- §86. Holiday While Sick. An employee receiving sick leave pay on leave of absence shall not receive holiday pay.
- §87. No Loss of Pay. Regular full time bartenders shall not suffer a loss of pay due to their inability to work at their usual assignment or a related assignment during scheduled hours on an election day.

#### NOTE TC: OMIT

§88. Paid Personal Days. Employees with eight (8) or more years of service shall be entitled to one (1) paid personal day. Employees with sixteen (16) or more years of service shall be entitled to two (2) paid personal days. Employees with twenty (20) or more years of service shall be entitled to three (3) paid personal days. The paid personal days are to be scheduled by mutual agreement in advance or to cover uncompensated days of sick leave. The paid personal days must be used within the employee's anniversary year. Eligibility is based upon the employee's anniversary date of employment.

§891. Paid Personal Day in Advance of Anniversary Date. Personal days may be scheduled and taken in advance of the employee's anniversary date, subject to repayment by the employee if the employee does not remain an employee until her/his next anniversary date. Each Employee's check stub will reflect the Employee's personal day balance.

§90. Vacations. Employees shall receive vacations at the following rates:

After one (1) year of continuous service - one (1) work week vacation;

After three (3) years continuous service - two (2) work weeks vacation;

After five (5) years continuous service - three (3) work weeks vacation; and

After ten (10) years continuous service - four (4) work weeks vacation.

§91. Forty (40) Hours Vacation Pay. Any employee whose average work week during the vacation year is between thirty-eight (38) and forty (40) hours will be paid vacation pay for forty (40) hours. Any employee whose average work week during the vacation year is less than thirty-eight (38) hours or more than forty (40) hours will be paid vacation pay equal to his average work week over the preceding one-year. Time spent by members of the Union Negotiating Committee in negotiations for renewal of this Agreement shall be included in computing an employee's average work week. OMIT TC

After one (1) year of continuous service, any employee will accrue vacation pay equal to his average work week over the preceding one-year, then vacation time will accrue per hour worked according to the timeline above. Time spent by members of

the Union Negotiating Committee in negotiations for renewal of this Agreement shall be included in computing an employee's average work week.

§92. Server and Bellperson Vacation Pay. Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued vacation pay for each hour on vacation.

\*\*\*\*\*\*\*NOTE TC: OMIT\*\*\*\*\*

§93. Tipped Employee Vacation Adjustment. In addition to their base hourly rates, tipped employees working in the classifications of doorperson, bellperson, bell captain, all servers in functions, room service and all restaurants and lounges, shall be compensated at the rate of three dollars and fifty cents (\$3.50) per hour for all vacation hours taken. "Base rate" for purposes of this Agreement means the wage rate assigned to the position excluding any premiums or differentials.

\*\*\*\*NOTE TC: OMIT\*\*\*\*\*

- §94. Bi-Weekly Vacation Accrual. Employees shall earn vacation on a biweekly basis prorated in accordance with compensable hours for the pay period. The biweekly pay stub shall show total vacation hours accumulated. Earned vacation benefit shall be granted on the anniversary date, and scheduled with the employee's supervisor. A maximum of one (1) week's vacation benefit may be carried over into the year following the year it is earned and can be used. Once the employee has accumulated the maximum of one (1) week of carried-over vacation benefit, she/he shall stop accumulating additional vacation but shall not lose any vacation accumulated. Accumulation shall resume as soon as the employee uses accumulated vacation.
- §95. Pay in Advance of Vacation. Fully earned vacation pay shall be paid in advance of the scheduled vacation if requested by the employee at least two (2) weeks in advance.
- §96. Vacation Sign-Up List. Between February 15 and March 31, (Between January 15 and February 28) a vacation sign-up list shall be posted in each work unit, during which employees will sign for vacation for the period April 1 of the same year to March 31 of the following year. Thereafter, vacations will be selected on a first come, first serve basis and will not be subject to being bumped. Scheduling

of vacation shall be arranged so that the functioning of the department shall not be impaired, and shall be subject to the Employer's approval. Vacations can be arranged in one (1)-day increments by mutual agreement between the supervisor and the employee involved.

- §97. Vacation Requests. Vacation requests made over forty-eight (48) hours (two (2) weeks) in advance will be honored whenever reasonably possible. The Employer agrees to affirm or deny in writing the employee's written request for vacation within seven (7) days of receiving such request.
- §98. Year Round Vacation. Employees will be permitted to take vacation year round, provided, however, that the Employer may require adequate staffing levels to meet business needs.
- §99. Vacations Within the Year. Vacations shall be taken within the year following the date the Employee becomes eligible. In case of emergency, by mutual agreement an Employee may work his vacation and receive his vacation pay in addition to wages for the hours worked or arrange his vacation for some other time.
- §100. Vacations in One (1) Hour Increments. Employees may take their vacations in one (1) hour increments if requested and approved in advance.
- §101. Paid Accumulated Vacation Pay. If an Employee's services are (voluntarily) terminated prior to the time of the taking of her/his vacation, she/he shall be immediately paid the full amount of her/his accumulated vacation pay, provided such Employee has (provided a minimum five (5) day notice and) completed one or more years of service.
- §102. Becomes Ill During Vacation. If an employee becomes ill during his regularly scheduled vacation and qualifies for sick benefits he may re-schedule his unused vacation. (provided the employee has provided sufficient medical certification.)
- §103. Insurance Benefits. Employees who satisfy the average hours worked requirements set forth in §5, will be eligible to participate in the Employer's health and life insurance plans on the same terms and conditions as all other employees of the Employer. The Employer has the right to modify or eliminate these benefits (including providers) and increase the employee contributions to same. Said changes

or increases in contributions shall be the same as those applicable to all other employees of the **Employer**. It is also agreed that the plan year, including enrollment periods, shall be the same as is applicable to all other employees of the **Employer**.

Except for a violation of the express terms of this Section, any question or dispute in connection with the Employer's health insurance plans is specifically excluded from the grievance and arbitration procedures of this Agreement.

§104. Uniforms and Laundry. The Employer agrees to furnish and launder uniforms for all Employees who are required to wear them. These uniforms shall not be worn off the premises unless authorized. The Employee is expected to treat the uniforms with care. The Employer also agrees to replace, at no cost to the Employees, those uniforms which have become permanently stained or worn out.

§105. Bulletin Boards. The Union shall be entitled to reasonable use of the bulletin boards of the Employer for the purpose of posting notices of official business. Other matters of interest to employees may be posted if approved by the Employer. It is agreed that the bulletin boards may be locked and a key maintained by the Management.

§106. Maintenance Boiler Pay. One (\$1.00) dollar per hour paid for all hours worked to an employee with a license when such an employee is responsible for the operation of the boiler, Employees with a license, working full-time at a hotel where a license is required. Only one boiler operator will be required at any time during operations.

§107. Minimum Wage. If applicable state or federal minimum wage is increased, all bellpersons, doorpersons, and servers will receive the same cents per hour as the minimum wage increase cents per hour.

NOTE TC: OMIT

§108. Higher Rated Job Pay. Except in those instances of work performed for a limited periods of time pursuant to the Lateral Service Article of this Agreement (§141), an Employee required to fill a higher rated job temporarily shall receive the rate for that job while on that job and must be paid such higher rate for at least three-tenths (3/10ths) of an hour. An Employee required to fill a lower job temporarily shall receive his regular rate while on that job.

- §109. Lower Paying Job. Employees who request hours in a lower paying job in order to more nearly reach fulltime employment will be paid at the rates of the job being performed.
- §110. Limited Period of Alternative Work. Except in those instances of work performed for a limited periods of time pursuant to the Lateral Service Article of this Agreement, bargaining unit employees will not be required to temporarily fill in for non-bargaining unit positions.
- §111. Paid Over Scale. Employees being paid more than the maximum rate for their job classification shall be continued to be paid that red circled rate except for banquets Employees. Banquet Employees shall be paid the hourly rate set forth on the schedule of wages.
- §112. Banquet Employee Compensation. Banquet servers shall receive a flat rate for all functions, including those contracted for at the Civic Center.
- §113. New Classifications. If any new classifications are added during the life of this Agreement, wages for the same shall be negotiated by letter or addendum and made a part of this Agreement.
- §114. Rate Increases. All rate increases shall become effective in the first pay period after the employee becomes eligible for the rate increase, it being the intention of the parties that changes in rates of pay not be made during a work week.
- §115. Leave Because of Occupational Injury. Employees who are required to leave their jobs because of occupational injury will receive pay for all hours scheduled to work on the day of the injury or accident.
- §116. Banquet Server Working Cashier Pay. Banquet servers working cashier/vending functions shall receive the *five* (5) year snack bar attendant rate of pay. Senior employees shall have the right to defer such functions to the junior employee provided there are sufficient employees to staff the event.
- \*\*\*\*NOTE TC: OMIT\*\*\*\*\*
- §117. Split Shift. A split shift shall be defined as any break of more than one (1) hour during working hours. All split shifts will be completed within a twelve (12) hour period, (with the exception of function employees and bellpersons. A premium

of twenty cents (20¢) per hour will be paid for all hours worked on any split-shift except tip classifications. [Omit for TC])

Safe and Healthful Work Environment. The Employer will do everything possible to create and maintain a safe and healthful work environment. The Union agrees that it will endeavor to have its members observe all safety rules.

Health and Safety Laws. The Employer agrees that it will adhere to all applicable Federal and State laws enacted for the purpose of protecting the employees with respect to safety and health.

Workers Compensation. Following an injury which is compensable under worker's compensation, an Employee who presents a statement from his/her medical practitioner recommending the need amend work responsibilities may be temporarily reassigned. Such temporary assignments shall not exceed twelve (12) weeks. The Union supports and encourages a return to work as soon as possible following an injury.

Temporary Work Reassignments. The Employer may make temporary work reassignments in order to accommodate the light duty or special work requirements of an employee returning to work from a work-related injury or illness. Such reassignments will be limited to sixty (60) days unless extended by mutual agreement.

No Bio – Hazard Bags. It is agreed that employees will not be required to handle any bio-hazard bags when they come to the plant. Any such bags will be placed, unopened, into a special bin that will be picked up by Mayo to be handled by them.

Soil Sort Department. The Employer shall provide employees working in the soil sort department with OSHA approved gowns and gloves. Employees shall not remove these items from the premises.

§118. Health, Safety and Sick Benefits. All regular Employees who have completed their probationary period of employment and attained seniority status will be eligible for sick leave benefits beginning with the second day of absence for actual illness. However, sick leave will be paid to any employee on the first day of hospitalization and for the first work day of any three (3) or more consecutively scheduled work day absences.



§119. Sick Leave for Employees Hired Prior to September 1, 2005. For employees hired prior to September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of eighty (80) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an Employee's anniversary year. The maximum benefit that can be accumulated or carried after the effective date of this Agreement by such an employee will be one hundred and twenty (120) hours. Upon an employee reaching the maximum balance, the employee may sell up to thirty (30) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date. Those employees who, as of the effective date of this Agreement have reached the previous maximum of three hundred (300) hours, shall have a one-time option at their next anniversary date to sell up to sixty (60) hours of those three hundred (300) hours of sick pay at fifty percent (50%) of value, after which the above-stated thirty (30) hour option will go into effect at subsequent anniversary dates.

§120. Sick Leave for Employees Hired On or After September 1, 2005. For employees hired on or after September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of forty (40) hours (forty eight (48) hours) of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year of employment. The maximum benefit that can be accumulated or carried after the effective date of this Agreement by such an employee will be two hundred and forty (240) hours (two hundred forty (240).). Upon an employee reaching the maximum balance, the employee may sell up to thirty (30) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date. (Those employees who, as of the effective date of this Agreement have reached the previous maximum of three hundred (300) hours, shall have a one-time option at their next anniversary date to sell up to sixty (60) hours of those three hundred (300) hours of sick pay at fifty percent (50%) of value, after which the above-stated thirty (30) hour option will go into effect at subsequent anniversary dates.)

NOTE: Omit portion in parentheses for TC

§121. Sick Leave Conversion. Sick leave may be converted to hours in the instance of an Employee becoming ill after having reported for work. The hours lost by such

+ 30grs.

+

an Employee on that day by reasons of illness will be accumulated to establish eligibility for sick leave after the required waiting period.

§122. Accrued Sick Leave for Server/Bellperson. Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued sick leave for each hour of sick leave provided they meet the sick leave eligibility requirements.

NOTE TC: OMIT

§123. Doctor's Certificate. To receive sick pay after three (3) days of illness, an Employee must present a doctor's certificate as proof of illness. Absences due to accidents covered by workers' compensation are not eligible for sick leave benefits; provided, however, that sick leave which has been accumulated can be utilized in connection with workers' compensation benefits in order to permit an Employee to receive up to the Employee's average income in a combination of workers' compensation and sick leave pay.

§124. Safe Work Environment. The Employer will do everything reasonably possible to create and maintain safe, healthful and sanitary working conditions. The Union agrees that it will endeavor to have its members observe all of the safety rules.

NOTE TC: OMIT

§125. Temporary Work Reassignments. The Employer may make temporary work reassignments in order to accommodate the light duty or special work requirements of an Employee returning to work from a work-related injury or illness. Such reassignments will be limited to sixty (60) days unless extended by mutual agreement.

NOTE TC: OMIT

§126. Pension Plan. The Employer will continue to maintain and administer a pension plan ("Plan"). The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service. The pension program will be funded by the Employer as required by ERISA and the Internal Revenue Code. Employees who work beyond age sixty-five (65) will continue to accrue the full benefits subject to the maximum accumulation of forty-five (45) years.

- §127. Pension Plan Eligibility. The following is a brief outline of pension eligibility and benefits. The Plan and Trust Document are the ruling documents in all respects:
  - (a) Age and Hour Eligibility. Age twenty-one (21), one (1) year of service, and one thousand (1,000) hours worked within a twelve (12) month period.
  - (b) Normal Retirement Age. Normal Retirement Age sixty-five (65) and five (5) years of participation.
  - (c) Early Retirement Age. Early Retirement Age sixty-two (62) and five (5) years of participation.
  - (d) Disability Retirements. Disability retirements are available to qualified employees.
  - (e) Normal Pension Benefit. The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service up to forty-five (45) years.
  - (f) Optional Methods for Payment. There are a number of optional methods for payments of benefits.
  - (g) Vesting Service. Vesting occurs after five (5) years of Vesting Service
- §128. Employer's Right to Amend Pension Plan. The Employer shall have the right to amend the Plan from time to time, consistent with the foregoing terms.
- §129. UNITE HERE 401K Plan. Employees will be eligible to participate in the 401(k) plan created and administered by the Union ("Union 401(k) Plan"). The Employer will not match employee contributions to and the Union will be responsible for all the costs of the Union 401(k) Plan, including all costs associated with the administration of the Union 401(k) Plan.
- §130. No Strike or Lockout. There shall be no strike, picketing, work stoppage, slow down, sit downs, or cessation of work, including of a sympathy nature, boycotts, or any walk out of any kind or for any reason, including any dispute

relating to alleged unfair labor practices, during the term of this Agreement. The provisions of this Section shall be absolute and shall apply regardless of whether the dispute is subject to arbitration under the provisions of §41 of this Agreement.

- §131. Refuse to go Through Legal Picket Line. It will not be a violation of this agreement for Employees to refuse to go through a legally authorized picket line in any strike approved by a two-thirds (2/3) vote of the executive board of the Union.
- §132. Maintenance Tool Allowance. Maintenance employees will be entitled to a tool allowance of up to three hundred and twenty-five dollars (\$325.00) per year to maintain and replace tools required by the Employer. Paid receipts must be presented to the Employer before payment is received by the Employee. Employees will be reimbursed within fifteen (15) business days of presenting a receipt.
- §133. Tool Allowance Prorated. The tool allowance will be paid to eligible employees who are actively employed on September 1. For those employed less than a full year at that time, the tool allowance will be prorated based on the number of full months worked in the preceding twelve (12) months resulting in one-twelfth (1/12th) of the allowance for each month.
- §134. Specialized Tools and Test Equipment. Specialized tools and test equipment required for maintaining equipment, but not listed on the Employee tool list will be provided by the Employer. These tools will not generally be issued to maintenance Employees, but will be stocked in the maintenance shop to be issued on an as needed basis.
- §135. Discrimination. The Employer and the Union agree to abide by all federal, state and local laws prohibiting discrimination.
- §136. Union Buttons. All Employees shall be permitted to wear their official Union button and/or official steward button provided the button is no larger than one and one-quarter (1-1/4) inches in diameter. However, the foregoing limitation on the number and size of buttons worn shall not apply to Employees in classifications that are not visible to the public.
- §137. Respect & Dignity. The Union and the Employer recognize that (workers in the hospitality industry [OMIT]) (all employees) are professional employees deserving of the highest regard. The Union, the Employer, the non-Union and

Union Employees will work together to honor the principles of respect, and dignity. The parties and non-Union and Union Employees agree that the continued success and operation of this establishment is dependent upon their mutual respect for one another's work.

§138. Management Rights. Except as limited by the express provisions of this Agreement, and longstanding mutually agreed written custom and past practice, the management of the business and the direction of the working forces shall rest solely and exclusively with the Employer. This includes, but is not limited to, the right to hire; to determine the quality and quantity of work performed; to determine the number of employees to be employed; to determine the jobs and job classifications; to layoff Employees; to assign and delegate work; to maintain and improve efficiency; to promulgate, rescind, revise and require observance of rules, regulations, and other policies; to direct the activities of all Employees employed by the Employer; to schedule work and to determine the number of hours to be worked; to determine the methods and equipment to be utilized and the type of service to be provided; to create, combine and to eliminate job classifications; (except as limited by Appendix F,)(OMIT for TC) to subcontract bargaining unit work including using temporary agency Employees for same; and to change, modify or discontinue existing methods of service and equipment to be used or provided:

Notwithstanding any strike, work stoppage, interruption of work or other economic sanction instigated or conducted by the Union or employees against the Employer for any good reason, specifically including the occasion of negotiating new or different terms of collective bargaining agreements, there shall be no work stoppage or interruption of work as relates to materials being processed in the laundry and dry cleaning departments for the use of any public and private hospitals, the Mayo buildings and nursing homes.

If such a strike or work stoppage occurs, the Employer and the Union will cooperate with the other to the end that regular employees of the Employer will be made available for the processing of such uninterrupted work and services of public and private hospitals, the Mayo buildings and nursing homes.

In consideration of the foregoing no-strike agreement, the Employer agrees that it will not process any other work in its laundry and dry cleaning facilities during the

term of a strike, so long as the Union and the employees do process, without interruption, all work required for the use of any public and private hospitals, the Mayo buildings and nursing homes.

This Section shall remain in full force and effect for a period equal to the life of this Agreement and/or any renewal of plus six (6) months in addition.

Any breach or threatened breach of this Article or any of the terms in addition to any remedies at law or this Agreement shall be subject to suit for specific performance by the Employer or the Union.

New extra or additional employees will not be utilized to prevent regular full-time employees from working forty (40) hours during a work week, provided, however, this does not constitute a guarantee of hours.

§139. Successorship. In the event the owner of a facility covered by this Agreement decides to sell, transfer or assign its interest in any of the hotels (Textile Care Services) listed on page one (1) of this Agreement, it will, prior to closing, provide a copy of this Agreement to the purchaser, assignee or transferee. In addition, the Employer will notify the Union and bargain in good faith over the effects of the pending sale, transfer or assignment on bargaining unit employees, prior to closing. The Employer agrees to notify the Union of the owner's intent to sell, transfer or assign its interest at the earliest possible date but in any case, no later than the date, of the execution of the purchase agreement.

§140. Communication. To support the Employer's provision of a high level of service to guests of (the hotels[OMIT])(performance) covered by this Agreement, a high degree of cooperation with managers and with workers is required. In order to promote cooperation in the workplace, managers and workers are encouraged to develop ongoing communication. Consistent with the needs of the workplace, the Union recognizes that cooperation can be beneficial to both the workers and (a hotel. [OMIT]) (the Company.)

§141. Lateral Service. Management may, using reasonable discretion, utilize a policy of lateral service for limited periods of time to satisfy guests' and the hotel's needs. Lateral service consists of an employee performing work which ordinarily is

performed by Employees in a different job classification and is designed to allow Employees to help where needed until guest or other hotel needs are satisfied.

§142. Agreement Supersedes Prior Agreements. This Agreement incorporates the entire understanding between the parties and supersedes all prior agreements, letters of understanding, grievance settlements and past practices between the parties except for those practices identified by the parties. This Agreement shall be modified or amended only by a writing referring to this Agreement executed by both parties setting forth the amendment or modification.

§143. Duration. This Agreement shall be effective as of April 24th, 2015 and continue in full force and effect for *five* (5) years to and including the 23rd day of April, 2020 and continue thereafter from year to year unless either party shall, at least sixty (60) days previous to the termination of any yearly period, notify the other party in writing of its intention to amend, modify or terminate this agreement. By yearly period the parties understand that the anniversary date of this Agreement will be April 24th, 2015 of any succeeding year unless changed by mutual consent of the parties.

§144. Wage Increases. Wage increases set forth in Appendix A will be effective on April 24th, 2015.

§145. Declaration of War. In the event of actual declaration of war by the Congress of the United States, this Agreement may be reopened by either party on at least sixty (60) days written notice.

§146. Agreement. The Employer and the Union have agreed to this Collective Bargaining Agreement this 24th day of March, 2015.

Richfield Hospitality, Inc. as managing agent for Kahler Hotels, LLC, jointly with KAH 20 2<sup>nd</sup> Avenue LLC d/b/a The Kahler Grand Hotel, and jointly with MAR 1<sup>st</sup> Avenue SW LLC d/b/a Marriott Rochester Mayo Clinic Area, and jointly with KINN 9 3<sup>rd</sup> Avenue LLC d/b/a Kahler Inn & Suites and jointly with RES 441 Center Street LLC d/b/a Residence Inn Rochester Mayo Clinic Area) (TC Services, LLC d/b/a Textile Care Services)

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Brian Brandt

President, UNITE HERE Local 21

Michael Henry,

Area Director of Human Resources, Richfield

Hospitality, Inc. and Area

Director of Human Resources, Kahler

Hospitality Group, Kahler

Hotels, LLC.

Nancy Goldman

President, UNITE HERE

Local 17

Patrick Short,

Area Managing Director of Operations, Richfield

Hospitality, Inc. and Area

Managing Director of Operations, Kahler

Hospitality Group, Kahler

۵

Hotels, LLC.

Martin Goff

Representative, UNITE

HERE Local 21

Paul Jewison,

President and Chief

Executive Officer,

TC Services, LLC d/b/a

Textile Care Services

Carissa Gisi

Human Resources

Manager

TC Services, LLC d/b/a

**Textile Care Services** 

39

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#### APPENDIX "A"

## Payroll Rates

The rates of pay for classifications are set forth below. The hiring rate shall apply to Employees transferring to another classification, providing there is no decrease in hourly rate. After an Employee who has transferred to another classification completes three (3) months of continuous employment in the new classification, she/he will be granted the appropriate service rate based upon her/his continuous employment with the Employer. Employees will, however, be given credit for actual experience in filling in on the new position towards the three (3) months of continuous employment.

The Employer will have the right to select the individuals who will be classified lead and to determine the number of lead positions and the shifts where they will be utilized. The Employer will also have the right to increase or decrease the number of lead positions in the future. The minimum wage differential for lead positions will be fifty cents (50¢) per hour. Leads shall be responsible for the general direction of Employees in the department and ensuring that all tasks are completed.

KAHLER GRAND HOTEL	New 2015	2016	2017	2018	2019	2020
Conks	<del>                                      </del>					
COBRS	s	Ś	S	s	Ś	ŝ
2nd Cook	15.50	15.50	15,66	15,89	16.13	16.37
	\$	\$	\$	\$	\$	\$
Lead Cook	14.25	14.25	14.39	14.61	14.83	15.05
Cooks	\$ 14.00	\$ 14.00	\$ 14.14	\$	\$ \$	\$
COORS	\$	\$	14.14 \$	14.35	14.57 \$	14.79 \$
Line Cock	11.20	11.20	11.31	11.48	11.65	11.83
Prep & Serving						
	\$	\$	\$	\$	Š	\$
Pantry & Veg - Lead	10.51	10.51	10.62	10.77	10,94	11.10
	\$	\$	\$	\$	\$	\$
Pantry & Veg - Prep	10.10	10.10	10,20	10.35	10.51	10.67
			<del></del>		<u> </u>	
Snack Bar - Attend	\$ 11.00	\$ 11.00	\$ 11.11	\$ 11.28	\$	\$
Shack bar - Attend	S .	\$ S	5 S	\$	11.45 \$	11.62 \$
Storeroom Helper	10.00	10.00	10.10	10.25	10.41	10,56
	\$	\$	\$	\$	\$	\$
Room SV Tele & Setup	9.88	9.98	10.08	10.23	10.38	10,59
	-	-	-	-		
	\$	\$	\$	\$	\$	\$
Banquet Set Up	10.50	10.50	10.61	10.76	10.93	11.09
Sanitation			_			
Bussperson	9,30	\$ 9.65	\$ 9,75	\$ 9.89	\$ 10.04	\$ 10.19
Bussperson	\$ 5	<u>5,05</u>	\$	\$	\$	\$
Dishmachine Oper & Porter	10.03	10.49	10,59	10.75	10.92	11.08
	\$	\$	\$	\$	\$	\$
Potwasher	10.03	10,49	10,59	10.75	10.92	11.08
Bartenders				ļ		ļ
Bartenders	\$ 9,75	\$ 10,02	\$ 10.02	\$ 10.02	\$ 10.02	\$ 10.02
bartenders	\$	\$	\$	\$	\$	\$
Bartenders Hired Prior 1991	16.02	16,02	16.02	16.02	16.02	16.02
Rooms	1		· · · · · · · · · · · · · · · · · · ·	1		
•	\$	\$	\$	\$	\$	\$
Housekeeper	11.10	11.21	11.32	11.49	11.67	11.84
	\$	\$	\$	\$	\$	\$
Lobby Porter	10.09	10.19 \$	10.29 \$	10.45 \$	10,60	10,76 \$
Door Person	9.00	9.50	9.50	9,50	9.50	9.50
	\$	\$	\$	\$	\$	\$
PBX Operator	11.50	11.50	11.62	11.79	11.97	12.15
FBX Operator	11.50	11.30	11.02	11./9	11.37	17,72

Tip Classifications		ļ	l	1	1	l
	\$	\$	\$	\$	\$	\$
Server	9.00	9.50	9.50	9.50	9.50	9.50
Banquet Server	\$ 13.00	\$ 13.13	\$ 13.26	\$ 13.46	\$ 13.66	\$ 13.87
	\$	\$	\$	\$	\$	\$
Bellperson	9.00	9,50	9.50	9.50	9.50	9.50
Maintenance Department						<u> </u>
Specialist/Lead/Req. License	\$ 19.88	\$ 19.88	\$	\$	\$	\$
Specialist/Leady Req. Ucense	19,88	19.88 \$	20.08 \$	20.38	20.69	21.00
Mechanic	18.89	18.89	19.08	19.37	19.66	19.95
	\$	\$	\$	\$	\$	\$
Apprentice	16.41	16.41	16,58 S	16.82 \$	17.08	17.33
Preventative Maintenance	14.82	\$ 14.82	14.97	15.19	\$ 15.42	\$ 15.65
	\$	\$	\$	\$	\$	\$
Light/Yard Maintenance	10.50	10.50	10,61	10.76	10.93	11.09
						1
				ł .		
KAHLER INN & SUITES	New 2015	2016	2017	2018	2019	2020
					1	
Cooks	1			<u> </u>	<u> </u>	1
	\$	\$	\$	\$	\$	\$
Lead Cook	14.25	14.25	14.39	14.61	14.83	15.05
Cooks	14.00	\$ 14.00	\$ 14.14	\$ 14.35	\$ 14,57	\$ 14.79
	\$	\$	5	\$	\$	\$
Line Cook	11.20	11.20	11.31	11.48	11.65	11.83
Prep & Serving			L i i i i i i i i i i i i i i i i i i i			
	\$	\$	\$	\$	\$	\$
Pantry & Veg - Prep	10.10	10.10	10.20 \$	10.35	10.51	10.67
Service Bar - Attend	10.50	\$ 10.50	10.61	10.76	10.93	11.09
Sanitation					1	
	\$	\$	\$	\$	. \$	\$
Busperson	9.30	9.65	9.75	9,89	10.04	10.19
Dishmachine Oper & Porter	10.03	\$ 10.49	\$ 10.59	\$ 10.75	\$ 10.92	\$ 11.08
Distiniacitule Oper & Porter	\$	\$	\$	\$	\$	\$
Potwasher	10.03	10.49	10,59	10.75	10,92	11.08
Bartenders						
	\$	\$	\$	\$	\$	\$
Bartenders	9.75	10.02	10.02	10.02	10.02	10.02
Rooms			<u></u>	ļ		<u> </u>
Housekeeper	\$ 11.10	\$ 11.21	\$ 11.32	\$	\$	\$
mousekeeper	\$	11.21 S	\$	11.49	11.67	11.84 \$
Lobby Porter	10.09	10.19	10.29	10.45	10.60	10.76
	\$	\$	\$	\$	\$	\$
Door Person	9.00	9.50	9.50	9,50	9,50	9.50
Tip Classifications				Í	L	1

						_
Server	9.00	\$ 9.50	\$ 9.50	\$ 9.50	\$ 9.50	9,50
261461	\$	\$	\$	\$.50	\$.50	\$.50
Banquet Server	13.00	13.00	13.13	13.33	13.53	13.73
_ "	\$	\$	\$	\$	\$	\$
Bellperson	9.00	9.50 \$	9.50 \$	9.S0 \$	9.50 \$	9.50 \$
Bell Captain	9.00	9.50	9.50	9.50	9.50	9.50
Maintenance Dept		-				
	\$	\$	\$	\$	\$	\$
Specialist/Lead/Required License	19.88	19.88	20.08	20.38	20.69	21.00
Mechanic	\$ 18.89	\$ 18.89	\$ 19.08	\$ 19.37	\$ 19,66	\$ 19,95
THEOREM	\$	\$	\$	\$	\$	\$
Apprentice	16.41	16.41	16.58	16.82	17.08	17.33
D	\$	\$	\$	\$ 15.19	\$	\$
Preventative Maintenance	14.82 \$	14.82 \$	14.97 \$	15.19 \$	15.42 \$	15.65 \$
Light/Yard Maintenance	10.50	10.50	10.61	10.76	10.93	11.09
						i e
MARRIOTT	New 2015	2016	2017	2018	2019	2020
MARKOTI	New 2015	2016	2017	2019	2019	2020
Cooks						ļ
Lead Cook	\$ 14.25	\$ 14.25	\$ 14.39	\$ 14.61	\$ 14.83	\$ 15.05
Cead Cook	\$	\$	\$	\$	\$	\$
Cooks	14.00	14.00	14.14	14.35	14.57	14.79
	\$	\$	\$	\$	\$	\$
Line Cook	11.20	11.20	11.31	11.48	11.65	11.83
Prep & Serving					ļ	ļ .
Pantry & Veg - Prep	\$ 10.10	\$ 10.10	\$ 10.20	\$ 10.35	\$ 10.51	\$ 10.67
Panuy & veg - riep	\$	\$	\$	\$	\$	\$
Banquet Set Up	10.50	10.50	10.61	10.76	10.93	11.09
Sanitation						
	\$	\$	\$	\$	\$	\$
Busperson	9.30	9.65 \$	9.75 \$	9.89 \$	10.04	10.19
Dishmachine Oper & Porter	\$ 10.03	10.49	10.59	10.75	\$ 10.92	\$ 11.08
Distinution Oper Cr Cr Cr Cr	\$	\$	\$	\$	\$	\$
Potwasher	10.03	10.49	10,59	10.75	10.92	11.08
Bartenders						<u> </u>
	\$	.\$	\$	\$	\$	\$
Bartenders	9.75	10,02	10.02	10.02	10.02	10.02
Bartenders hired prior 1991	\$ 16.02	\$ 16.02	\$ 16,02	\$ 16.02	\$ 16.02	16.02
Rooms					1	
Monta	s	Ś	s	\$	Ś	Ś
Housekeeper	11.10	11.21	11.32	11.49	11.67	11.84
	\$	\$	\$	\$	\$	\$
Lobby Porter	10.09	10,19	10.29	10.45	10.60	10.76
Door Person	9.00	\$ 9.50	\$ 9.50	9.50	9.50	9,50
DOOL LOSOII	3.00	, 3,30	1 3.30	3.50	7.50	3.20

#### APPENDIX "B"

## **Departments And Seniority Sections**

## THE KAHLER GRAND HOTEL

## Food & Beverage Department

- Cooking/Preparation Classification
- Room Service Serving Classification
- Function Serving Classification
- Function Set-Up Classification
- Sanitation Classification
- Bartenders Classification
- Room Service Telephone Classification
- Starbucks Classification
- Restaurant Server Classification

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# Rooms Department

- PBX Classification
- Bellperson, Lobby Porter Classification
- Housekeeping Classification

## Maintenance Department

• Maintenance Classification

#### KAHLER INN & SUITES

# Food and Beverage Department

- Cooking Classification
- Serving Classification
- Sanitation Classification

45

	\$	\$	\$	\$	\$	\$
Server	9,00	9.50	9.50	9.50	9.50	9.50
	\$	\$	\$	\$	. \$	\$
Bellperson	9.00	9.50	9.50	9.50	9.50	9.50
Maintenance Department						L
	\$	\$	\$	\$	\$	\$
Specialist/Lead/Req. License	19.88	19.88	20.08	20.38	20.69	21.00
	\$	\$	\$	\$	\$	\$
Mechanic	18,89	18.89	19.08	19.37	19.66	19.95
	\$	\$	\$	\$	\$	\$
Apprentice	16.41	16,41	16.58	16.82	17.08	17.33
	\$	\$	\$	\$	\$	\$
Preventative Maintenance	14.82	14.82	14.97	15.19	15.42	15.65
	\$	\$	\$	\$	<b>,</b> \$	\$
Light/Yard Maintenance	10,50	10.50	10.51	10.76	10.93	11.09
RESIDENCE INN	New 2015	2016	2017	2018	2019	2020
Rooms		<u> </u>	Ś	S	s	s
U-washaana	\$ 11.10	) 11.21	11.32	11.49	11.67	11.84
Housekeeper	\$	\$	\$	\$	\$	\$
Lobby Porter	10.09	10.19	10.29	10.45	10.60	10.76
	- 20.03	20.23		<del></del>		- 20,,, 0
Maintenance Department				<del></del>		ļ
	\$	\$	\$	\$	\$	\$
Specialist/Lead/Req. License	19.88	19.88	20.08	20.38	20.69	21.00
	\$	\$	\$	\$	\$	\$
Mechanic	18.89	18.89	19.08	19.37	19.66	19.95
	\$	\$	\$	\$	\$	\$
Apprentice	16.41	16.41	16.58	16.82	17.08	17.33
	\$	\$	\$	\$	\$	\$
Preventative Maintenance	14.82	14.82	14.97	15.19	15.42	15.65
	\$	\$	\$	\$	\$	\$
Light/Yard Maintenance	10.50	10.50	10.61	10.76	10.93	11.09

# **TEXTILE CARE SERVICES**

# Production Department

- Receiving Classification
- Wash Classification
- Flat/Tumble Finishing Classification
- Wearing Apparel Finishing Classification
- Packaging/Shipping Classification
- Dry Cleaning Classification
- Utility Classification

# Distribution Department

• Service Representative Classification

# Maintenance Department

• Maintenance Classification

- Restaurant Server Classification
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## Rooms Department

- Housekeeping Classification
- Bellperson Classification

## Maintenance Department

• Maintenance Classification

#### ROCHESTER MARRIOTT

# Food and Beverage Department

- Cooking/Preparation Classification
- Serving Classification
- Room Service Serving Classification
- Function Serving Classification
- Function Set-Up
- Sanitation Classification
- Bartenders Classification
- Restaurant Server Classification

## Rooms Department

- Bellperson, Doorperson Classification
- Housekeeping Classification

#### Maintenance Department

- Maintenance Classification
- Housekeeping Classification

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46

Seniority Rights. The Employer will maintain separate seniority lists at each hotel, — — — Formatted: Justified by classification.

A separate seniority list for maintenance Employees working at Textile Care Services will be maintained for purposes of all seniority rights.

Staffing and appropriate coverage at all locations will be determined by management.

With the exception of apprentices, advancement to a higher paid classification will only be permitted when a new position opens in a specific classification.

Any and all license requirements will be determined by management and/or-applicable law. Any maintenance employee called back to work after he or she has left the property will receive a minimum of four (4) hours call back pay.

49

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#### APPENDIX "C"

#### MAINTENANCE ADDENDUM

Apprentices in the Maintenance Department will be permitted to take a test to move to the "mechanic" classification after completing two years of service as an apprentice. The apprentice wishing to take the test will furnish the employer with a written request and will be permitted to take the test within thirty (30) calendar days following the written request. The Employer will inform the apprentice of the test results within thirty (30) calendar days of taking the test. If the apprentice passes the test she/he will be promoted to the "mechanic" classification.

At Textile Care Services, tThe laundry specialist classification will require the Employee to have a class 1-B boiler license and maintenance electrician's license. The employee will be required to demonstrate skills in electronics to troubleshoot PLC and computer control systems, make programming changes as directed by the equipment manufacturer and repair circuit boards

The Employee will be required to demonstrate proficiency in welding and plumbing. The Employer agrees to provide, at no cost to the Employee, for all training and education that is required for maintenance Employees to obtain and maintain licensure provided the training is approved by the Employer and the course is successfully completed. The Employer will reimburse Employees for the yearly cost to renew or maintain licensure if such license is required.

The Employer agrees to employ no more than the, following number of Employees in the classifications of light/yard maintenance and preventative maintenance:

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acility	Light/Yard	Preventative	
Γhe Kahler Grand Hotel	2	2	
Rochester Marriott	1	1	
Kahler Inn and Suites	1	1	
TCS	1	3	Formatted: Not Highligh

#### APPENDIX "D"

## Housekeeping Addendum

A housekeeping employee shall not be required to clean more than sixteen (16) rooms within eight (8) hours. When a housekeeping Employee cleans eleven (11) or more check outs in a day, the maximum number of assigned rooms shall be reduced by one (1), and when a housekeeping Employee cleans fourteen (14) or more check outs in a day, the maximum number of assigned rooms shall be reduced by two (2). Each bedroom or separate sitting room of a suite shall count as one (1) room. Management in its sole discretion may reduce the number of rooms to be cleaned during a shift or assign a houseperson to assist a housekeeping Employee with rooms where, for example, rooms are exceptionally dirty or extraordinary cleaning is required.

Except pursuant to the provisions of §141, Lateral Service, Room Attendants will not normally be required to perform houseperson work in addition to their normal duties.

Housekeepers assigned to clean rooms on three (3) or more floors during a shift shall have their room quota reduced by one (1) room.

A housekeeping Employee who volunteers to clean more than the foregoing amount of rooms within eight (8) hours shall be paid a bought room bonus of seven dollars (\$7.00) for each additional room. However, the bought room bonus shall not apply to rooms cleaned during overtime.

Room Attendants shall sign for their area or floor (s) by seniority. The Employer shall not arbitrarily reassign housekeeping sections.

The Employer will install sharps containers in all Hotel public restrooms.

Bargaining unit Employees shall not normally be required to clean up and/or dispose of human or animal waste, vomit or significant blood spill. Employees shall comply with the Employer's procedures whenever they encounter human or animal feces, vomit, or significant blood spill in the workplace, and shall immediately contact a qualified responder who will handle disposal. Where a bargaining unit Employee is

required to clean human or animal waste, vomit or a significant blood spill, they will receive a six dollar (\$6.00) payment upon verification by a qualified responder.

Hotel Employees shall not be required to handle any items that have been placed in a biohazard bag. Employees shall contact their supervisor for handling of those items.

If Hotel Employees encounter improperly discarded syringes or other sharp objects while working, they shall be disposed of in accordance with established policy. The policy will include adequate available "sharps" containers for collection.

The Employer will provide linen, equipment and cleaning materials which is sufficient for Housekeeping employees to perform their jobs. Room Attendants will not be disciplined where they could not perform a task because they did not have the necessary equipment or supplies.

The Employer will provide assistance to a housekeeper in connection with moving or lifting any furniture weighing more than twenty-five (25) pounds. No Room Attendant will be required to stand on a ladder, bath tub or vanity.

The Employer will provide at least thirty (30) day's notice to the Union and, upon the Union's request, meet and discuss any renovation or new amenities or service standards which will significantly affect the housekeeper's work loads.

# APPENDIX "E" Banquet Department Addendum

# NOTE: Appendix E - Banquet Department Addendum will be deleted in the TC Contract

<u>Banquet Definition</u>. A banquet shall be deemed to be any reserved function with a pre-set menu and a fixed cost, including receptions, supervised by the banquet department.

System-Wide Seniority. For purposes of lay-off, recall and filling available positions, the Employer shall maintain a master seniority list which shall contain the names of all regular fulltime and regular part-time banquet servers who work in each of the Employer's hotels. Seniority shall be based on first function worked as a regular server following completion of probation.

Seniority by Location. The Employer will maintain at each location three (3) banquet employee seniority lists for purposes of scheduling at each of the Hotels.

### A. First List.

The First List will contain the names of all regular full-time banquet Employees. These Employees must be available to work any shift at the Hotel(s), seven days per week. The seniority list for regular full-time banquet Employees shall be posted every month.

Although fluctuations in business will have an impact on the Employer's ability to consistently schedule these Employees on a full-time basis, it is the intention of the parties to provide First List Employees with a reasonable opportunity to work a full-time schedule. Accordingly, the number of Employees on the First List will be established and maintained so as to reflect this intention.

# B. Second List.

The Second List will contain the names of banquet Employees who are available to work a minimum of *three* (3) shifts, per week, at the Hotel(s). The days and shifts on which such employees are available will be submitted

to management in writing. Second List Employees will be on a separate seniority list, which will be posted. Second List Employees will be scheduled only after the First List has been exhausted, when necessary, to meet staffing needs, or where use of the First List Employees would result in the payment of overtime.

#### C. On-Call List.

The On-Call List will contain the names of banquet Employees who are called and work on an "as needed" basis at the Hotel(s). On-Call Employees may be scheduled when the First and Second Lists have been exhausted, where necessary to meet staffing needs, or where use of First or Second List Employees would result in the payment of overtime. Local 21 will check hours worked on a monthly basis to see if hours worked fall under guidelines (average 10 hours/week are subject to dues).

#### D. Seniority Standing.

- 1. First List Employees moving to the Second List will be "dove-tailed" based on seniority date. The Second List employees moving to the First List will go to the bottom of the list with seniority based on date of transfer.
- 2. First List servers will have preference for scheduling purposes at their own Hotel and preference over Second List and On-Call servers at the other Hotels.
- 3. Maximum hours available will be offered to senior First List employees, up to forty (40) hours per week, but no Employee will work a-triple shift until all First List Employees have been offered a double shift
- 4. Any regular server involuntarily cut from a function shall be entitled to bump the least senior server scheduled to work at their home Hotel, in that work week.
- 5. Employee requests for days off must be received in writing by noon, forty-eight (48) hours prior to posting of the weekly schedule, and will

## APPENDIX "F"

# Food and Beverage Addendum

All Employees working in the cook classification will receive a meal during their shift at no cost to the Employee.

The lead cook rate of pay will be applied to at least one (1) cook in the Grand Grill, Crossing Bistro and Salute in the absence of a supervisor/manager for all hours of operation.

It is agreed there will be no more than one (1) sous chef and one (1) executive chef assigned per shift at each hotel.

Starbucks Employees will be paid at the snack bar rate.

The Employer will be allowed to subcontract or lease out to another food and beverage operator any kitchen, bar, or restaurant in either the Marriott or Kahler Grand where the Employer deems economically viable for the continued success of the hotel.

be duly considered. A regular server will not be disciplined for her/his inability to work a shift if the server is notified less than twenty-four (24) hours before the scheduled shift.

6. All weekly banquet schedules shall be posted at each of the Hotels. It is understood that Employees may be required to work at all locations.

# E. Special Conditions/Scheduling.

Employees will be scheduled by shifts. A shift is defined as a work period of no less than three (3) hours and no more than eight (8) hours. A shift may include working one, or any combination of, the following events:

- Breakfasts
- Coffee Breaks
- Lunches
- Dinners
- Receptions
- Special Events

#### APPENDIX "H"

#### **GUEST SERVICE**

## NOTE: DELETE Appendix for TC Contract

The parties agree to supplement the Agreement for the following purposes:

The parties recognize that premier guest service is essential to the success of the **Employer** and its ability to employ persons who are paid competitive wages;

The parties recognize that because most guest dissatisfaction is not reported, and most dissatisfied guests simply take their business elsewhere, the guest complaints received by the Employer are a reflection of dissatisfaction by some who have not complained but who will not return to the Employer;

The parties agree that the **Employer** shall train employees on how to provide premier guest service and that each employee may be expected to successfully complete such training;

The parties agree as follows:

- The Employer has the right to establish service standards and appearance, grooming, and dress standards that must be adhered to by all employees and managers.
- (2) The parties agree that the Employer may apply progressive discipline, up to and including discharge, against employees who are the subject of guest complaints other than those set forth in the following paragraph 3 (examples of complaints include, but are not limited to, misplaced luggage, guest room not completely cleaned, mishandled food or beverage order, incorrect credit card charge)
- (3) The parties agree that the Employer shall have just cause for discharge of any employee who, among other reasons:
  - a) Is the subject of two (2) or more legitimate complaints from guests within one (1) year of poor, rude, or discourteous service (examples include, but are not limited to, use of foul language in the presence

#### APPENDIX "G"

# **Bell Position Addendum**

NOTE: Bell Position Addendum will be deleted for TC Contract and replaced with the below TCS Addendum

For groups of more than ten (10) people, bellpersons shall receive a portage rate of two dollars (\$2.00) per person each coming in and two dollars (\$2.00) each for going out if negotiated and collected. It is agreed that these amounts are only minimums.

#### APPENDIX "G"

# Textile Care Services Addendum

Employees in the janitor classification shall receive the same night shift differential as the maintenance classification.

The Employer shall provide employees working in the soil sort department with OSHA approved gowns and gloves. Employees shall not remove these items from the premises.

arrange for a conference call between the guest, a representative of the Union, and a representative of Hotel management. Where, however, an employee has been discharged based on one or more guest complaints the Union shall be permitted to investigate the complaint to the extent permitted by the National Labor Relations Act, as interpreted by the National Labor Relations Board and the courts,

- of a guest, arguing with a guest, indifference to a guest concern, carrying on personal business while a guest is waiting);
- b) Is the subject of one legitimate complaint from a guest of extraordinarily poor, rude, or discourteous guest service (examples include, but are not limited to, directing foul language toward a guest, sexual or other harassment of a guest, refusal to assist a guest, requesting or adding a gratuity);
- c) Fails to pass a course pertaining to the Employer's service standards;
- d) Acts in gross neglect of the Employer's service standards on one occasion or more, unless the Employer deems it appropriate to excuse such neglect on a non-precedent setting basis.

The parties further agree that the foregoing are examples and that Employees may terminated in other circumstances, subject to the requirement that the termination be for just cause.

- (4) If the Employer chooses to conduct written or oral testing of Employees in connection with guest service training, such tests must be reasonable, job related, and nondiscriminatory. Such tests shall be limited to guest service and communication skills and abilities, as well as employee knowledge of the services and products offered by the Hotel. The Union shall be permitted to a copy of any tests used in advance of utilization by the Hotel. The Union shall be permitted to grieve such tests if it believes they are unreasonable, not job related, and/or discriminate on an unlawful basis.
- (5) Where a guest complaint is reduced to writing, the Hotel shall not be required to compel the guest to testify during the grievance and arbitration procedure or reveal the guest's address or telephone number to the Union or to the employee. The Hotel may introduce into evidence at arbitration written guest complaints. Upon request of the Union, the Hotel shall provide the Union with a copy of any written guest complaint that resulted in disciplinary action being taken against an employee, with the guest's identity redacted from such copy. Where the Union wishes to investigate a complaint, the Hotel shall

his or her job responsibilities. Depending on individual circumstances, this abuse could result in termination.

- 4. Employees suffering from drug dependency are encouraged to seek medical treatment. The Human Resources representative may be contacted for referrals for evaluation and/or treatment facilities and the application of Company medical benefits for evaluation and treatment. No Employee may suffer reprisals as a result of seeking help. If an Employee feels she/he has suffered reprisals, she/he should report it to the Human Resources representative immediately and an appropriate investigation and action will take place.
- 5. Every Employee will receive a copy of the Drug and Alcohol Testing Policy and will be required to sign an Acknowledgment Form, Attachment A, which will be kept in the employee's personnel file. In addition, the Company shall post notices in appropriate and conspicuous locations at each of its worksites that the Company has adopted a Drug and Alcohol Testing Policy and that copies of the Policy are available for inspection during regular business hours by its Employees and job applicants in the Company's Human Resources office.
- 6. An Employee may be required to undergo drug and alcohol testing when at least two (2) supervisors (if feasible) have reasonable suspicion that the Employee:
  - a) is under the influence of drugs or alcohol. Factors that may be considered in determining whether an Employee is under the influence of drugs and alcohol include but are not limited to: evidence of repeated errors on the job, Company rule violation, and unsatisfactory time and attendance patterns, if coupled with specific facts and rational inferences drawn from those facts that indicate possible drug use; or
  - b) has violated the Company's written Policy Statements (numbers 1, 2, or 3 above); or
  - c) has had a personal injury while working or has caused a personal injury to another person; or

# APPENDIX "I"

#### DRUG AND ALCOHOL TESTING

This Drug and Alcohol Testing Policy is intended to be in accordance with Minnesota law and with the terms of the Agreement.

#### **OBJECTIVE:**

The Company strives to maintain a work environment free from the effects of drug and alcohol abuse for the protection of our customers, employees, and the community.

The Company recognizes that alcoholism and other drug dependencies are behavioral/medical problems which can be treated.

# POLICY STATEMENTS:

- 1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all Richfield Hospitality, Inc. as managing agent for Kahler Hotels, LLC, jointly with KAH 20 2<sup>nd</sup> Avenue LLC d/b/a The Kahler Grand Hotel, and jointly with MAR 1<sup>st</sup> Avenue SW LLC d/b/a Marriott Rochester Mayo Clinic Area, and jointly with KINN 9 3<sup>rd</sup> Avenue LLC d/b/a Kahler Inn & Suites and jointly with RES 441 Center Street LLC d/b/a Residence Inn Rochester Mayo Clinic Area (TC Services, LLC d/b/a Textile Care Services) worksites, including parking facilities. Employees violating this provision may be terminated.
- 2. Employees are not permitted to work under the influence of alcohol or any illegal drug. Employees violating this provision are subject to disciplinary action up to and including termination.
- 3. Abuse of legally prescribed drugs or controlled substances, or over-thecounter drugs, is prohibited because it may impair an employee's ability to perform

responsibility. Employees who refuse to participate in the counseling or rehabilitation program or fail to successfully complete the program, as evidenced by withdrawal from the program before its completion or by a positive test result on a confirmatory test after the completion of the program, may be subject to termination.

- 10. An Employee who is referred by the Company for chemical dependency treatment or evaluation or is participating in a chemical dependency treatment program may be requested or required to undergo drug or alcohol testing without prior notice during the evaluation or treatment period and for up to one (1) year following completion of any prescribed chemical dependency treatment program. An Employee testing positive during this period may be subject to termination.
- 11. A Medical Review Officer (M.R.O.) will review all test results. All positive test results shall be confirmed by a Gas Chromatography Mass Spectrometry analysis of the original specimen sample. The M.R.O. will review and interpret analytical (laboratory) results, validate the results scientifically, and determine if there is a legitimate medical explanation for a positive test result, and notify the Company of the results. The M.R.O. is a third party licensed physician with specialized knowledge of substance abuse.
- 12. The Company reserves the right to change or terminate this Policy and Procedures at any time, after prior notice and negotiation with the Union. Every Employee will be given a copy of the amended policy if a change is made.
- 13. Test result reports and other information acquired in the drug and alcohol testing process are confidential information. Disclosure of the results to third parties may be done with the Employee's prior written consent. Notwithstanding the above, test results may be disclosed to any federal agency or other unit of the United States government as required under federal law, regulation or order, or in accordance with compliance requirements of a federal government contract. The test results may also be disclosed to a substance abuse treatment facility for the purpose of evaluation or treatment of the Employee, or may be disclosed to the Union or other necessary persons in connection with a potential or actual grievance or

d) has caused a work-related accident or was operating or helping to operate machinery, equipment or vehicles in a work-related accident.

Post-accident or injury testing will be conducted as soon as practical following the accident, but not later than thirty-two (32) hours following the accident

Drug and alcohol testing will be accomplished by the collection of hair, urine, and/or blood. The screening of hair, urine, and/or blood samples will be performed by qualified and certified testing laboratories. Testing is done for alcohol and the following drugs and drug classes:

Marijuana metabolites, cocaine metabolites, the opiates morphine and codeine, phencyclidine (PCP, angel dust), and amphetamines (amphetamine and methamphetamine), and/or all other drug classes as described in Schedules I through V of Minn. Stat. Section 152.02.

The detection levels of confirmatory tests shall be those established under Minnesota Rules.

- 7: Every Employee has the right to refuse to undergo drug and alcohol testing. Employees who refuse to undergo testing are subject to disciplinary action up to and including termination.
- 8. Any Employee who tests positive shall have the right to explain the positive test result of a confirmatory test or request and pay for a confirmatory retest of the original specimen sample.
- 9. If a positive test result on a confirmatory test was the first such result for the Employee on a drug or alcohol test by the Company, the Employee will be immediately suspended without pay. The Employee can be reinstated upon participation in either a drug or alcohol counseling or rehabilitation program, whichever is more appropriate as determined by the Company after consultation with a certified chemical use counselor or a physician trained in the diagnosis and treatment of chemical dependency. The cost for the evaluation will be paid by the Company. Costs for the recommended treatment will be the Employee's

- 5. The M.R.O. will communicate the results to the Company Human Resources representative.
- 6. The Human Resources representative and/or the employee's supervisor will communicate the results of the test to the Employee or job applicant, as the case may be, within three (3) working days upon receipt of the results.
- 7. If an Employee tests positive for drug use, the employee will be notified in writing of her/his right to explain the positive test and the Company may request that the employee indicate any over-the-counter or prescription medication that the individual is currently taking or has recently taken and any other information relevant to the reliability of, or explanation for, a positive test result.
- 8. Within three (3) working days after notice of a positive test result on a confirmatory test, the employee may submit information to the Company, in addition to any information already submitted under paragraph 7, to explain that result, or may request a confirmatory re-test of the original sample at the Employee's own expense.
- The Human Resources representative will follow up on any recommended treatment and determine whether the Employee has successfully completed the treatment.

threatened or actual litigation. An Employee has the right to request and receive from the Company, a copy of the test result report on any drug or alcohol test.

No Employee may be required to undergo drug or alcohol testing without the prior approval of the Director of Human Resources or the General Manager or her/his designee.

#### PROCEDURES:

- 1. When at least two (2) supervisors (if feasible) have reasonable suspicion to test an employee as stated in Policy Statement #6, the request must go to the applicable Human Resources representative or his/her designee to arrange for the collection and begin the required paperwork designating the need for hair, urine, and/or blood specimen.
- 2. Before a test is administered, the Company will ensure that the employee has completed a Drug and Alcohol Acknowledgment Form.
- 3. The Employee will go to the collection site and provide a hair, urine, and/or blood specimen and appropriate identification. The collection site staff will begin the chain of custody paperwork and forward the specimen to the certified laboratory for testing. If an employee appears impaired and unable to safely go to the collection site on her/his own, the Company will arrange for transportation to the collection site and home following the collection procedure. Under no circumstances should an employee suspected of being impaired be allowed to drive. The Employee will be reimbursed for any out-of-pocket expense incurred in taking the test, with proper documentation.
- 4. Test results will be reviewed to determine if there is evidence of the use of alcohol, drugs or controlled substances and forwarded to the M.R.O. If the specimen sample shows a positive result, the original sample will be kept for additional confirming tests.

# APPENDIX "J" Mutual Agreements

# (Custom, Past Practice, Letters of Understanding)

- 1. From letter of September 24, 1991 to Terry Weivoda, Local 21, from Kevin Molloy, Senior VP Operations,
  - a. It is agreed that no absolute room quota exists for room housekeepers, but the **Employer** has the right to set reasonable performance standards.
  - b. Consistent with past practice, it is agreed that full time housekeepers shall be able to use their seniority to select work areas, part time housekeepers shall be assigned as needed.
  - c. It is agreed that when Employees have been requested to work on their day off, such designation shall be made on the Employee's work schedule noting any sixth (6<sup>th</sup>) or seventh (7<sup>th</sup>) day worked.
  - d. It is agreed that the Employer will continue for the life of their 2015
     2020 Collective Bargaining Agreement the current Employee discount for meals purchased in restaurants in accordance with the established policy, i.e. Employee cafeteria, or if not available, from Employee menu.

NOTE: Side letter 1 will be deleted from the TC Contract

 From letter of August 1, 2001, to Frank Heavlin, from David Blanchard, Local 21, regarding treatment of biohazard bags at Textile Care Services in Rochester, Minnesota. It is agreed that Employees at TCS will no longer be required to handle any bio-hazard bags when they come to the plant. Any such bags will be placed, unopened, into a special bin that will be picked up by Mayo

#### ATTACHMENT "A"

# DRUG AND ALCOHOL POLICY ACKNOWLEDGMENT FORM

I, the undersigned, certify that I have received and read a copy of the Company's Policy regarding drug and alcohol abuse.

As part of my employment with the Company, I understand that my position is subject to drug and alcohol testing and that I may be requested to provide a hair, urine, and/or blood specimen for a drug or alcohol test.

I understand that I may refuse to take the drug and alcohol test and that such refusal may result in termination.

Employee			
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Witness		·	<del>-</del>

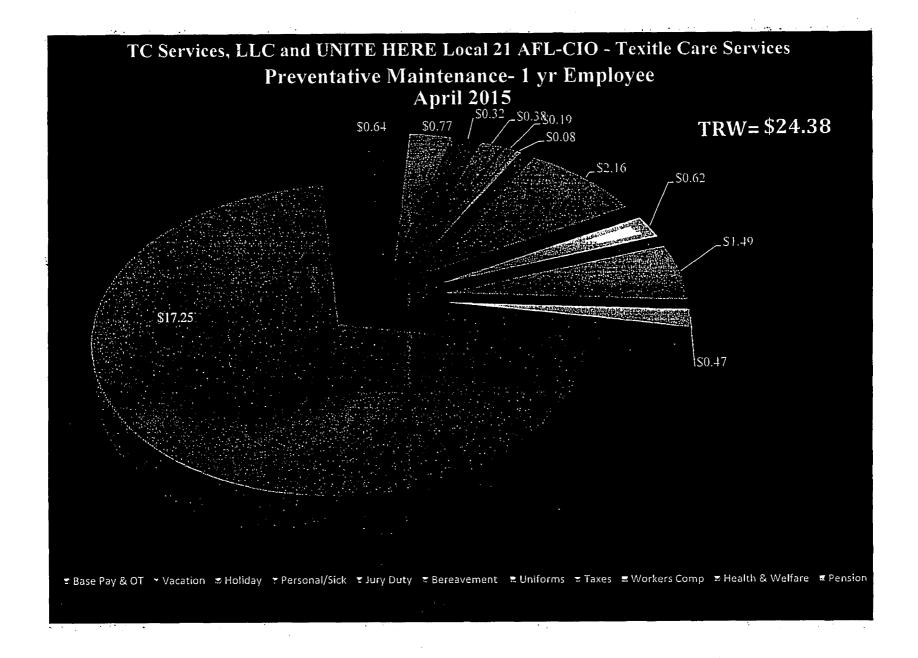
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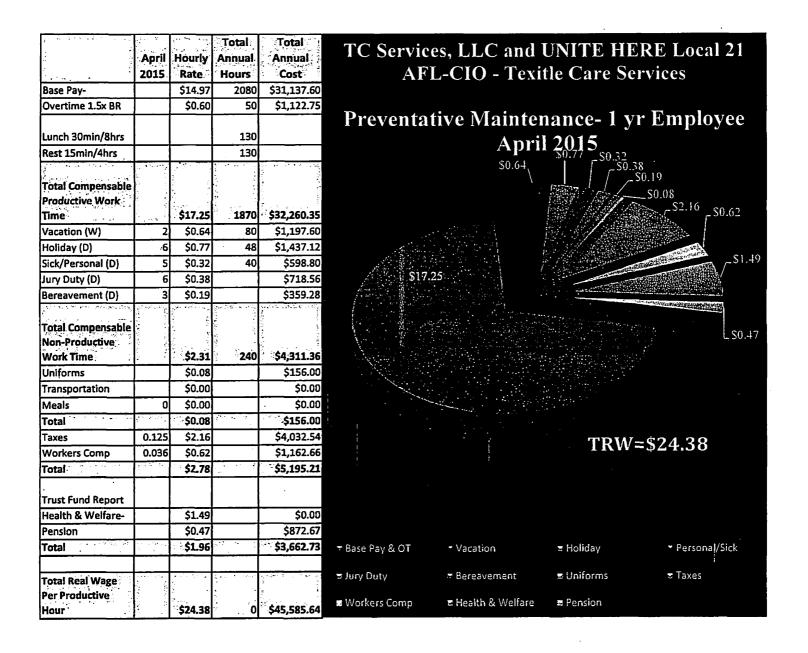
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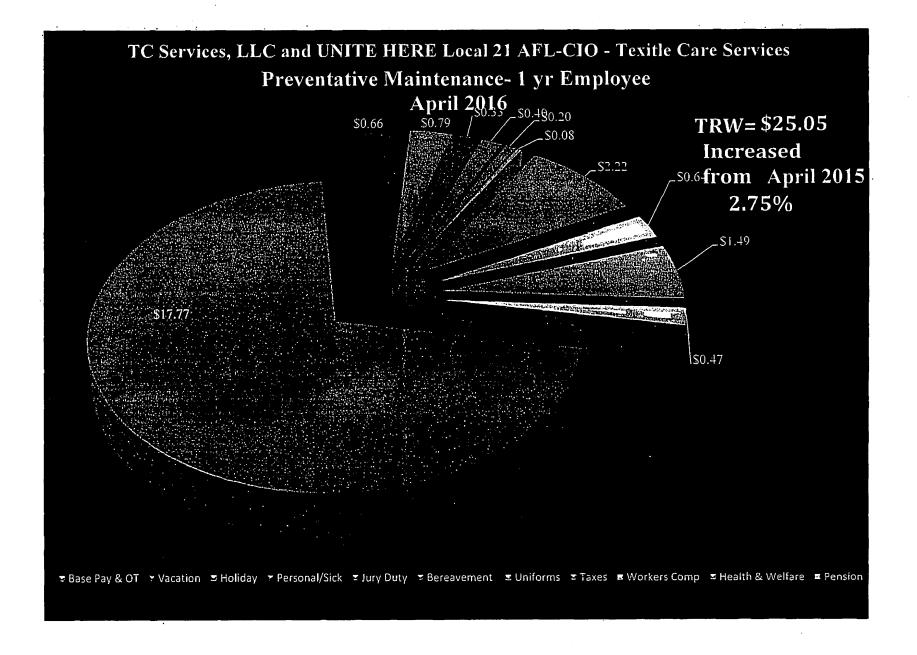
to be handled by them. This policy will not change during the life of the 2015-2020 Agreement unless required by law  $\frac{1}{2}$ 

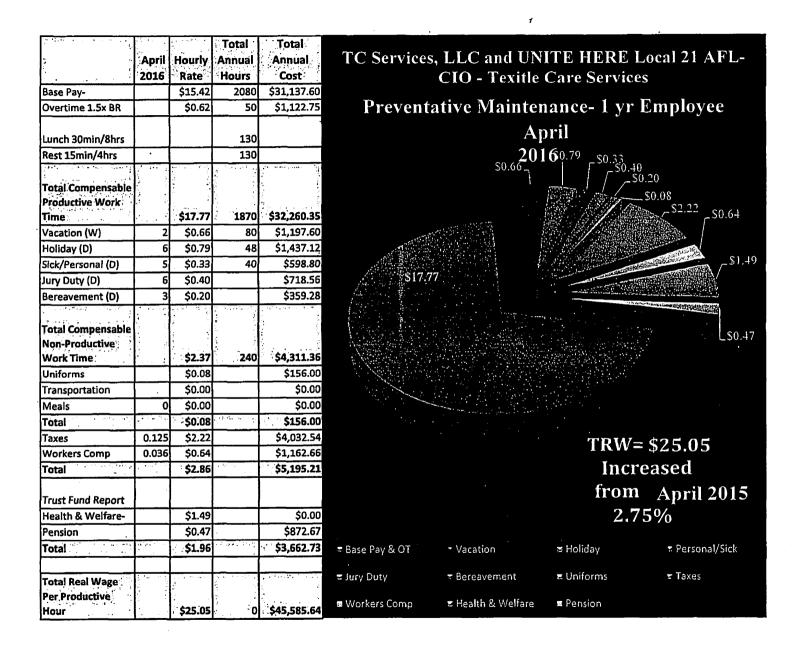
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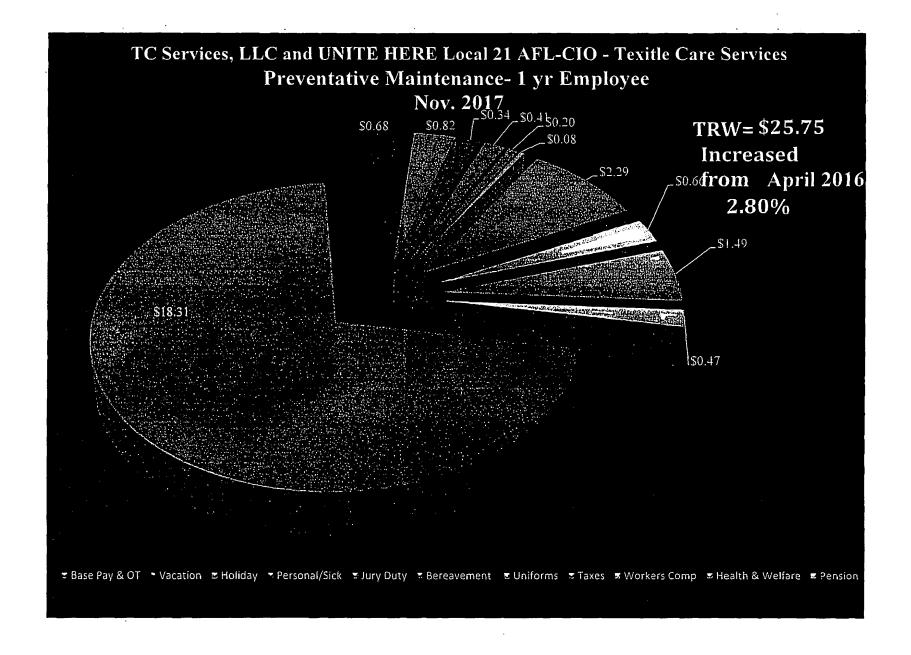
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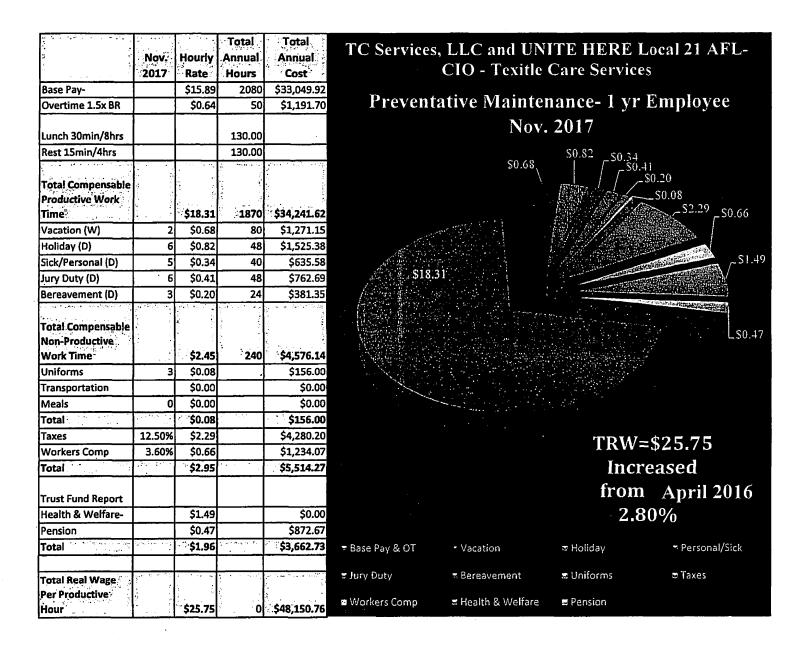


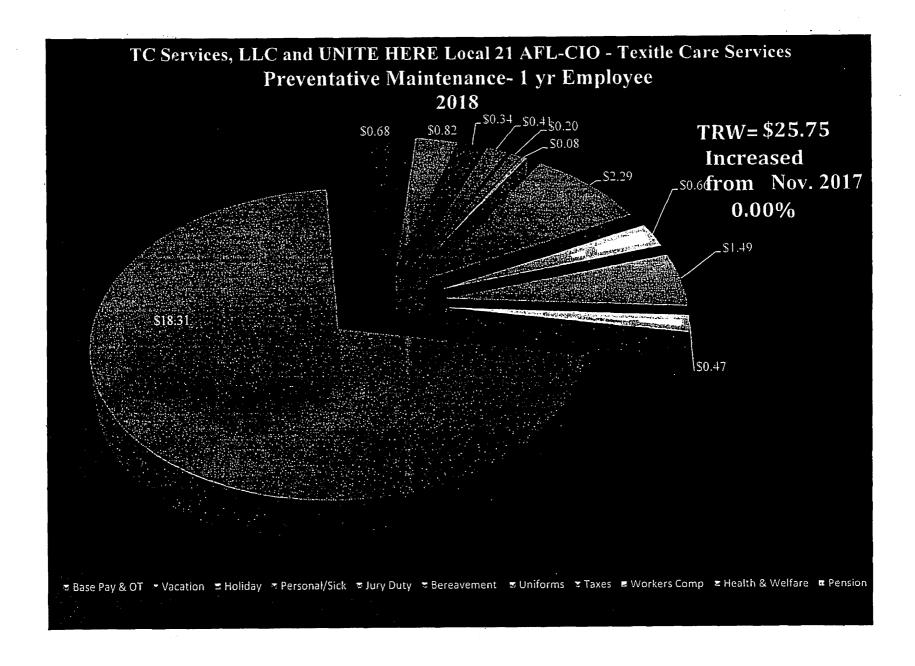


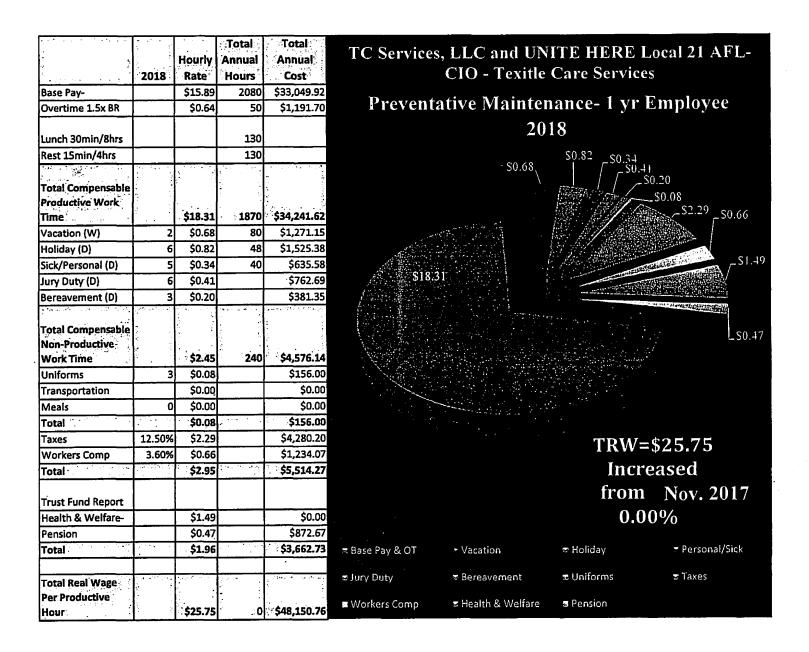


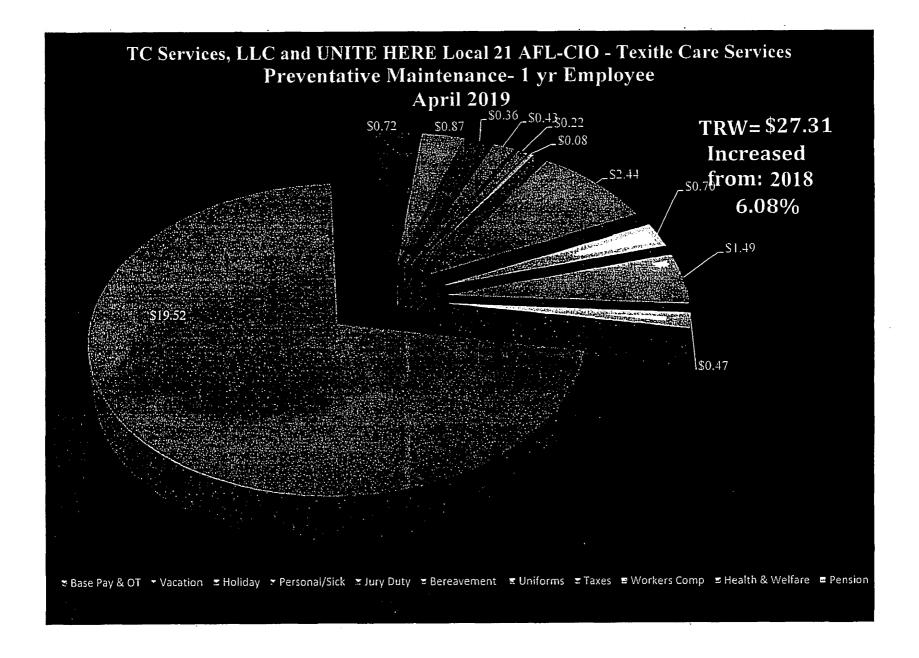


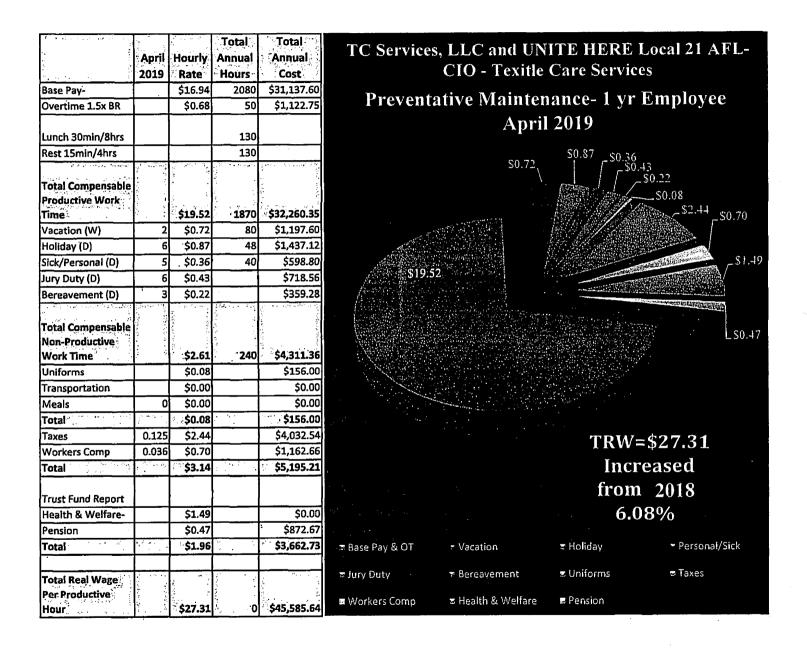


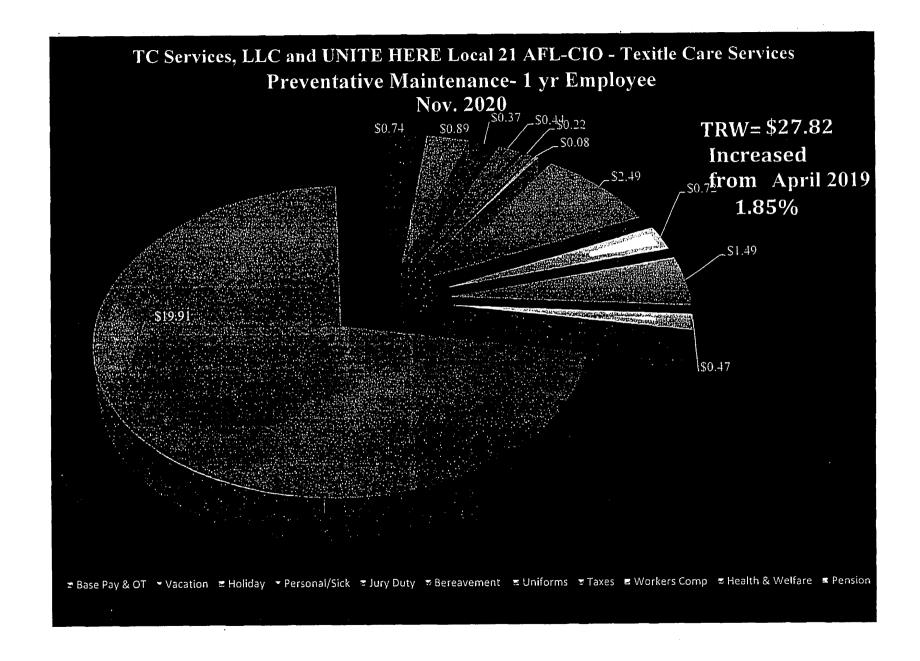




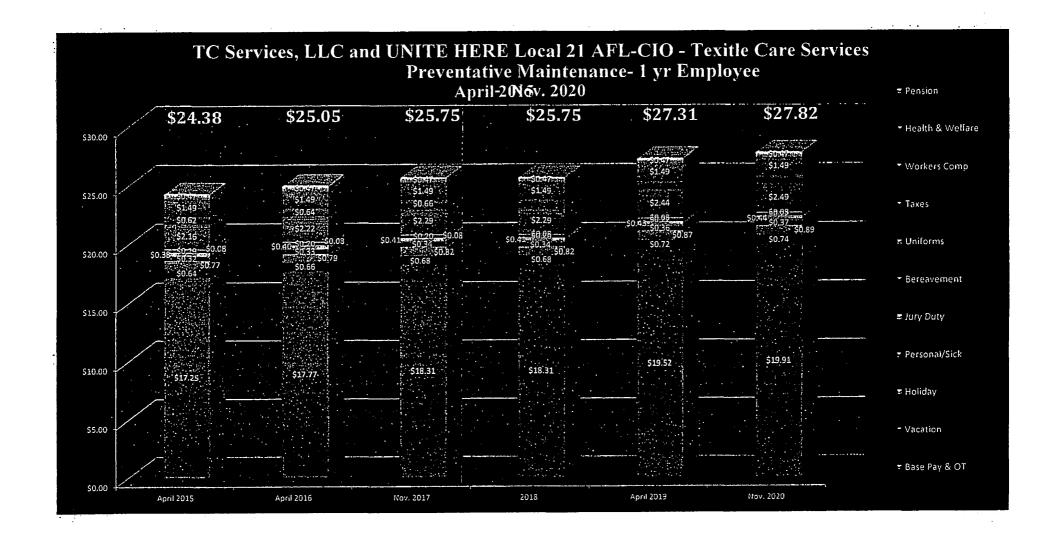


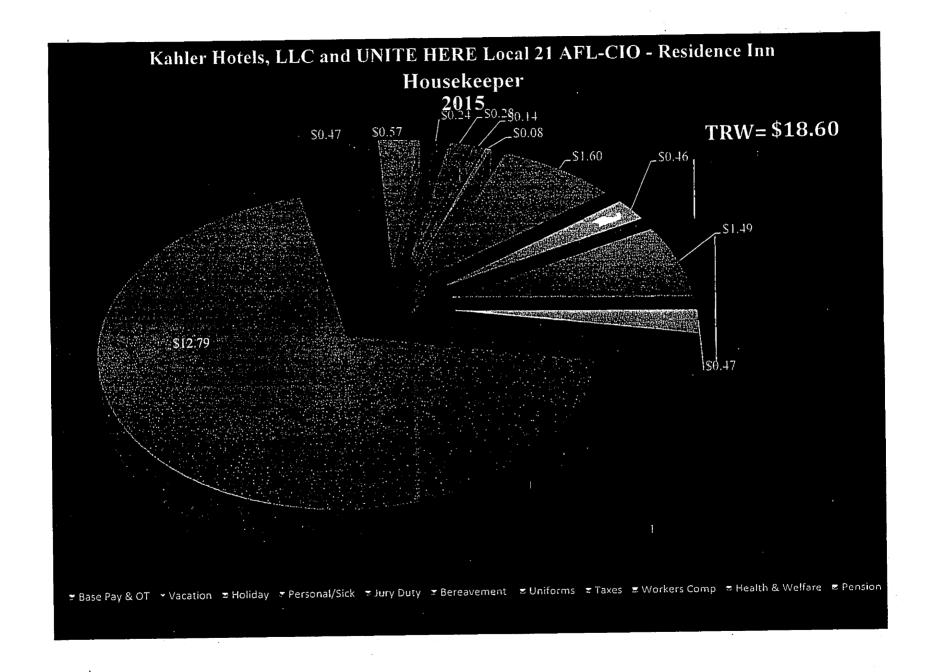


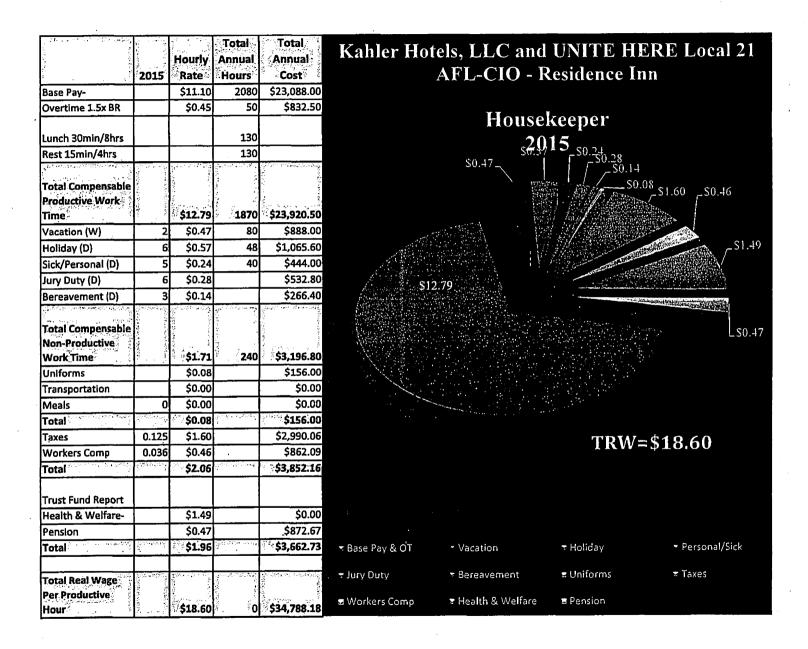


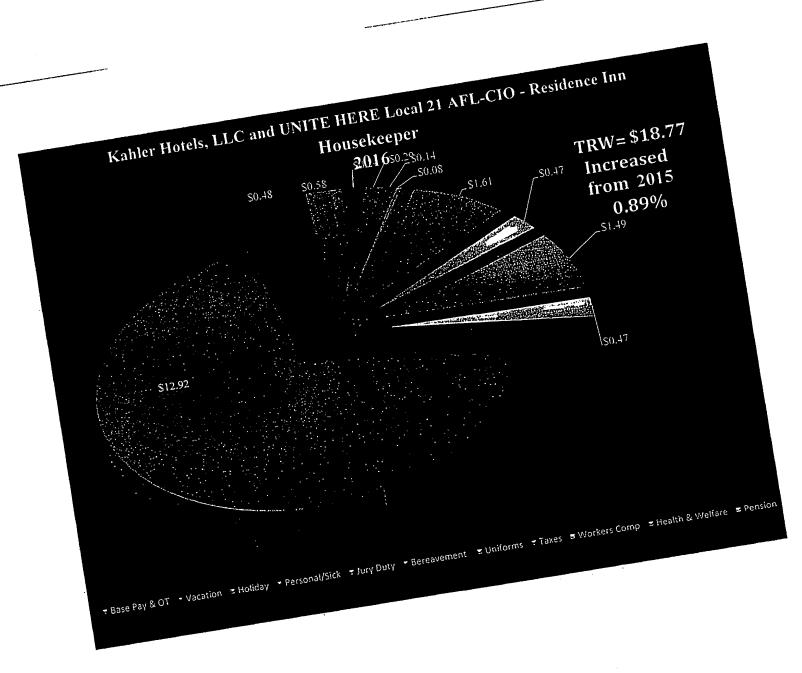


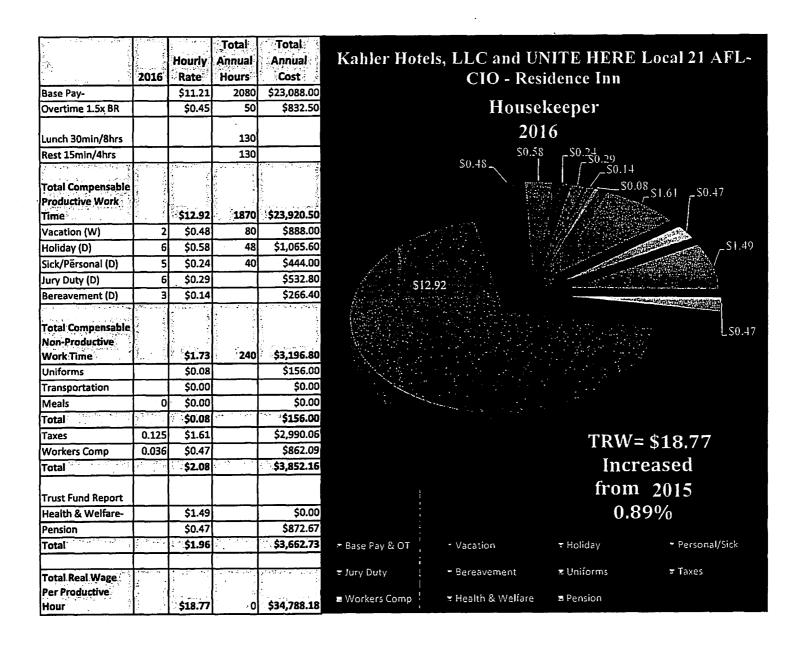
The Section of the Con-			Total	Total	
	Nov.	Houriv	Annual	Annual	TC Services, LLC and UNITE HERE Local 21 AFL-
:	2020	Rate	Hours	Cost	CIO - Texitle Care Services
Base Pay-		\$17.28	2080	\$31,137.60	
Overtime 1.5x BR	<u> </u>	\$0.69	50	\$1,122.75	Preventative Maintenance- 1 yr Employee
Lunch 30min/8hrs			130		Nov. 2020
Rest 15min/4hrs			130		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Total Compensable Productive Work					S0.22 S0.08
Time ==	- 1	\$19.91	1870	\$32,260.35	the first the state of the stat
Vacation (W)	2	\$0.74	80	\$1,197.60	
Holiday (D)	6	\$0.89	48	\$1,437.12	
Sick/Personal (D)		\$0.37	40	\$598.80	\$19.91
Jury Duty (D)		\$0.44		\$718.56	319.91
Bereavement (D)		\$0.22		\$359.28	
Total Compensable Non-Productive Work-Time		\$2.66	240	\$4,311.36	LS0.47
Uniforms		\$0.08		\$156.00	
Transportation		\$0.00		\$0.00	
Meals	0	\$0.00		\$0.00	and the second s
Total		\$0.08	A*** • No. ** • • • • • • • • • • • • • • • • • •	\$156.00	
Taxes	0.125	\$2.49		\$4,032.54	TRW=\$27.82
Workers Comp	0.036	\$0.72		\$1,162.66	
Total	, p. <b>4</b> - 5 - 7 - 7	\$3.21		\$5,195.21	Increased
Trust Fund Report					from April 2019
Health & Welfare-		\$1.49		\$0.00	1.85%
Pension		\$0.47		\$872.67	
Total		\$1.96		\$3,662.73	▼ Base Pay & OT
Total Real Wage Per Productive	0 prod 6 0 0 pr	क्राज्यसम्बद्धाः र्		Jakaria sasa	₹ Jury Đuty ₹ Bereavement ₹ Uniforms ₹ Taxes
Hour		\$27.82	0	\$45,585.64	■ Workers Comp  ■ Health & Welfare  ■ Pension

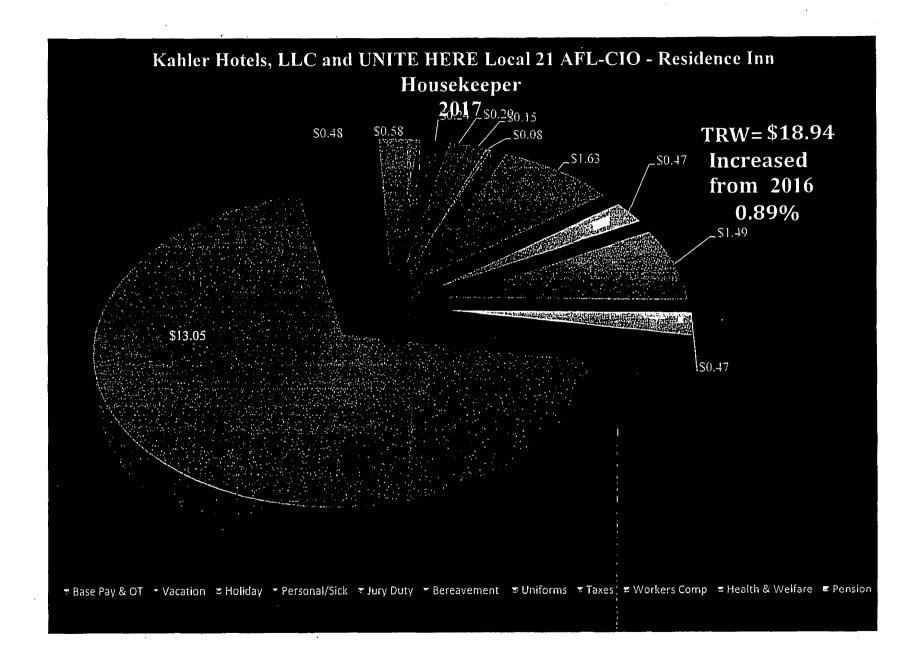


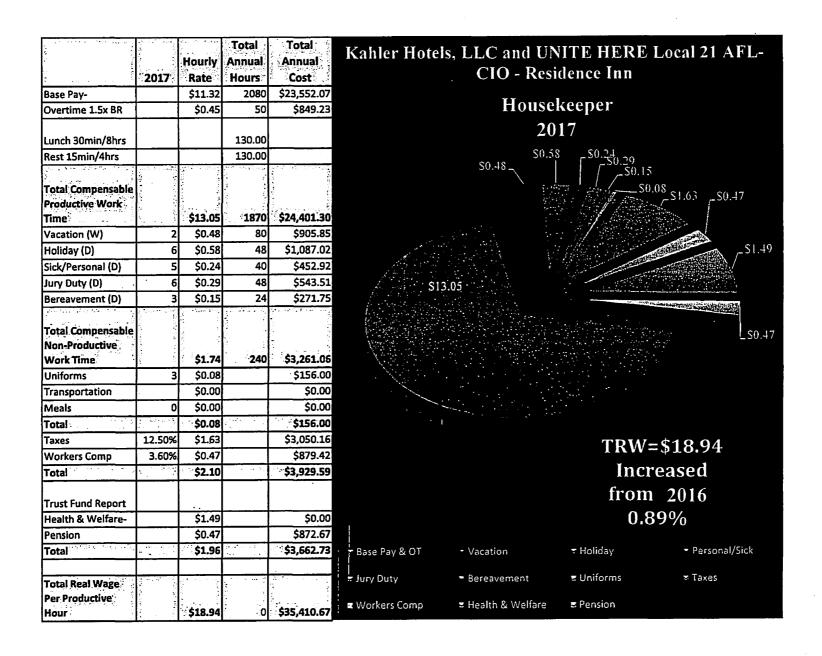


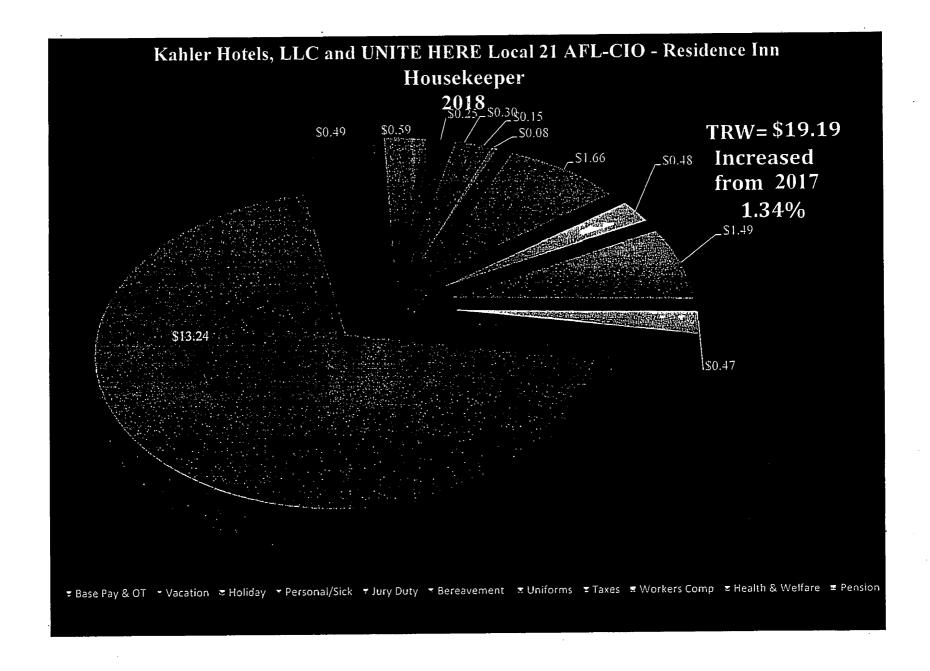


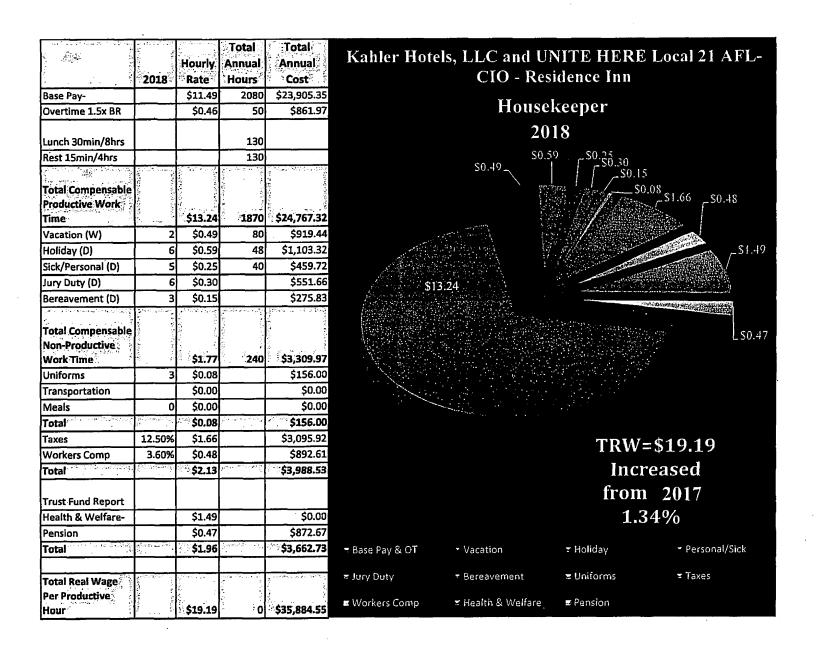


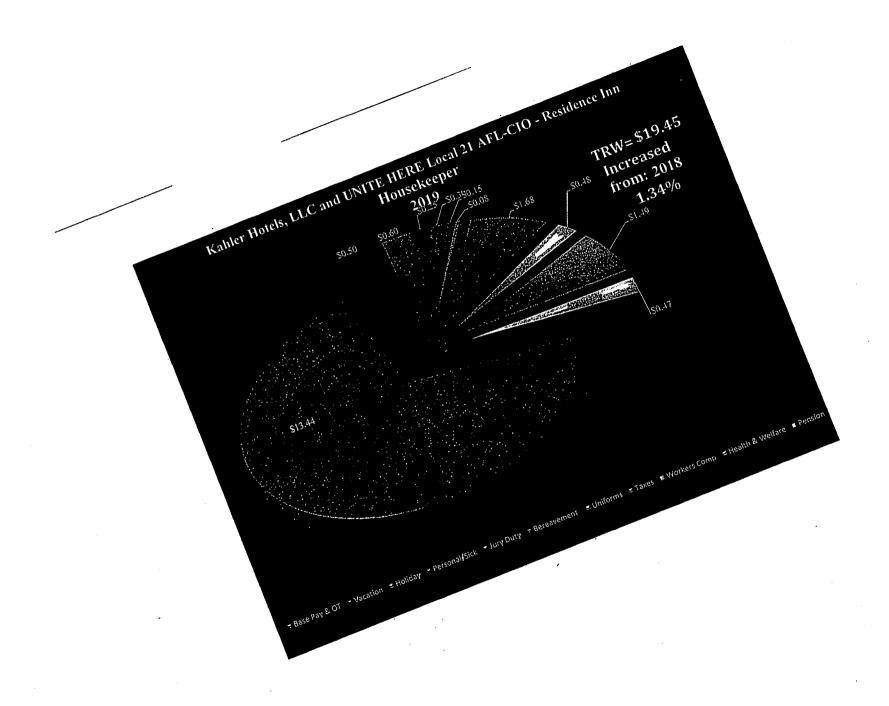


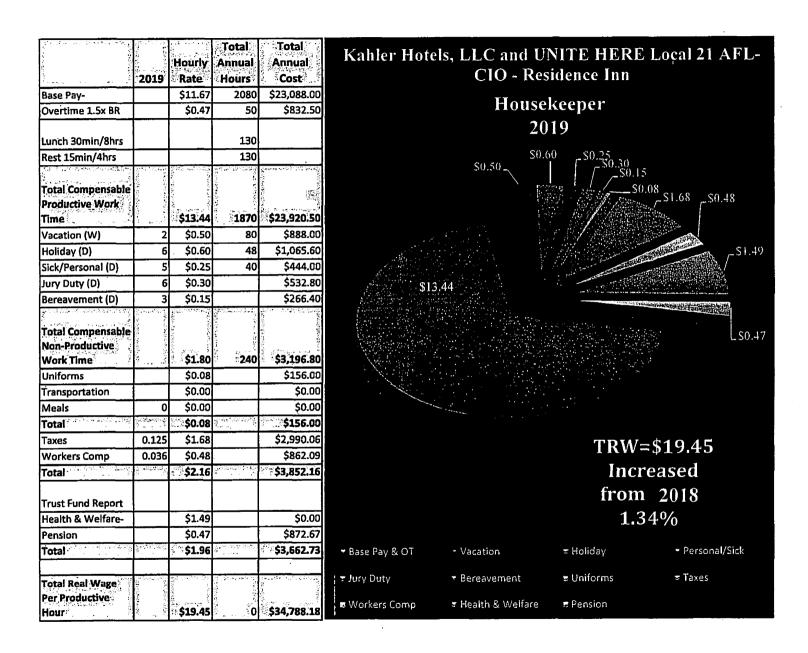


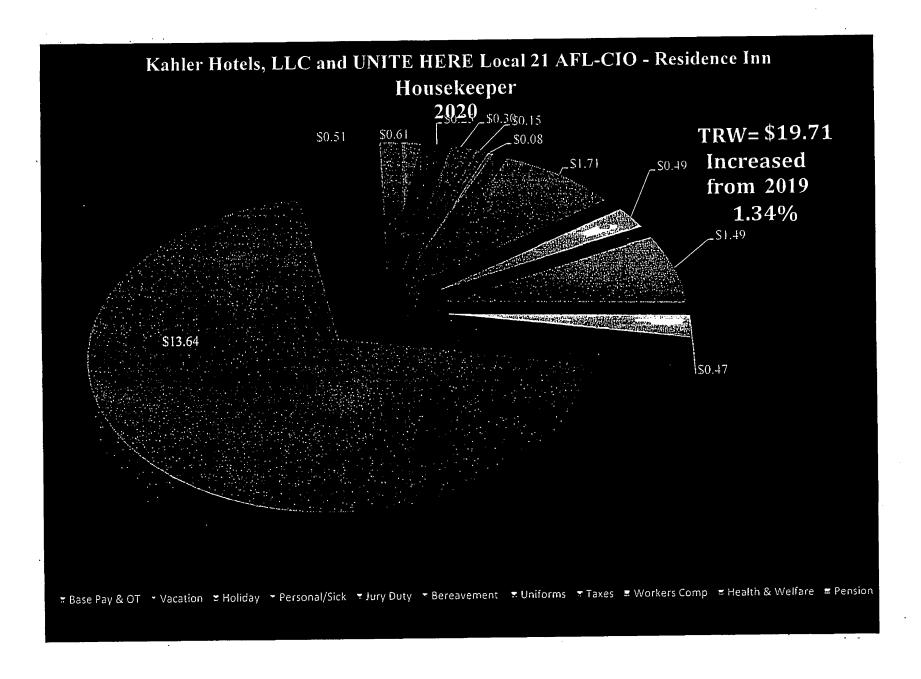


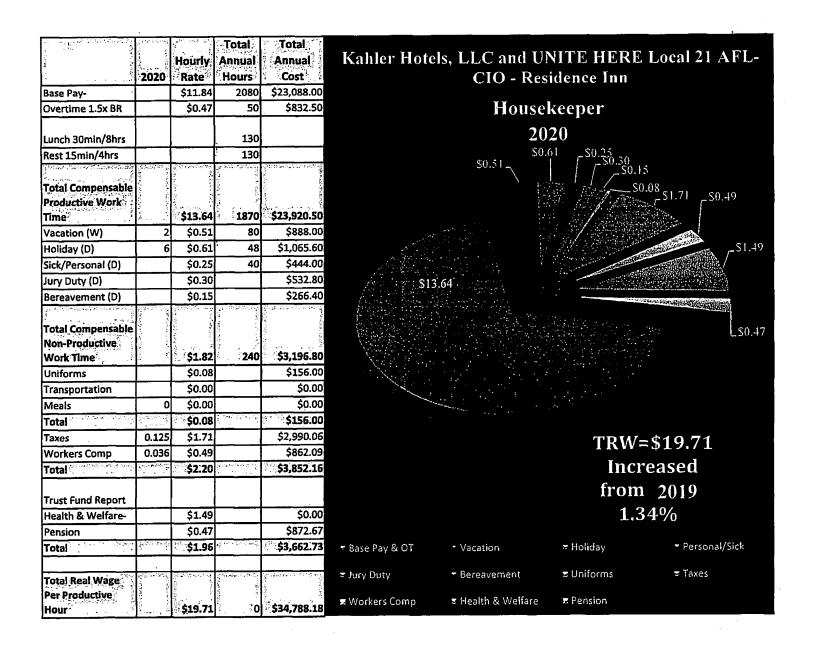


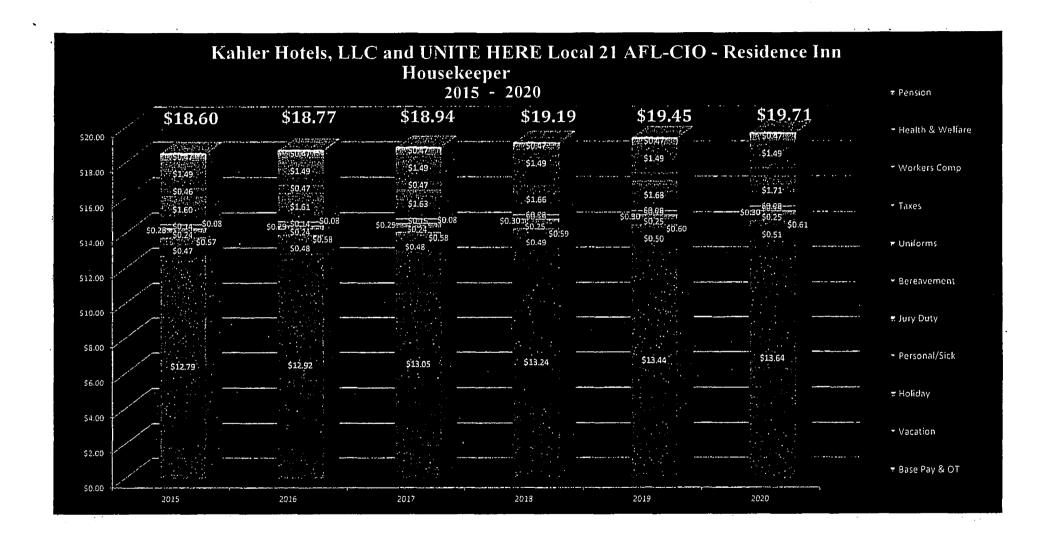


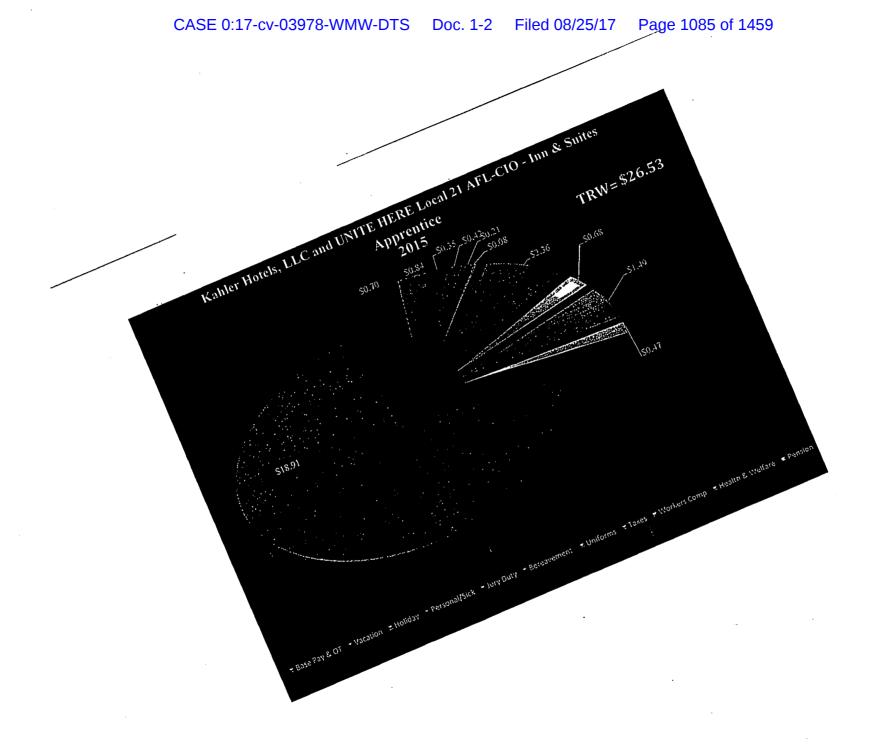


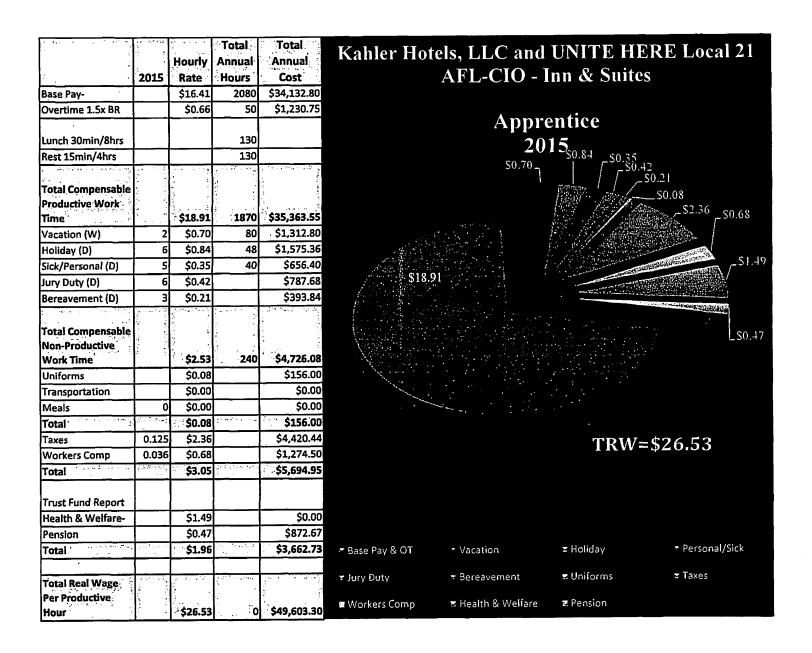


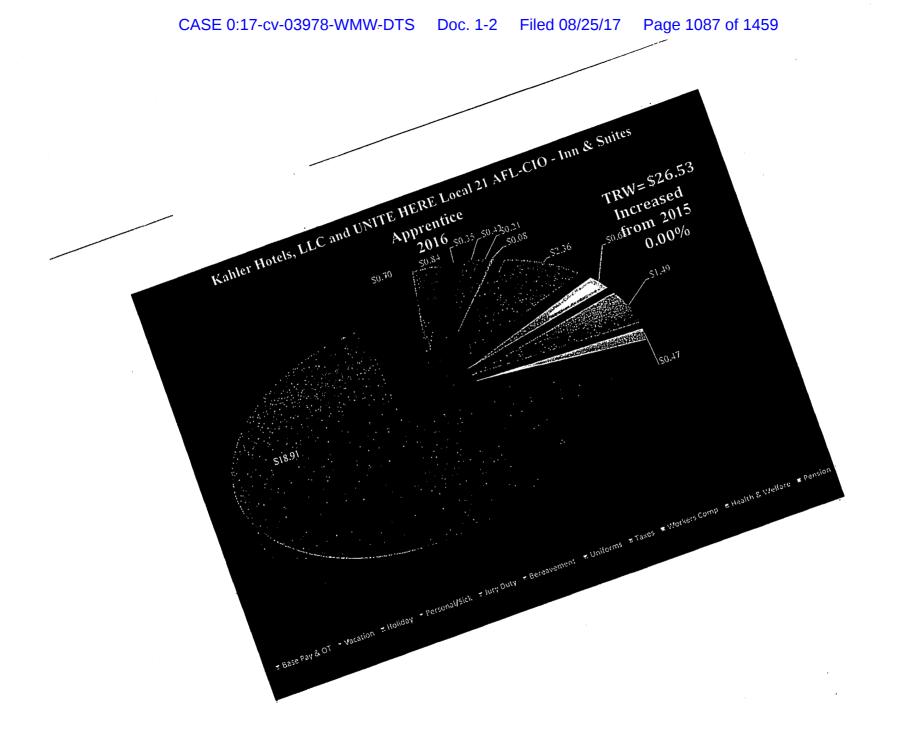


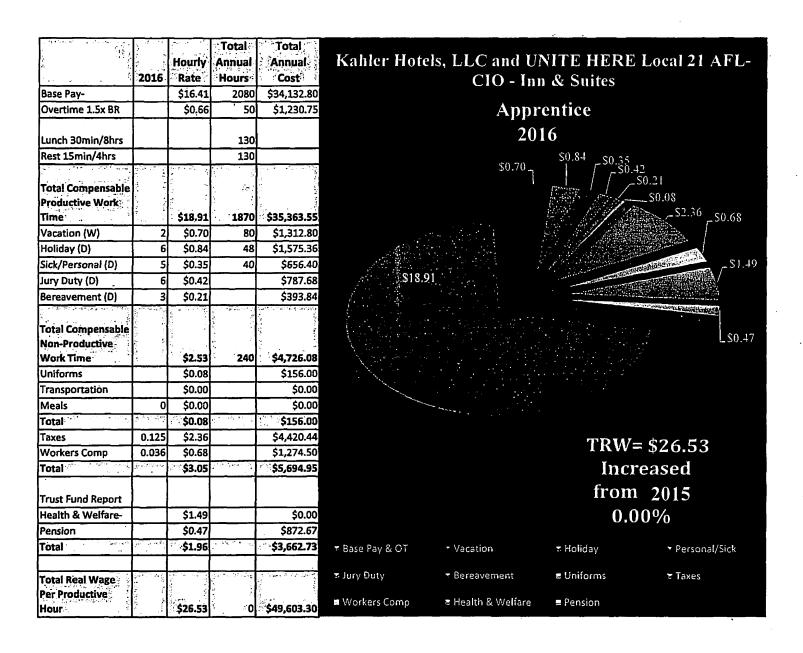


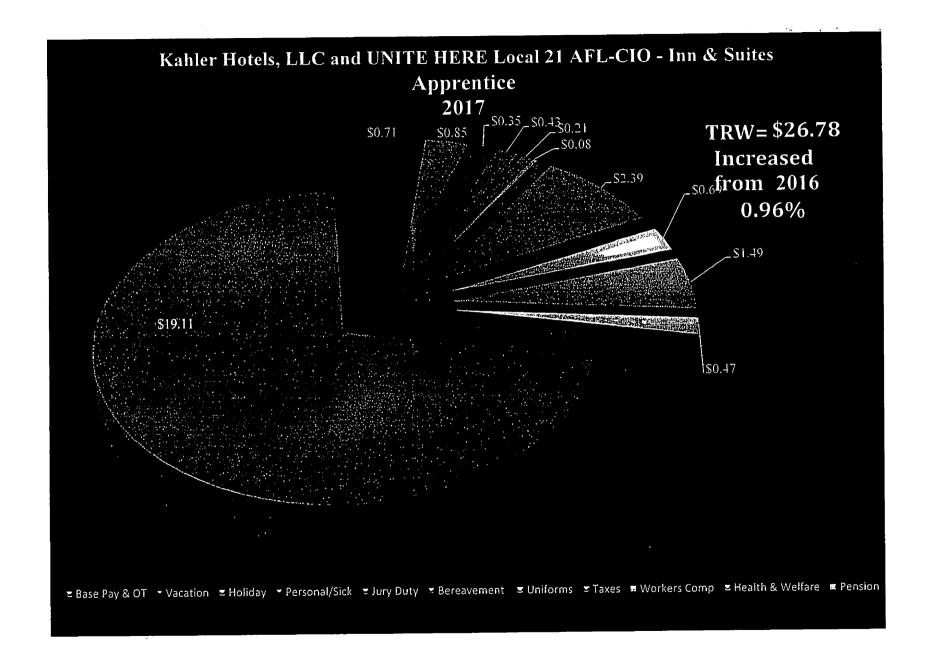


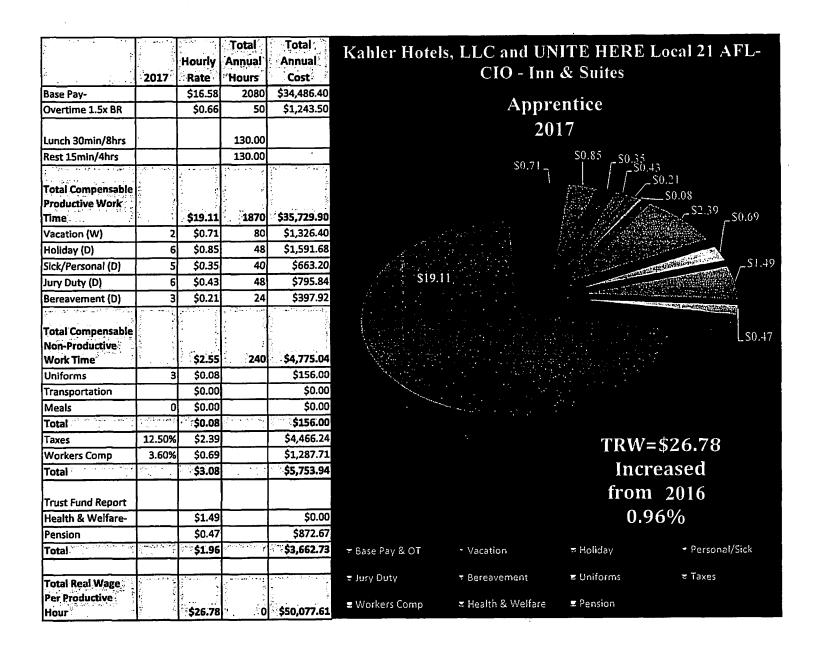


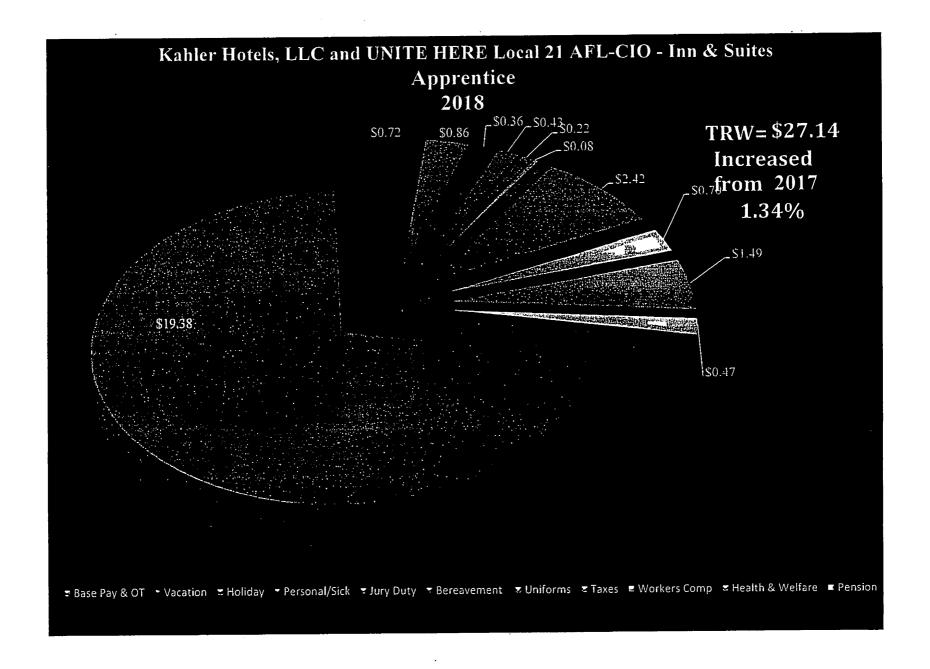


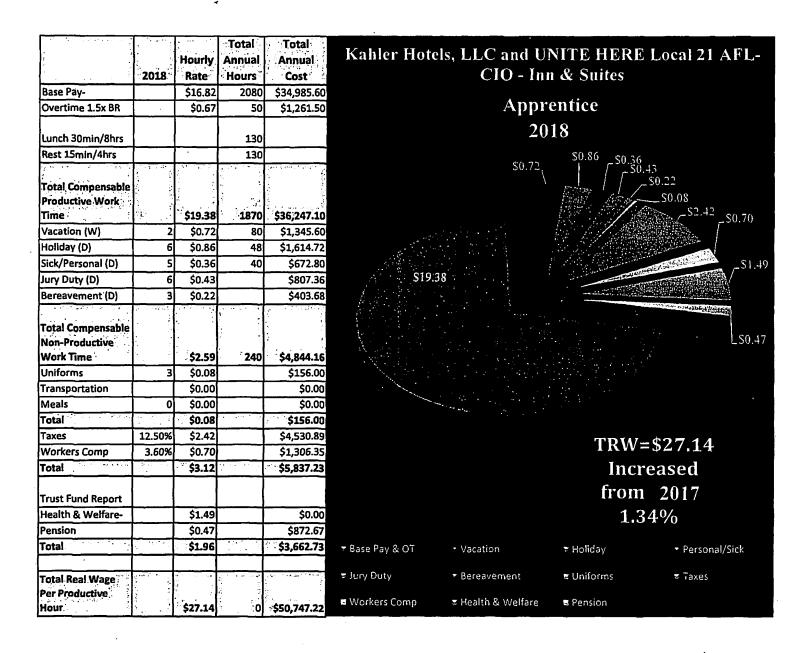


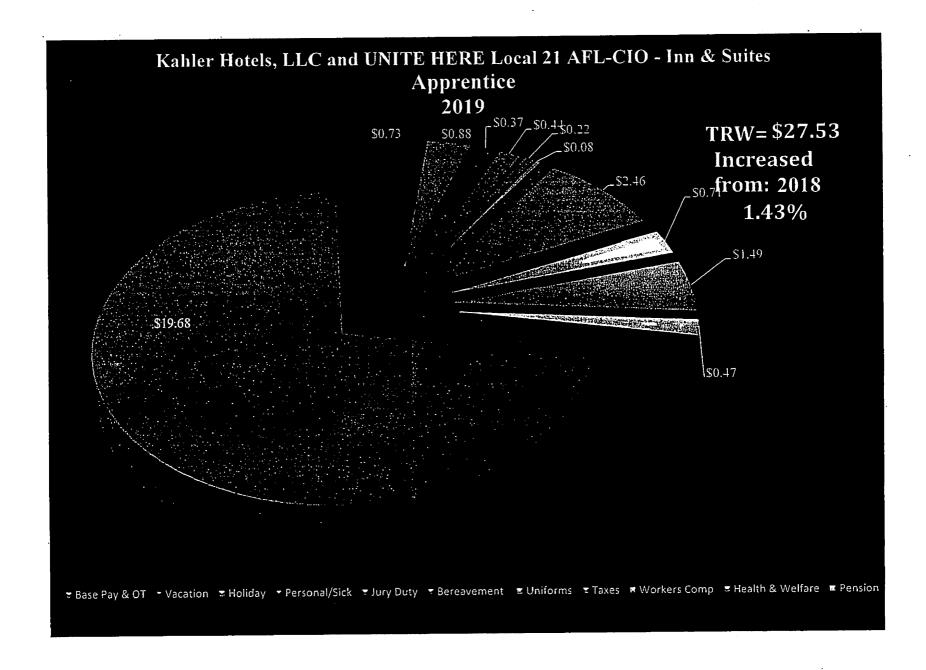




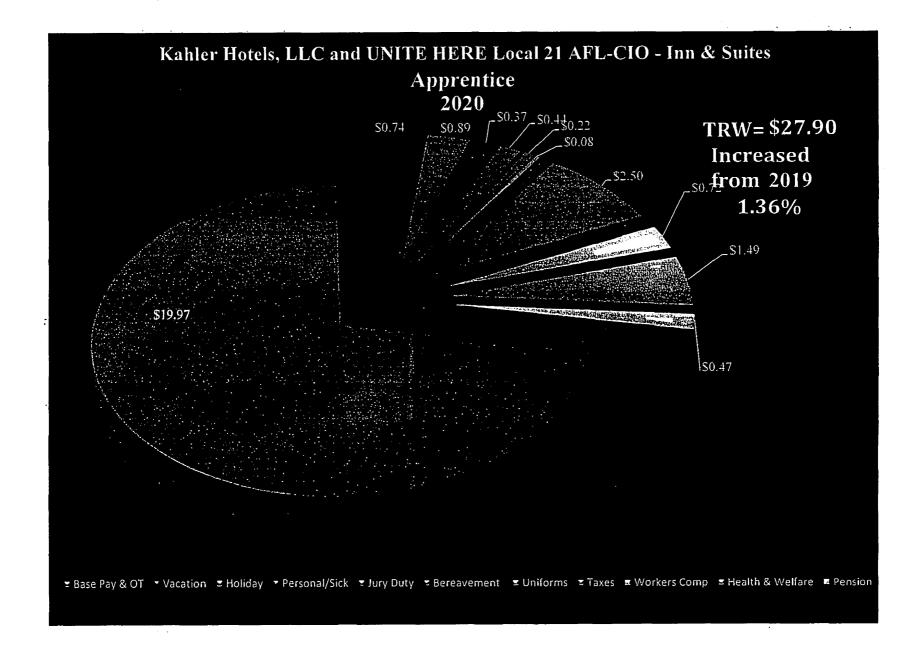


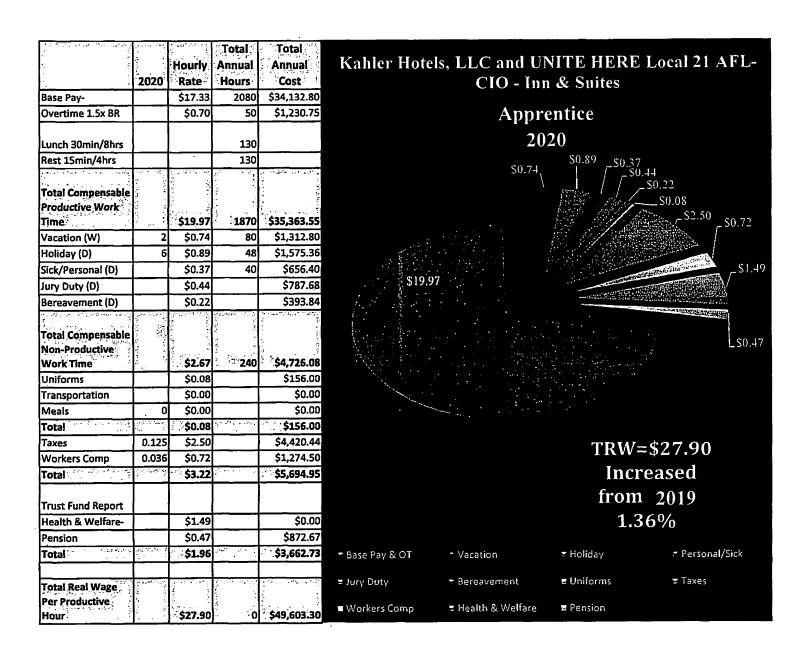


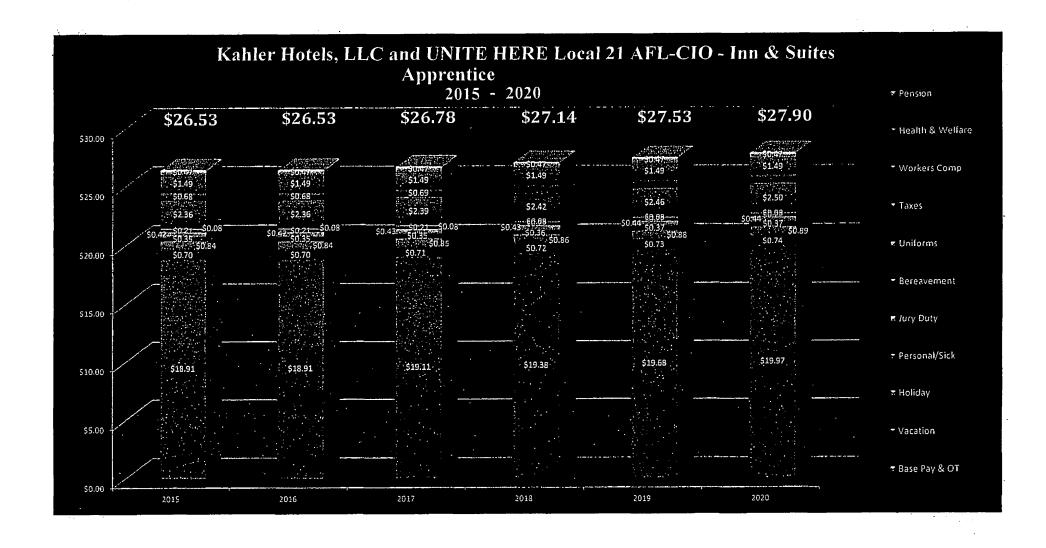


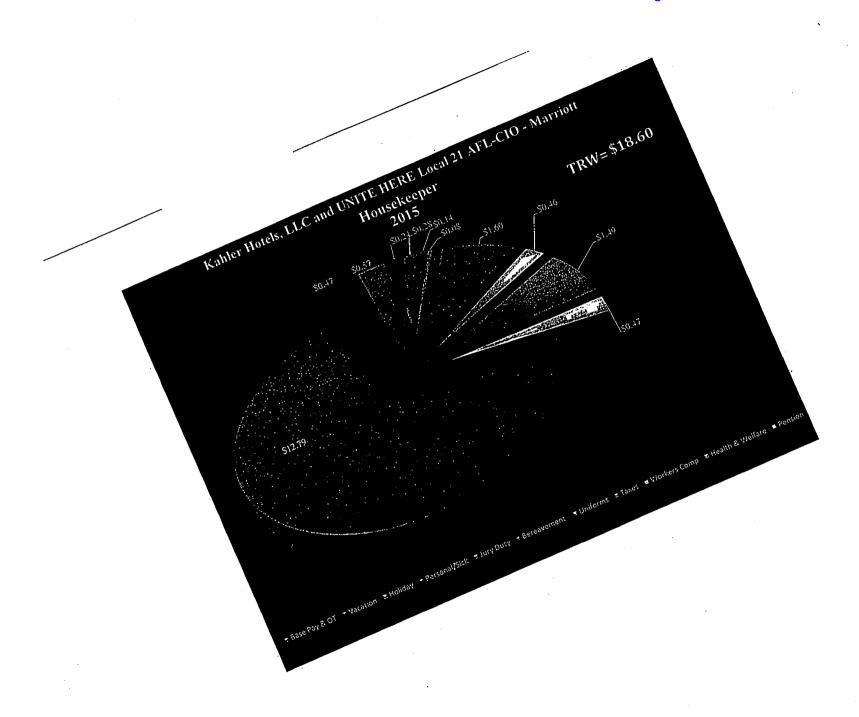


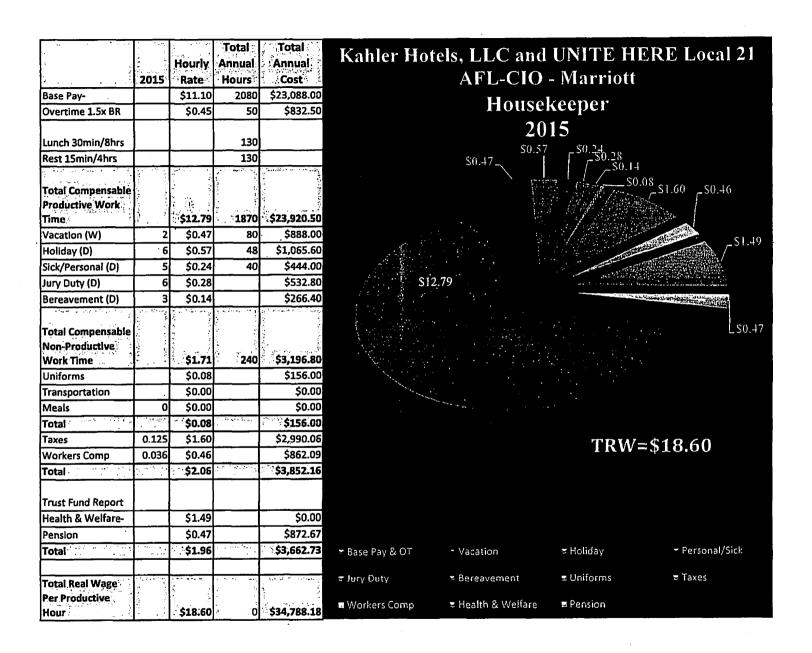
	2019	Hourly Rate	Total Annual Hours	Total Annual Cost	Kahler Hot		NITE HERI & Suites	E Local 21 AFL-
Base Pay-		\$17.08	2080	\$34,132.80		Anne	antico	
Overtime 1.5x BR		\$0.69	50	\$1,230.75		Appre		
Lunch 30min/8hrs			130			20	19	
Rest 15min/4hrs		•	130			¢0.77	\$0.88 _\$0.3	7
Total Compensable Productive Work Time		\$19.68	1870	\$35,363.55		\$0.73	cs	0.44 S0.22 S0.08 S2.46S0.71
Vacation (W)	2	\$0.73	. 80	\$1,312.80			10.01/1	
Holiday (D)	6	\$0.88	48	\$1,575.36	Age of the			Control of the second
Sick/Personal (D)	5	\$0.37	40	\$656.40				_\$1.49
Jury Duty (D)	.6	\$0.44		\$787.68	\$19	.68		
Bereavement (D)	3	\$0.22		\$393.84	1. 1. 1.			
Total Compensable Non-Productive Work Time	general de sego de sesso Se Se se sego de sesso Se se se sego de sesso	\$2.63	240	\$4,726.08				\$0.47
Uniforms		\$0.08		\$156.00				
Transportation		\$0.00		\$0.00	· · · · · · · · · · · · · · · · · · ·			
Meals .	0	\$0.00		\$0.00		1		
Total	क्षेत्रणसम्बद्धाः स्टब्स्	-\$0.08		\$156.00				
Taxes	0.125	\$2.46		\$4,420.44			TRW	=\$27.53
Workers Comp	0.036	\$0.71		\$1,274.50				
Total	jananalis. Tananan	\$3.17	San	\$5,694.95			Inc	reased
Trust Fund Report								n 2018
Health & Welfare-		\$1.49		\$0.00			1.	43%
Pension		\$0.47		\$872.67				
Total		\$1.96	g kanta Page	\$3,662.73	▼ Base Pay & OT	<ul> <li>Vacation</li> </ul>	₹ Holiday	₹ Personal/Sick
Total Real Wage Per Productive Hour	2 10 10 10	\$27.53	0	\$49.603.30	■ Jury Duty  ■ Workers Comp	<ul><li>Bereavement</li><li>■ Health &amp; Welfare</li></ul>	<ul><li>■ Uniforms</li><li>■ Pension</li></ul>	₹ Taxes

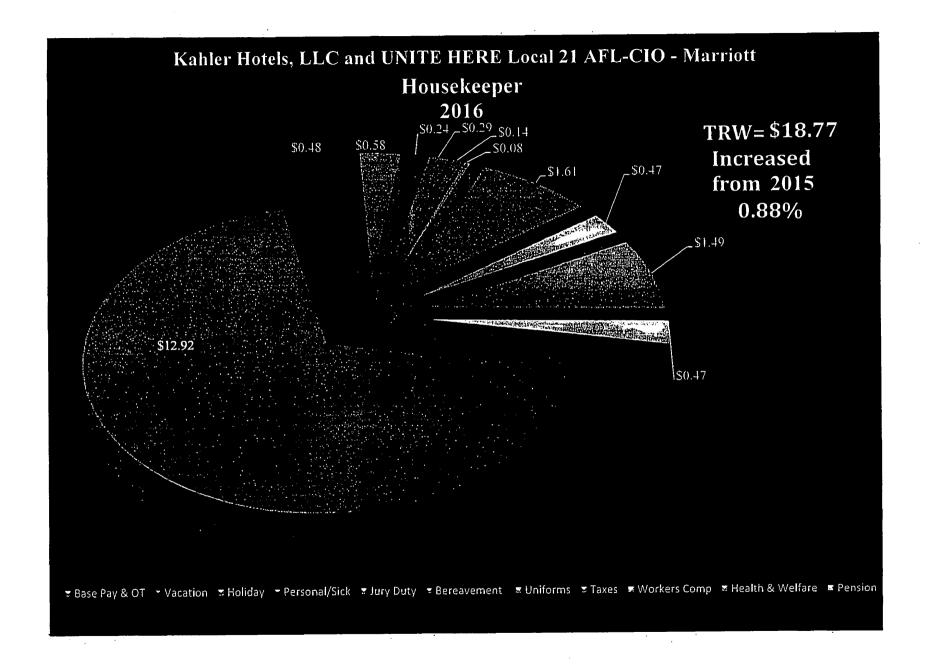


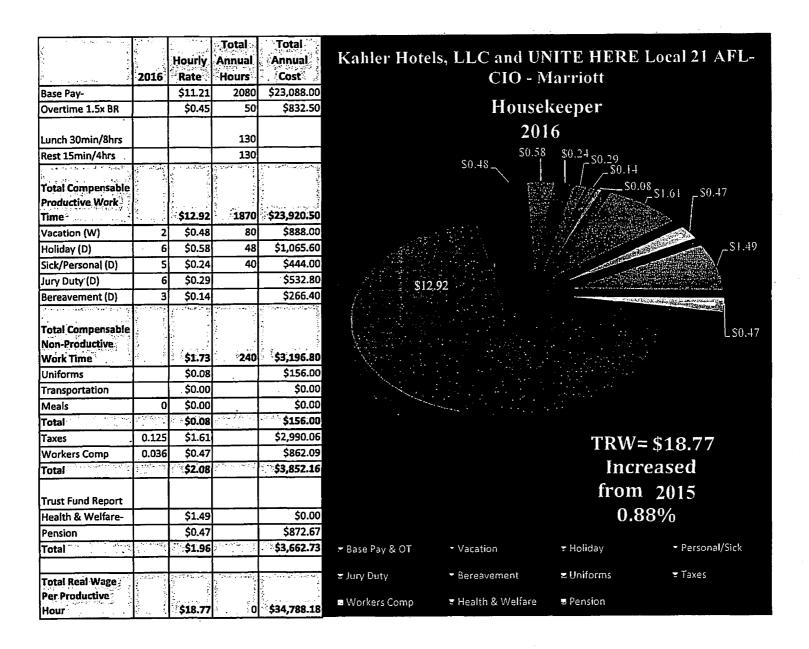


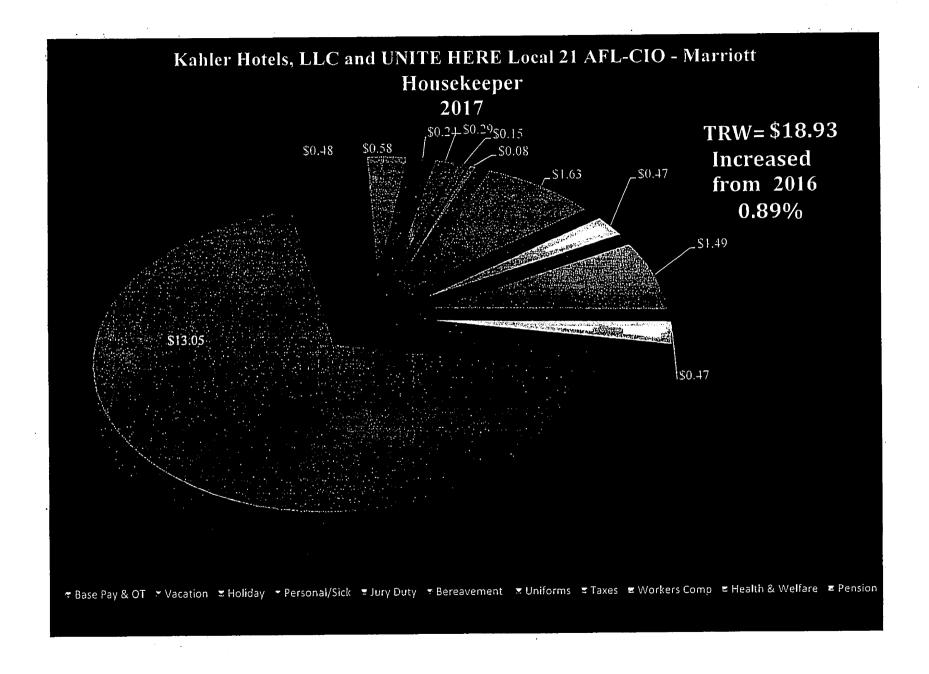


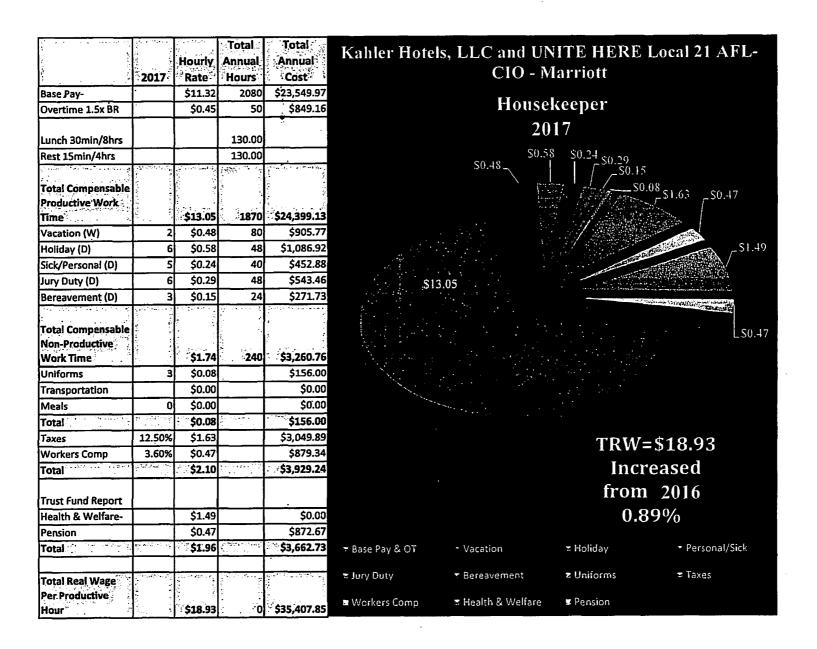


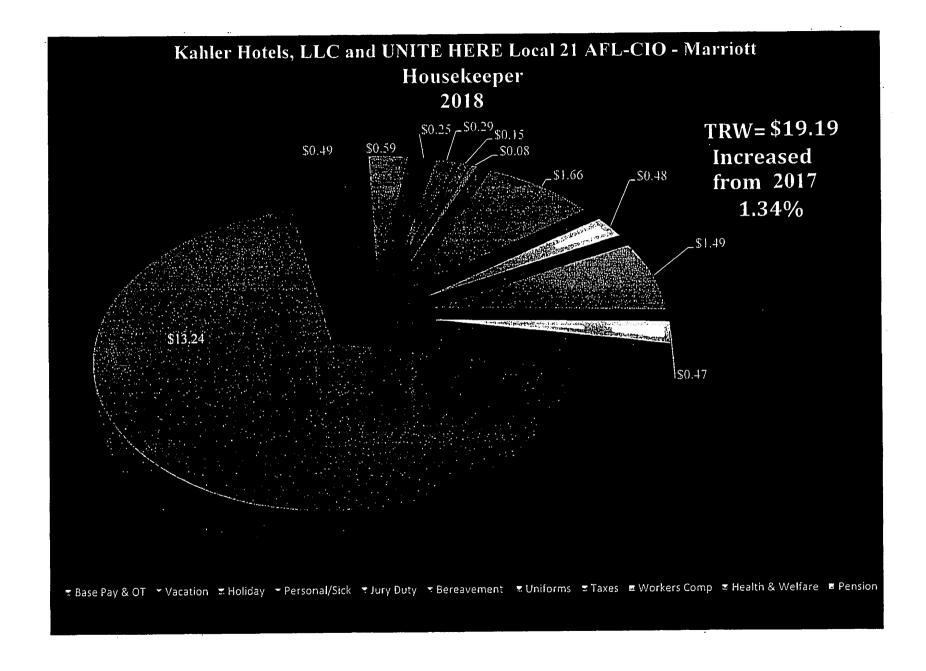


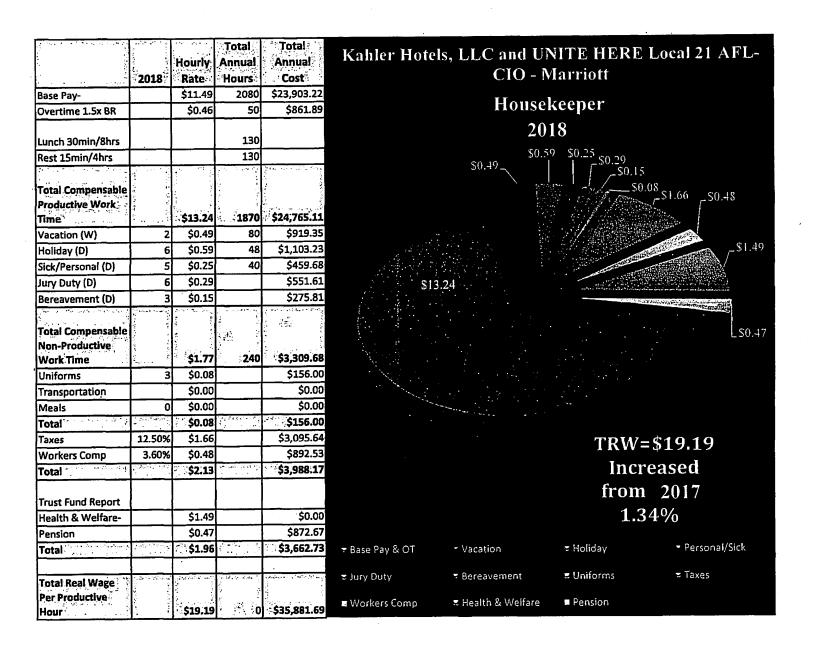


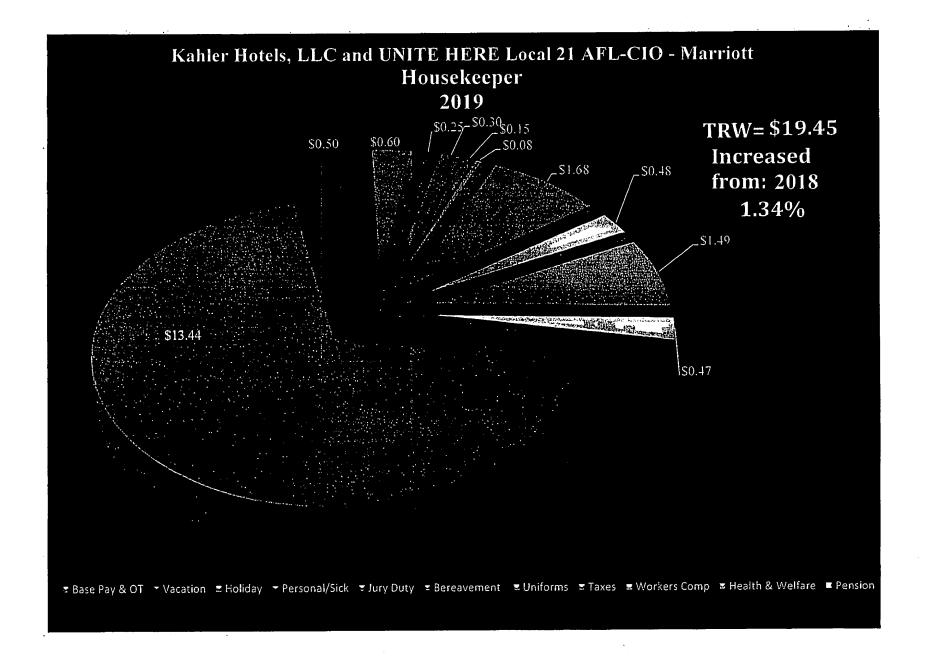




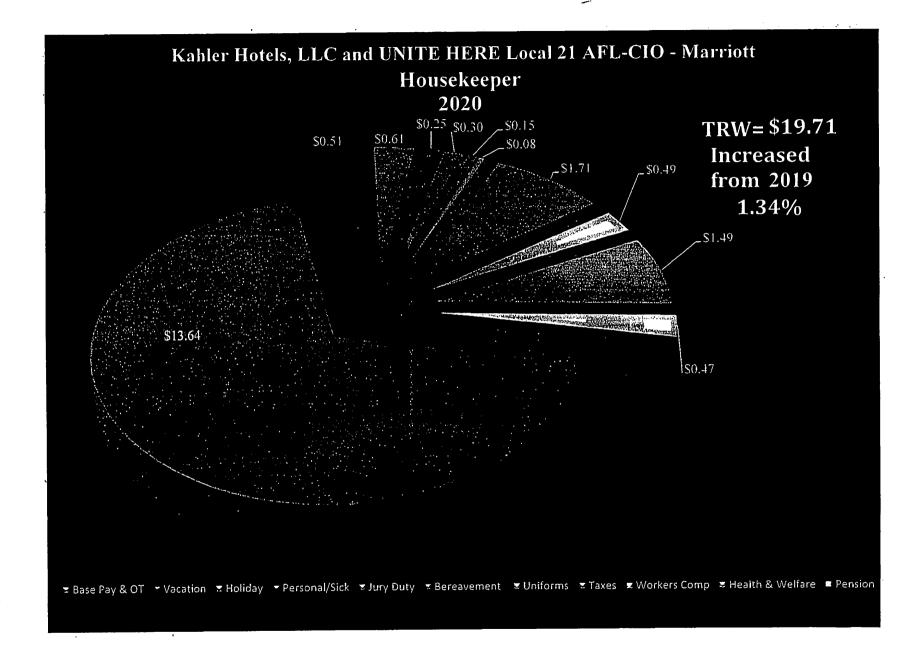


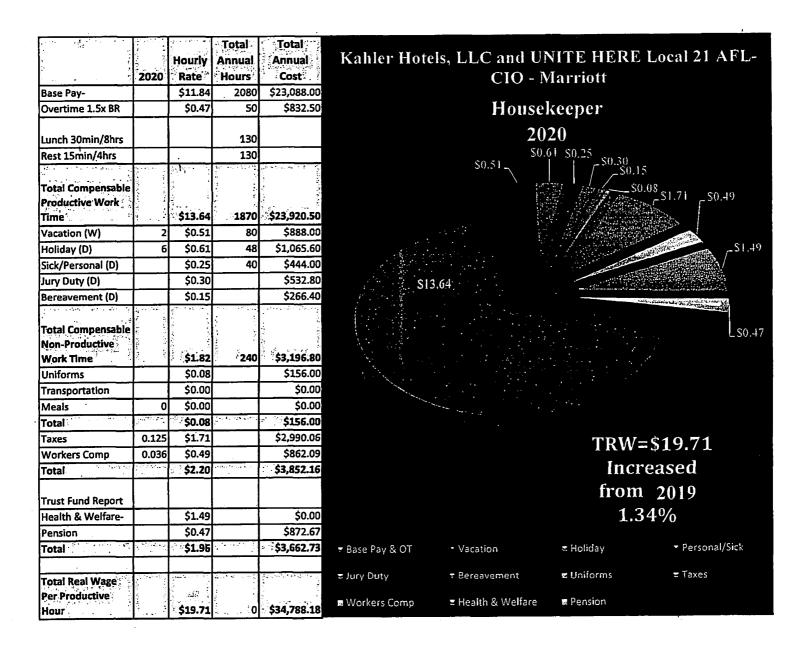


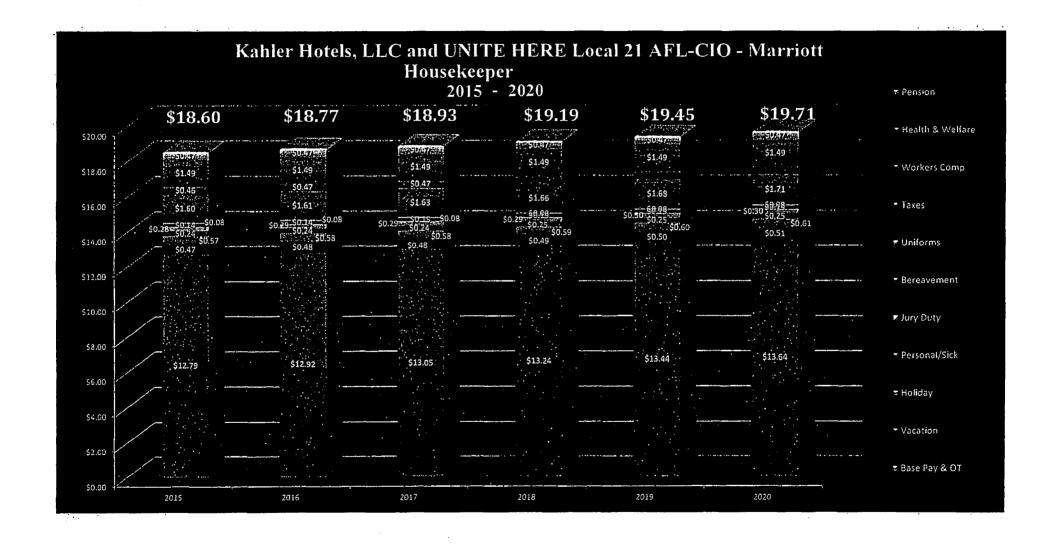


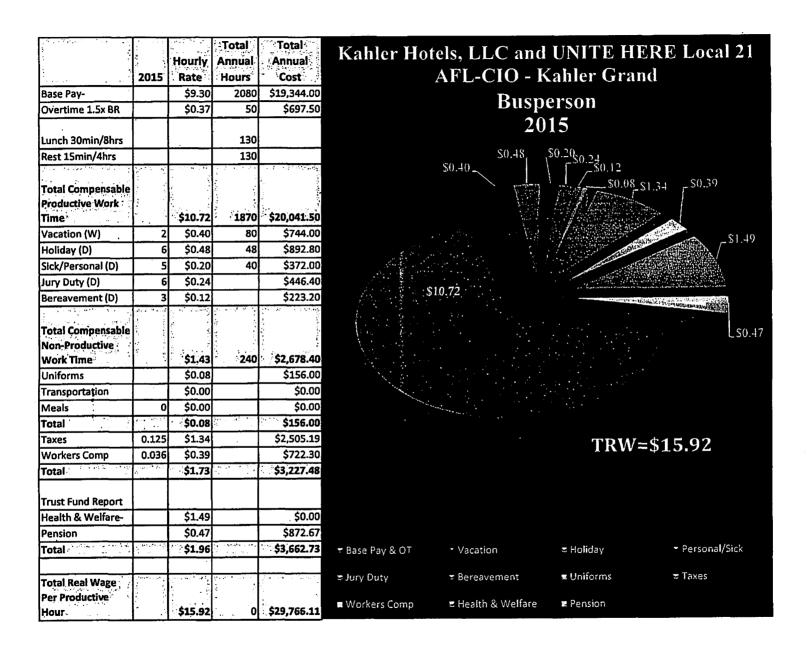


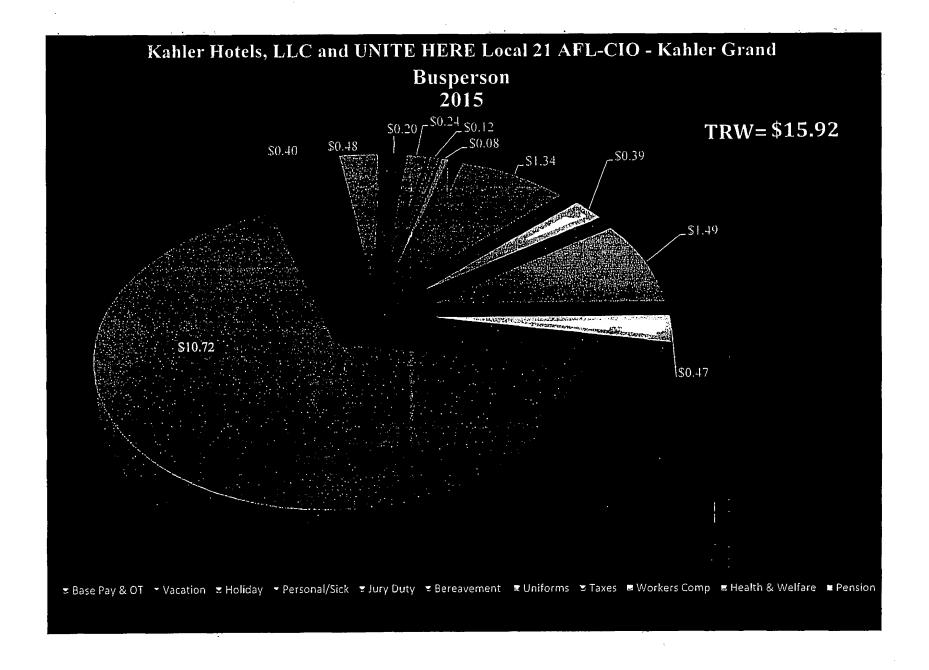
	2019	Hourly Rate	Total Annual Hours	Total Annual Cost	Kahler Hot	els, LLC and Ul CIO - N	NITE HERE Marriott	Local 21 AFL-
Base Pay-		\$11.66	2080	\$23,088.00		House	kooner	
Overtime 1.5x BR		\$0.47	50	\$832.50			keeper	
Lunch 30min/8hrs	,		130			20		
Rest 15min/4hrs			130			\$0.6	50 \$0.25 co 30	
Total Compensable Productive Work Time	e de la constante de la consta	\$13.44	1870	\$23,920.50		50.50	50 \$0.25 \$0.30 	08 S1.68 S0.48
Vacation (W)	2	\$0.50	80	\$888.00				in a contract of the contract
Holiday (D)	6	\$0.60	48	\$1,065.60			4	S1.49
Sick/Personal (D)	5	\$0.25	40	\$444.00	4		The state of the s	
Jury Duty (D)	6	\$0.30		\$532.80	\$1	3.44		
Bereavement (D)	3	\$0.15		\$266.40			Man	Commission of the same of
Total Compensable Non-Productive Work Time	gergia di Processo e e e G G G George German e e e e	\$1.80	240	\$3,196.80				S0.47
Uniforms		\$0.08		\$156.00				
Transportation		\$0.00		\$0.00	· · · · · · · · · · · · · · · · · · ·			
Meals	0	\$0.00		\$0.00		Same and the same		
Total	4.4.	\$0.08		\$156.00				
Taxes	0.125	\$1.68		\$2,990.06			TPW-	\$19.45
Workers Comp	0.036	\$0.48		\$862.09				
Total		\$2.16	gilande analysis James	\$3,852.16			Incre	eased
Trust Fund Report								2018
Health & Welfare-		\$1.49		\$0.00			1.3	4%
Pension		\$0.47		\$872.67				
Total	. (20 )	\$1.96		\$3,662.73	▼ Base Pay & OT	~ Vacation	₹ Holiday	₹ Personal/Sick
Total Real Wage				gen en en er er er	≅ Jury Duty	▼ Bereavement	<b>■</b> Uniforms	<b>≅</b> Taxes
Hour		\$19.45	0	\$34,788.18	■ Workers Comp	≅ Health & Welfare	■ Pension  ———————————————————————————————————	

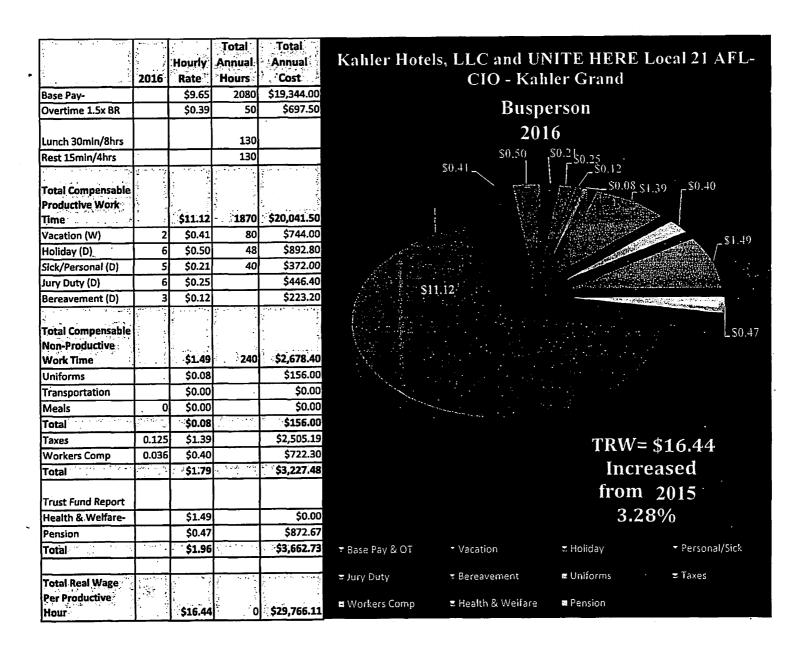


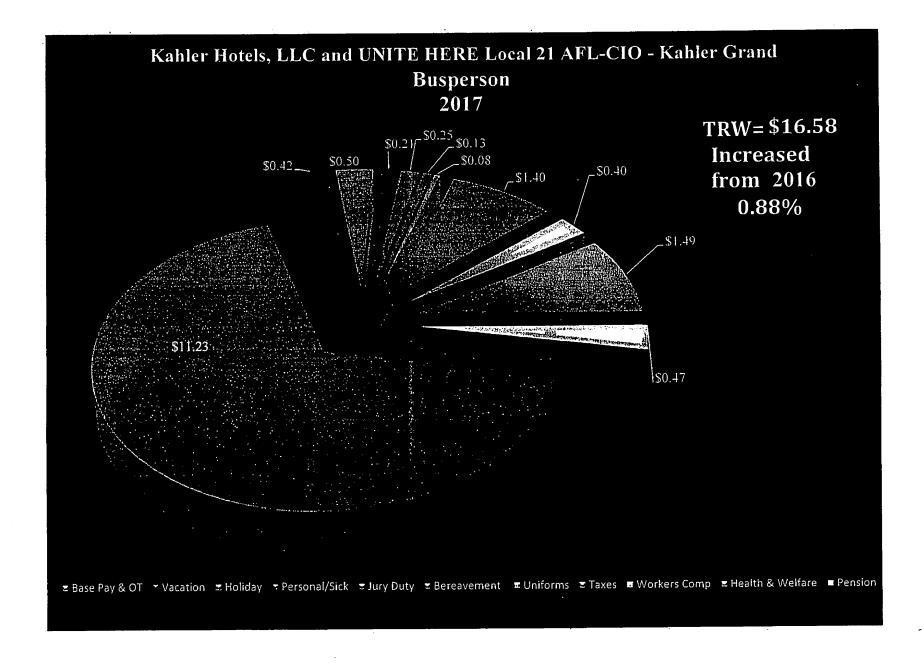


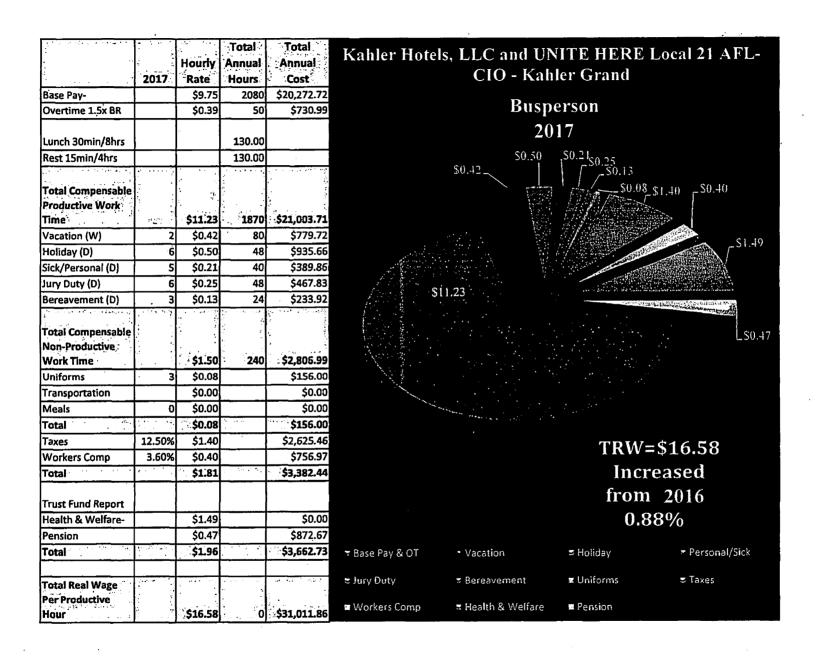


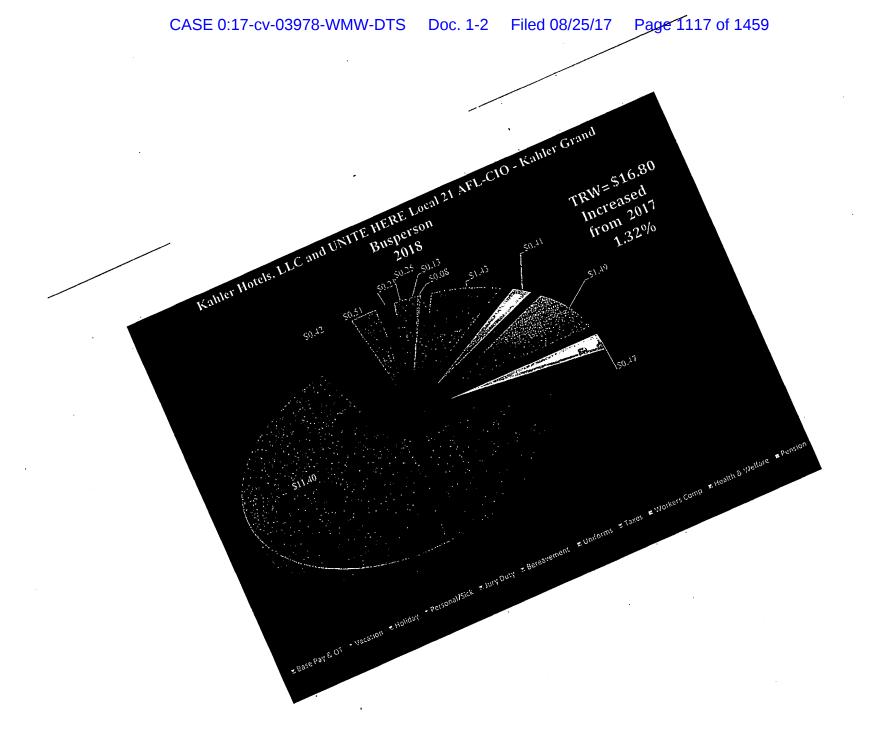


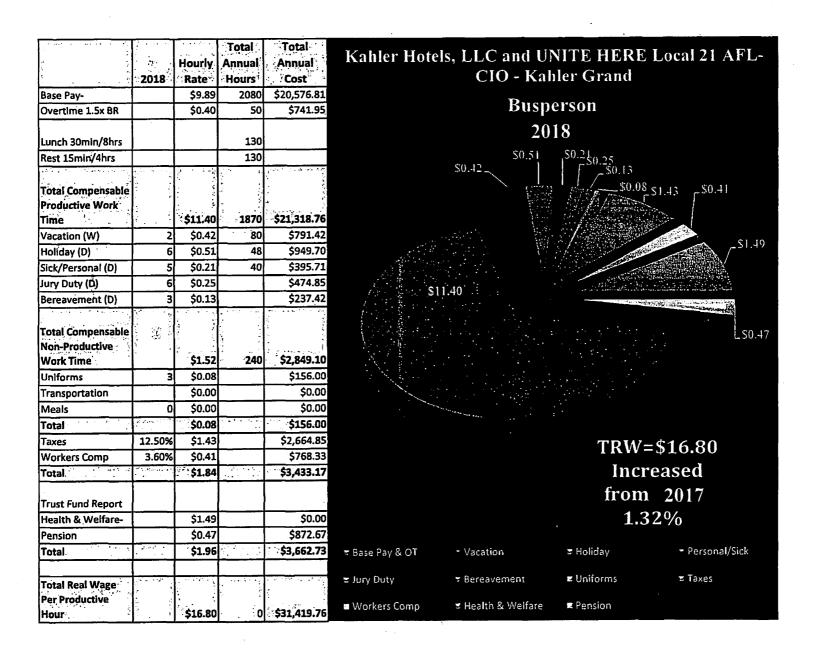


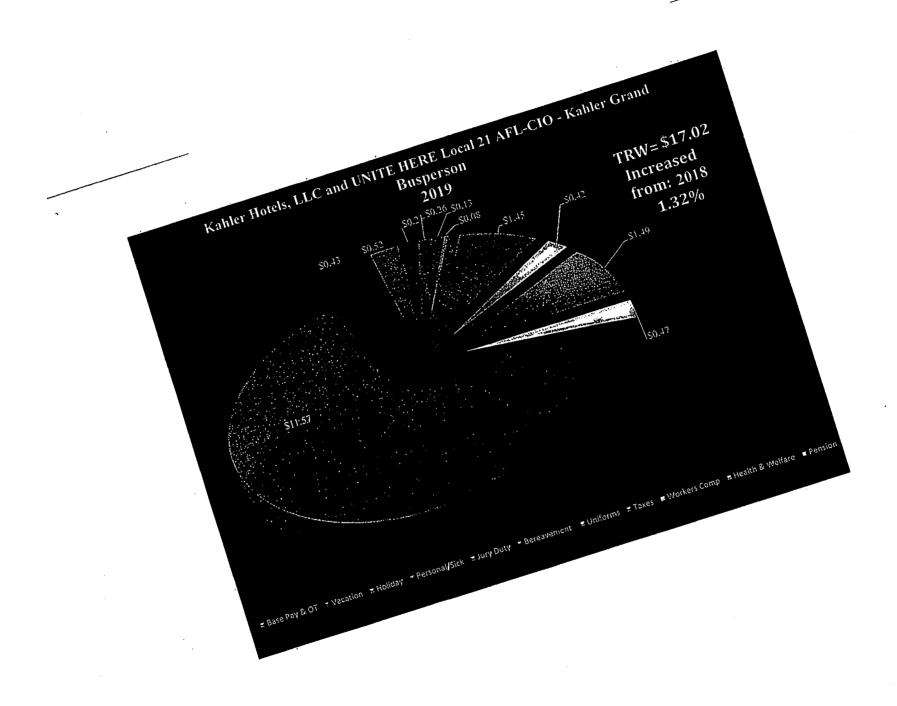


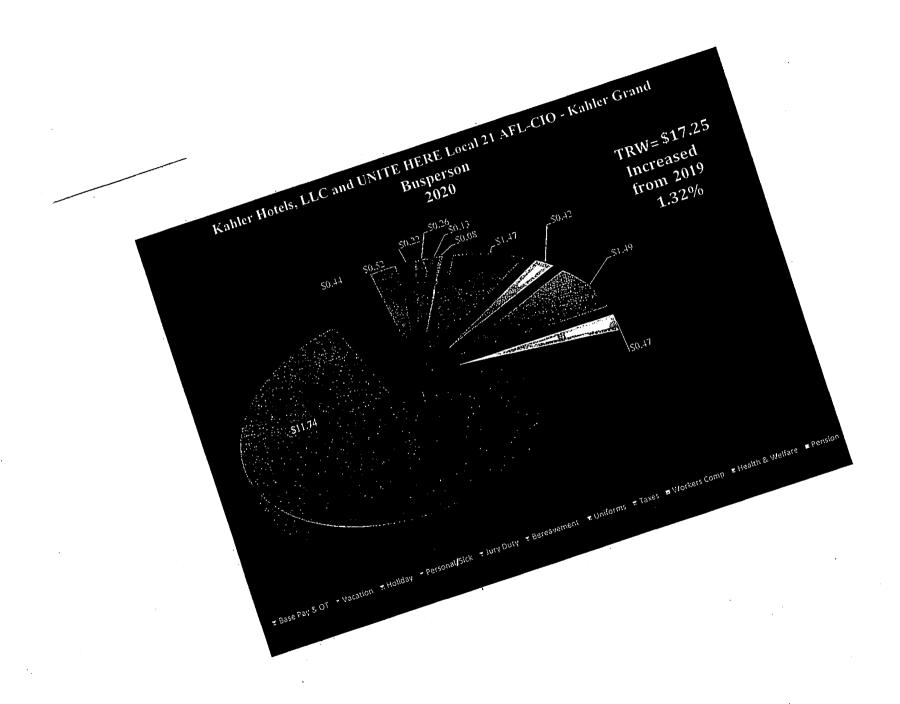


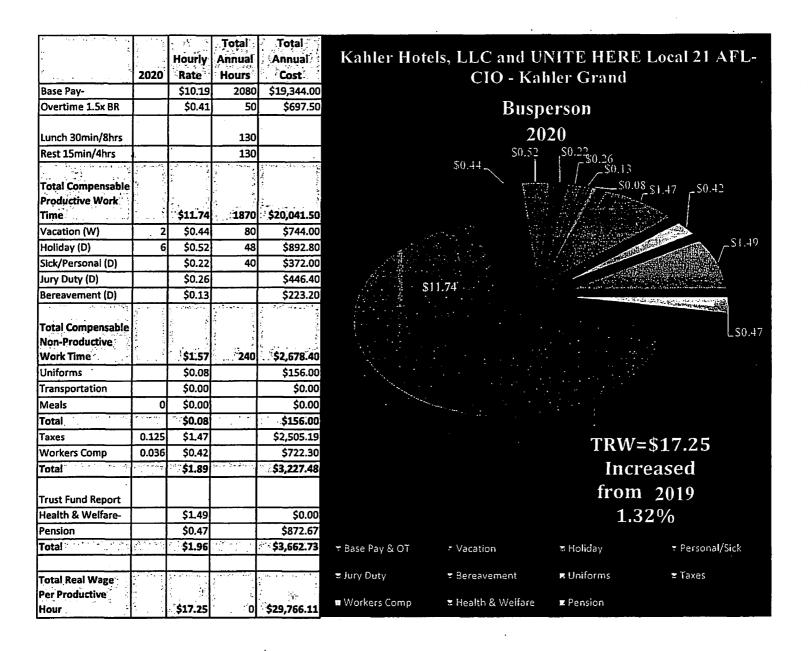




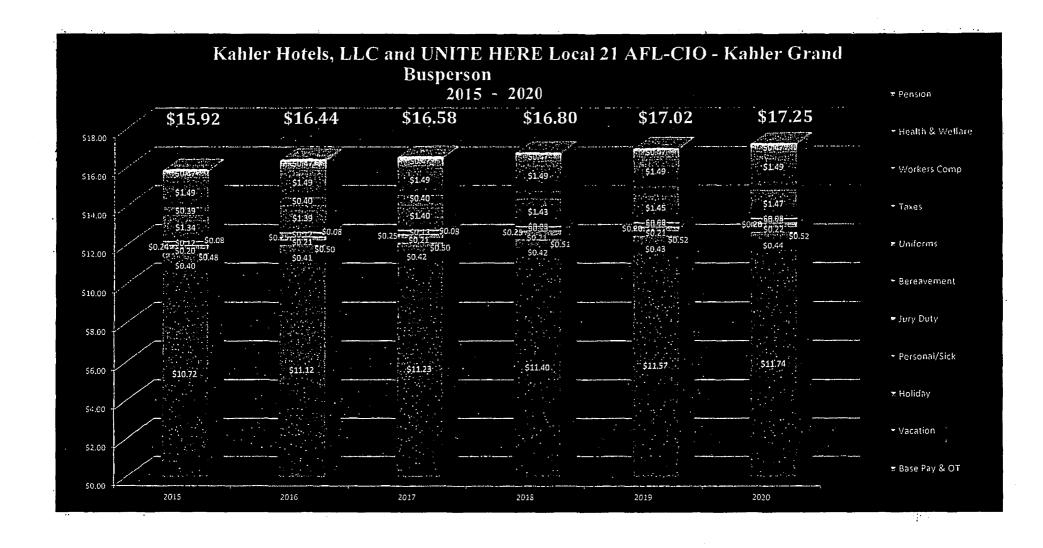








F 7 7	W 2 201	n5.44						
			Total	Total	Kahler Hote	ls, LLC and UN	NITE HERE	Local 21 AFL-
	2019	Hourly Rate	Annual Hours	Annual			ler Grand	
Dana Davi	. 2019	\$10.04	2080	\$19,344.00		0,0 1		
Base Pay- Overtime 1.5x BR		\$10.04	2080 50	\$697.50		Buspo	erson	
Overtime 1.5x BK		\$0.40	30	<del>5697,500</del>				
Lunch 30min/8hrs			130			20		
Rest 15min/4hrs			130			\$0.52	\$0.21	
Total Compensable Productive Work Time	is a gamenta or a second	\$11.57	1870	\$20,041.50		\$0.43	-30.13	\$1.45 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
Vacation (W)	2	\$0.43	80	\$744.00		54		
Holiday (D)	6	\$0.52	48	\$892.80	a <sup>rd</sup>			_\$1.49
Sick/Personal (D)	5	\$0.21	40	\$372.00			The state of the s	
Jury Duty (D)	6	\$0.26		\$445.40	2 V. A.	11.57	173. 33 die 1	
Bereavement (D)	3	\$0.13		\$223.20	3	16.11	115-55 G188 488	Language provide American Annie (1994)
Total Compensable Non-Productive Work Time Uniforms Transportation		\$1.55 \$0.08 \$0.00		\$2,678.40 \$156.00 \$0.00				
Meals	0	7		\$0.00				
Total		\$0.08		\$156.00				
Taxes	0.125			\$2,505.19			TRW=	<b>\$17.02</b>
Workers Comp	0.036		12011 2 72 1	\$722.30				eased
Total	1	\$1.86		\$3,227.48				
Trust Fund Report								2018
Health & Welfare-		\$1.49		\$0.00			1.3	2%
Pension		\$0.47		\$872.67				
Total	100	\$1.96		\$3,662.73	🕶 Base Pay & OT	<ul> <li>Vacation</li> </ul>	≅ Holiday	▼ Personal/Sick
Total Real Wage				Service Constitution	≅ Jury Duty	₹ Bereavement	<b>■</b> Uniforms	<b>≖</b> Taxes
Hour	· ;	\$17.02	0	\$29,766.11	■ Workers Comp	≅ Health & Welfare	■ Pension	



## **INDEX**

Accrued Sick Leave for Server/Bellperson .	. 36
Age and Hour Eligibility.	. 37
Agreement.	.43
Agreement Supersedes Prior Agreements	.42
APPENDIX "A",	. 45
APPENDIX "B"	. 50
APPENDIX "C"	.53
APPENDIX "D"	. 55
APPENDIX "E"	. 57
APPENDIX E APPENDIX "F"	.60
APPENDIX "G"	.61
APPENDIX "H"	. 62
APPENDIX "I"	. 65
APPENDIX "J"	. 72
Arbitrator Decision .	.18
Arbitrator Expense	. 18
ATTACHMENT "A"	.71
Authority of Arbitrator.,	. 18
Banquet Employee Compensation.	. 33
Banquet Server Working Cashier Pay.	.34
Becomes Ill During Vacation.	.31
Bereavement	.21
Bi-Weekly Vacation Accrual.	.30
Bulletin Boards.	.32
Business Representative	.20
Check Received for Jury Duty .	. 22
Communication.	.41
Confidentiality.	. 10
Declaration of War	. 42
Disability Retirements	. 38
Discharged Employee Seniority.	. 8
Discipline and Discharge	. 9
Discrimination .	.39
Doctor's Certificate.	. 36
Dues Checkoff List .	. 2
Duration.	. 42
Early Retirement Age.	. 38
Eighteen (18) Months No Discipline.	. 10
Election of Remedies.	. 18
Emergency Callbacks	. 23
Employee's Human Resources File	. 11
Employer's Right to Amend Pension Plan.	. 38

Equal Qualifications.	. 5
Failure to Report.	. 20
Fifteen (15) Minute Break	. 26
First	.6
FMLA.	. 19
	. 19
Forty (40) Hours Vacation Pay.	
Fourth.	.7
Grievance and Arbitration Procedure	. 11
Grievance During Work Hours	. 19
Grievance Time Limitations.	. 18
Health, Safety and Sick Benefits.	. 35
Higher Rated Job Pay.	. 32
Hollday Comes During Vacation .	. 28
Holiday Pay.	. 27
Holiday While Sick	. 28
Holidays.	. 27
Housekeeper Premium Pay .	. 23
Immediate Family Definition	. 21
Incapacitated Employees.	. 8
Insurance Benefits.	. 31
Job Openings	.3
Jury Duty	. 21
Lateral Service.	. 42
Leave Because of Occupational Injury	. 33
Leave for Education.	. 20
Leave for Non-Immediate Family	. 21
Leave of Absence.	. 19
Leave of Absence Benefits	. 22
Limited Period of Alternative Work.	. 33
Lower Paying Job.	. 33
Mall Warning Notices.	. 10
Maintenance Boiler Pay.	. 32
Maintenance Tool Allowance.	. 39
Management Rights	. 40
Meal Periods .	. 25
Medical Leave of Absence	. 20
Minimum Wage	. 32
Mistakes on Paycheck,	. 19
Monthly Dues and Initiation Fees.	. 3
Names of Union Stewards.	. 19
New Classifications	. 33
New Location Seniority.	.7
New Member Orientation	.3
No Discharge Without Just Cause	.9
No Guarantee of Hours.	. 24
No Loss of Pay	. 24
No Make-up Time	. 23
No Strike or Lockout	. 38
THE DELIKE OF PREWAY.	. 30

No Work Alone.	. 27
Nonconsecutive Days Off.	. 23
Normal Pension Benefit .	. 38
Normal Retirement Age.	. 37
Notification of Recall.	 . 5
Offer of Overtime.	. 25
Optional Methods for Payment.	. 38
Overtime	. 22
Overtime While Present	. 25
Paid Accumulated Vacation Pay.	.31
Paid Over Scale	. 33
Paid Personal Day in Advance of Anniversary Date	. 28
Paid Personal Days.	. 28
Pay in Advance of Vacation	. 30
Pension Plan.	. 37
Pension Plan Eligibility.	. 37
Permanent Job Openings	. 6
Political Contribution	. 4
Political Contribution Liability.	. 4
Posting of Rules.	. 11
Preferential Work Schedules	. 24
Probationary Period	. 8
Provide Meals.	. 26
Rate Increases.	. 33
Recall.	. 5
Recognition	. 1
Re-employment of Armed Forces.	. 8
Refuse to go Through Legal Picket Line.	. 39
Reporting Pay.	. 24
Respect & Dignity	. 40
Retirees Working	. 8
Right of Review	. 10
Rules or Regulations	. 2
Safe Work Environment.	. 37
Second Seniority.	. 6 . 4
Seniority and Holiday	. 27
Seniority Definition .	. 5
Seniority During Leave of Absence.	. 21
Seniority Outside Coverage.	. 8
Seniority Preference.	. 6
Seniority Fights.	. 54
Server and Bellperson Vacation Pay.	. 29
Shift Preference.	.7
Sick Leave Conversion.	. 36
Sick Leave for Employees Hired On or After September 1, 2005	. 35
Sick Leave for Employees Hired Prior to September 1, 2005.	. 35
Specialized Tools and Test Equipment	. 39

.

Split Shift	.34
Successorship .	. 41
Suspension and Discharges	.9
Temporary Employees.	. 2
Temporary Hours Reductions.	. 24
Temporary Work Reassignments.	. 37
Third.	. 6
Time off for Union Activity .	. 20
Tip Check-Off	. 4
Tipped Employee Vacation Adjustment	. 29
Tool Allowance Prorated.	. 39
TOTAL REAL WAGE PIE CHARTS.	. 73
Trial Period.	.7
Uniforms and Laundry.	. 32
Union Buttons.	. 39
Union Insurance Benefits	. 2
Union Membership.	.1
Union Representative.	.3
Union Security .	.1
UNITE HERE 401K Plan .	. 38
Up to Date Seniority List .	. 8
Vacation Requests.	. 30
Vacation Sign-Up List.	. 30
Vacations.	. 28
Vacations in One (1) Hour Increments.	.31
Vacations Within the Year.	.31
Vesting Service	. 38
Wage Increases.	. 42
Work Schedules.	. 23
Workweek.	. 22
Written Notices.	.9
Year Round Vacation.,	.31

127

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EXHIBIT NO. GC 9 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/15/15 REPORTER SMW

Den lane Employees,

Some of you take asked questions concerning management's Last, Best and Final offer and how it impacts you individually. We urge you to deal directly with the union on this issue as the union has been placed pie charts reflecting exactly what management's Last, Best and Final proposal is for each member of the bargaining unit.

Sinteren

Blittiet Ben

GCX 9

Page 2 of 2

## OFFICIAL REPORT OF PROCEEDINGS before the NATIONAL LABOR RELATIONS BOARD

Volume 3 of

GENERAL COUNSEL EXHIBITS

In the Matter of:

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Party: GENERAL COUNSEL 10-14, 18, 19

Date: December 15-17, 2015

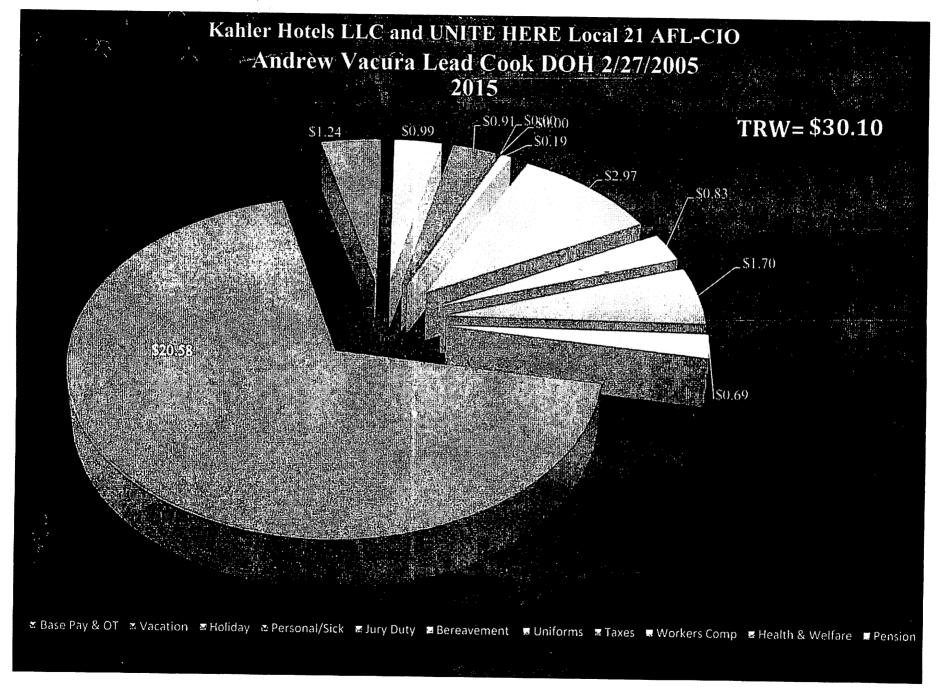
Place: Rochester, Minnesota

Veritext National Court Reporting Company 1250 Eye Street NW - Suite 1201 Washington, DC 20005 (888)777-6690

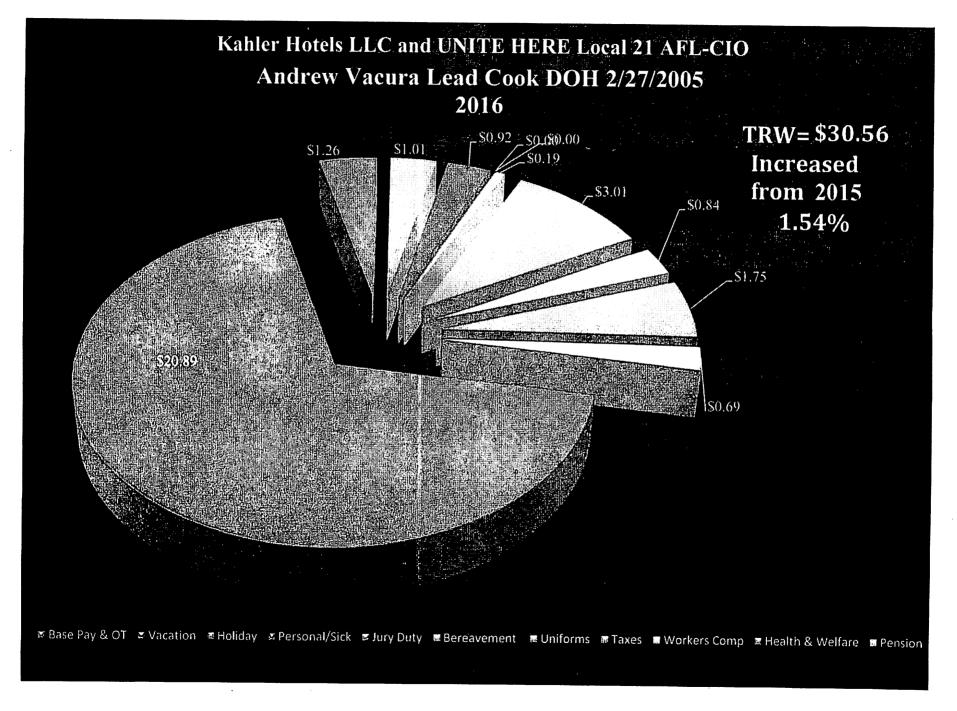
GC 10(a) - 10(l)
EXHIBIT NO. \_\_\_\_\_RECEIVED \_\_\_\_\_ REJECTED

CASE NO. 18-CA-151245 CASE NAME \_\_\_\_ RICHFIELD

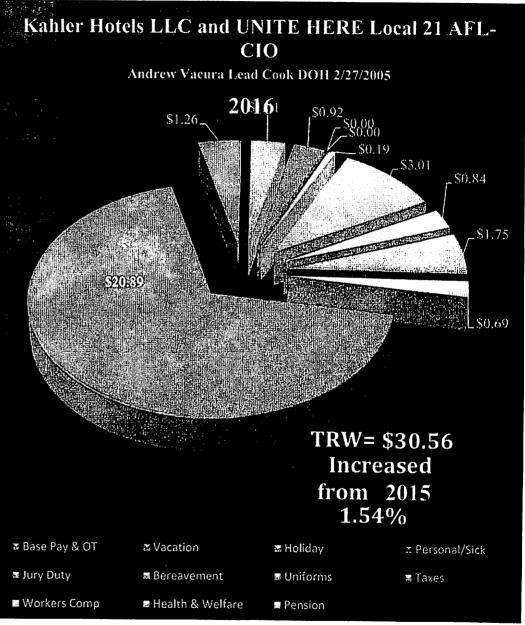
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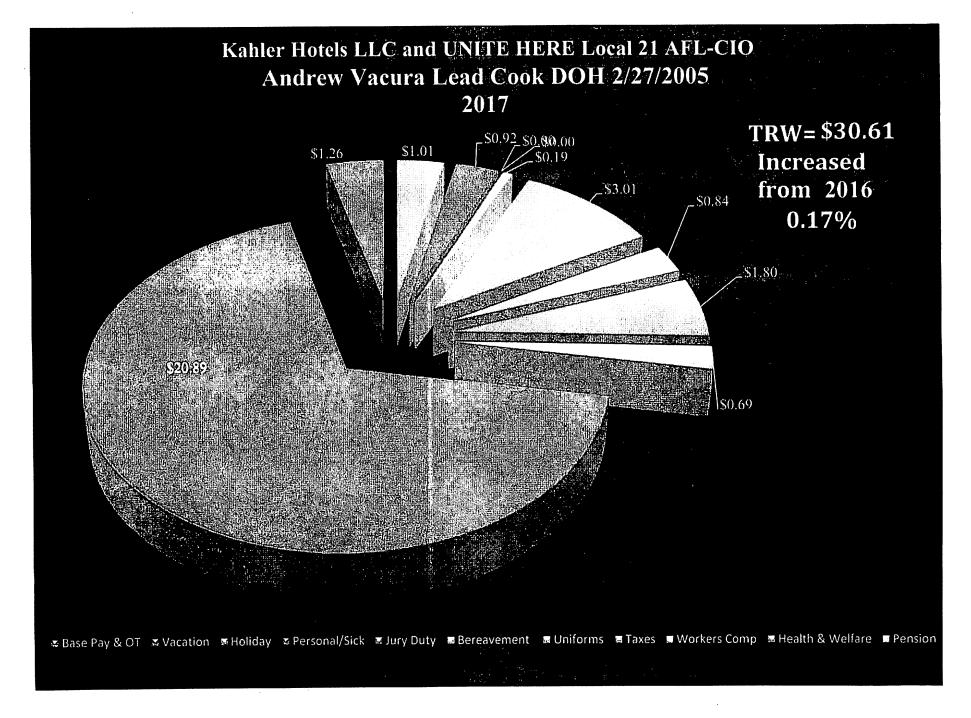


					Kahler I	Iotels LLC and UN	ITE HEDE Local
		Hourly	Total Annual	Total Annual			
_	2015	Rate	Hours	Cost	· · · · · · · · · · · · · · · · · · ·	AFL-CI(	
Base Pay-		\$18.82	1,824	34,328			
Vacation (W)		\$3.50		•		Andrew Vacura Lead Cook	DOH 2/27/2005
Lunch 30min/8hrs			114		A Sept of		
Rest 15min/4hrs			114			2015	\$0.91 \$0.00
Total Compensable Productive Work						\$1.24	\$0.91 \$0.00 \$0.00 \$0.19
Time		\$20.58	1 974	27 546			_\$2.97
Vacation (W)	3	\$20.38	<b>1,824</b>	<b>37,546</b> 2,258			\$0.83
Holiday (D)	6	\$1.24	48	1,807			
Sick/Personal (D)	11	\$0.91	88	1,656	A I I		SI
Jury Duty (D)	0	\$0.00		1,030			
Bereavement (D)	0	\$0.00				\$20:58	
Total Compensable Non-Productive Work Time		\$3.14	256	5,721			So
Uniforms		\$0.19		350	Const. See		
Transportation		\$0.00		-			
Meals	0	\$0.00		•			معمود المعمود
Total		\$0.19		350	76.5		
Taxes	0.125	\$2.97		5,408			TRW=\$30.10
Workers Comp	0.0349	\$0.83		1,510		The state of the s	<b>400110</b>
Total		\$3.79		6,918			
Trust Fund Report							
Health & Welfare-		\$1.70		•			
Pension		\$0.69		1,261			
Total		\$2.39		4,363	■ Base Pay & OT	▼ Vacation	liday # Personal/Sic
Total Real Wage Per Productive				***	□ Jury Duty	■ Bereavement ■ M Un	iforms <b>S</b> Taxes
Hour Productive		\$30.10	-	54,898	■ Workers Comp	≡ Health & Welfare ■ Pe	nsion

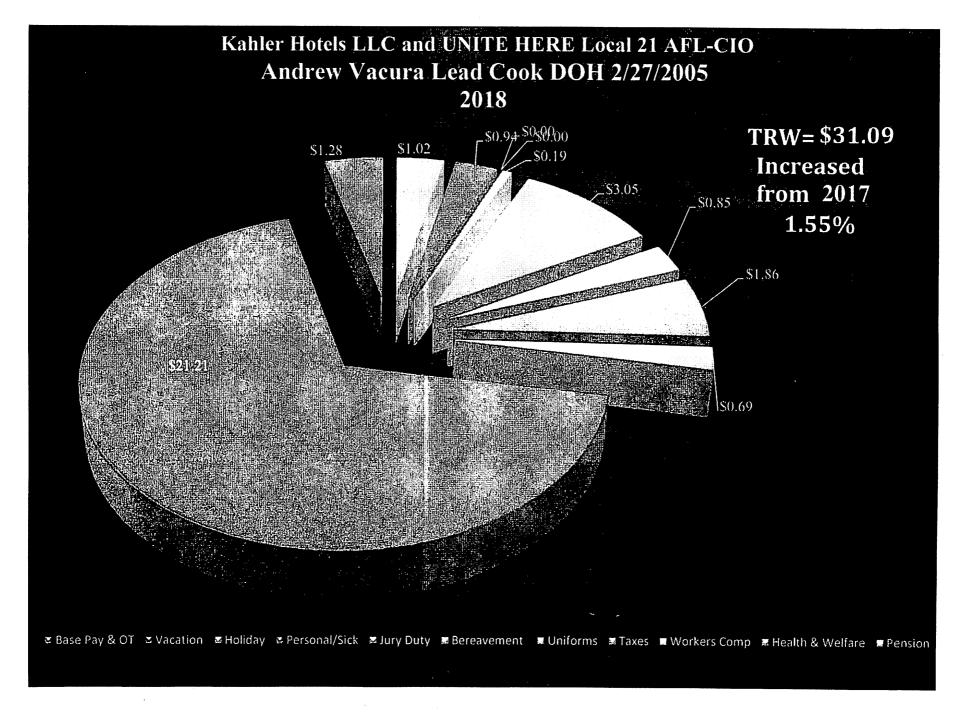


			Total Annual	Total Annual
	2016	Hourly Rate	Hours	Cost
Base Pay-		\$19.10	1,824	34,328
Vacation (W)		\$3.50	-	-
Lunch 30min/8hrs			114	
Rest 15min/4hrs			114	
Total Compensable				
Productive Work				
Time		\$20.89	1,824	37,546
Vacation (W)	3	\$1.26	120	2,258
Holiday (D)	6	\$1.01	48	1,807
Sick/Personal (D)	11	\$0.92	88	1,656
Jury Duty (D)	0	\$0.00		-
Bereavement (D)	0	\$0.00		-
Total Compensable				
Non-Productive				
Work Time		\$3.18	256	5,721
Uniforms		\$0.19		350
Transportation		\$0.00		-
Meals	0	\$0.00		-
Total		\$0.19		350
Taxes	0.125	\$3.01		5,408
Workers Comp	0.0349	\$0.84		1,510
Total		\$3.85		6,918
Trust Fund Report				
Health & Welfare-		\$1.75		-
Pension		\$0.69		1,261
Total		\$2.44		4,363
Total Real Wage				
Per Productive				•
Hour		\$30.56	-	54,898

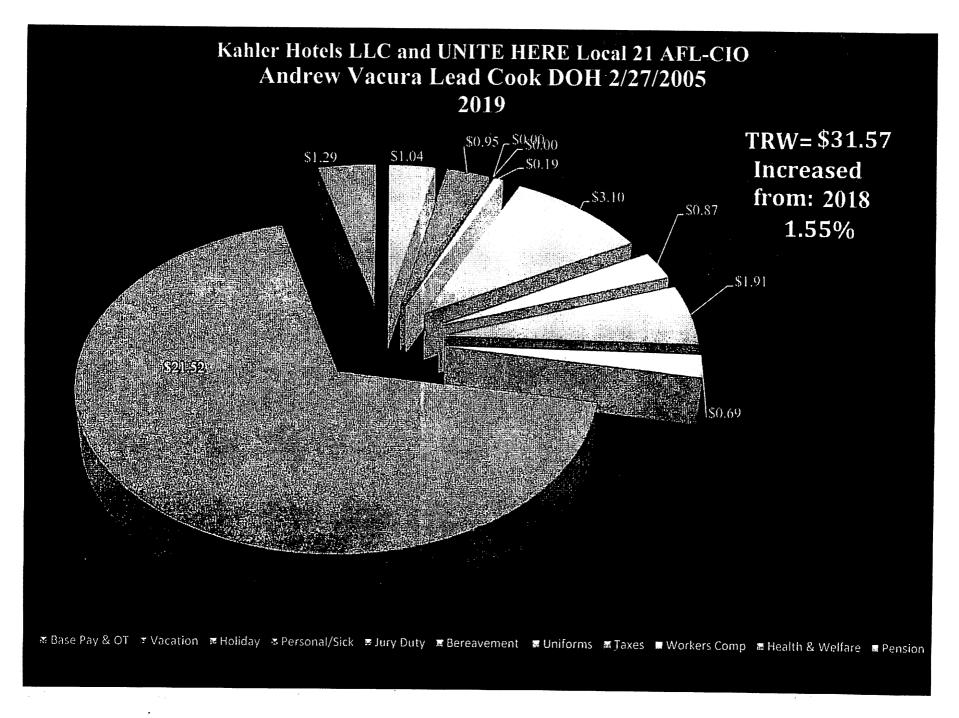




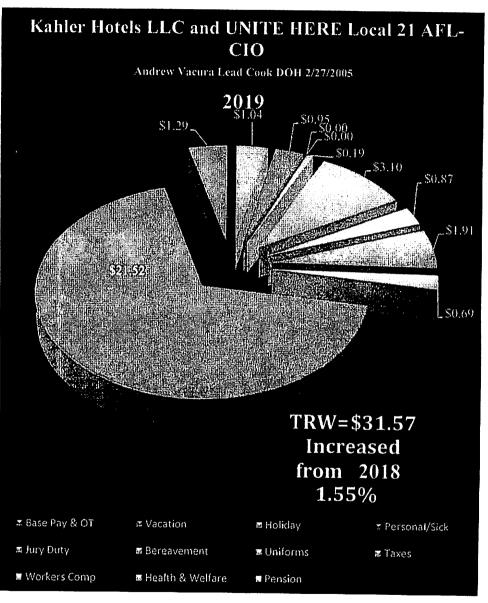
		Hourly	Total Annual	Total Annual	Kahler Hote	els LLC and UNI	TE HERE	Local 21 AFL
	2017	Rate	Hours	Cost				LOCAL ZI AT L
Base Pay-		\$19.10	1,824	34,843		CIO	•	
Vacation (W)		\$3.50	_	•		Andrew Vacura Lead C	Cook DOH 2/27/2	2005
Lunch 30min/8hrs			114			201	L7 <sub>1</sub> ,80.92	
Rest 15min/4hrs			114			\$1.26_		00
Total Compensable Productive Work							S9.0.	00 50.19 \$3.01
Time		\$20.89	1,824	38,109		A VIII		\$0.84
Vacation (W)	3	\$1.26	120	2,292				
Holiday (D)	6	\$1.01	48	1,834	44			
Sick/Personal (D)	11	\$0.92	88	1,681				\$1.80
Jury Duty (D)	0	\$0.00	-	-	a filter			
Bereavement (D)	0	\$0.00	-	-		natural land		
Total Compensable Non-Productive Work Time		\$3.18	256	5,807				
Uniforms	3	\$0.19	L	350				
Transportation		\$0.00		•				
Meals	0	\$0.00		•				
Total		\$0.19		350				
Taxes	12.50%	\$3.01		5,490			TRW	=\$30.61
Workers Comp	3.49%	\$0.84		1,533				reased
Total		\$3.85		7,022				
Trust Fund Report		٠					from	2016
Health & Welfare-		\$1.80		-				17%
Pension	ļ	\$0.69		1,261				
Total		\$2.50		4,551	■ Base Pay & OT	ಷ Vacation	<b>≖</b> Holiday	≅ Personal/Sick
Total Real Wage Per Productive					ង Jury Duty	■ Bereavement	<b>u</b> Uniforms	ੜ Taxes
Hour		\$30.61	-	55,840	■ Workers Comp	🖩 Health & Welfare	■ Pension	

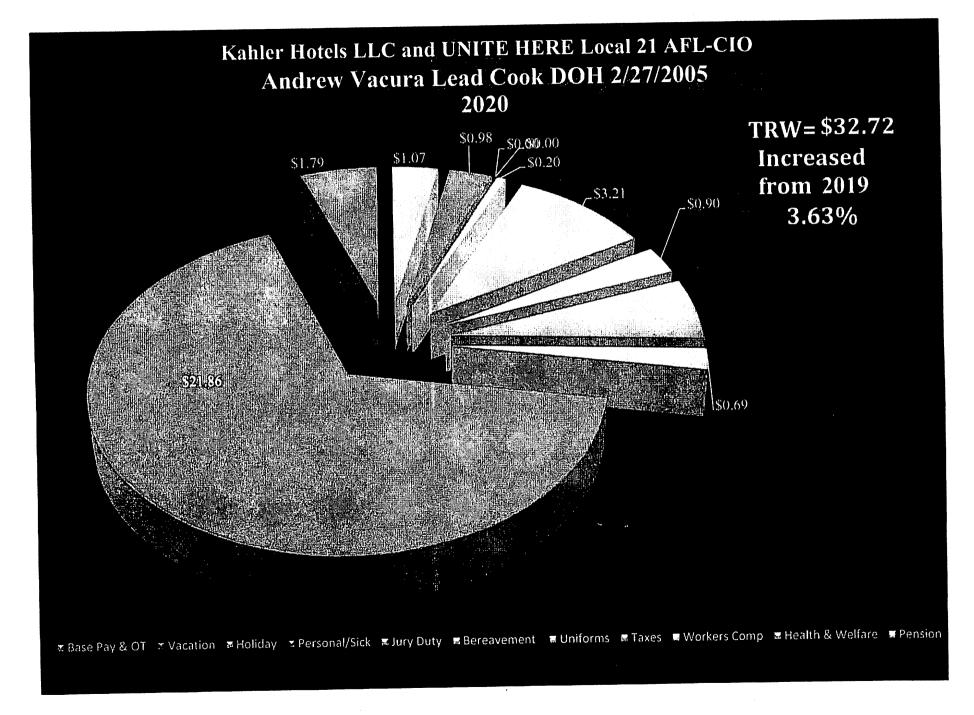


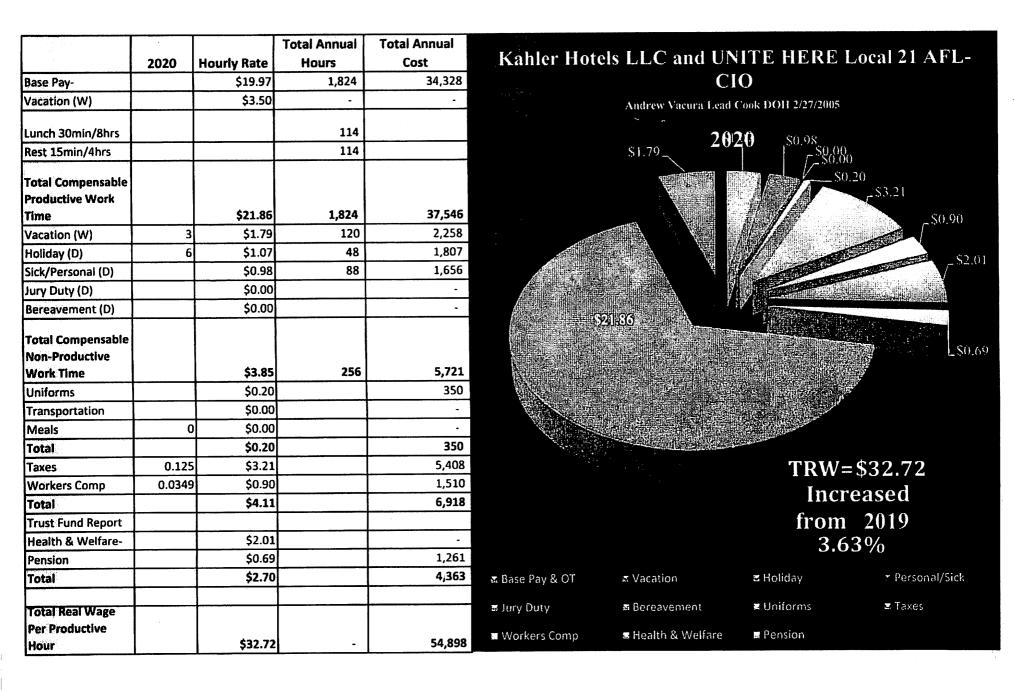
		Hourly	Total Annual	Total Annual	Makla, II.	tala III Canal II	NUTE HEDE	
	2018	Rate	Hours	Cost	Kanier fio			Local 21 AFL-
Base Pay-		\$19.39	1,824	35,365			CIO	
Vacation (W)		\$3.50	-	•		Andrew Vacura Lea	d Cook DOH 2/27/200	5
Lunch 30min/8hrs			114			26	11.8	
Rest 15min/4hrs			114			\$1.28_	1 (30.7%)	00 ),00
Total Compensable						TEST CONTROL		\$0.19
Productive Work	İ							_\$3.05
Time		\$21.21	1,824	38,681				_\$0.85
Vacation (W)	3	\$1.28	120	2,327				
Holiday (D)	6	\$1.02	48	1,861			HV	
Sick/Personal (D)	11	\$0.94	88	1,706	4			51.86
Jury Duty (D)	0	\$0.00		-				
Bereavement (D)	0	\$0.00		•			y Lacotte Lacotte	
						21.21	100000000000000000000000000000000000000	
Total Compensable								
Non-Productive Work Time		<b>40.00</b>						LS0.69
Uniforms	3	<b>\$3.23</b> \$0.19	256	5,894				
	3			350	Version and the second			
Transportation  Meals	0	\$0.00 \$0.00		-				
Total	U	\$0.00 <b>\$0.19</b>						
	12.50%	\$3.05		350			A2 1.	
Taxes Workers Comp	3.49%	\$0.85		5,572 1,556			TRW	=\$31.09
Total	3.4370	\$3.91					Inc	reased
Trust Fund Report		33.31		7,128				
Health & Welfare-		\$1.86						1 2017
Pension		\$0.69		1,261			1.	55%
Total		\$2.55		4,650				
IVIAI		\$2.33		4,650	≖ Base Pay & OT	≇ Vacation	■ Holiday	▼ Personal/Sick
Total Real Wage					置 Jury Duty	<b>■</b> Bereavement	■ Uniforms	<b>™</b> Taxes
Per Productive		4.			■ Workers Comp	■ Health & Welfare	Bonsies	
Hour		\$31.09		56,703	M MARKELZ COMB	Re nealth & Wellare	Pension	

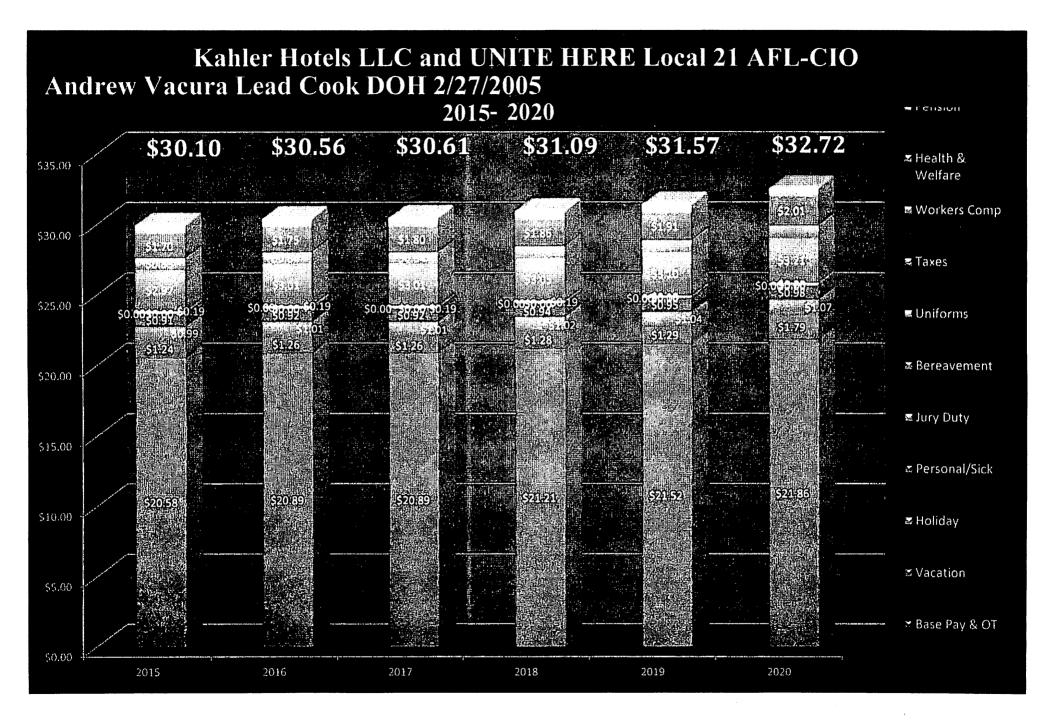


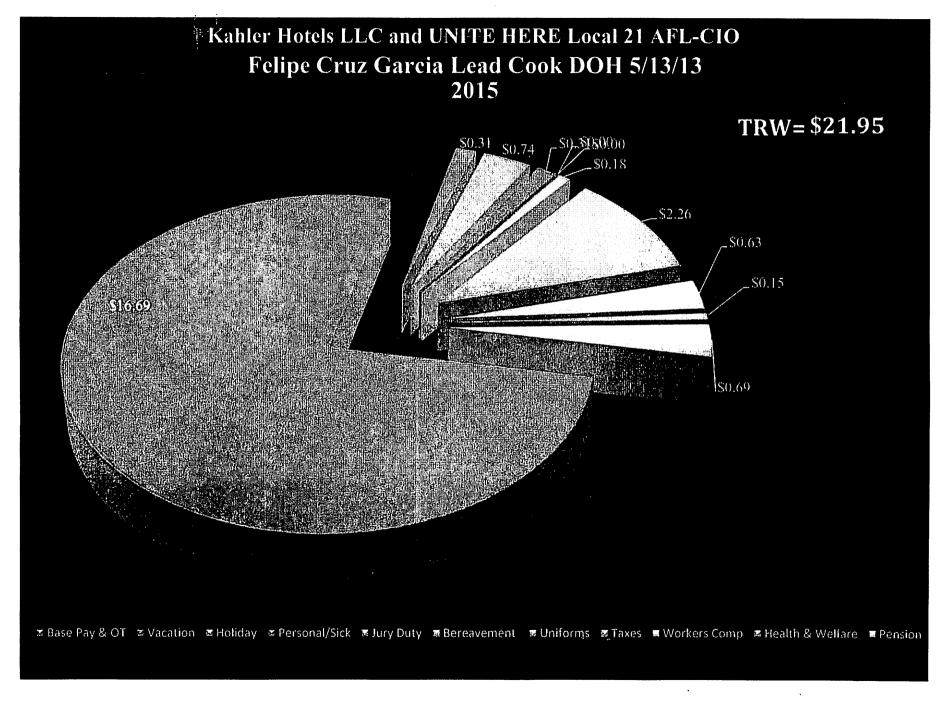
			Total	
		Hourly	Annual	<b>Total Annual</b>
	2019	Rate	Hours	Cost
Base Pay-		\$19.68	1,824	34,328
Vacation (W)		\$3.50	•	•
Lunch 30min/8hrs			114	
Rest 15min/4hrs			114	
Total Compensable Productive Work Time		\$21.52	1,824	37,546
Vacation (W)	3	\$1.29	120	
Holiday (D)	6	\$1.04	48	2,258 1,807
Sick/Personal (D)	11	\$0.95	88	
Jury Duty (D)	0	\$0.00	68	1,656
Bereavement (D)	0	\$0.00		•
Total Compensable Non-Productive Work Time		\$3.28	256	5,721
Uniforms		\$0.19	230	350
Transportation		\$0.00		330
Meals	0	\$0.00		
Total		\$0.19		350
Taxes	0.125	\$3.10		5,408
Workers Comp	0.0349	\$0.87		1,510
Total		\$3.97		6,918
Trust Fund Report				5,020
Health & Welfare-		\$1.91		•
Pension		\$0.69		1,261
Tota)		\$2.61		4,363
Total Real Wage Për Productive Hour		¢24 F-		
uoni		\$31.57		54,898



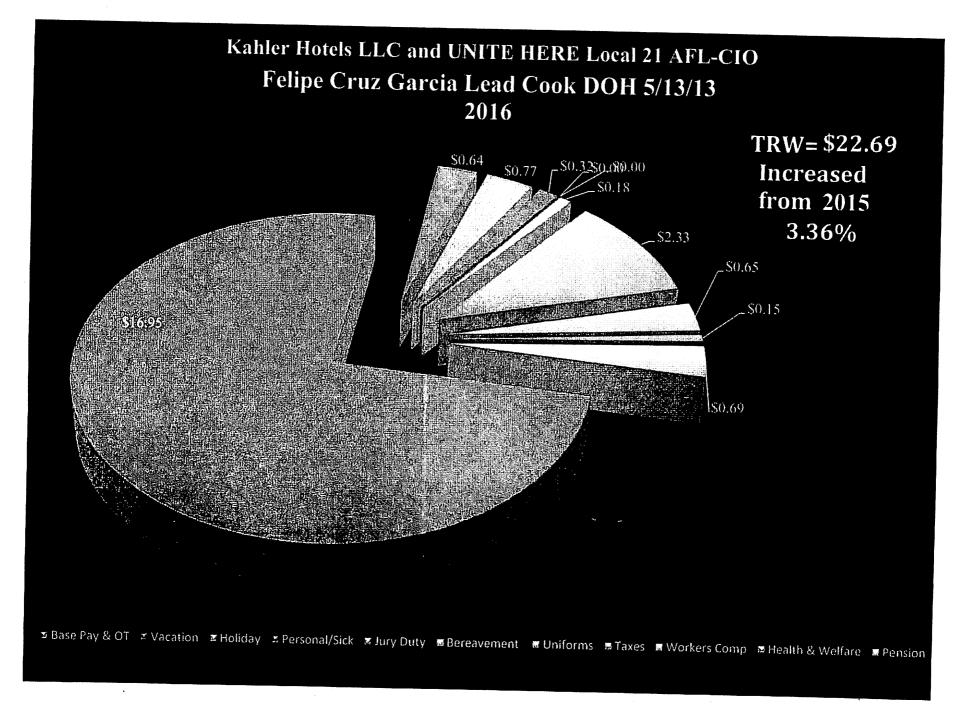






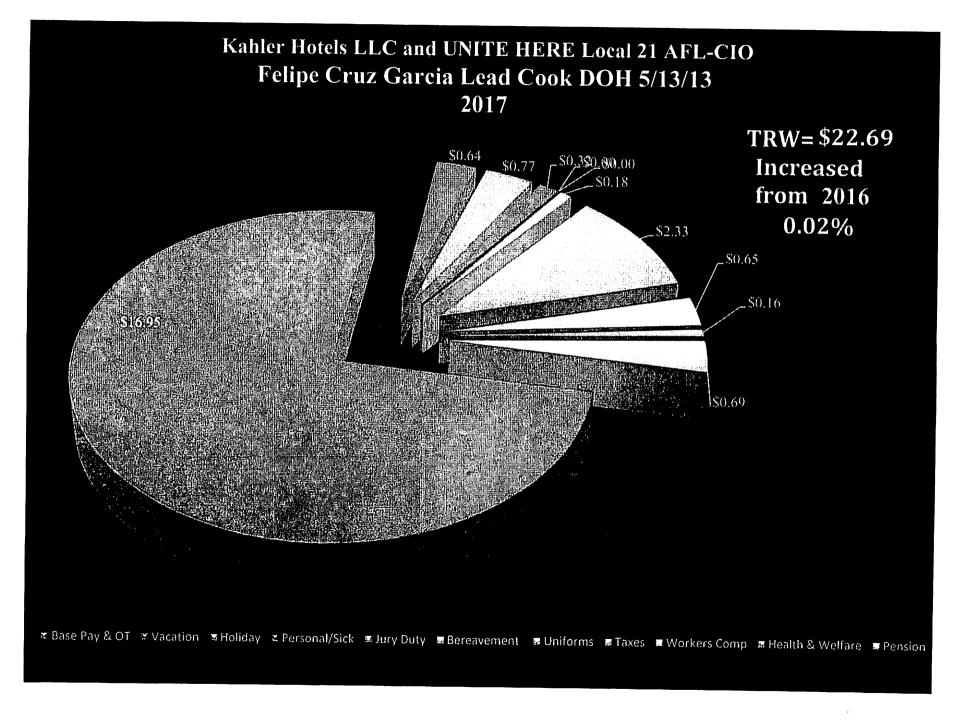


					Kahlar H	otels LLC and	HINHTER BUR	DE Local 21
		Hourly	Total Annual	Total Annual	Kainer III			INE LOCAL 21
	2015	Rate	Hours	Cost		AFL-	-CIO	
Base Pay-		\$15.21	1,952	29,690				
Vacation (W)		\$3.50		*		Felipe Cruz Garcia Le	ad Cook DOH 5/1.	3/13
Lunch 30min/8hrs			122			20	15	
Rest 15min/4hrs			122			20	C*7 x *** t	
Total Compensable Productive Work							\$0.31 \$0.74 \$0	0.31 \$0.00 \$0.00 \$0.18
Time		\$16.69	1,970	32,884				
Vacation (W)	1	\$0.31	40	608			A MILLER	\$2.26
Holiday (D)	6	\$0.74	48	1,460				_S0.63
Sick/Personal (D)	5	\$0.31	40	608	\$ 669			
Jury Duty (D)	0	\$0.00		-			(2) (4) (4)	\$0.15
Bereavement (D)	0	\$0.00		•				The second of th
Total Compensable Non-Productive Work Time		\$1.36	128	2,677				50.69
Uniforms		\$0.18		350			/	
Transportation		\$0.00		<u>-</u>				
Meals	0	\$0.00		•				
Total		\$0.18		350				
Taxes	0.125	\$2.26		4,445			TRW:	=\$21.95
Workers Comp	0.0349	\$0.63		1,241				
Total		\$2.89		5,686				
Trust Fund Report								
Health & Welfare-		\$0.15		-				
Pension		\$0.69		1,362				
Total		\$0.84		1,648	■ Base Pay & OT		<b>≅</b> Holiday	★ Personal/Sick
Total Real Wage					m Jury Duty	■ Bereavement	≣ Uniforms	爾 Taxes
Per Productive Hour		\$21.95	-	43,246	■ Workers Comp	■ Health & Welfare	■ Pension	

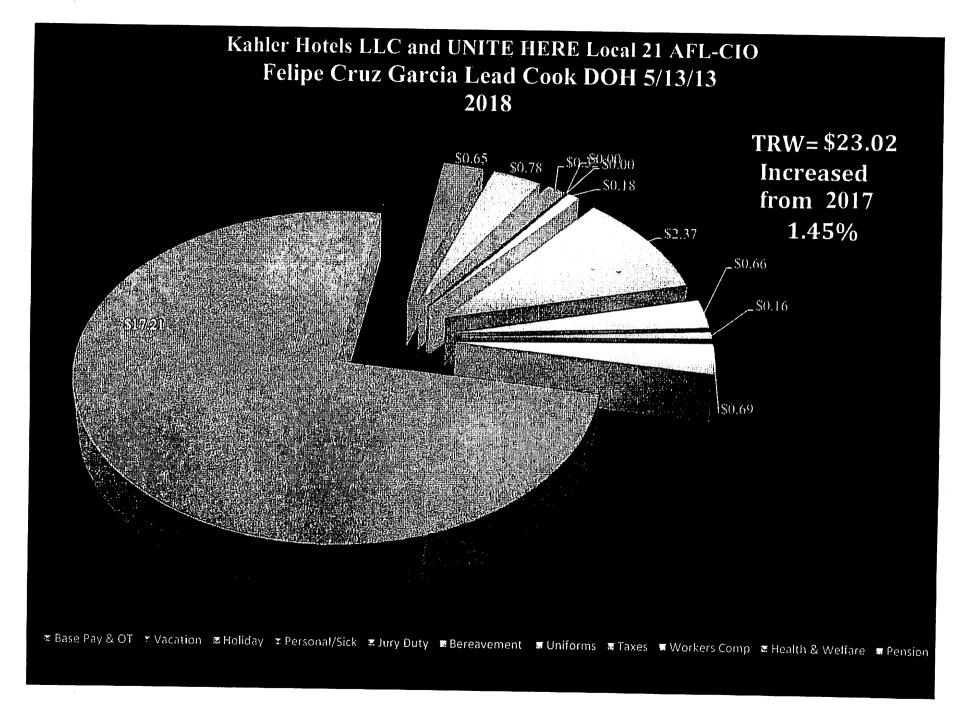


			Total Annual	Total Annual				
	2016	<b>Hourly Rate</b>	Hours	Cost	Kahler Hot	els LLC and UN	HTE HERE	Local 21 AFL-
Base Pay-		\$15.44	1,952	29,690		CI	O	
Vacation (W)		\$3.50	-	-		Felipe Cruz Garcia Le	ad Cook DOH 5/13	3/13
Lunch 30min/8hrs			122					
Rest 15min/4hrs			122			201	<b>6</b> \$0.64 \$0.77 °	
Total Compensable Productive Work			122				\$0.64 \$0.77 \$	0.32   \$0.00   \$0.00   \$0.18
Time		\$16.95	1,970	32,884				_\$2.33
Vacation (W)	1	\$0.64	40	608				
Holiday (D)	6	\$0.77	48	1,460				_\$0.65
Sick/Personal (D)	5	\$0.32	40	608				N. S. A. P. C.
Jury Duty (D)	0	\$0.00		-	/ \$16.95		ARI TOTAL	S0.1
Bereavement (D)	0	\$0.00		•			學	The same will be a first to the same of th
Total Compensable Non-Productive Work Time		\$1.73	120	2 677				\$0.60
Uniforms		\$1.73	128	<b>2,677</b> 350				*
Transportation		\$0.00		330				
Meals	0	\$0.00		_	And the second s			
Total		\$0.00		350				
Taxes	0.125	\$2.33		4,445	。 。 第1		TOTOTAL	<b>#</b> 00 (0
Workers Comp	0.0349			1,241				= \$22.69
Total		\$2.99		5,686			Incr	eased
Trust Fund Report							from	2015
Health & Welfare-		\$0.15		-				6%
Pension		\$0.69		1,362			3,3	070
Total		\$0.84		1,648	≅ Base Pay & OT	<b>≖</b> Vacation	≅ Holiday	▼ Personal/Sick
Total Real Wage					■ Jury Duty	■ Bereavement	≣ Uniforms	™ Taxes
Per Productive Hour		\$22.69	-	43,246	■ Workers Comp	■ Health & Welfare	■ Pension	

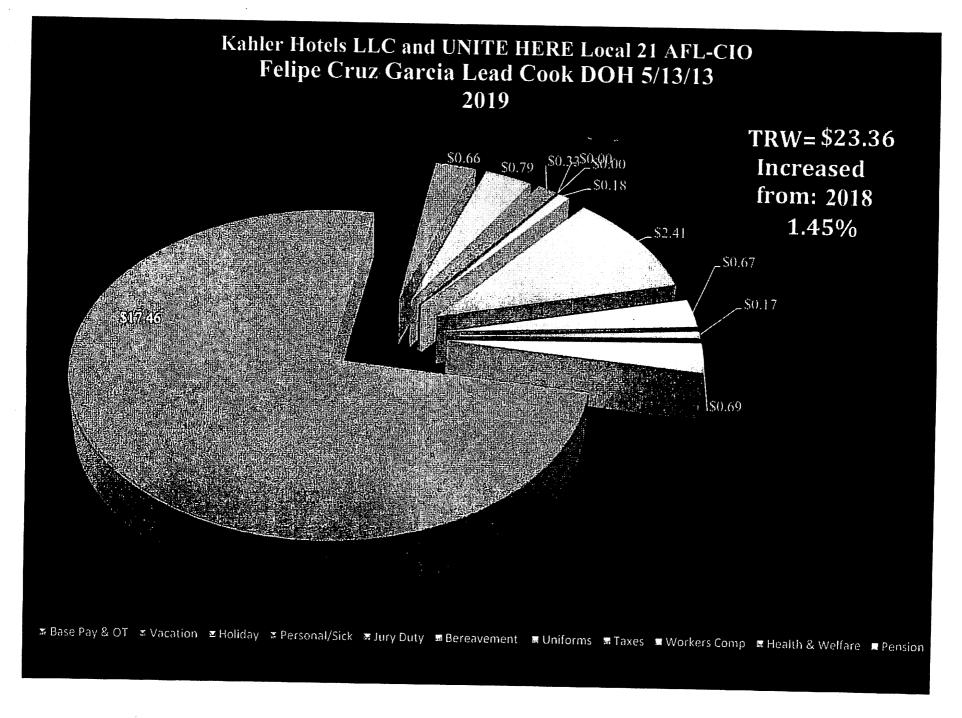
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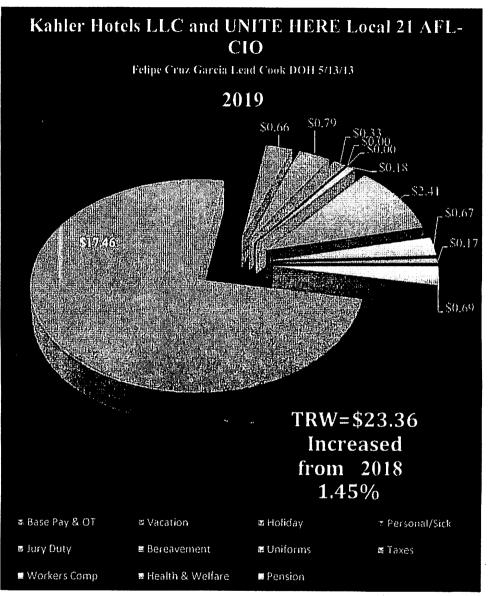
	The state of the s	Hourly	Total Annual	Total Annual	L'ablas Hata	la L L C and LIN		Local 21 ATI
	2017	Rate	Hours	Cost	Kamer Hote	Is LLC and UN		Local 21 AFL-
Base Pay-		\$15.44	1,912	29,518	CIO			
Vacation (W)		\$3.50	-	-	Felipe Cruz Garcia Lead Cook DOH 5/13/13			3/13
Lunch 30min/8hrs			120			20	17	
Rest 15min/4hrs			120			20	\$0.64 \$0.77	
Total Compensable Productive Work							\$0.64 \$0.77	\$0.52 \$0.00 \$0.00 \$0.18
Time		\$16.95	1,933	32,760				\$2.33
Vacation (W)	2	\$0.64	80	1,235				
Holiday (D)	6	\$0.77	48	1,482				\$0.65
Sick/Personal (D)	5	\$0.32	40	618				\$0.16
Jury Duty (D)	0	\$0.00	•	-	\$1695			
Bereavement (D)	0	\$0.00	-	•				
Total Compensable Non-Productive								\$0.69
Work Time		\$1.73	168	3,335				7
Uniforms	3	\$0.18		350				<b>3</b> *
<b>Transportation</b>		\$0.00		-				
Meals	0	\$0.00		-				
Total		\$0.18		350	VIII.			
Taxes	12.50%	\$2.33		4,512			TRW	=\$22.69
Workers Comp	3.49%	\$0.65		1,260				
Total		\$2.99		5,771				reased
Trust Fund Report							fron	n 2016
Health & Welfare-		\$0.16		-				02%
Pension		\$0.69		1,336			0.0	
Total		\$0.85		1,640	≖ Base Pay & OT	ช Vacation	≖ Holiday	≅ Personal/Sick
Total Real Wage Per Productive					■ Jury Duty	■ Bereavement	<b>≡</b> Uniforms	<b>z</b> Taxes
Hour		\$22.69	-	43,856	■ Workers Comp	🛎 Health & Welfare	■ Pension	

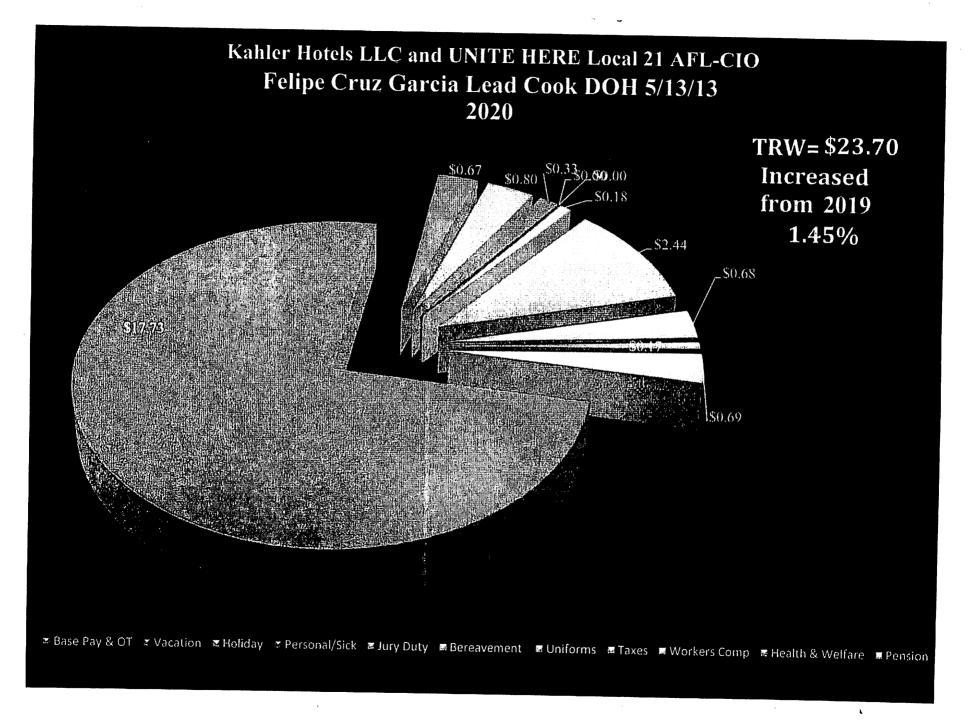


	j	Hourly	Total Annual	<b>Total Annual</b>	¥7			
	2018	Rate	Hours	Cost	Kahler Ho	otels LLC and U	NITE HERI	E Local 21 AFL-
Base Pay-		\$15.67	1,952	29,961			CIO	
Vacation (W)		\$3.50	-	-		Felipe Cruz Garcia I		
Lunch 30min/8hrs					•	r copy Cruz Gareia i	.ead Cook DOH 5/13/	13
Rest 15min/4hrs			122			20	)18	
vest touill/auts			122			∠(	\$0.65 \$0.78	3
Total Compensable							50.65	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Productive Work	İ							S0.00
Time		\$17.21	1,970	33,251				\$0.18
Vacation (W)	2	\$0.65	40	1,254				\$2.37
Holiday (D)	6	\$0.78	48	1,504				
Sick/Personal (D)	5	\$0.32	40	627				SO.6
Jury Duty (D)	0	\$0.00		-	817.2			\$0.
Bereavement (D)	0	\$0.00		•			and the second s	Mean dross for a constant of
							NAME OF TAXABLE PARTY.	
Total Compensable Non-Productive								Market Committee
Work Time					We figure 1990			S0.0
Uniforms	3	\$1.75	128	3,385				
Transportation		\$0.18		350	40.00 高级电影			
Meals		\$0.00		-				
lotal	0	\$0.00		-				
Taxes	12.50%	\$0.18		350			A Comment of the Comm	
Workers Comp	3.49%	\$2.37		4,579	<b>S0</b> ,		TDM	-622.02
Total	3.49%	\$0.66		1,279				=\$23.02
rust Fund Report		\$3.03		5,858			Inc	reased
lealth & Welfare-		- co. a.c.					from	n 2017
ension		\$0.16		•				
otal		\$0.69		1,336			1,4	45%
with		\$0.85		1,649	■ Base Pay & OT	■ Vacation *	<b>■</b> Hofiday	= Personal/Sick
otal Real Wage	<del></del>				▼ Jury Duty			- r crsonal/51Ck
er Productive					■ Jury Duty	麗 Bereavement	■ Uniforms	▼ Taxes
lour		\$23.02	.	44,493	■ Workers Comp	■ Health & Welfare	■ Pension	

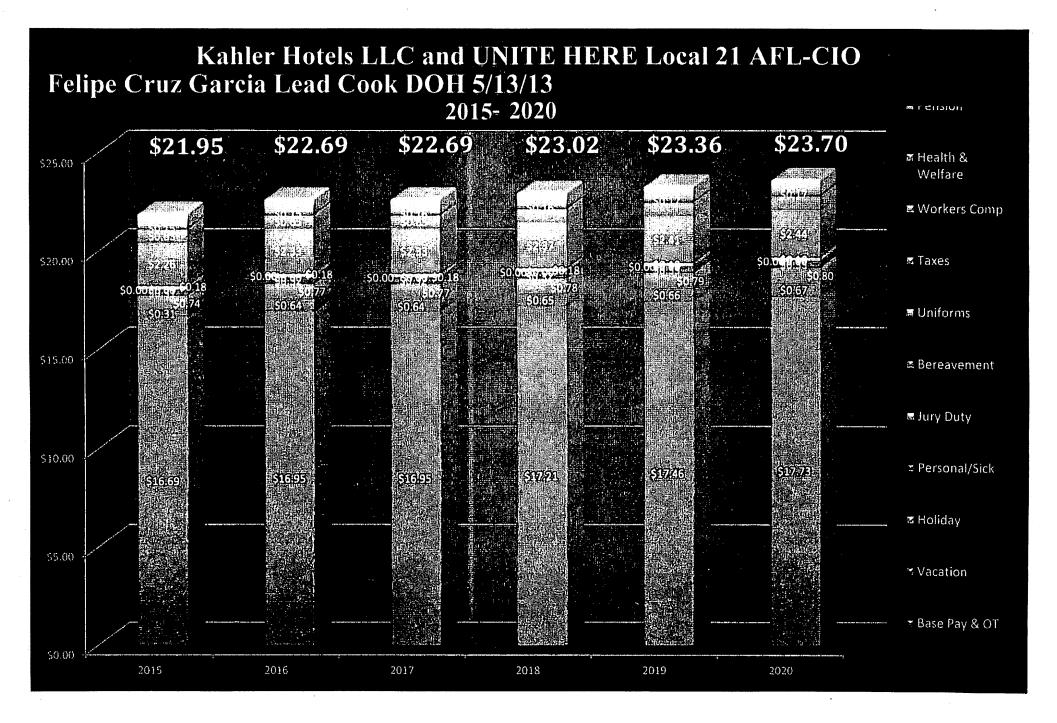


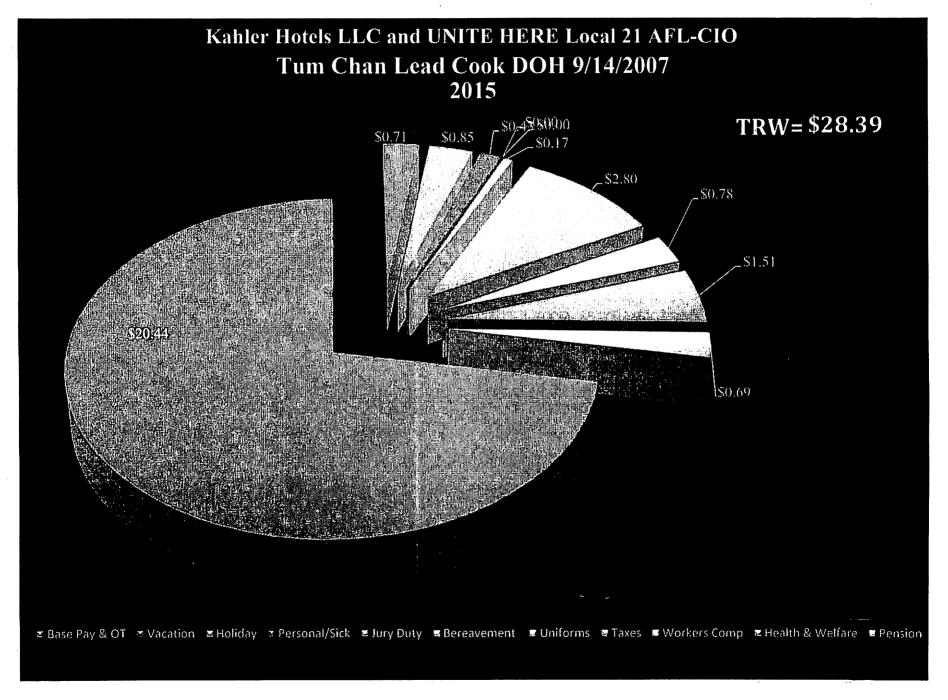
			Total	
		Hourly	Annual	<b>Total Annual</b>
	2019	Rate	Hours	Cost
Base Pay-		\$15.90	1,952	29,690
Vacation (W)		\$3.50	•	-
Lunch 30min/8hrs			122	
Rest 15min/4hrs			122	
Total Compensable Productive Work				
Time		\$17.46	1,970	32,884
Vacation (W)	1	\$0.66	40	608
Holiday (D)	6	\$0.79	48	1,460
Sick/Personal (D)	5	\$0.33	40	608
Jury Duty (D)	0	\$0.00		-
Bereavement (D)	0	\$0.00		•
Total Compensable Non-Productive	·			
Work Time		\$1.78	128	2,677
Uniforms		\$0.18		350
Transportation		\$0.00		•
Meals	0	\$0.00		-
Total		\$0.18		350
Taxes	0.125	\$2.41		4,445
Workers Comp	0.0349	\$0.67		1,241
Total		\$3.08		5,686
Trust Fund Report				
Health & Welfare-	,	\$0.17		-
Pension		\$0.69		1,362
Total		\$0.86		1,648
Total Real Wage Per Productive	•.			
Hour		\$23.36	-	43,246

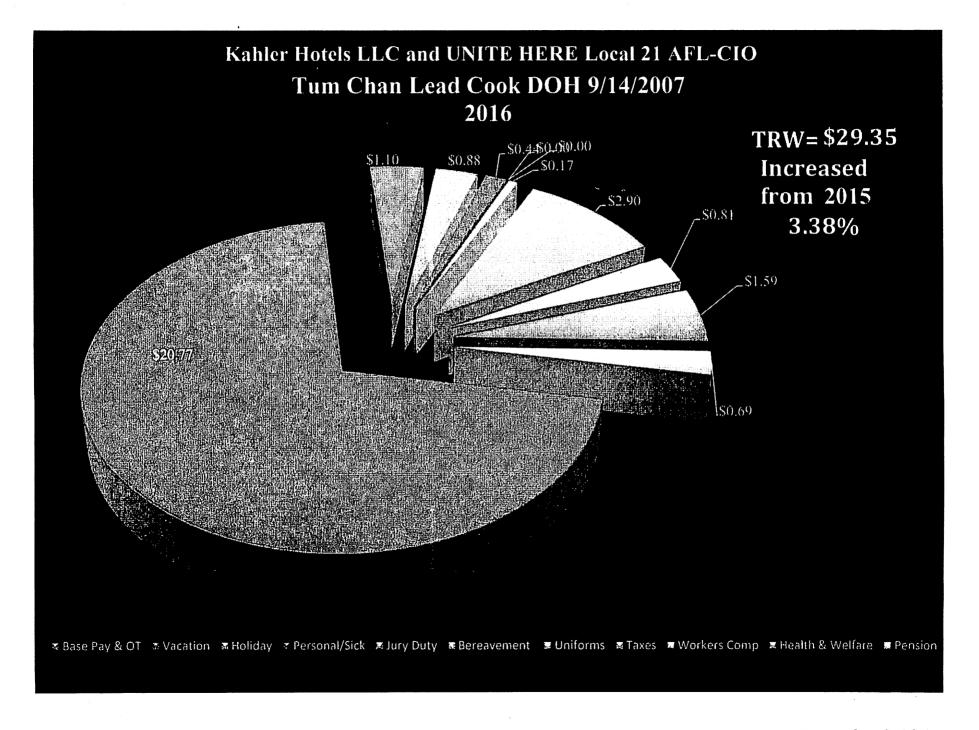




			Total Annual	Total Annual				
	2020	Hourly Rate	Hours	Cost	Kahler Hot	tels LLC and U	NITE HERE	Local 21 AFL-
Base Pay-		\$16.14	1,952	29,690			CIO	
Vacation (W)		\$3.50	•	**		Feline Cruz Garcia I	end Cook DOH 5/13/1.	3
Lunch 30min/8hrs			122			20	020	
Rest 15min/4hrs			122				\$0.67 \$0.80	,\$0,33
Total Compensable							A STATE OF THE STA	[ _2\$6.80
Productive Work								\$0.18
Time		\$17.73	1,970	32,884				_\$2.44
Vacation (W)	1	\$0.67	40	608				
Holiday (D)	6	\$0.80	48	1,460				_\$0.68
Sick/Personal (D)		\$0.33	· 40	608				S0.17
Jury Duty (D)		\$0.00			SIME			Service and Association of Service Ser
Bereavement (D)		\$0.00		•			I'U	and the second s
Total Compensable Non-Productive								S0.69
Work Time		\$1.80	128	2,677				EV
Uniforms		\$0.18		350				P. Comments of the comment of the co
Transportation		\$0.00		-				
Meals	0	<del></del>		-				
Total		\$0.18		350				
Taxes	0.125		<del></del>	4,445			TDM	=\$23.70
Workers Comp	0.0349		<u> </u>	1,241				
Total		\$3.12		5,686			lnc	reased
Trust Fund Report							fron	1 2019
Health & Welfare-	· .	\$0.17		-				45%
Pension		\$0.69		1,362			1.	1370
Total		\$0.86		1,648	Base Pay & OT	द्ध Vacation	≖ Holiday	r Personal/Sick
Total Real Wage					™ Jury Duty	■ Bereavement	■ Uniforms	<b>≋</b> Taxes
Per Productive Hour		\$23.70		43,246	■ Workers Comp	Health & Welfare      ■ Health & Welfare	■ Pension	

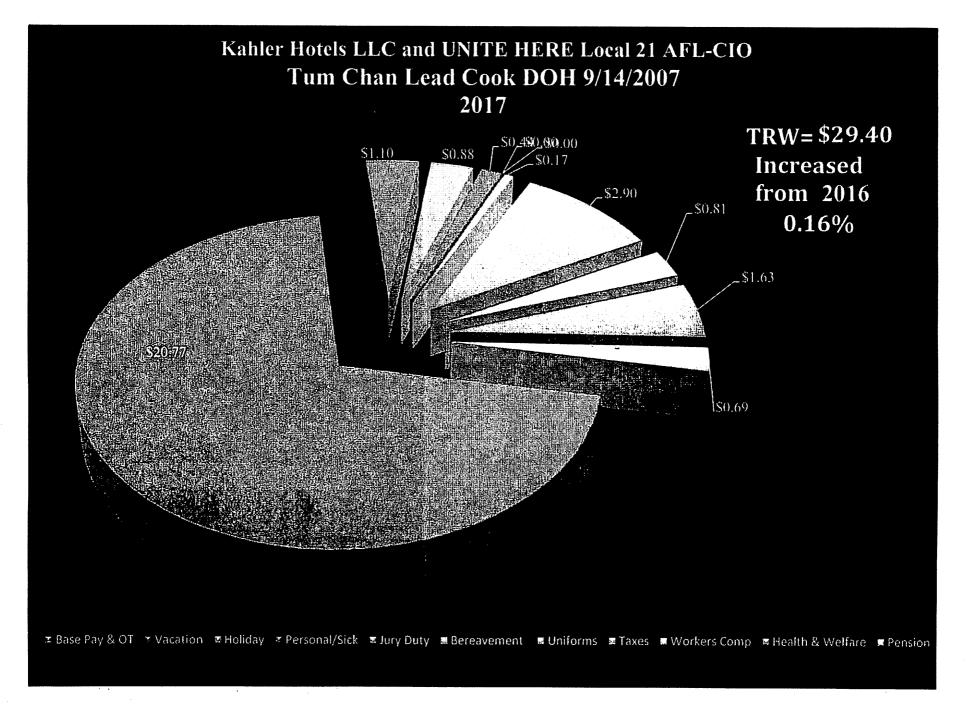




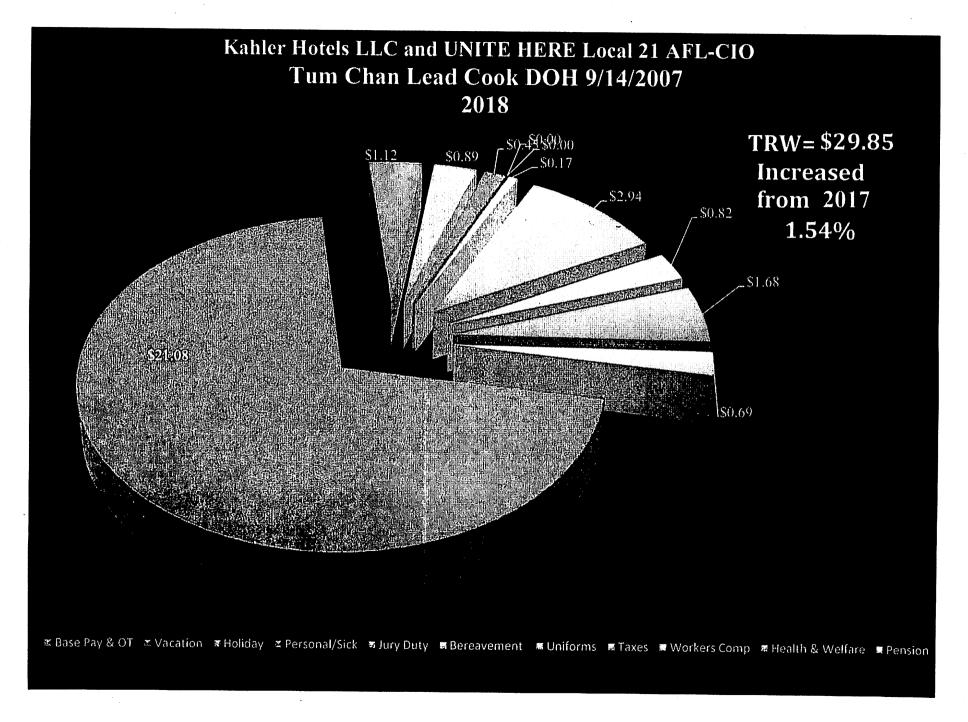


			Total Annual	Total Annual				
	2016	Hourly Rate	Hours	Cost	Kahler Hote	Is LLC and UN	ITE HERE L	ocal 21 AFL-
Base Pay-		\$18.48	1,904	34,672		CI	O	
Vacation (W)		\$3.50	-	-		Tum Chan Lead Coo	ok DOH 9/14/2007	
Lunch 30min/8hrs			119	•		\$1.10	6 \$0.88 so 41	
Rest 15min/4hrs			119			\$1.10	1 1 5000	)
Total Compensable							\$0.	17
Productive Work								_\$2.90
Time		\$20.77	2,050	41,910				\$0.81
Vacation (W)	2	\$1.10	80	1,457			11/1/	
Holiday (D)	6	\$0.88	48	1,748				
Sick/Personal (D)	6	\$0.44	48	874				\$1.59
Jury Duty (D)	0	\$0.00		-				
Bereavement (D)	0	\$0.00		-	/1/11 1 520 7/1			
								State of the state
Total Compensable Non-Productive								[SU.69]
Work Time		\$2.42	176	4,079				
Uniforms		\$0.17		350				
Transportation		\$0.00		-				
Meals	0			-				
Total		\$0.17		350				
Taxes	0.125	\$2.90		5,749			TRM/-	\$29.35
Workers Comp	0.0349	\$0.81		1,605				
Total		\$3.71		7,354				eased
Trust Fund Report							from	2015
Health & Welfare-		\$1.59		•			3.3	
Pension		\$0.69		1,418			3.3	
Total	•	\$2.28		4,515	■ Base Pay & OT		<b>≅</b> Holiday	↑ Personal/Sick
					≡ Jury Duty	■ Bereavement	■ Uniforms	₃ Taxes
Total Real Wage					m July Duty	m bereavement	M OHIOHHS	34 1 (1 / )
Per Productive Hour	•	\$29.35	-	58,208	■ Workers Comp	■ Health & Welfare	■ Pension	·

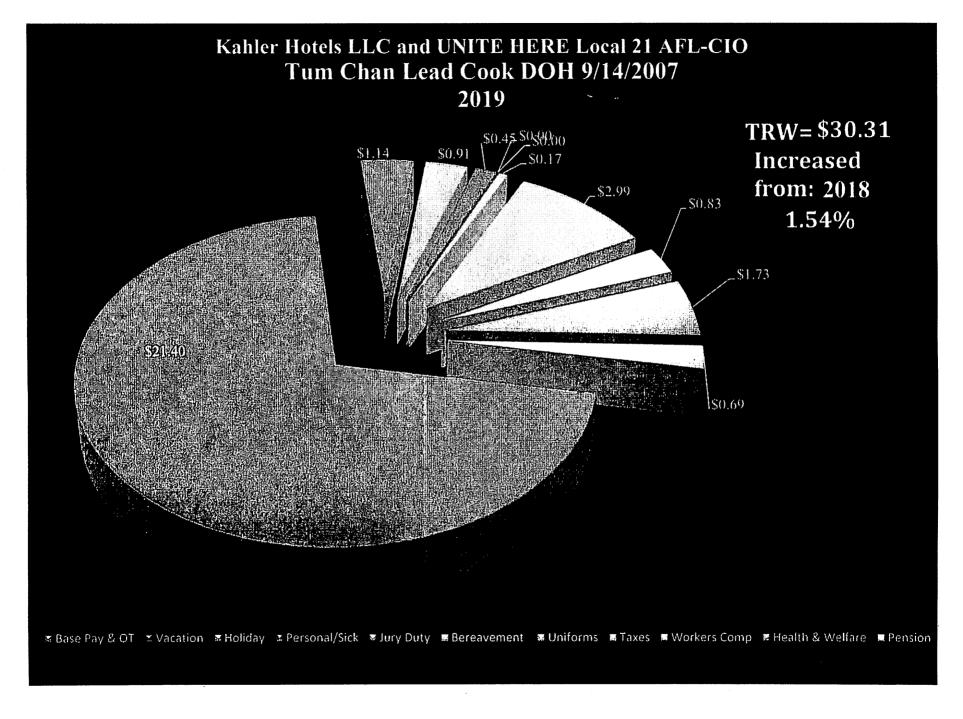
				Valdan II.	-4-la II Cl	TONICOTE III	PDE I 1.41
	Hourly	Total Annual	Total Annual	Kanier Ho	~		CRE Local 21
2015	Rate	Hours	Cost		AFL	-CIO	
	\$18.21	1,904	34,672				
	\$3.50	-	-		Tum Chan Lead C	ook DOH 9/14/200	7
					20	$15_{\alpha \circ \varepsilon}$	
		119			\$0.71	\$0.55 \$0.43 \$	30,00
					in the second	7	
							\$2.80
	\$20.44	2,050	41,910				_\$0.78
2	\$0.71	80	1,457	and the state of t		1- <i>13</i> ]//7	
6	\$0.85	48	1,748	40			
6	\$0.43	48	874				_S1.51
0	\$0.00		-	<b>\$20.4</b>	4		
0	\$0.00		-				
						Appellar Paul	\$0.69
	\$1.99	176	4.079			estado distribuir e re-	
	\$0.17		350		1.0		
	\$0.00		-				
0	\$0.00		-				
	\$0.17		350				
0.125	\$2.80		5,749		100	TRW	=\$28.39
0.0349	\$0.78		1,605				
	\$3.59		7,354				
	\$1.51		-				
	\$0.69		1,418				
	\$2.20		4,515	▼ Base Pay & OT		<b>z</b> Holiday	7 Personal/Sick
				■ Jury Duty	■ Bereavement	<b>s</b> Uniforms	<b>■</b> Taxes
	\$28.39		58,208	■ Workers Comp	■ Health & Welfare	■ Pension	
	2 6 6 0 0	\$20.44 \$20.44 \$2 \$0.71 6 \$0.85 6 \$0.43 0 \$0.00 \$50.00 \$50.00 \$1.99 \$0.17 \$0.00 \$0.17 \$0.00 \$0.17 \$0.00 \$1.125 \$2.80 \$1.39 \$3.59 \$1.51 \$0.69 \$2.20	2015         Rate         Hours           \$18.21         1,904           \$3.50         -           119         119           \$20.44         2,050           \$2,071         80           \$0.85         48           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0,000         \$0.00           \$0.17         \$0.00           \$0.78         \$3.59           \$1.51         \$0.69	\$18.21   1,904   34,672   \$3.50       \$20.44   2,050   41,910     \$20.45   48   1,748     \$6   \$0.85   48   1,748     \$6   \$0.43   48   874     \$0   \$0.00       \$1.99   176   4,079     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.17   350     \$0.18   \$0.78   1,605     \$1.51       \$0.69   1,418     \$2.20   4,515	\$18.21	Silicit   Sil	2015 Rate Hours  \$18.21



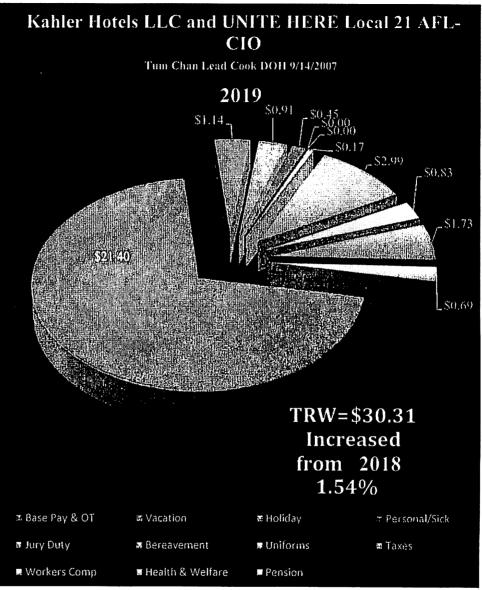
		Hourly	Total Annual	Total Annual	Kahler Hote	Is LLC and UNI	TE HERE	Local 21 AFL-
	2017	Rate	Hours	Cost	ixtilici mote			
Base Pay-		\$18.48	1,864	34,453		CIO	)	
Vacation (W)		\$3.50	•	_		Tum Chan Lead Co	ok DOH 9/14/200	7
Lunch 30min/8hrs			117			201	17 <sub>\$0.88</sub> <sub>\$0.44</sub>	
Rest 15min/4hrs			117			\$1.10_	50.88 S0.44 1 S0.6	)()
Total Compensable Productive Work Time		\$20.77	2,013	41,800			[ ] Section 1	\$0.17
Vacation (W)	3	\$1.10	120	2,218			L <i>iddel</i>	\$0.81
Holiday (D)	6	\$0.88	48	1,774			<i>7117</i>	
Sick/Personal (D)	6	\$0.44	48	887				S1.63
Jury Duty (D)	0	\$0.00	-	•	////			
Bereavement (D)	0	\$0.00	-	•	/s20	777	V .	
Total Compensable Non-Productive Work Time		\$2.42	216	4,880				LS0.69
Uniforms	3	\$0.17	-	350				
Transportation		\$0.00		-				
Meals	0	\$0.00		-				
Total		\$0.17		350				
Taxes	12.50%	\$2.90		5,835	5.5		TRW	=\$29.40
Workers Comp	3.49%	\$0.81		1,629				reased
Total		\$3.71		7,464				
Trust Fund Report							fron	1 2016
Health & Welfare-		\$1.63		-			0.3	16%
Pension		\$0.69		1,392				
Total		\$2.32		4,678	▼ Base Pay & OT	■ Vacation	■ Holiday	₹ Personal/Sick
Total Real Wage					■ Jury Duty	■ Bereavement	🗷 Uniforms	≅ Taxes
Per Productive Hour		\$29.40	-	59,171	■ Workers Comp	■ Health & Welfare	■ Pension	

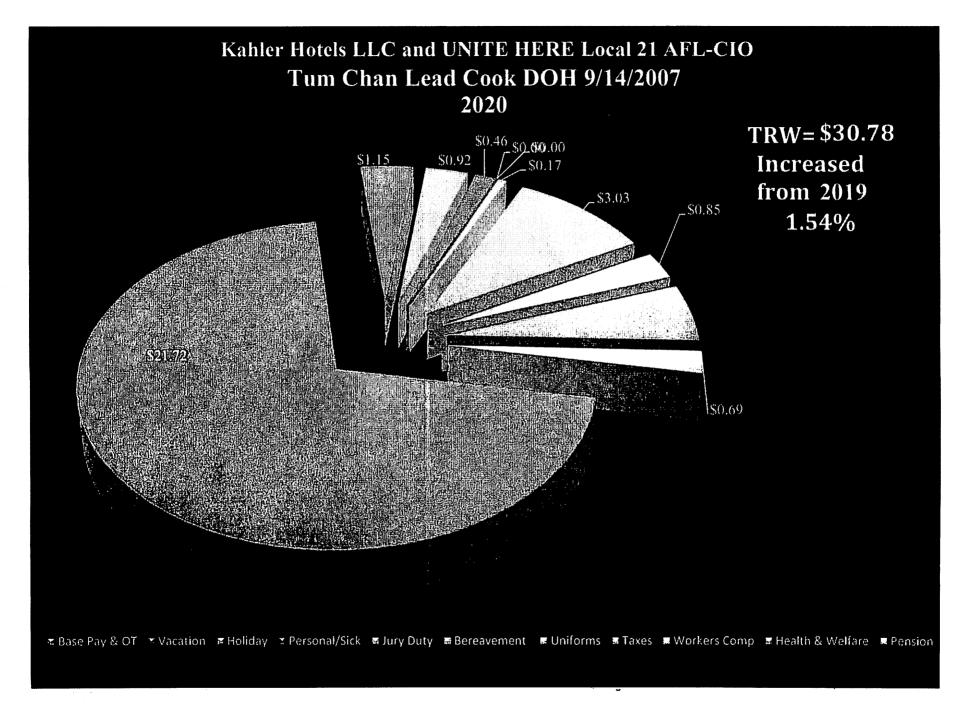


	2018	Hourly Rate	Total Annual Hours	Total Annual Cost	Kahler Hot	els LLC and UN		Local 21 AFL-
Base Pay-		\$18.76	1,904	34,969		CI	O	
Vacation (W)		\$3.50	-	-		Tum Chan Lead Co	ok DOH 9/14/2007	
Lunch 30min/8hrs			119			201	18 \$0.89 \$0.45 1 5 50.45	
Rest 15min/4hrs			119			\$1.12	20.50.	00
Total Compensable Productive Work Time		\$21.08	2,050	42,427			1/-30	0.00 \$0.17 _\$2.94 _\$0.82
Vacation (W)	3	\$1.12	80	2,251	-51			
Holiday (D)	6	\$0.89	48	1,801				
Sick/Personal (D)	6	\$0.45	48	900				-\$1.68
Jury Duty (D)	0	\$0.00		-				
Bereavement (D)	0	\$0.00		-			7	
Total Compensable Non-Productive Work Time		\$2.46		4,953				\$ 250.69
Uniforms	3	\$0.17		350				i.
Transportation		\$0.00		-				
Meals	0	\$0.00	<del></del>	- 250				
Total	12.500/	<b>\$0.17</b> \$2.94		<b>350</b> 5,922		1		400 OF
Taxes Workers Comp	12.50% 3.49%	\$0.82		1,654			TRW	=\$29.85
Total	3.4570	\$3.76		7,576			Inc	reased
Trust Fund Report		75.70		.,,,,,			from	1 2017
Health & Welfare-		\$1.68		~				
Pension		\$0.69		1,392			1.3	54%
Total		\$2.37	<u> </u>	4,777	≇ Base Pay & OT	<b>z</b> Vacation	≅ Holiday	▼ Personal/Sick
Total Real Wage	/				<b>™</b> Jury Duty	■ Bereavement	■ Uniforms	™ Taxes
Per Productive Hour		\$29.85	-	60,082	■ Workers Comp	₩ Health & Welfare	■ Pension	

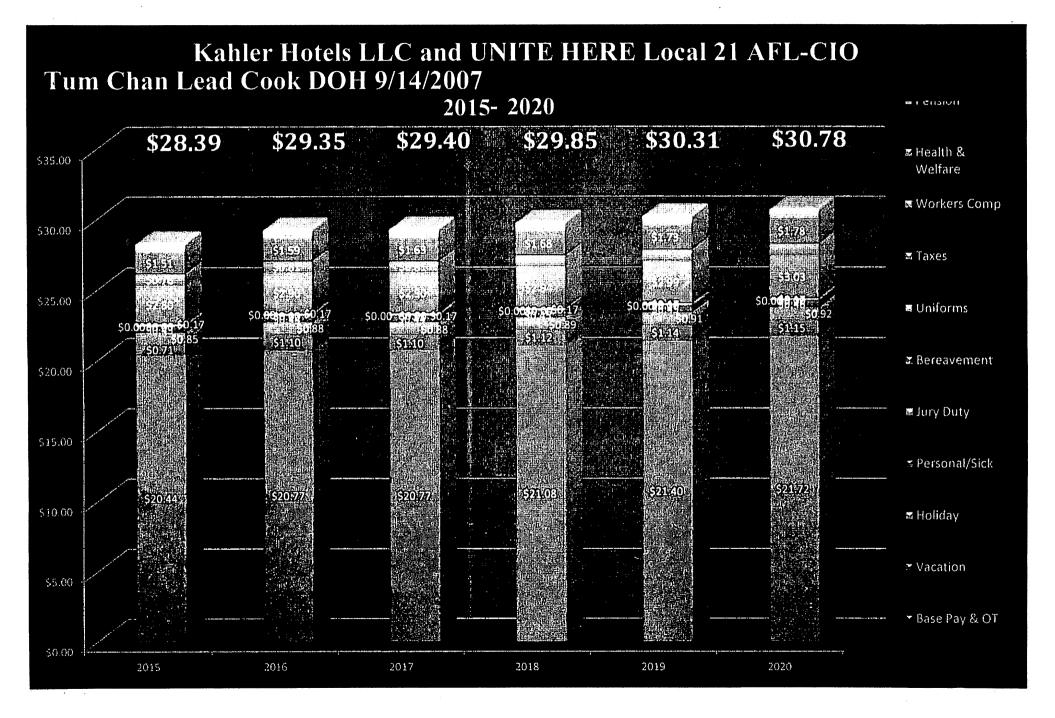


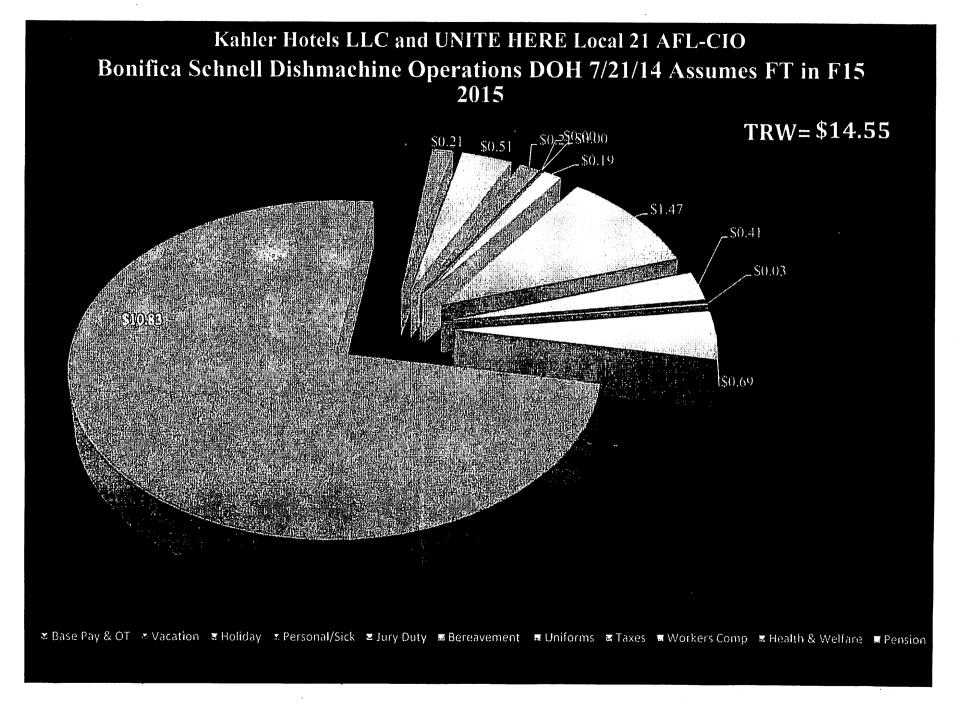
			Total	
* *		Hourly	Annual	<b>Total Annual</b>
15.50 May 15.00	2019	Rate	Hours	Cost
Base Pay-		\$19.04	1,904	34,672
Vacation (W)		\$3.50		*
Lunch 30min/8hrs			119	
Rest 15min/4hrs			119	
Total Compensable Productive Work Time		624.40	2.050	44.040
		\$21.40	2,050	41,910
Vacation (W)	2	\$1.14	80	1,457
Holiday (D)	6	\$0.91	48	1,748
Sick/Personal (D)	6	\$0.45	48	874
Jury Duty (D)  Bereavement (D)	0	\$0.00 \$0.00	·	-
Total Compensable Non-Productive Work Time		\$2.50	176	4,079
Uniforms		\$0.17		350
Transportation		\$0.00		-
Meals	0	\$0.00		•
Total	~	\$0.17		350
Taxes	0.125	\$2.99		5,749
Workers Comp	0.0349	\$0.83		1,605
Total		\$3.82		7,354
Trust Fund Report				
Health & Welfare-		\$1.73		•
Pension		\$0.69		1,418
Total	21	\$2.42		4,515
Total Real Wage Per Productive		•		
Hour		\$30.31	-	58,208



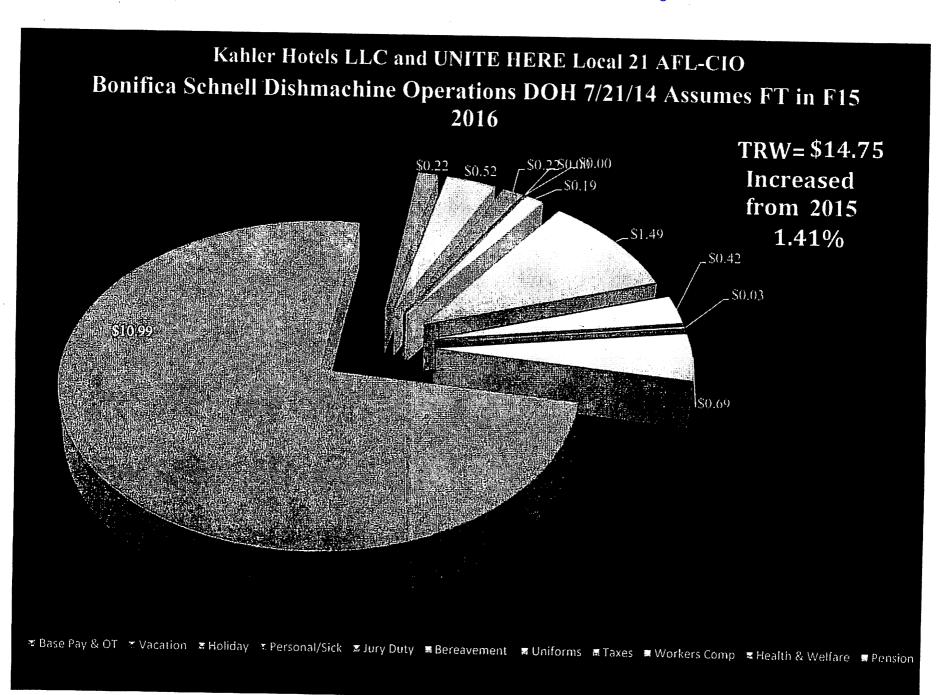


			Total Annual	Total Annual				
4	2020	<b>Hourly Rate</b>	Hours	Cost	Kahler Hot	els LLC and UI	NITE HERE	Local 21 AFL-
Base Pay-		\$19.33	1,904	34,672		C	10	
Vacation (W)		\$3.50	-	-			ook DOH 9/14/2007	
						rum Chan Lead C	OUR DOTE 9/14/2007	
Lunch 30min/8hrs			119			20	20 50 92 50 47	
Rest 15min/4hrs		-	119			\$1.15	<b>20</b> \$0.92 \$0.46   \$0.09	}
Total Compensable							50.50	0.17
Productive Work Time		\$21.72	2,050	41,910				\$3.03
Vacation (W)	1	\$1.15	2,030	1,457				_\$0.85
Holiday (D)	Z C	\$0.92	48	1,748				
Sick/Personal (D)	U	\$0.46	48	874			المراز الأرازا	\$1.78
Jury Duty (D)		\$0.00	70	- 5/4				
Bereavement (D)		\$0.00		-	Goff.	B H		<b>然相對於其後,以</b> 其則然
bereavement (b)		\$0.00		-				
Total Compensable							WW. Carlonae town	
Non-Productive		:						_\$0.69
Work Time		\$2.54	176	4,079				
Uniforms		\$0.17		350	4	Find the Control		y.
<b>Transpo</b> rtation		\$0.00		-				
Meals	0	\$0.00		-	V. In the second			
Total		\$0.17		350	4			
Taxes	0.125	\$3.03		5,749	1884	<b>基金</b> 数	TRW-	\$30.78
Workers Comp	0.0349	\$0.85		1,605				
Total		\$3.88	:	7,354			Incr	eased
Trust Fund Report							from	2019
Health & Welfare-		\$1.78		•				4%
Pension		\$0.69		1,418			1.5	1-/0
Total		\$2.48		4,515	属 Base Pay & OT		≅ Holiday	₹ Personal/Sick
Total Real Wage	:				■ Jury Duty	■ Bereavement	■ Uniforms	<b>■</b> Taxes
Per Productive		<b>.</b>	:		■ Workers Comp	■ Health & Welfare	■ Pension	
Hour		\$30.78	-	58,208				

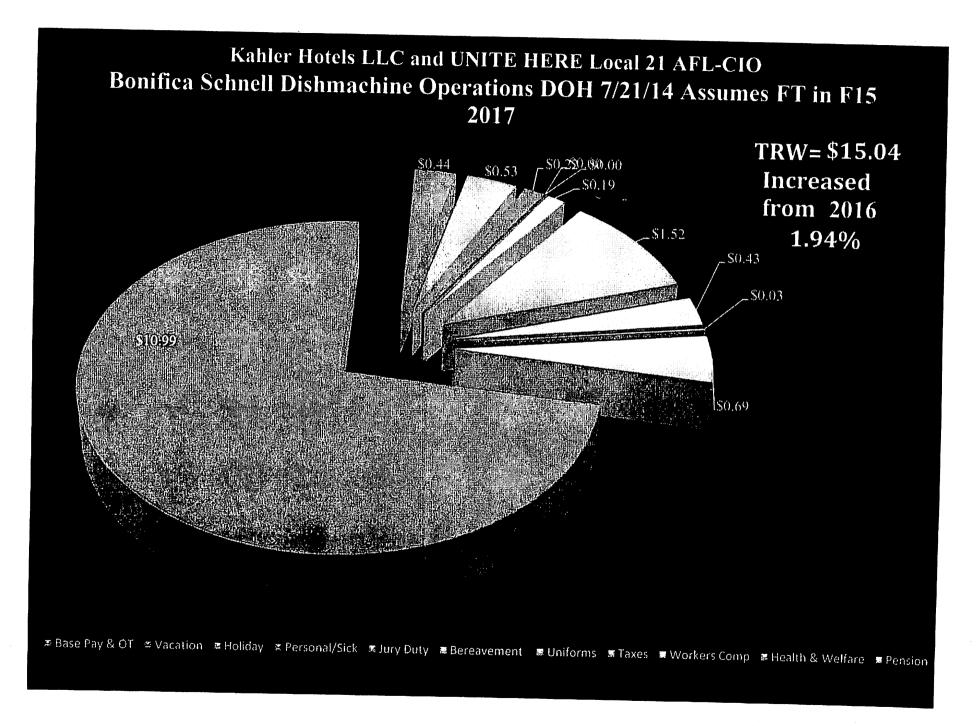




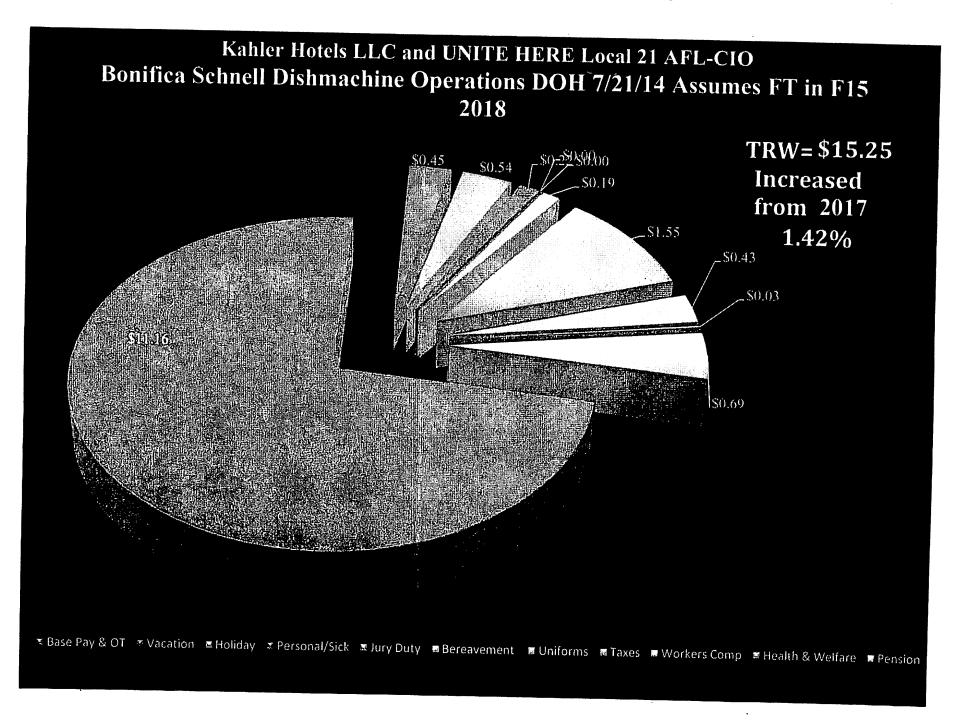
1400. 1407		Hourly	Total Annual	Total Annual	Kahler Ho	otels LLC and	UNITE HI	ERE Local 2
	2015	Rate	Hours	Cost		AFL-	·CIO	
Base Pay-		\$10.03	1,952	19,579				
Vacation (W)		\$3.50	-	-	Bonifica Schne	ell Dishmachine Operati	ions DOH 7/21/14	Assumes FT in F15
Lunch 30min/8hrs			122			20	15	
Rest 15min/4hrs			122				£'12 € 1	,
Total Compensable Productive Work						20	).21 50.31 50.2	1_80.00 ≰0.00 \$0.19
Time		\$10.83	1,885	20,406				
Vacation (W)	1	\$0.21	40	401				S1.47
Holiday (D)	6	\$0.51	48	963				_S0
Sick/Personal (D)	5	\$0.21	40	401				_\$0
Jury Duty (D)	0	\$0.00		_	_(°#°   \$10\83			
Bereavement (D)	0	\$0.00		-			100	
Total Compensable Non-Productive		40.00						\$0.
Work Time Uniforms		\$0.94		1,765			A CASH CAN	
		\$0.19 \$0.00		350				
Transportation  Meals	0	\$0.00		-				
Total	U	\$0.19		350				
Taxes	0.125	\$1.47		2,771			TDW	=\$14.55
Workers Comp	0.0349	\$0.41		774			IKVV	-914.33
Total	3.03 73	\$1.88		3,545				
Trust Fund Report		72.30						
Health & Welfare-		\$0.03		-				
Pension		\$0.69		1,303				
Total		\$0.72		1,354	■ Base Pay & OT		<b>™</b> Holiday	<ul> <li>Personal/Sic</li> </ul>
Total Real Wage Per Productive					■ Jury Duty	m Bereavement	■ Uniforms	<b>≡</b> Taxes
Hour		\$14.55	· •	27,420	■ Workers Comp	■ Health & Welfare	■ Pension	



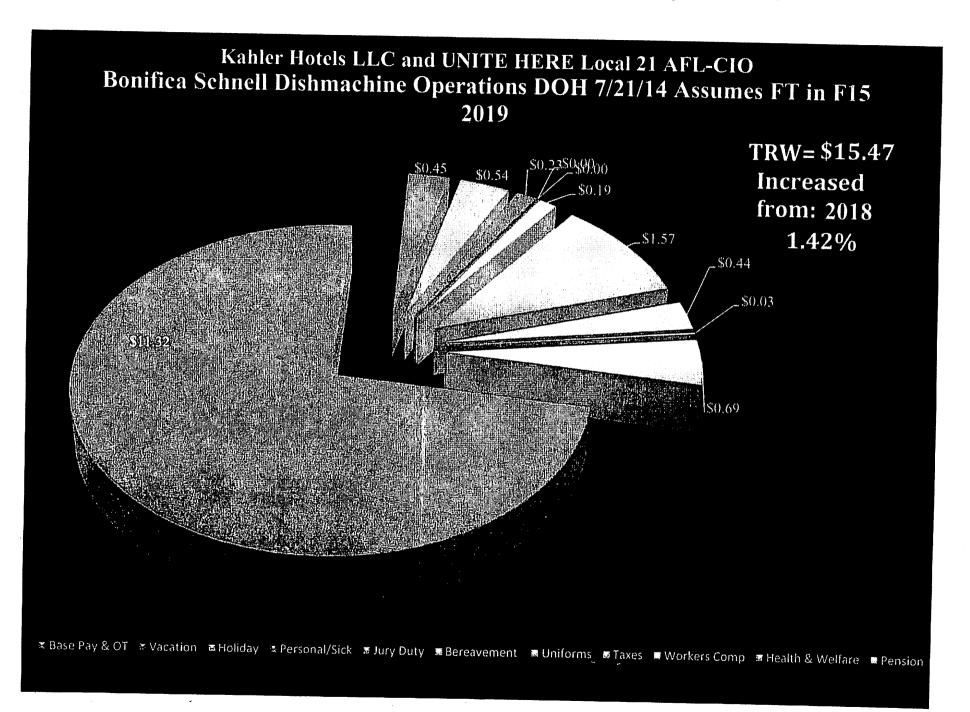
			Total Annual	Total Annual				
:	2016	<b>Hourly Rate</b>	Hours	Cost	Kahler Hoto	els LLC and UN		ocal 21 AFL-
Base Pay-		\$10.18	1,952	19,579		CI	(O	
Vacation (W)		\$3.50	, -	•	Bonifica Schnel	l Dishmachine Operat	ions DOH 7/21/14 A	ssumes FT in F15
Lunch 30min/8hrs			122			201	6	
Rest 15min/4hrs			122			201	\$0.22 \$0.52 \$0.2	) ) ()()
Total Compensable Productive Work								2,00 80,00 \$0,19
Time		\$10.99	1,885	20,406				_\$1.49
Vacation (W)	1	\$0.22	40	401				\$0.42
Holiday (D)	6	\$0.52	48	963				
Sick/Personal (D)	5	\$0.22	40	401				S0.03
Jury Duty (D)	0	\$0.00			\$1059			the page of the speed and depth of the depth of the speed
Bereavement (D)	0	\$0.00		-			N D	an and the state of the state o
Total Compensable Non-Productive								\$0.69
Work Time		\$0.95		1,765				
Uniforms		\$0.19		350				
Transportation		\$0.00		-				
Meals	0							
Total		\$0.19		350	September 1	A spring the		
Taxes	0.125		<del></del>	2,771			TRW=	\$14.75
Workers Comp	0.0349			774			Incre	eased
Total		\$1.91		3,545				
Trust Fund Report		4						2015
Health & Welfare-		\$0.03		1 202			1.4	1%
Pension		\$0.69		1,303	5 5 0 5		-1.00	D Met I
Total		\$0.72		1,354	≇ Base Pay & OT	<b>≋</b> Vacation	≅ Holiday	* Personal/Sick
Total Real Wage					☐ Jury Duty ☐	# Bereavement	■ Uniforms	<b>≡</b> Taxes
Per Productive Hour		\$14.75	-	27,420	■ Workers Comp	■ Health & Welfare	■ Pension	



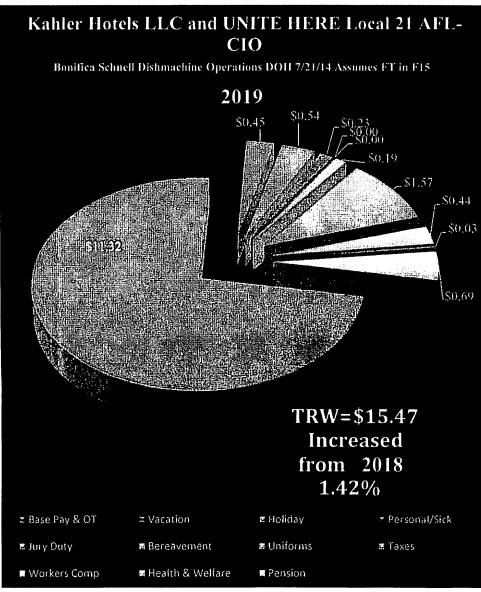
		Hourly	Total Annual	Total Annual	Kahlar Hate	ls LLC and UN	ITE HERE	Local 21 AFL
	2017	Rate	Hours	Cost	ixamer from			LOCKI ZI AVI LO
Base Pay-		\$10.18	1,912	19,465		CI	U	
Vacation (W)		\$3.50	-	-	Bonifica Schne	l Dishmachine Operati	ons DOH 7/21/14	Assumes FT in F15
Lunch 30min/8hrs			120			20	17	
Rest 15min/4hrs			120				.44 \$0.53 \$0	).22
Total Compensable Productive Work Time		\$10.99	1,848	20,305				\$0.00 \$0.19 \$1.52
Vacation (W)	2	\$0.44	80	814				
Holiday (D)	6	\$0.53	48	977				\$1.43 So.43
Sick/Personal (D)	5	\$0.22	40	407	400			\$0.03
Jury Duty (D)	0	\$0.00	-	-	\$10.9			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Bereavement (D)	0	\$0.00		-				
Total Compensable Non-Productive		***		7.400				S0.69
Work Time		\$1.19	168	<b>2,199</b> 350			10000	<b>.</b>
Uniforms	3	\$0.19 \$0.00		330				
Transportation	0	\$0.00					ii	
Meals Total	0	\$0.00		350				
Taxes	12.50%	\$1.52		2,813			TDM	_¢1
Workers Comp	3.49%	\$0.43		785				=\$15.04
Total	0,,,,,,	\$1.95		3,598			Inc	reased
Trust Fund Report			<u> </u>				fron	1 2016
Health & Welfare-		\$0.03	,	-				94%
Pension		\$0.69		1,278			1.	7170
Total		\$0.72		1,331	≖ Base Pay & OT		<b>≖</b> Holiday	₹ Parsonal/Sick
Total Real Wage Per Productive					# Jury Duty	Bereavement	■ Uniforms	™ Taxes
Hour		\$15.04		27,783	■ Workers Comp	■ Health & Welfare	■ Pension	

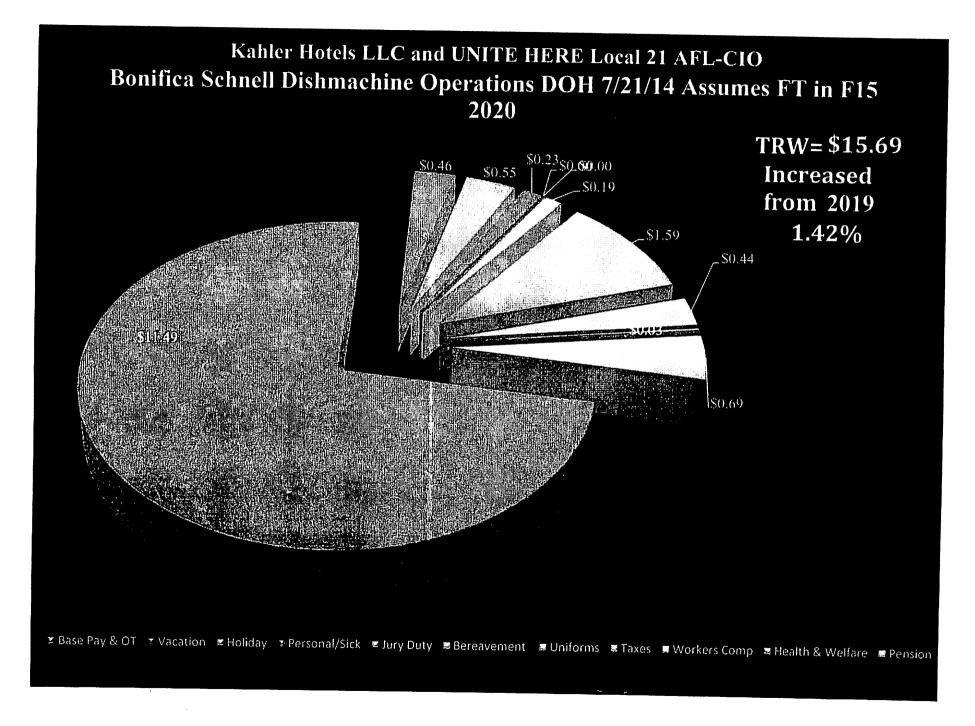


		Hourly	Total Annual	Total Annual	Kahler Hot	els LLC and UN	HTE HERE	Local 21 AFL		
	2018	Rate	Hours	Cost	remier frot					
Base Pay-		\$10.33	1,952	19,757		CI				
Vacation (W)		\$3.50	•		Bonifica Schnell Dishmachine Operations DOH 7/21/14 Assumes FT in F15					
Lunch 30min/8hrs			122			201	18			
Rest 15min/4hrs			122			\$0	.45 \$0.54 So.	\$6.00 \$6.00		
Total Compensable Productive Work Time		\$11.16	1,885	20,609				\$0.19		
Vacation (W)	2	\$0.45	40	827				\$1.55		
Holiday (D)	6	\$0.54	48	992				\$0.43		
Sick/Personal (D)		\$0.34	40	413				S0.03		
Jury Duty (D)	0	\$0.00		415	Allega					
	0	\$0.00								
Bereavement (D)	U	JU.UU								
Total Compensable								\$0.69		
Non-Productive								<b>7</b>		
Work Time		\$1.21	128	2,232						
Uniforms	3	\$0.19		350						
Transportation		\$0.00		-						
Meals	0	\$0.00		-						
Total		\$0.19		350			74			
Taxes	12.50%	\$1.55		2,855			TRW=	\$15.25		
Workers Comp	3.49%	\$0.43		797				eased		
Total		\$1.98		3,652						
Trust Fund Report							from	2017		
Health & Welfare-		\$0.03		-				2%		
Pension		\$0.69		1,278			1.1	70		
Total		\$0.72		1,332	🗷 Base Pay & OT	∀acation	# Holiday	# Personal/Sick		
Total Real Wage Per Productive					罵 Jury Duty	■ Bereavement	<b>■ Uniforms</b>	≅ Taxes		
Hour		\$15.25	-	28,176	■ Workers Comp	■ Health & Welfare  ■ Health & Welfare	■ Pension			

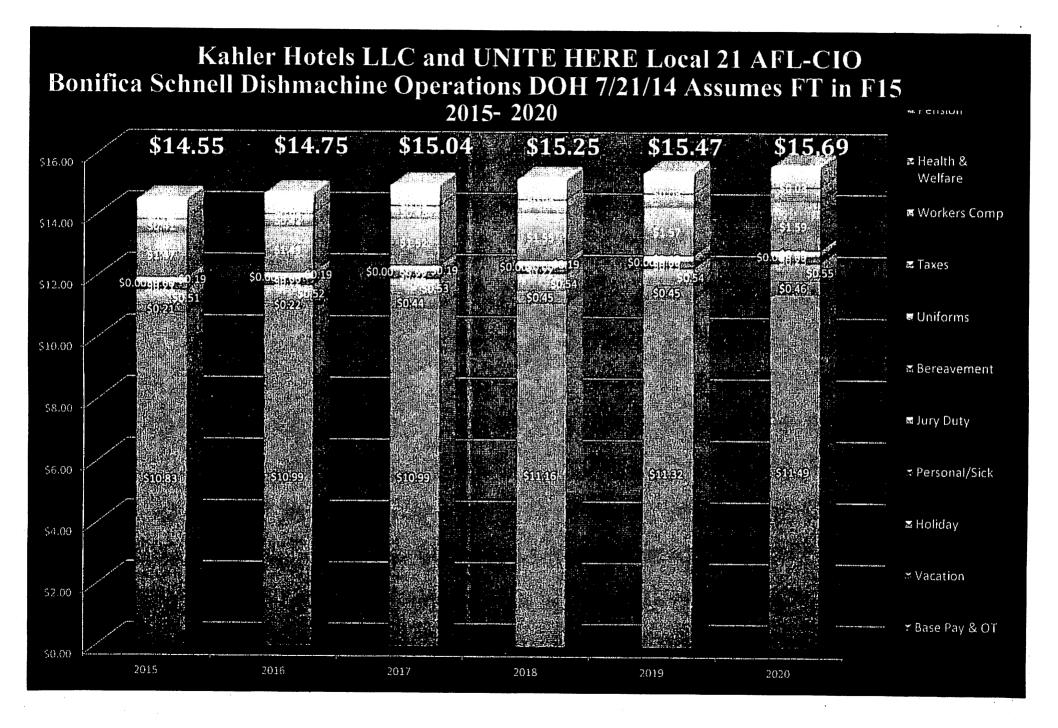


	I		Total	
		Hourly	Annual	<b>Total Annual</b>
	2019	Rate	Hours	Cost
Base Pay-		\$10.49	1,952	19,579
Vacation (W)		\$3.50	•	•
Lunch 30min/8hrs			122	
Rest 15min/4hrs			122	
Total Compensable Productive Work	,			
Time		\$11.32	1,885	20,406
Vacation (W)	1	\$0.45	40	401
Holiday (D)	6	\$0.54	48	963
Sick/Personal (D)	5	\$0.23	40	401
Jury Duty (D)	0	\$0.00		•
Bereavement (D)	0	\$0.00		-
Total Compensable Non-Productive Work Time		\$1.23	128	1,765
Uniforms		\$0.19		350
Transportation		\$0.00		
Meals	0	\$0.00		
Total		\$0.19		350
Taxes	0.125	\$1.57		2,771
Workers Comp	0.0349	\$0.44		774
Total		\$2.01		3,545
Trust Fund Report				
Health & Welfare-		\$0.03		-
Pension		\$0.69		1,303
Total		\$0.72		1,354
Total Real Wage Per Productive	· .	<b>A</b>		
Hour	<u> </u>	\$15.47	•	27,420

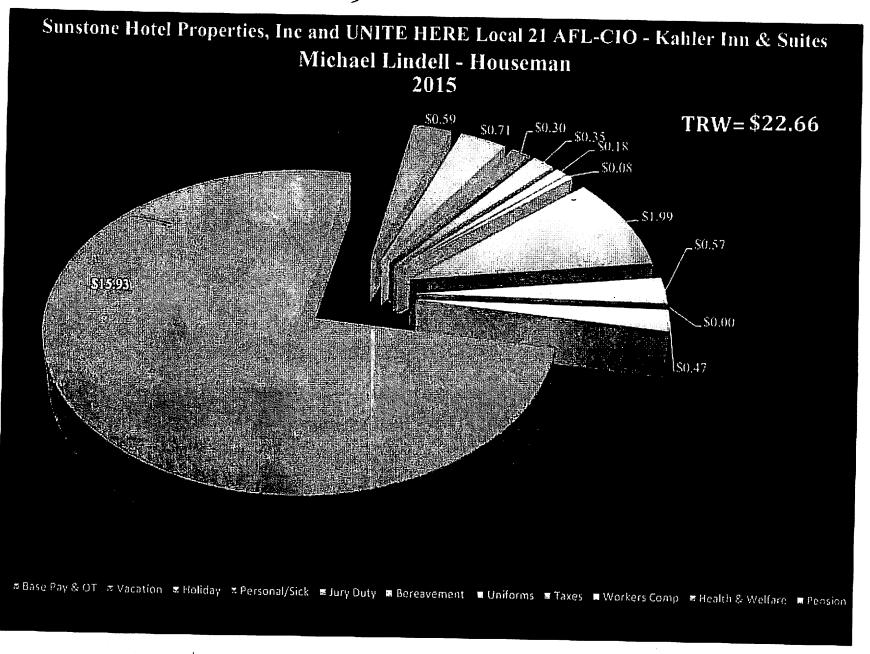


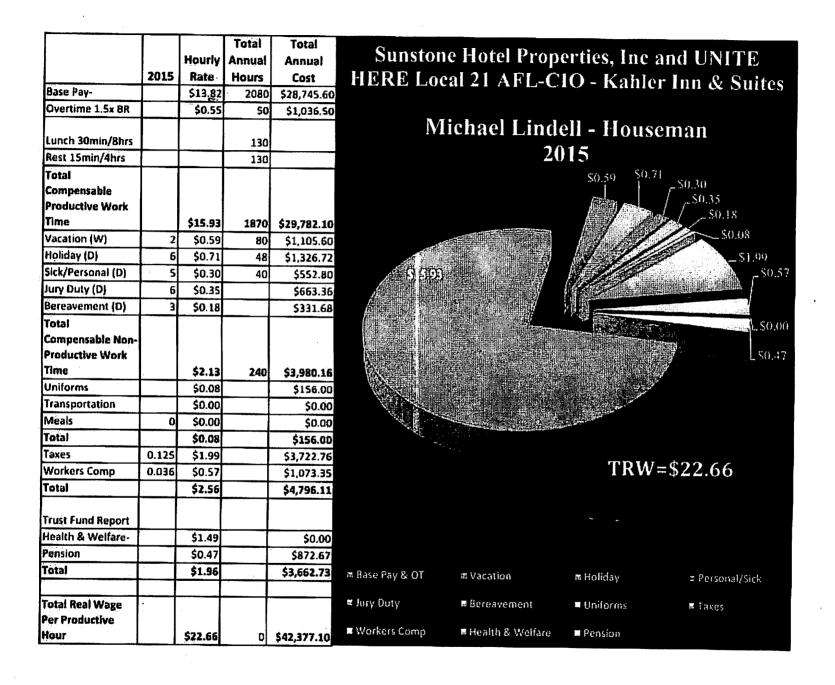


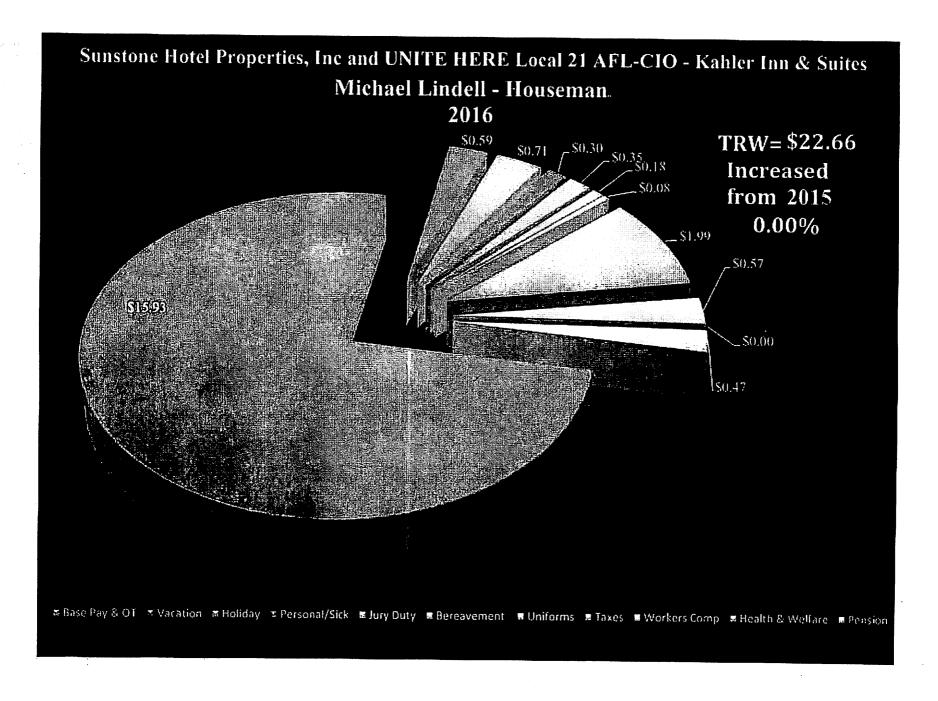
			Total Annual	Total Annual				
	2020	<b>Hourly Rate</b>	Hours	Cost	Kahler Hot	els LLC and	UNITE F	HERE Local 21 AFL-
Base Pay-		\$10.65	1,952	19,579			CIO	
Vacation (W)		\$3.50	•		Bonifica S			/21/14 Assumes FT in F15
Lunch 30min/8hrs			122					
Rest 15min/4hrs			122			•	2020 s	80.55 en 22
1000 13111117 41113	·		122				50.46	\$0.55 \\ \[ \sum_{-50.00} \]
Total Compensable								
Productive Work							19.19	\$0.19
Time		\$11.49	1,885	20,406				\$1.59
Vacation (W)	1	\$0.46	40	401				
Holiday (D)	6	\$0.55	48	963			11/1/	_S0.4-
Sick/Personal (D)		\$0.23	40	401				\$0.0
Jury Duty (D)		\$0.00			\$11,49		7119	
Bereavement (D)		\$0.00		-			1 1	
Total Compensable			:					
Non-Productive								180.6
Work Time		\$1.24	128	1,765			Silver State Spread	
Uniforms		\$0.19		350				
Transportation		\$0.00	ļ					
Meals	0	\$0.00		-			al forest p	Company of the Compan
Total		\$0.19		350	**************************************			
Taxes	0.125	\$1.59		2,771			•	ΓRW=\$15.69
Workers Comp	0.0349	\$0.44		774				
Total		\$2.04		3,545				Increased
Trust Fund Report								from 2019
Health & Welfare-		\$0.03		•				
Pension		\$0.69		1,303				1.42%
Total		\$0.72		1,354	≖ Base Pay & OT	<b>z</b> Vacation	■ Holida	t Personal/Sick
Total Real Wage					■ Jury Duty	■ Bereavement	■ Unifor	rms R Taxes
Per Productive								
Hour		\$15.69	-	27,420	Workers Comp	■ Health & Welfar	e <b>w</b> Pensio	on

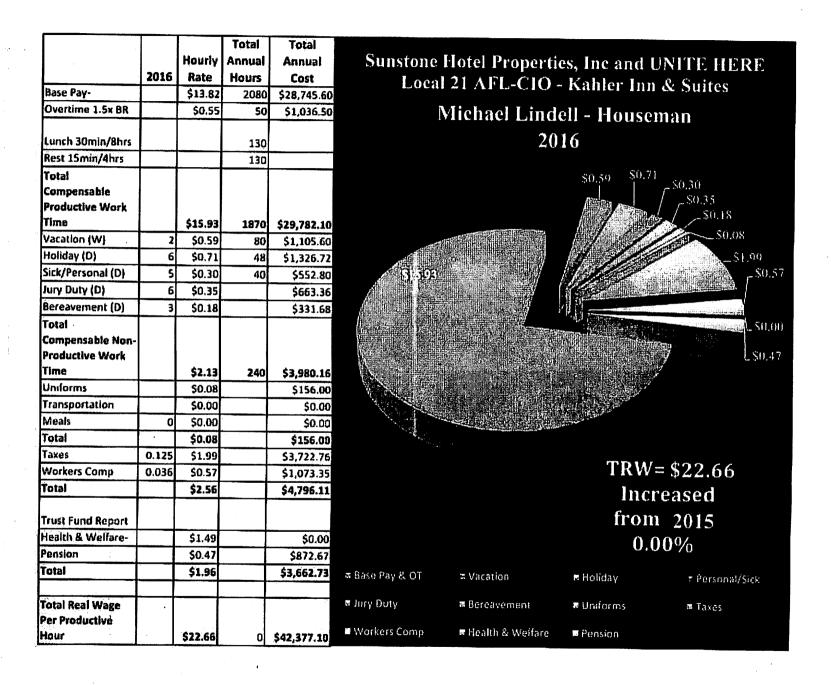


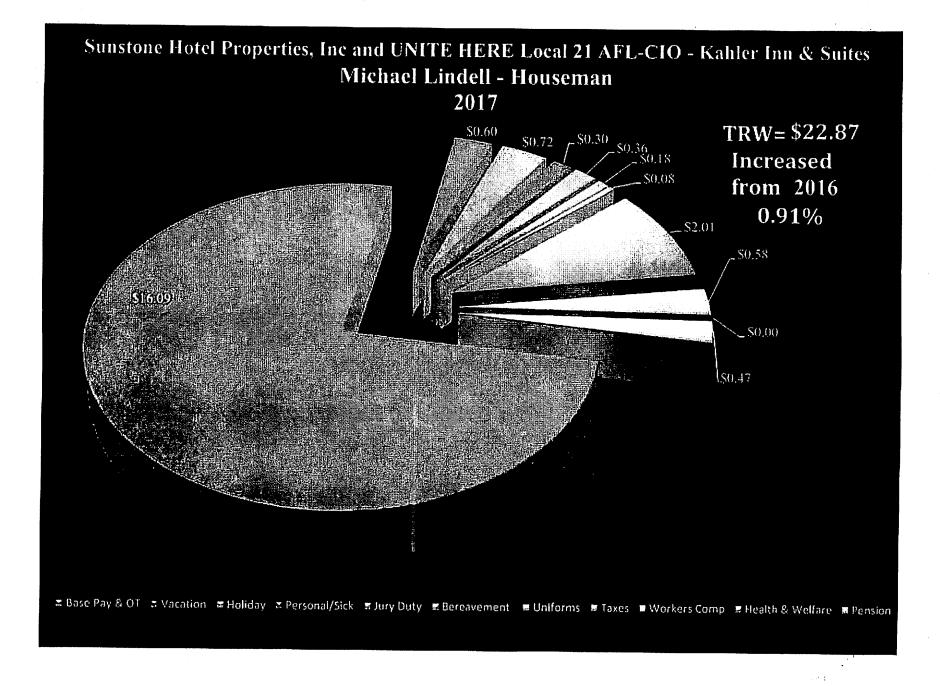
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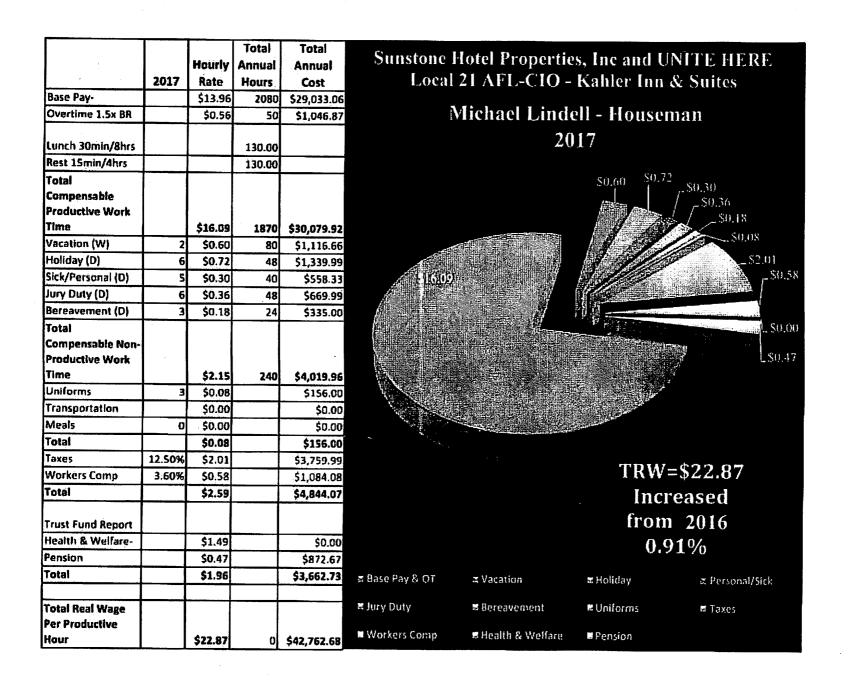


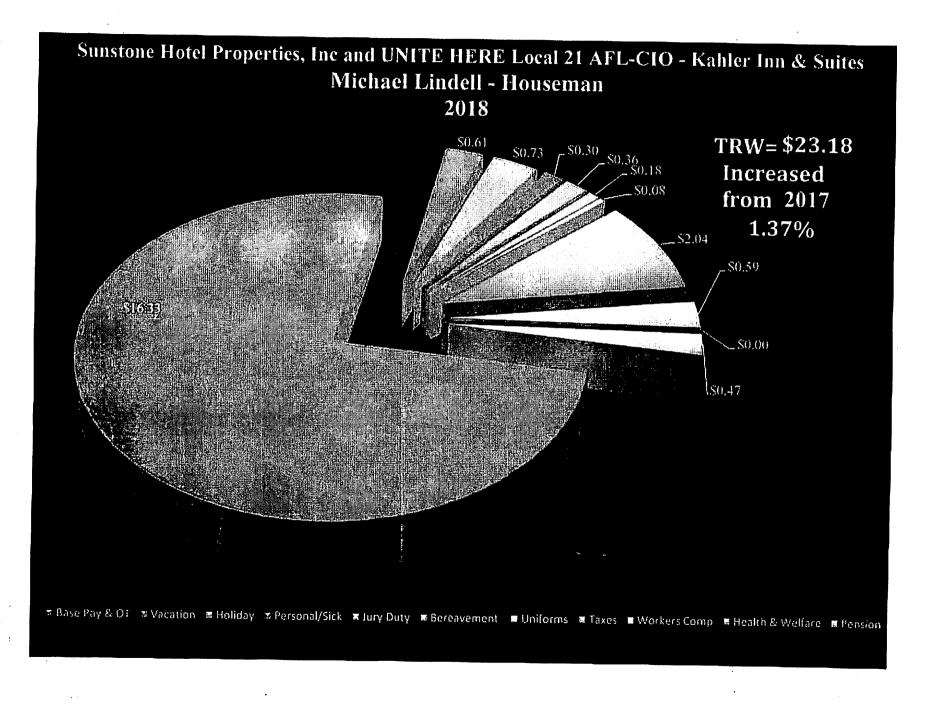


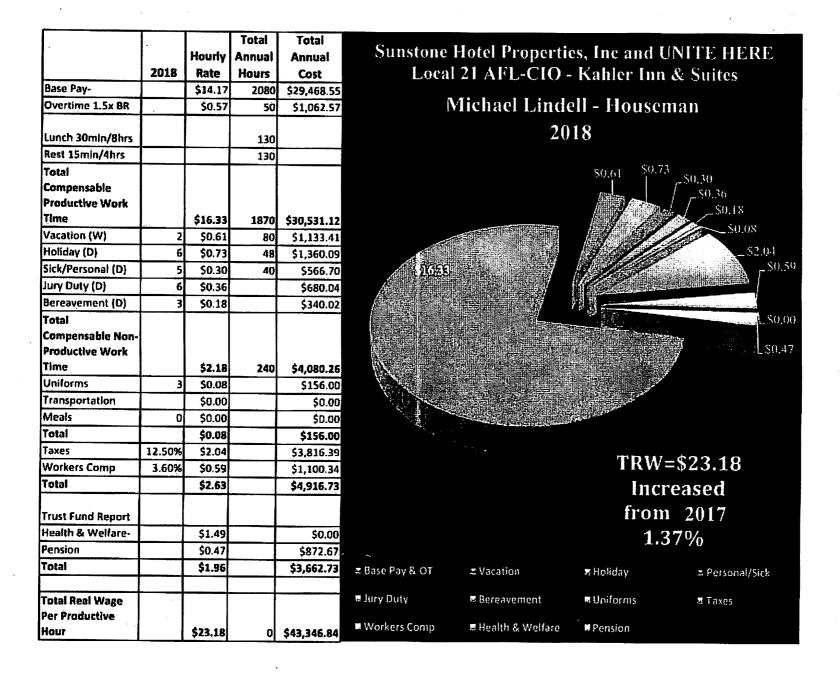


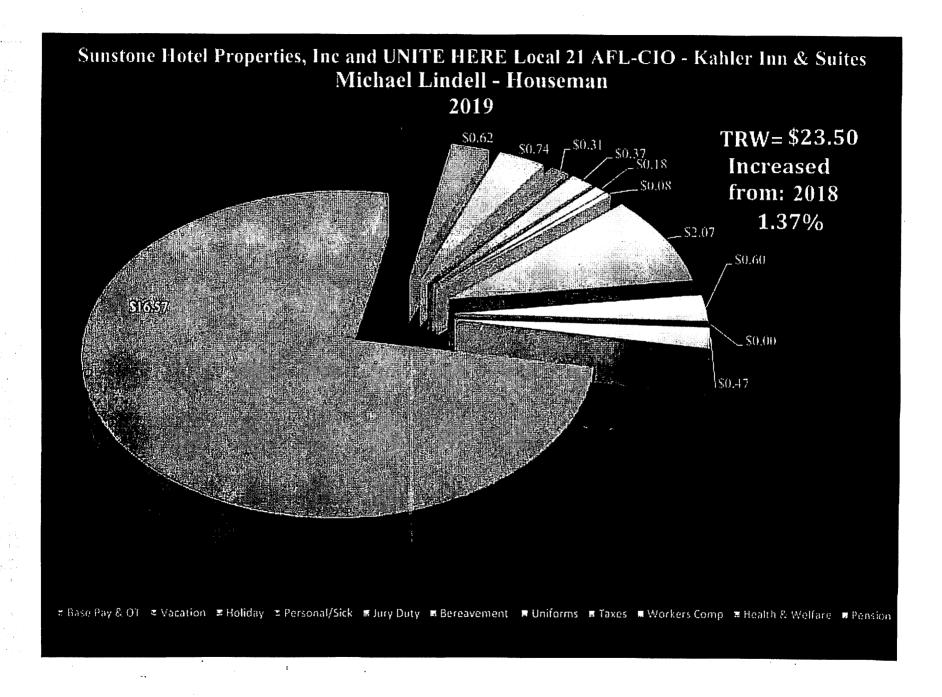




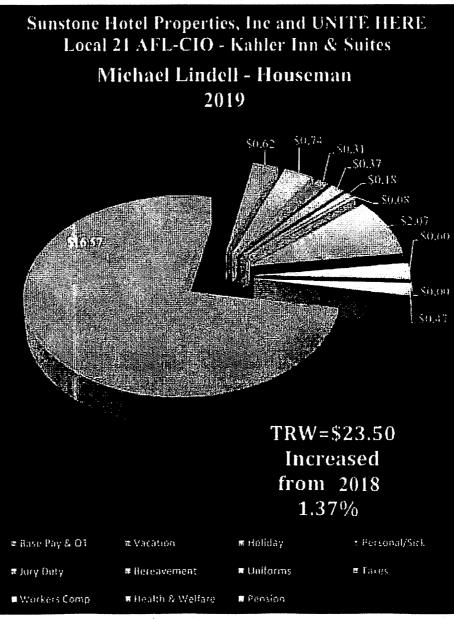


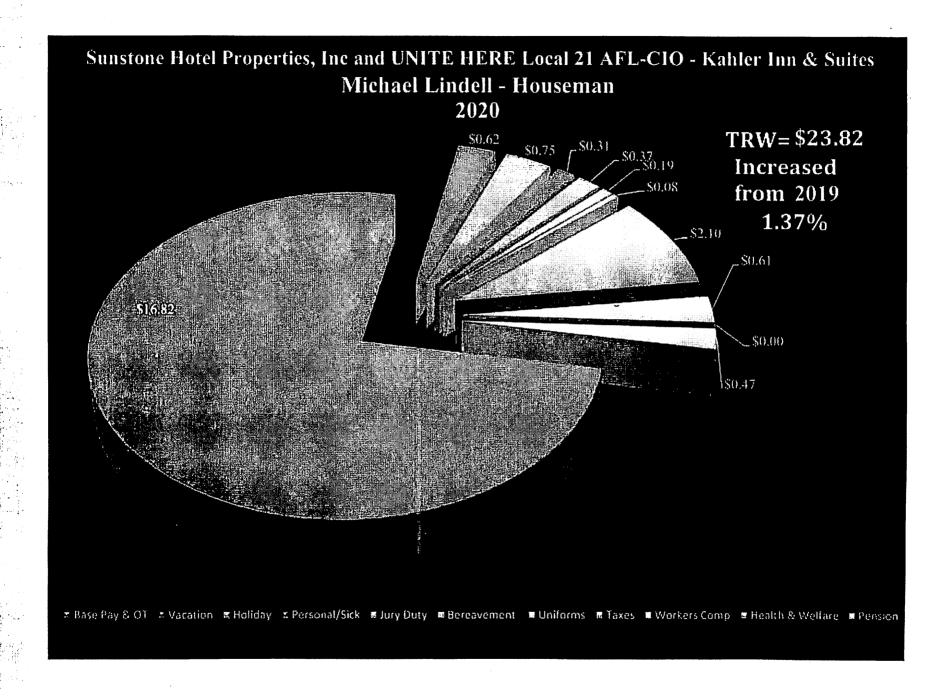


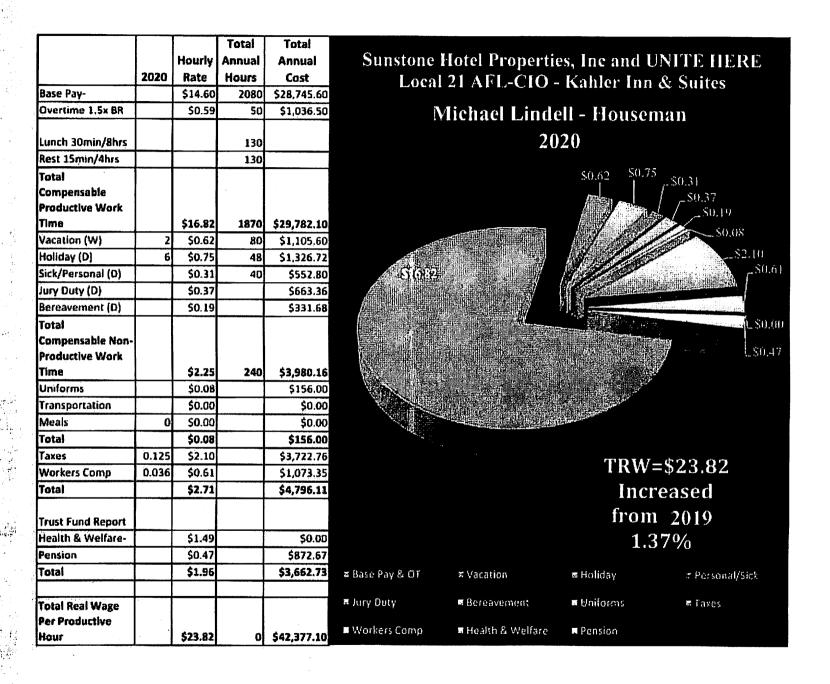


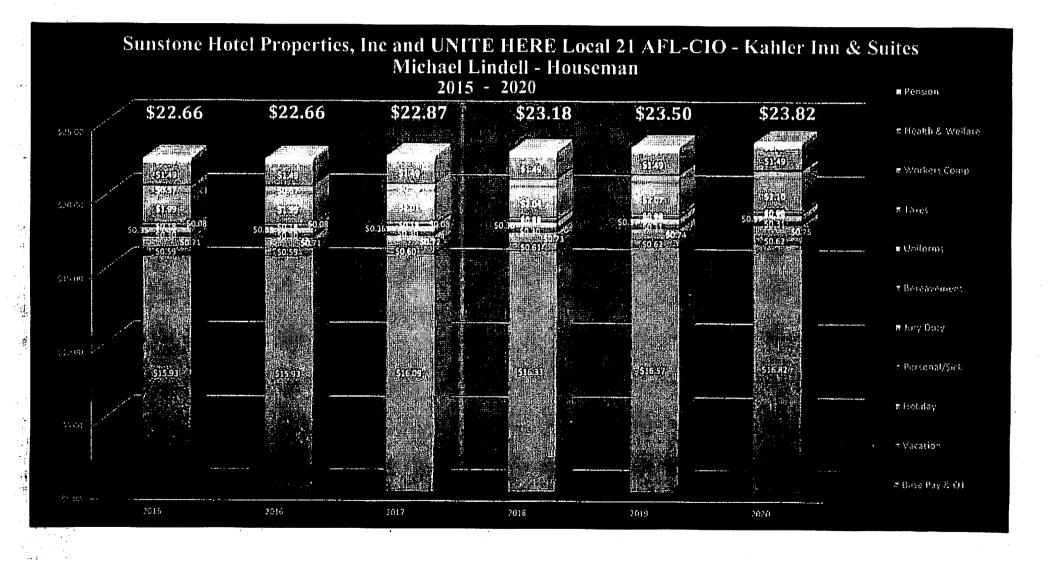


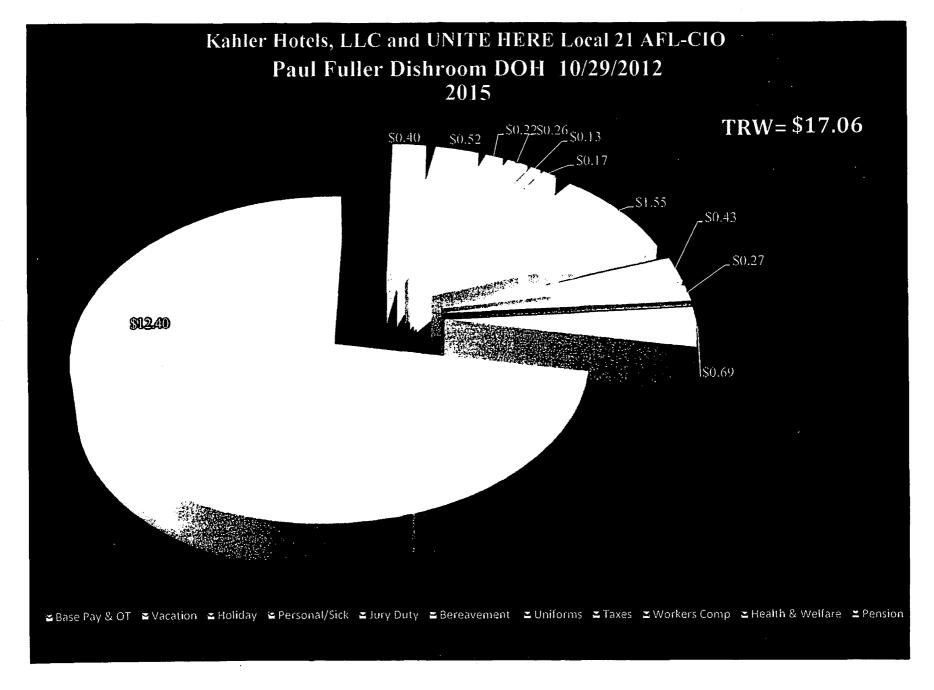
	2019	Hourly Rate	Total Annual Hours	Total Annual Cost	Su
Base Pay.		\$14.38	2080	\$28,745.60	
Overtime 1.5x BR		\$0.58	50	\$1,036.50	
Lunch 30mm/8hrs			130		
Rest 15min/4hrs		-	130		
Total Compansable Productive Work					
Tima		\$16.57	1870	\$29,782.10	
Vacation (W)	2	\$0.62	80	\$1,105.60	
Holiday (D)	6	\$0.74		\$1,326.72	
Sick/Personal (D)	5	\$0.31		\$552.80	d
Jury Duty (D)	6	\$0.37		\$663.36	
Bereavement (D)	- 3	\$0.18		\$331.68	植頭
Total Compensable Non- Productive Work		\$2.21	240	£1 000 1C	
Time		\$0.08		<b>\$3,980.16</b> \$156.00	\*
Uniforms		<b></b>		\$138.00	160
Transportation	<del> </del>	\$0.00 \$0.00		\$0.00	
Meals	0	\$0.08		\$156.00	
Total	0.135			\$3,722.76	
Taxes	0.175			\$3,722.76	
Workers Comp	0 036	\$2.67		\$4,796,11	
Total		\$2.07		\$4,750.11	
Trust Fund Report				4	
Health & Welfare-		\$1.49	<u> </u>	\$0.00	
Pension		\$0.47		\$872.67	
Total		\$1.96		\$3,662.73	≈ Base
Total Real Wage Per Productive		,	•		# Jury
Hour	ŀ	\$23.50	o	\$42,377.10	■ Wor

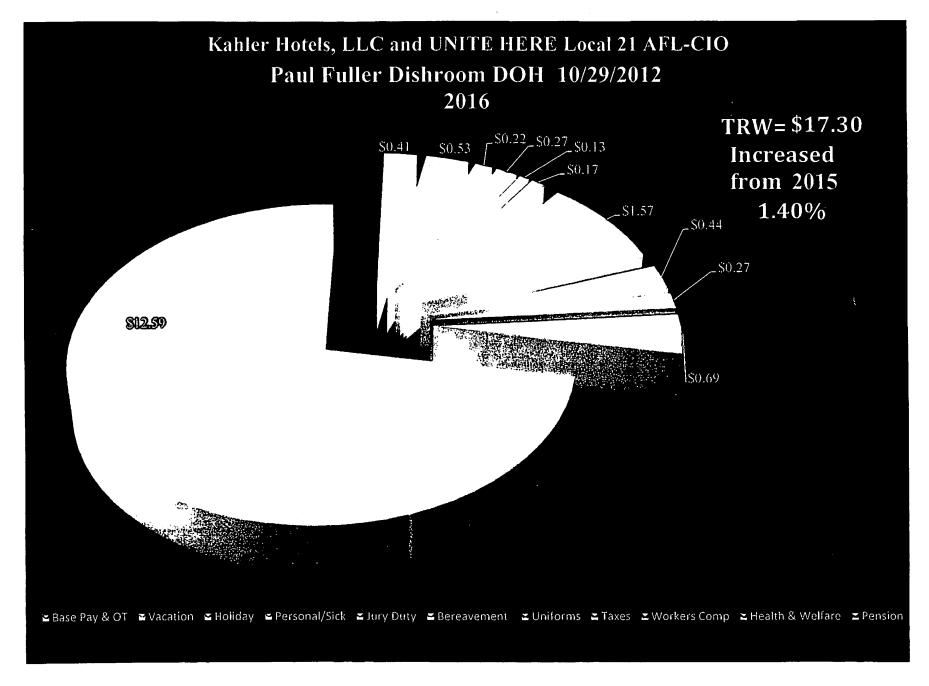


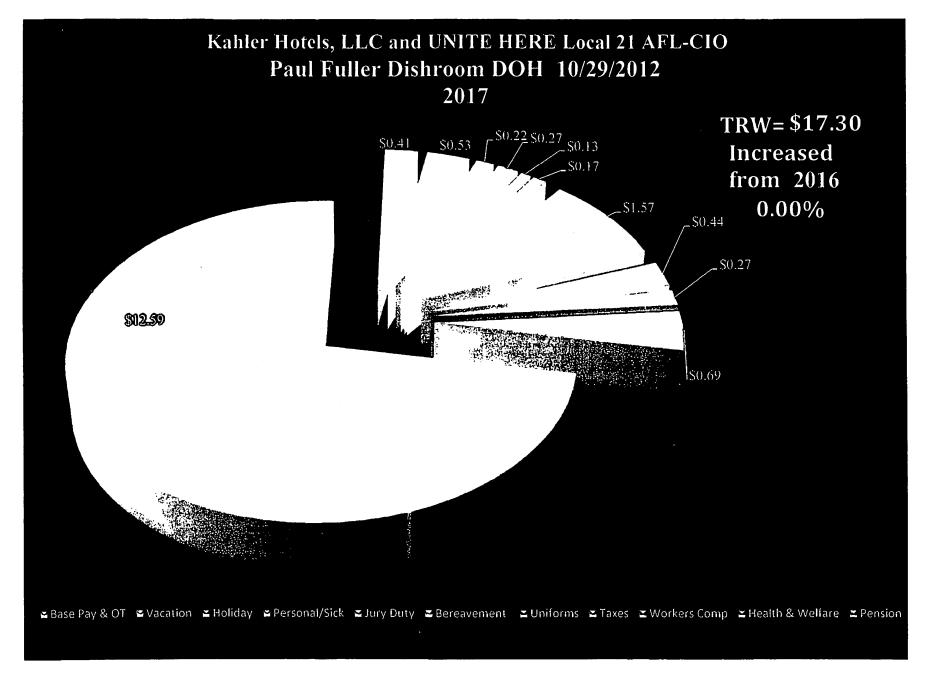


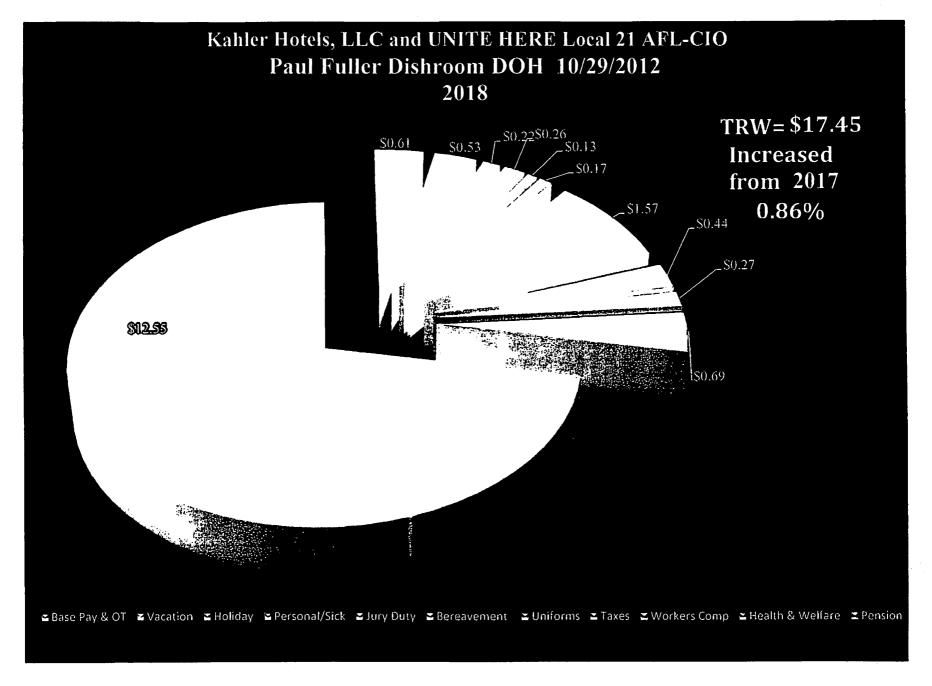


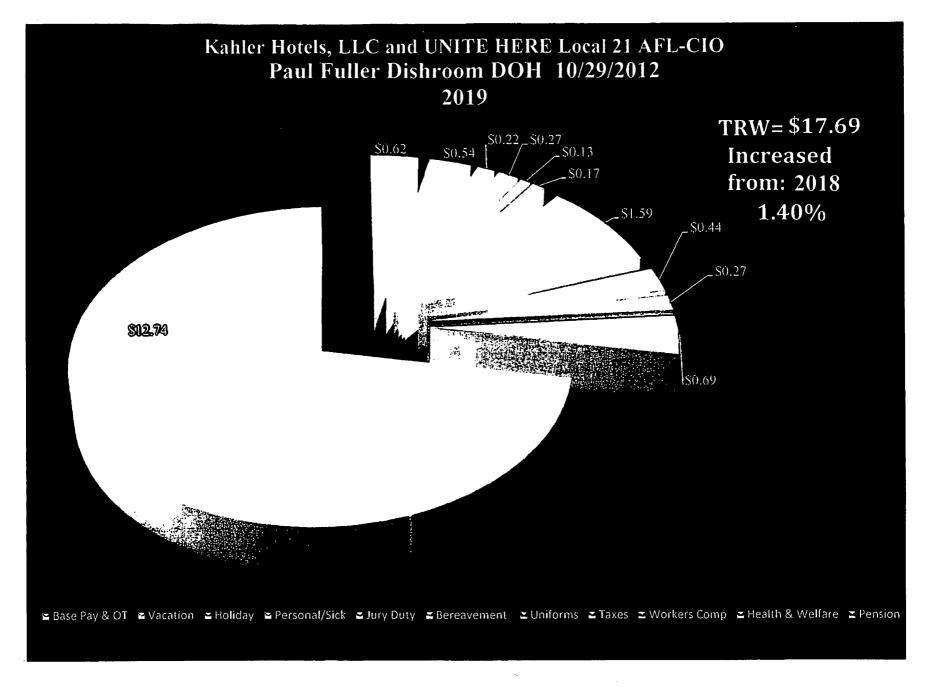


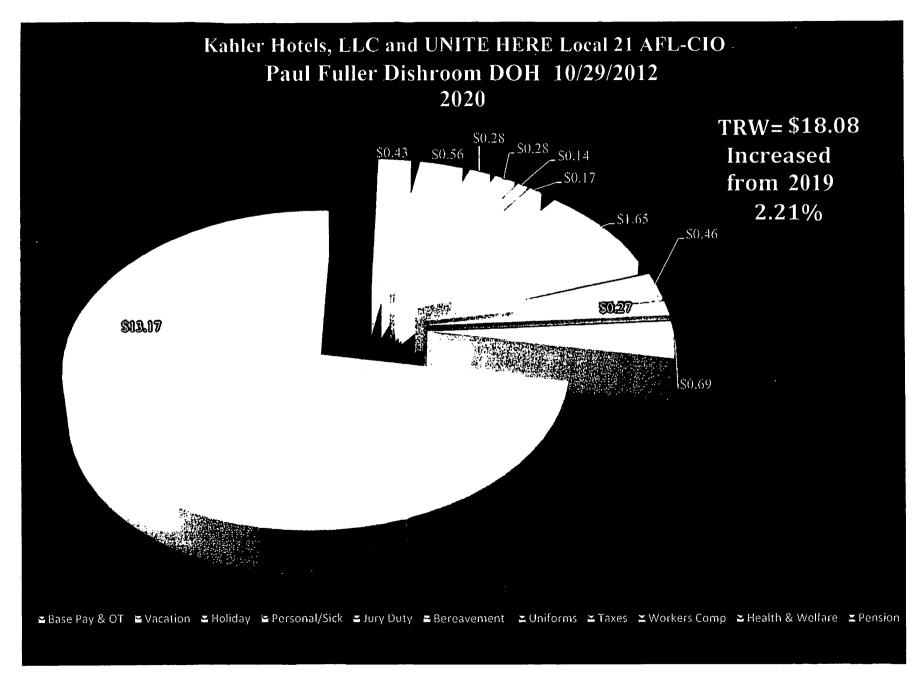


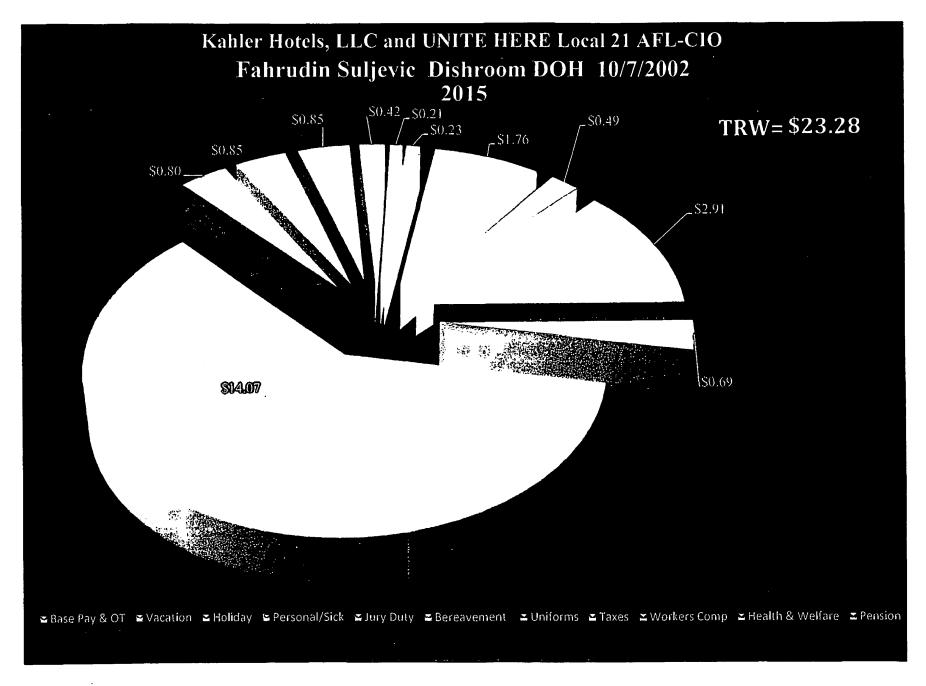


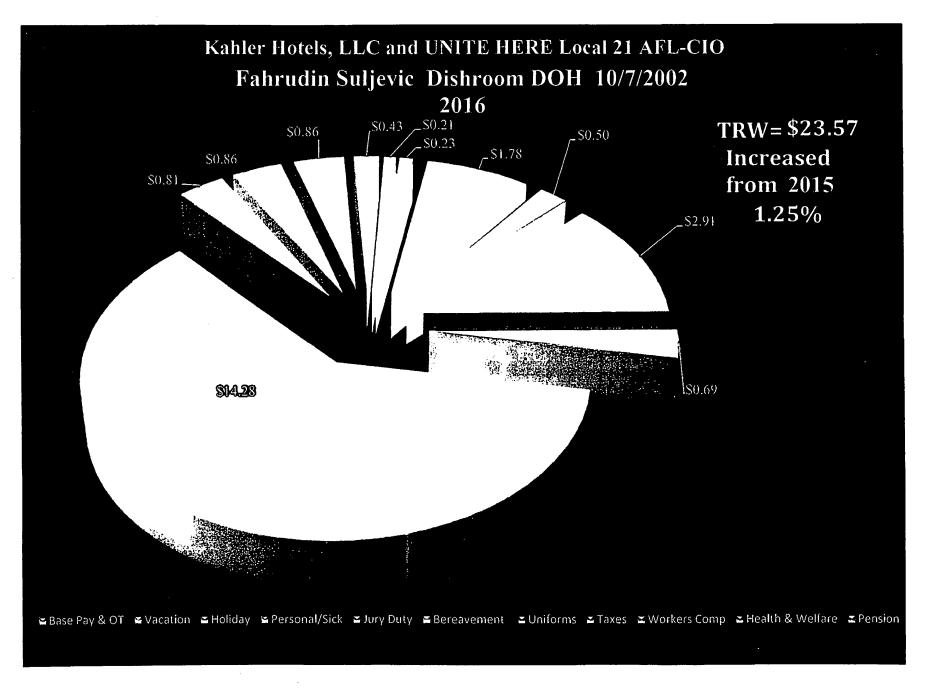


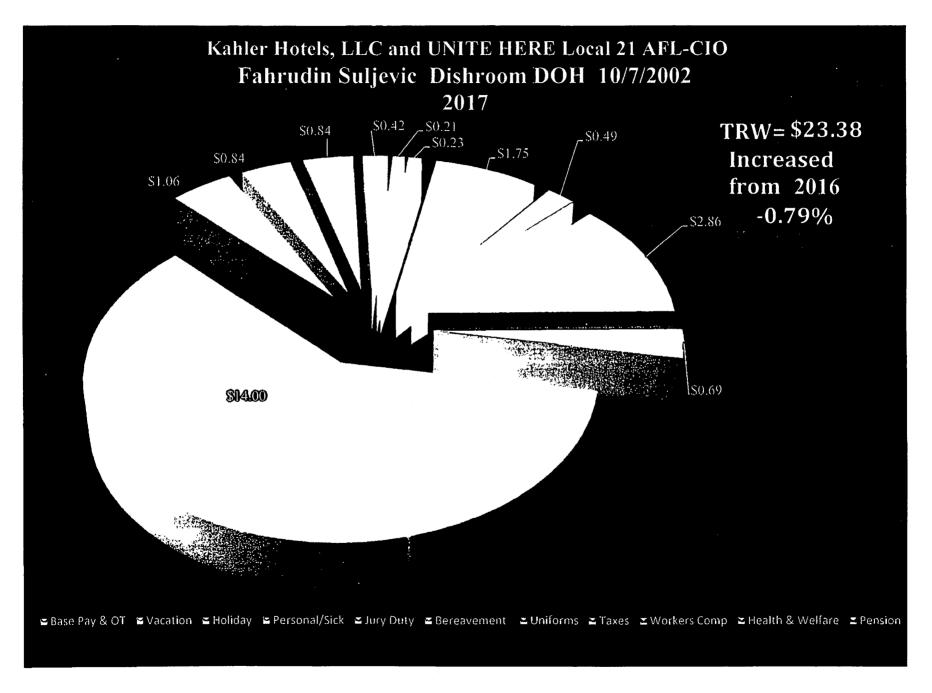


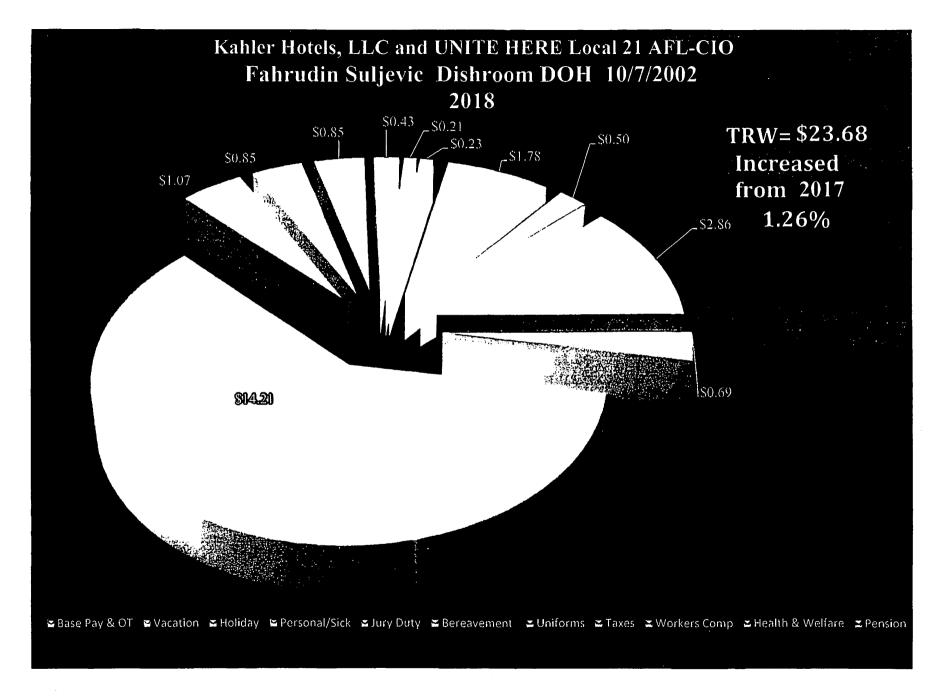


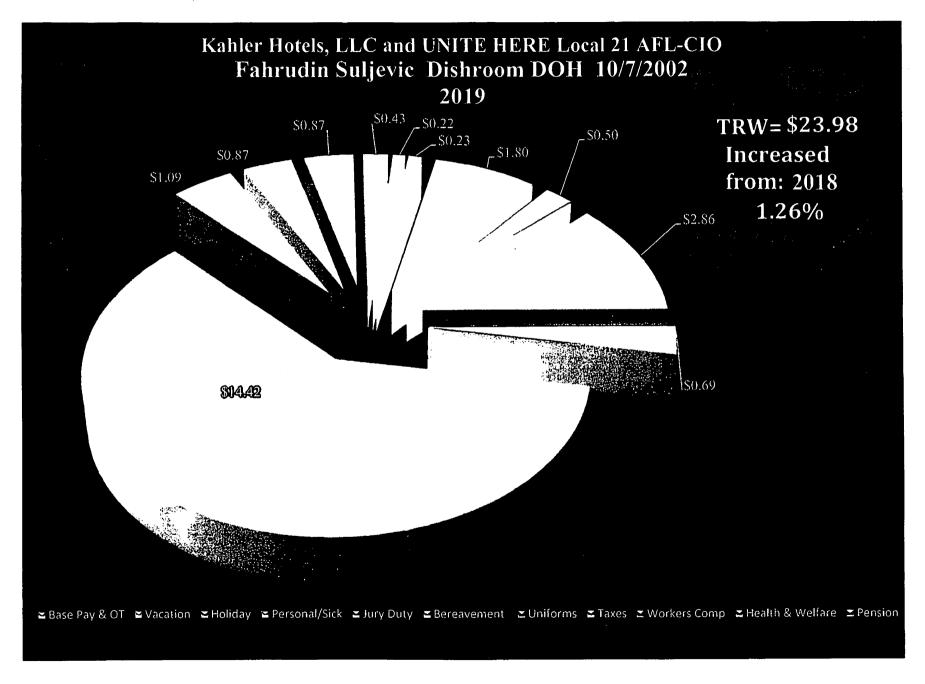


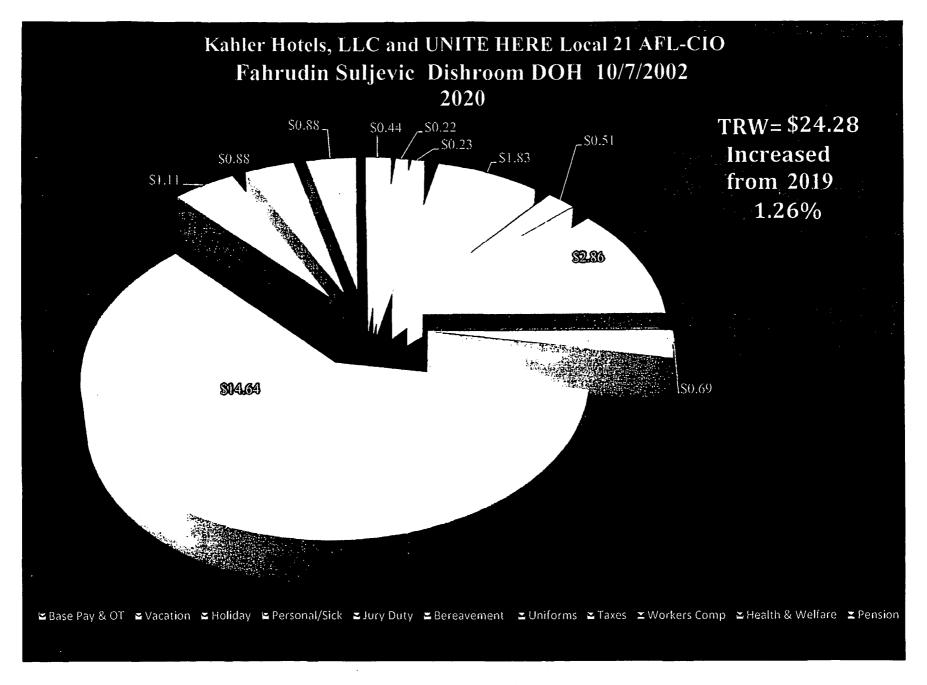


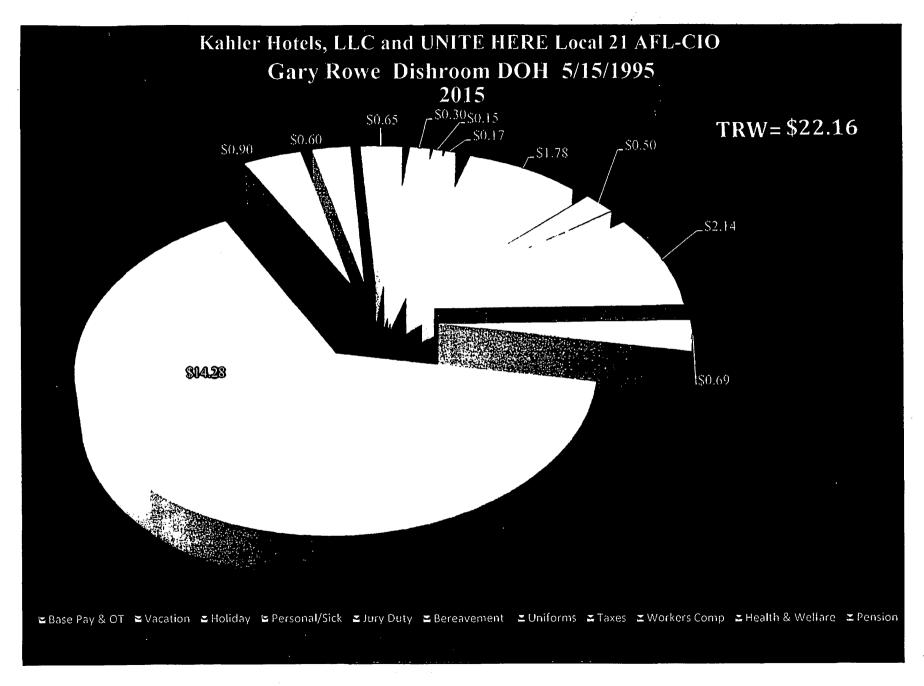


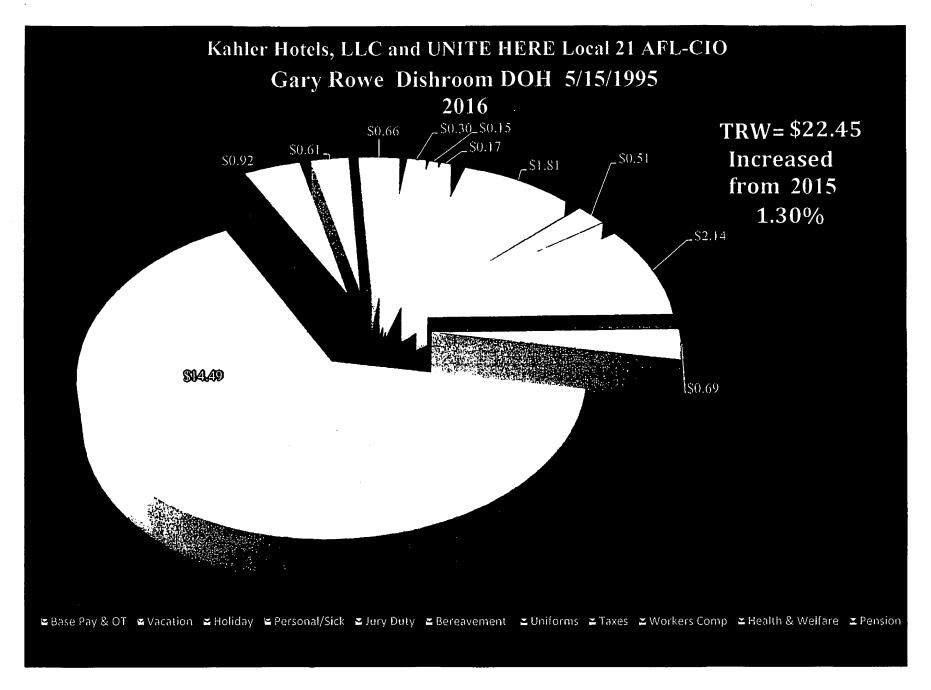


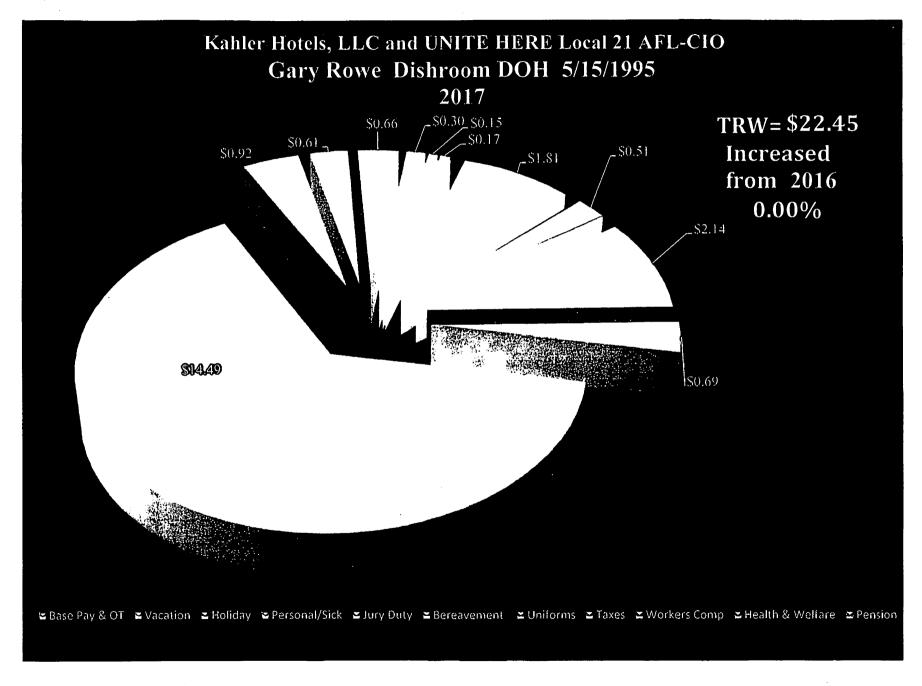


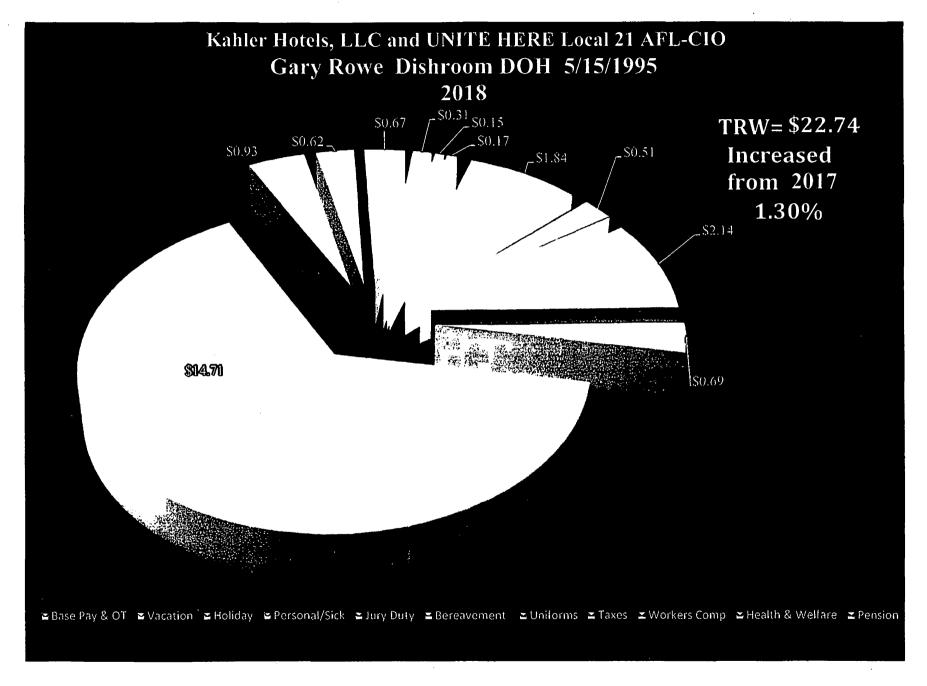


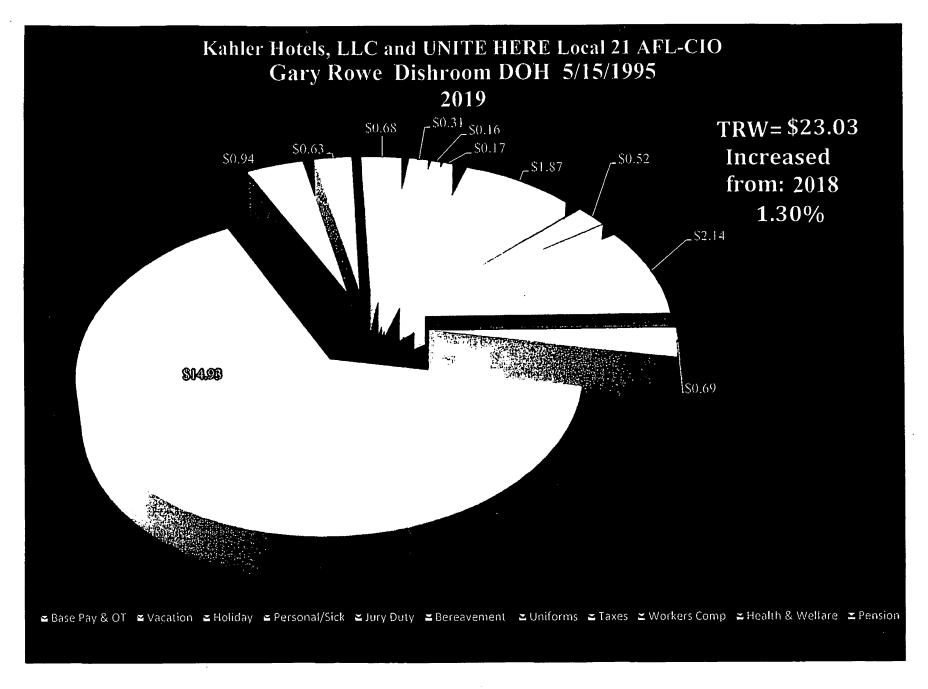


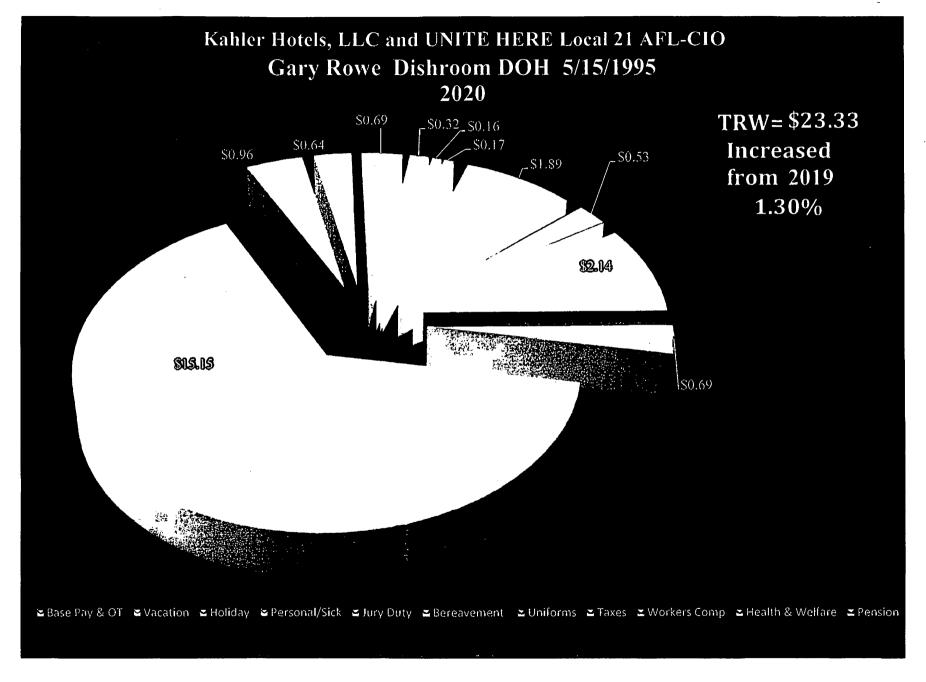


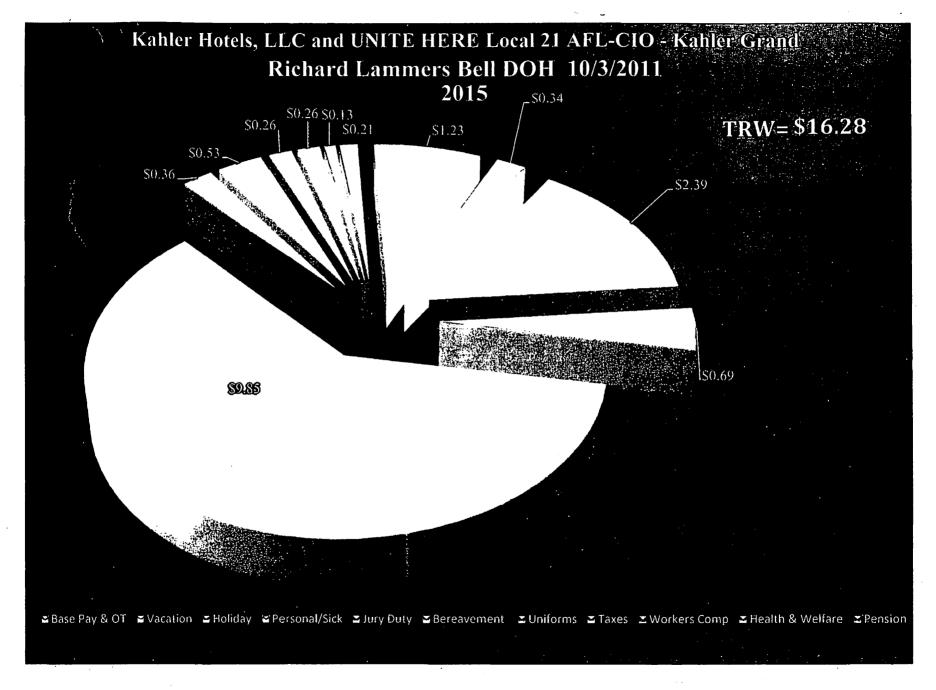


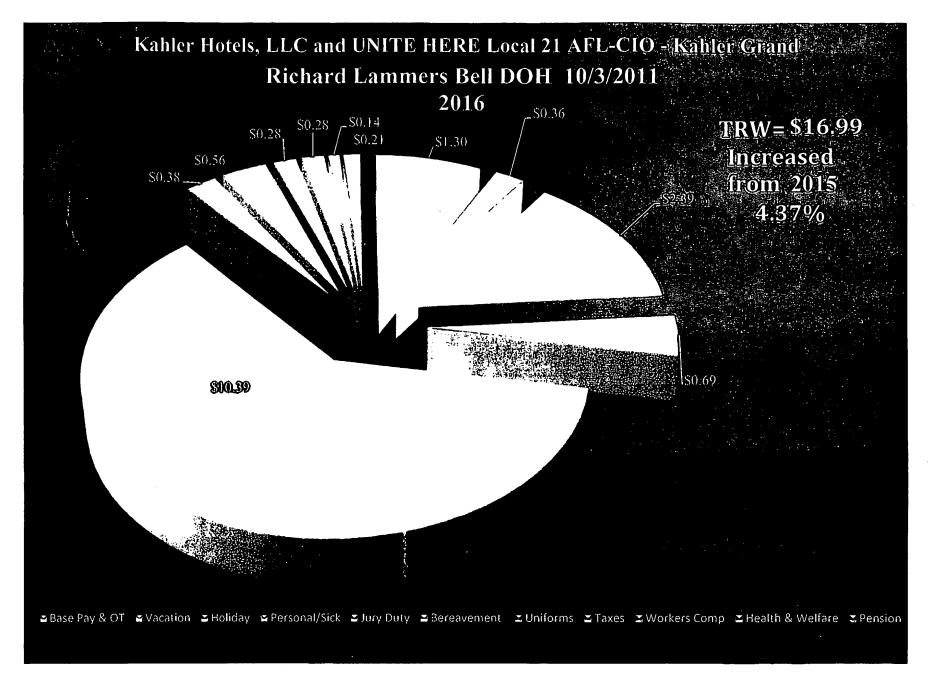


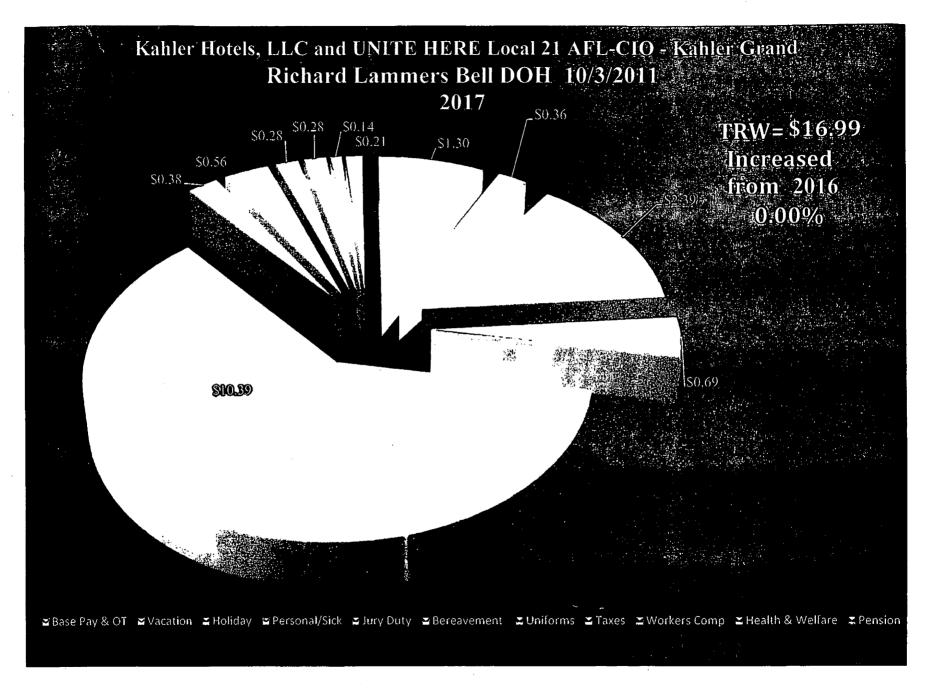


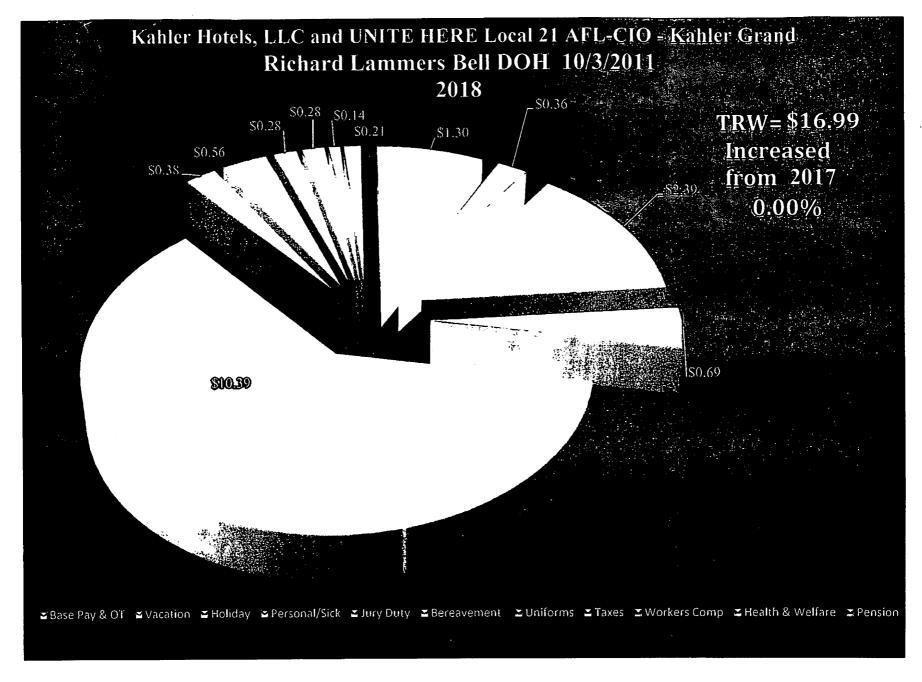


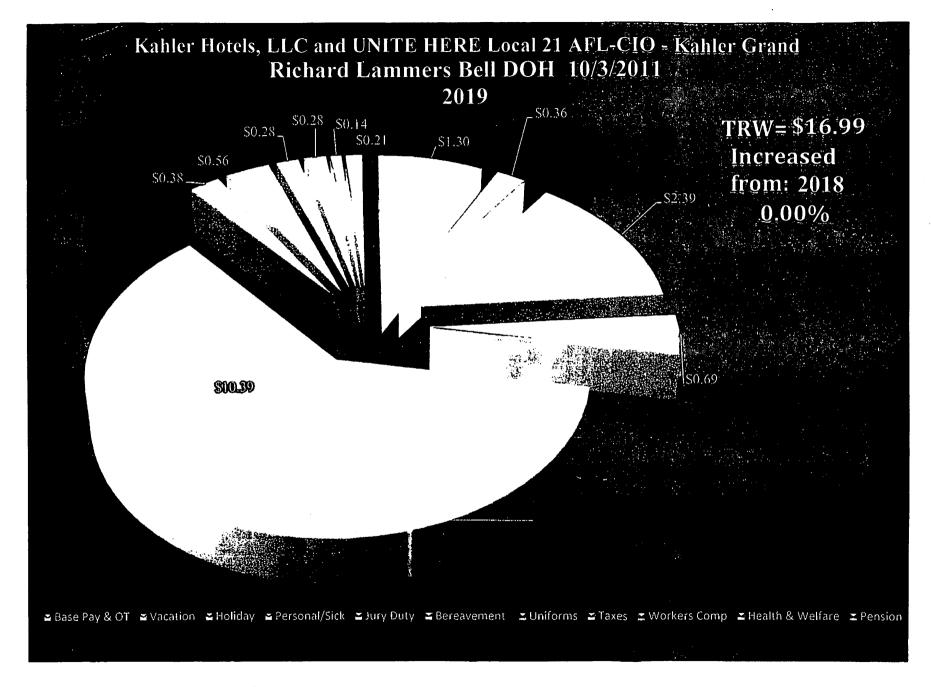


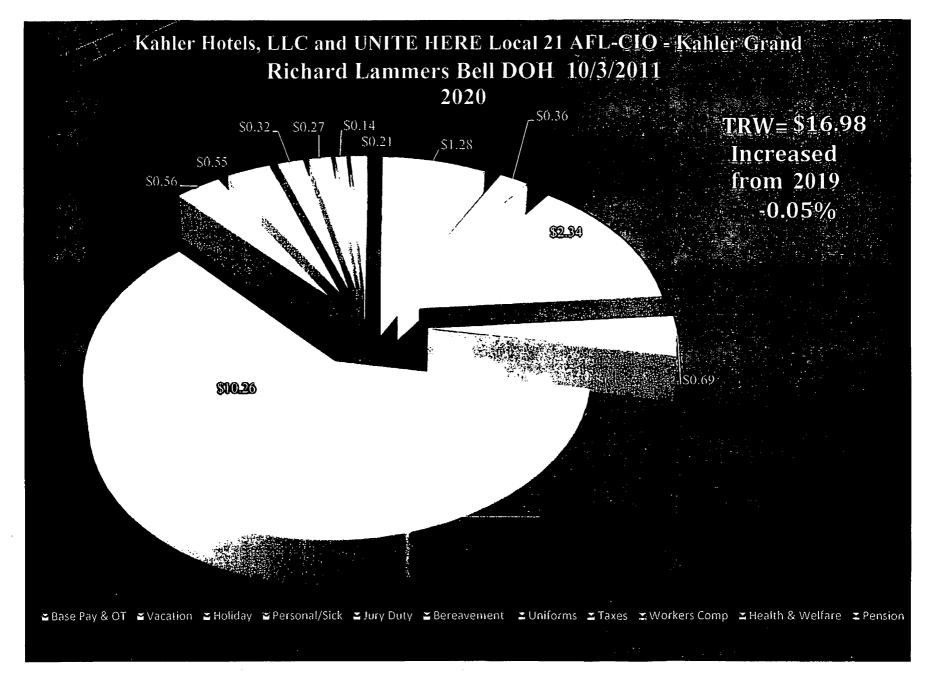


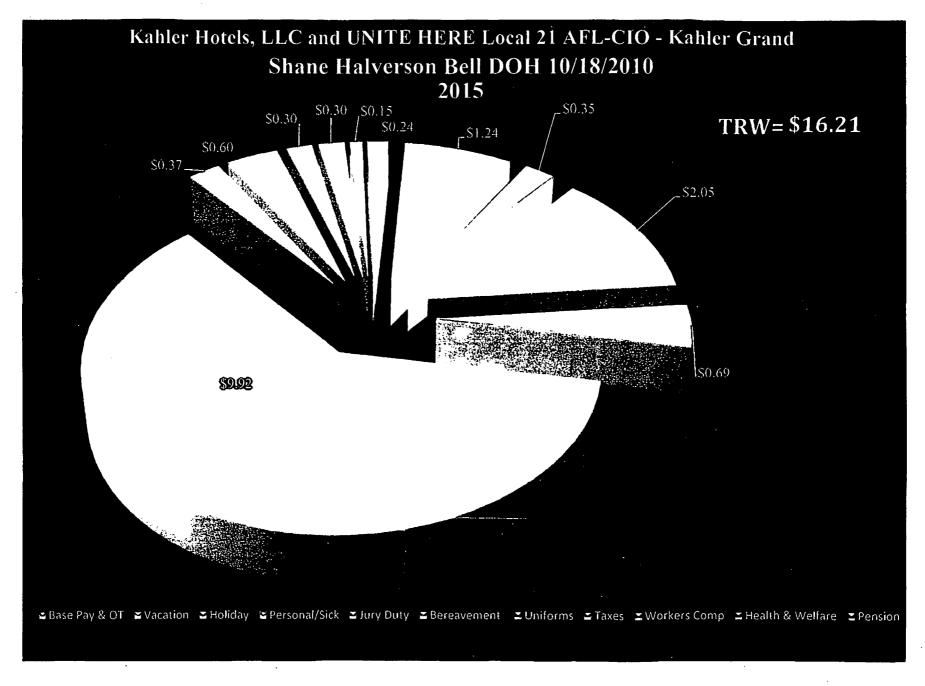


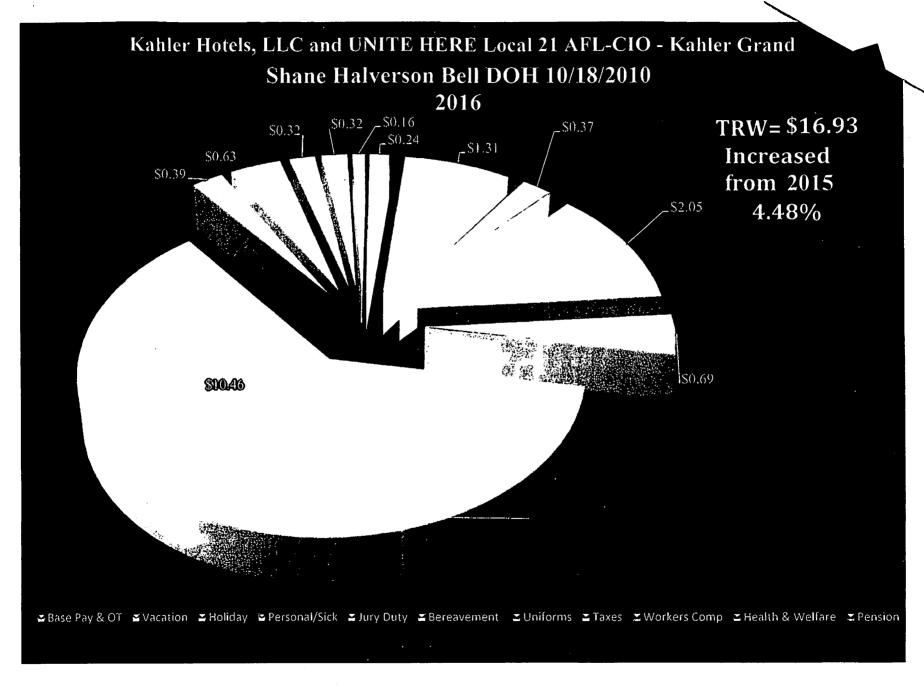


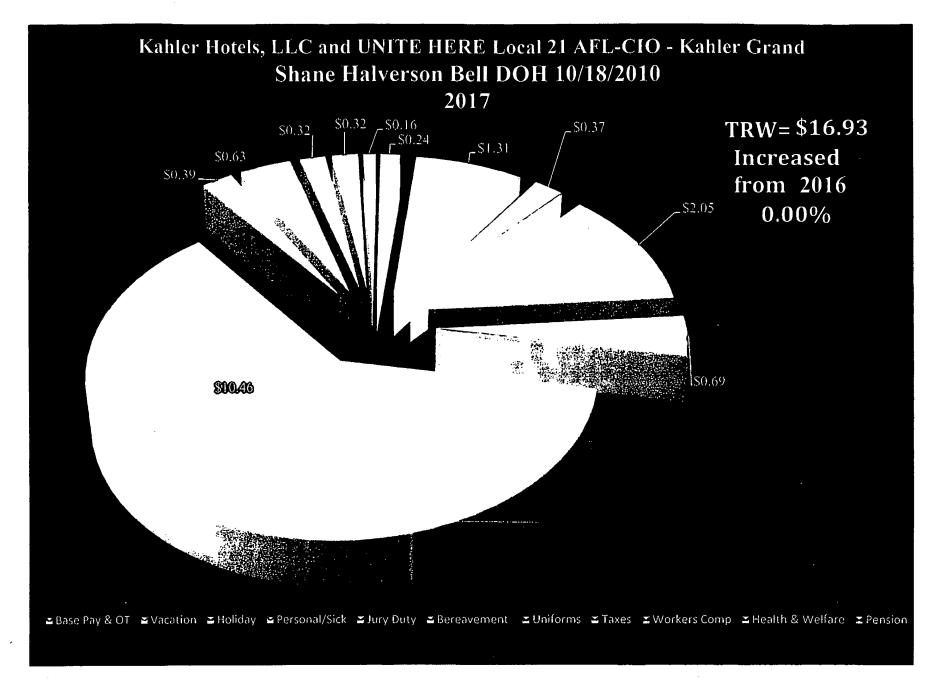


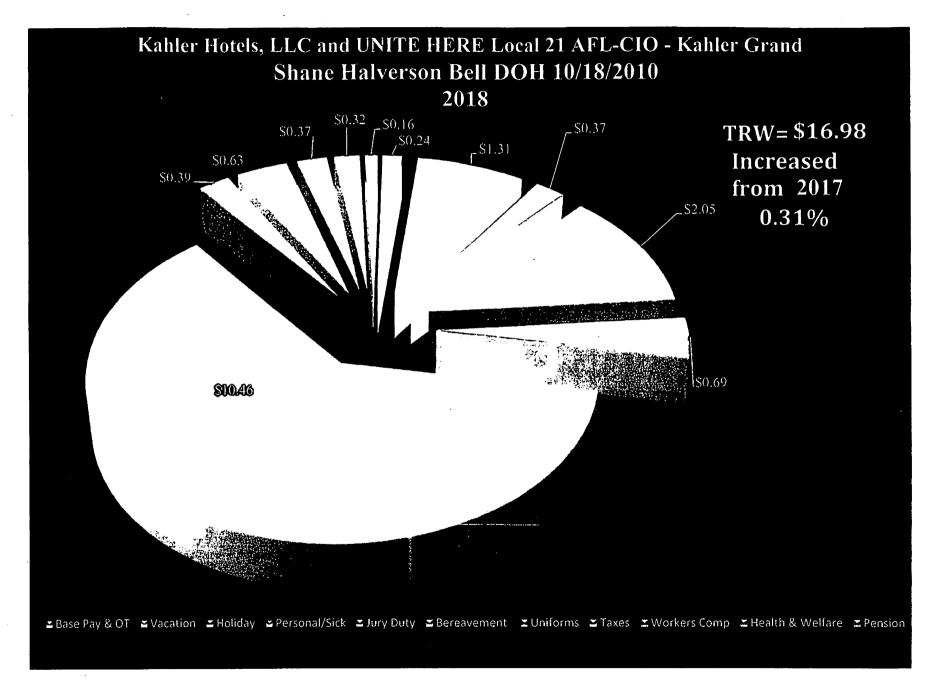


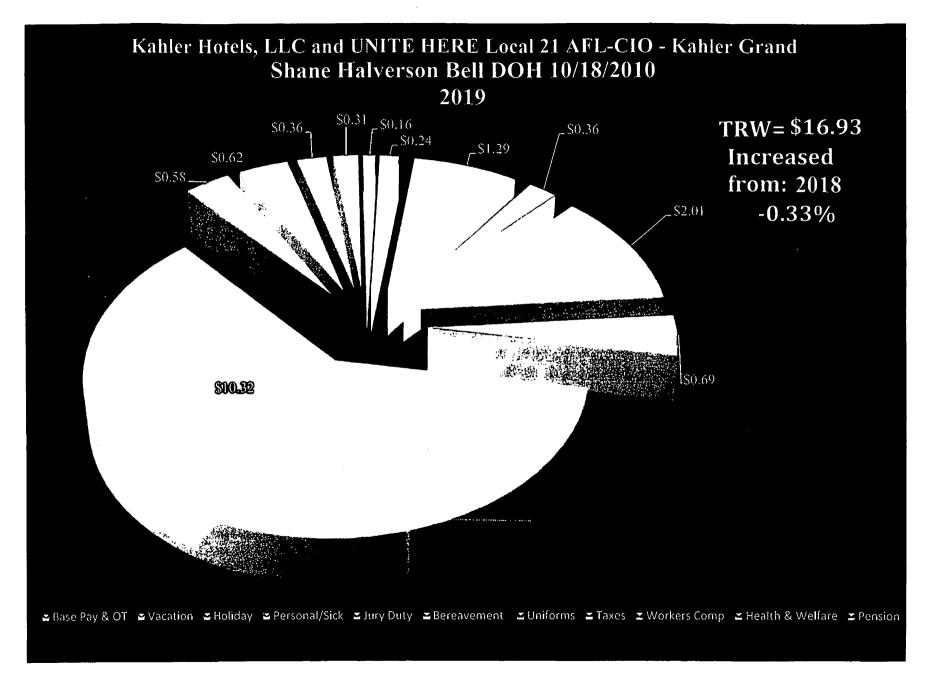


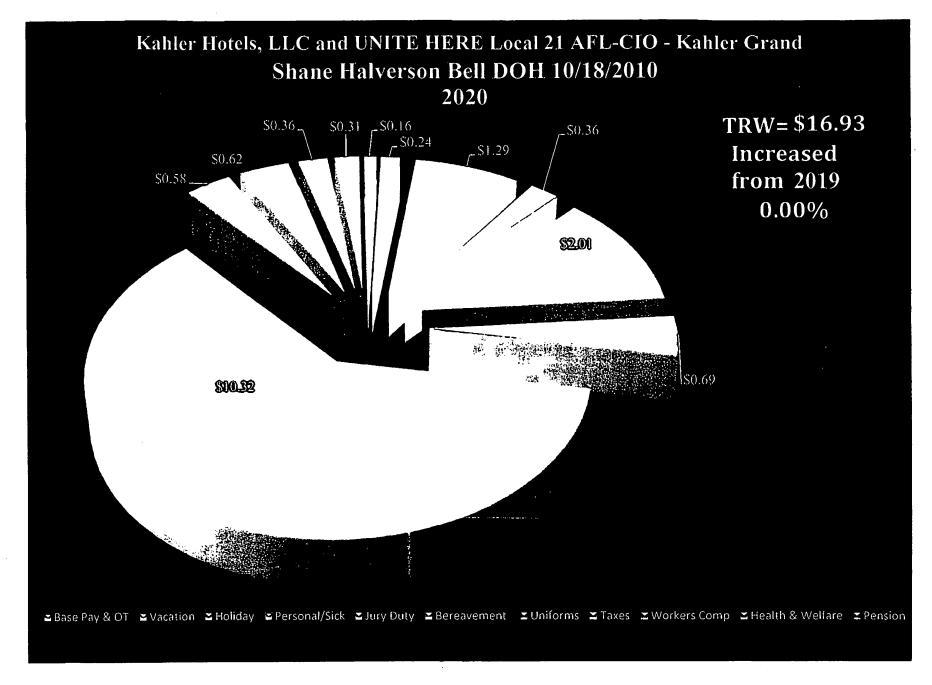


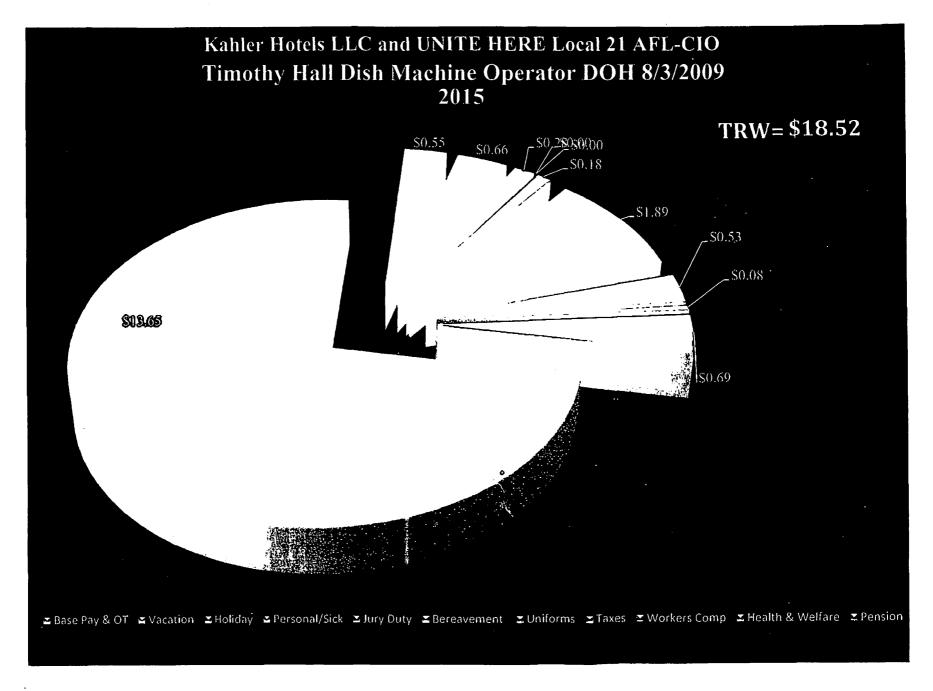




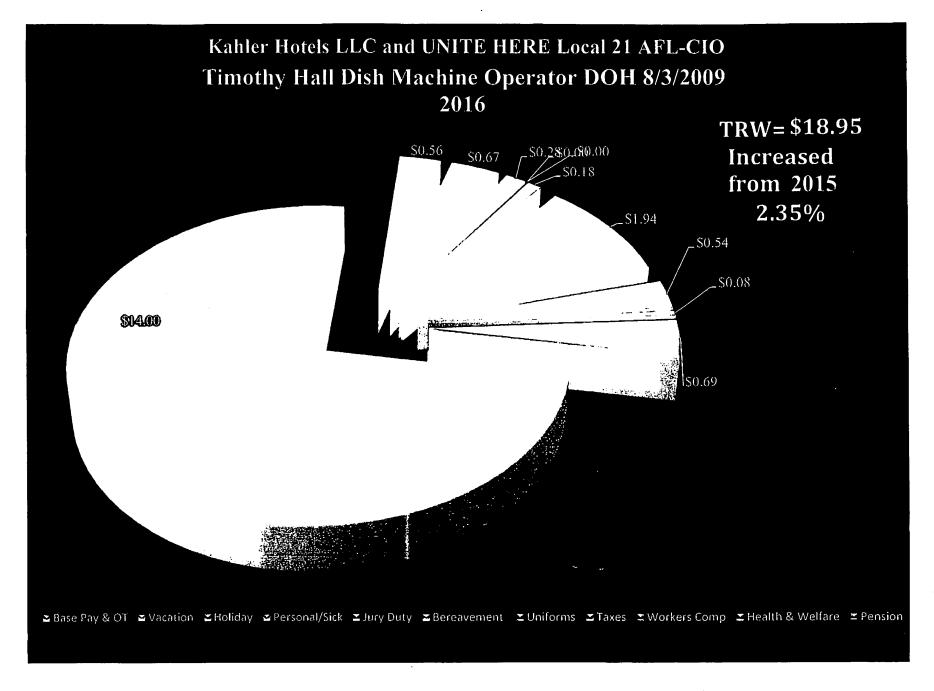




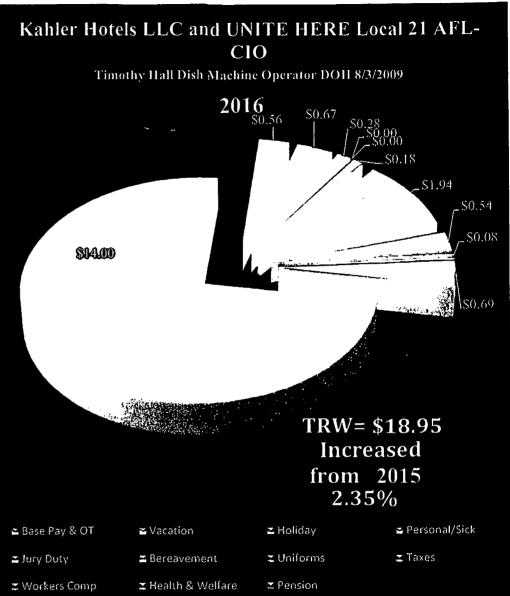


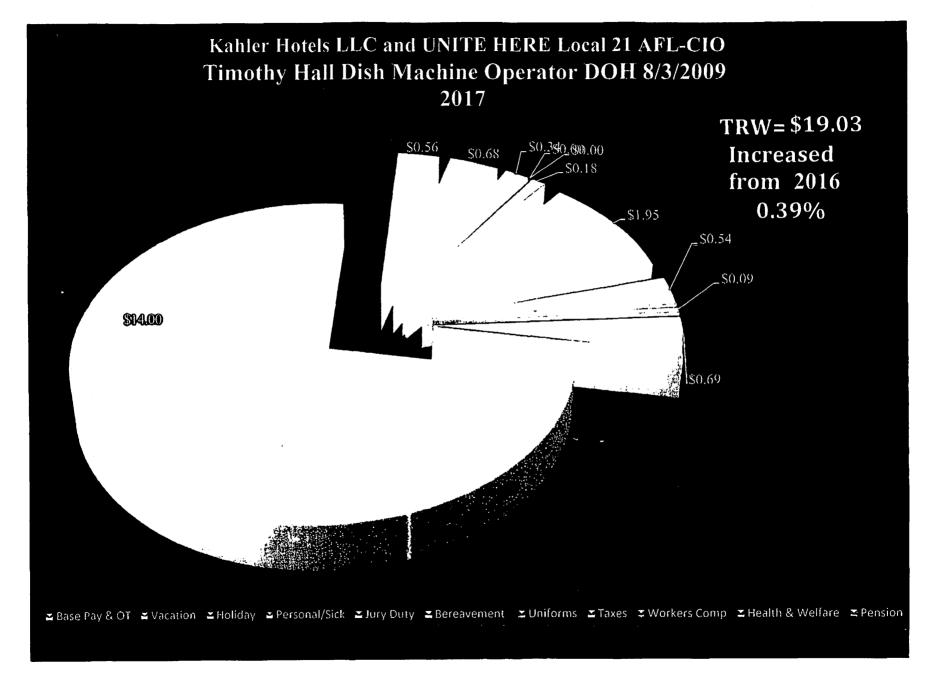


Г					
	İ	Hourly	Total Annual	Total Annual	Kahler Hotels LLC and UNITE HERE Local 21
	2015	Rate	Hours	Cost	AFL-CIO
Base Pay-		\$13.23	1,912	25,296	
Vacation (W)		\$0.00	80	-	Timothy Hall Dish Machine Operator DOH 8/3/2009
Lunch 30min/8hrs			120		2015
Rest 15min/4hrs			120		\$0.55 \$0.66 \$0.28 \$0.00
Total Compensable					\$0.00
Productive Work					50.18
Time		\$13.65	1,915	26,129	
Vacation (W)	2	\$0.55	80	1,058	\$1.89
Holiday (D)	6	\$0.66	48	1,270	S0.53
Sick/Personal (D)	5	\$0.28	40	529	50.08
Jury Duty (D)	0	\$0.00		•	\$13.65
Bereavement (D)	0	\$0.00		-	
Tatal Campanaphia					and the second s
Total Compensable Non-Productive					\\$0.69
Work Time		\$1.49	168	2,858	
Uniforms		\$0.18		350	
Transportation		\$0.00		•	
Meals	0	\$0.00			
Total		\$0.18		350	
Taxes	0.125	\$1.89		3,623	TRW=\$18.52
Workers Comp	0.0349	\$0.53		1,012	
Total		\$2.42		4,635	
Trust Fund Report					
Health & Welfare-		\$0.08		•	
Pension		\$0.69		1,324	
Total		\$0.77		1,480	≅ Base Pay & OT ≅ Vacation ≅ Holiday ≅ Personal/Sick
Total Real Wage					≥ Jury Duty
Per Productive Hour		\$18.52	-	35,452	■ Workers Comp

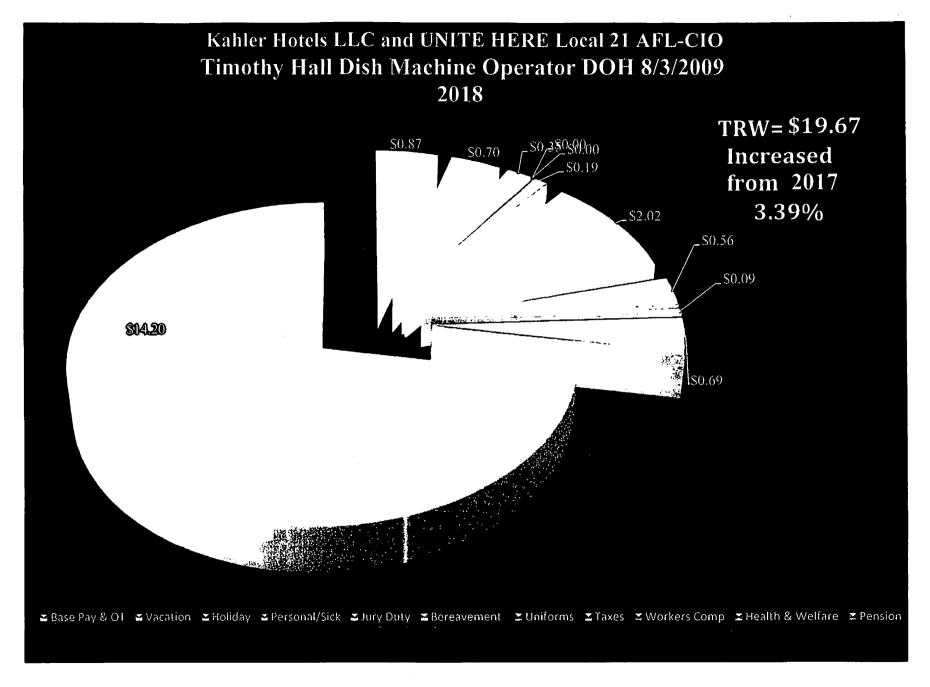


			Total Annual	Total Annual
	2016	Hourly Rate	Hours	Cost
Base Pay-		\$13.43	1,912	25,296
Vacation (W)		\$3.50	80	-
Lunch 30min/8hrs	_		120	
Rest 15min/4hrs			120	
Total Compensable Productive Work				
Time		\$14.00	1,915	26,129
Vacation (W)	2	\$0.56	80	1,058
Holiday (D)	6	\$0.67	48	1,270
Sick/Personal (D)	5	\$0.28	40	529
Jury Duty (D)	0	\$0.00		
Bereavement (D)	0	\$0.00		<u>-</u>
Total Compensable Non-Productive Work Time		\$1.52	168	2,858
Uniforms		\$0.18	100	350
Transportation		\$0.00		330
Meals	0	\$0.00		
Total		\$0.18		350
Taxes	0.125			3,623
Workers Comp	0.0349	<b></b>		1,012
Total	0.0343	\$2.48		4,635
Trust Fund Report		72.70		4,033
Health & Welfare-		\$0.08	<del></del>	
Pension		\$0.69		1,324
Total	-	\$0.78		1,480
10101		75.76		2,400
Total Real Wage Per Productive Hour	·	\$18.95	-	35,452

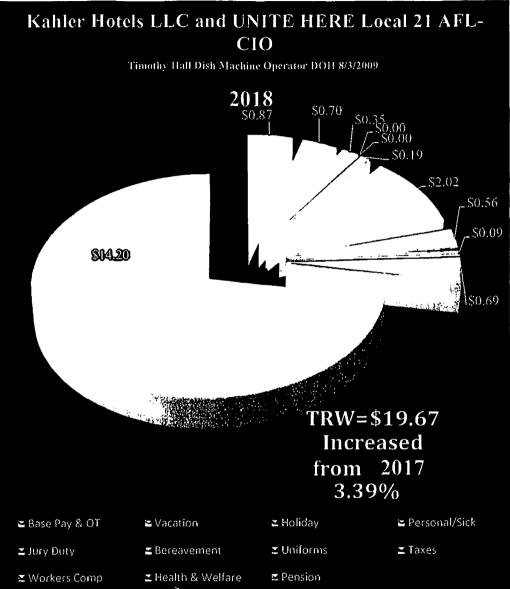


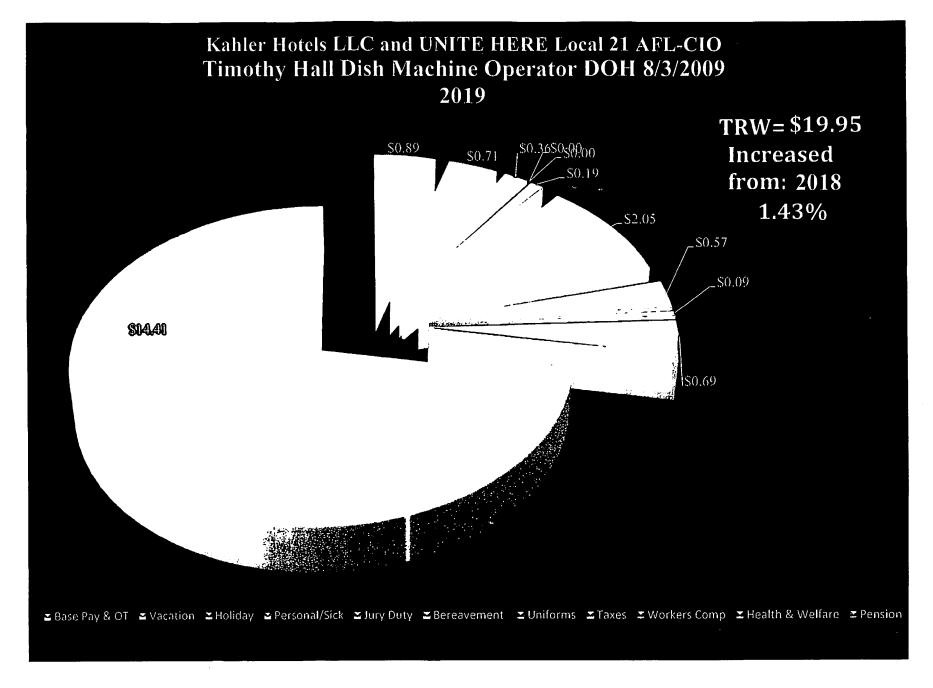


		Hourly	<b>Total Annual</b>	Total Annual	Kablas Hata	Ja L L C and HN	ime illebe	Local 21 A EI
	2017	Rate	Hours	Cost	Kamer riote	els LLC and UN		LOCAL Z.I. A.F.L
Base Pay-		\$13.43	1,904	25,568		CI	O	
Vacation (W)	: _	\$3.50	80	280	Tim	othy Hall Dish Machin	ie Operator DOH	8/3/2009
						30	4 =	
Lunch 30min/8hrs	•		119			20.	\$0.68	
Rest 15min/4hrs  Total Compensable  Productive Work			119				50.56 \$0.68 \$	50.00 50.00 50.18
Time		\$14.00		26,694				\$1.95
Vacation (W)	2	\$0.56		1,074				
Holiday (D)	6	\$0.68		1,289				\$0.54
Sick/Personal (D)	6	\$0.34	48	645				,50.09
Jury Duty (D)	0	\$0.00	-		\$14.00		<u> </u>	
Bereavement (D)	0	\$0.00	-				4	
Total Compensable Non-Productive Work Time		\$1.58	176	3,008				\$0.69
Uniforms	3	\$0.18		350			.4	A
Transportation	-	\$0.00		-				
Meals	0	\$0.00		-				
Total		\$0.18		350		A A South Control of the Control of		
Taxes	12.50%	\$1.95		3,713	300		TRW:	=\$19.03
Workers Comp	3.49%	\$0.54		1,037		THE MITSUU MATHOUS TO SHOW		
Total		\$2.49		4,749				reased
Trust Fund Report							from	2016
Health & Welfare-		\$0.09		-				39%
Pension		\$0.69		1,319				70
Total		\$0.78		1,485	🛎 Base Pay & OT	Vacation	<b>≚</b> Holiday	▶ Personal/Sick
Total Real Wage				,	<b>∠</b> Jury Duty	Bereavement	z. Uniforms	<b>≛</b> Taxes
Per Productive Hour		\$19.03	-	36,286	▼ Workers Comp		<b>≥</b> Pension	

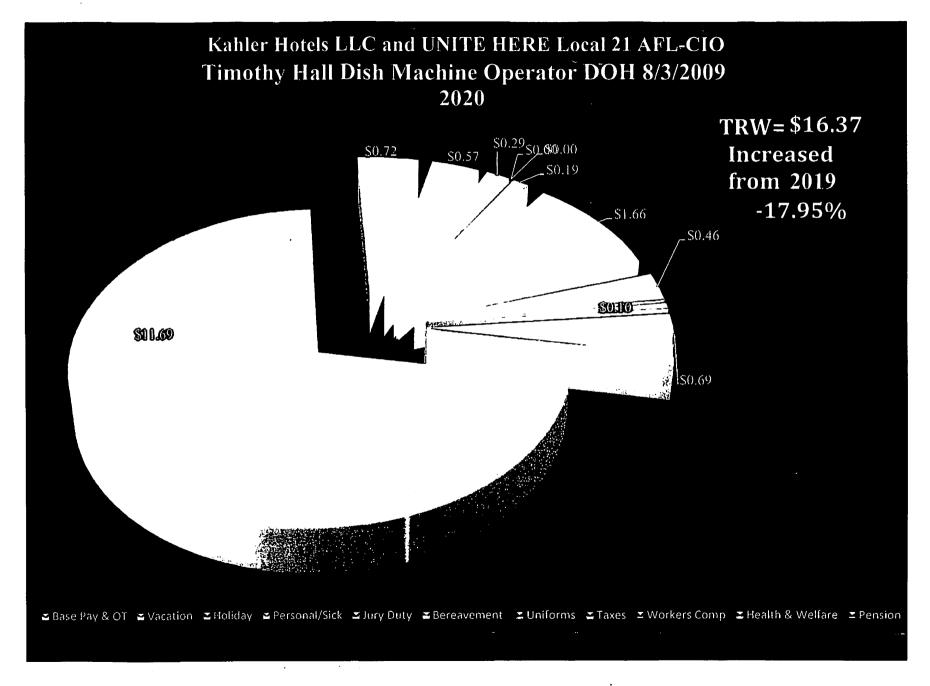


		Hourly	Total Annual	Total Annual
	2018	Rate	Hours	Cost
Base Pay-		\$13.63	1,912	25,406
Vacation (W)		\$3.50	80	280
Lunch 30min/8hrs			120	
Rest 15min/4hrs			120	
Total Compensable				
Productive Work				
Time		\$14.20	1,915	26,545
Vacation (W)	3	\$0.87	80	1,636
Holiday (D)	6	\$0.70	48	1,308
Sick/Personal (D)	6	\$0.35	40	654
Jury Duty (D)	0	\$0.00		•
Bereavement (D)	0	\$0.00		-
Total Compensable Non-Productive				
Work Time		\$1.92	168	3,598
Uniforms	3	\$0.19	-	350
Transportation		\$0.00		-
Meals	0	\$0.00		-
Total		\$0.19		350
Taxes	12.50%	\$2.02		3,768
Workers Comp	3.49%	\$0.56		1,052
Total		\$2.58		4,820
Trust Fund Report				
Health & Welfare-		\$0.09		
Pension		\$0.69		1,293
Total		\$0.78		1,464
Total Real Wage		,		
Per Productive Hour		\$19.67		36,777

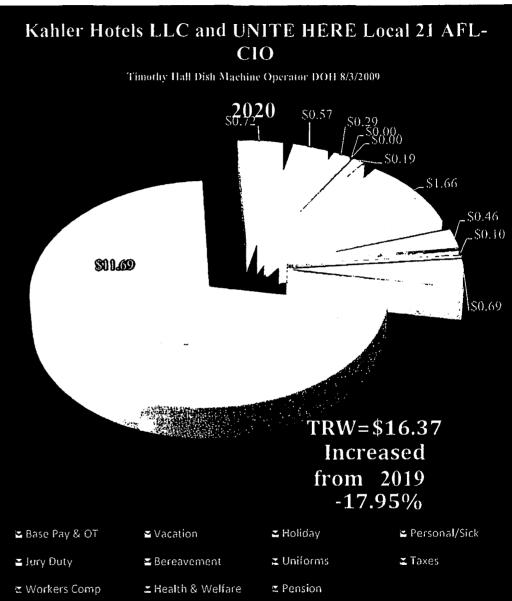


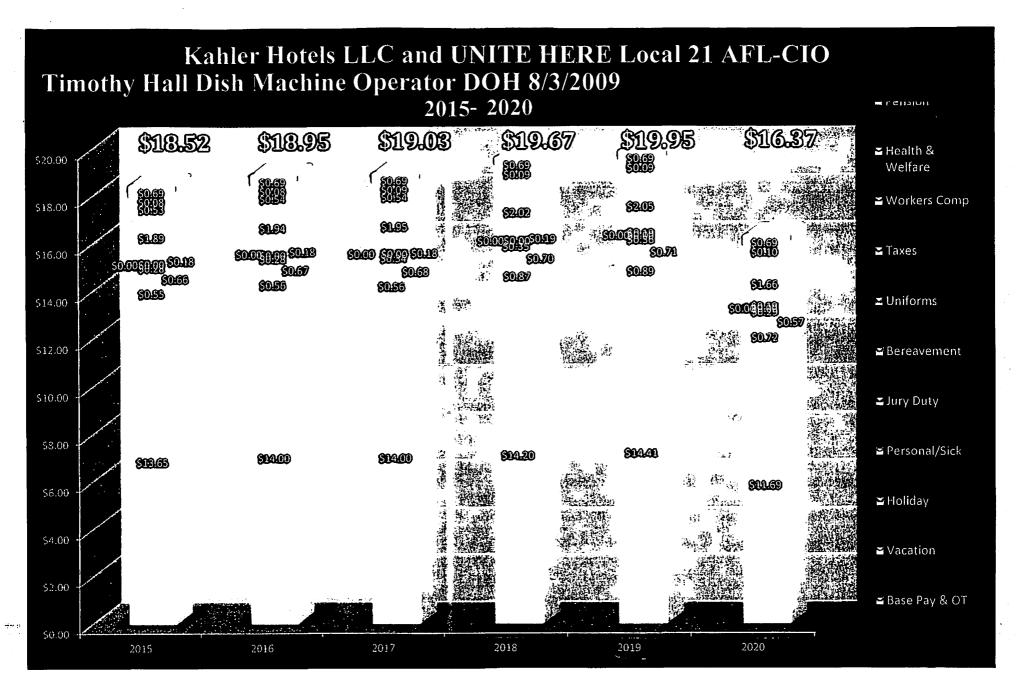


			Total		Kahler Hotels LLC and UNITE HERE Local 21 AFI
		Hourly	Annual	Total Annual	
į	2019	Rate	Hours	Cost	CIO
Base Pay-		\$13.83	1,912	25,296	Timothy Hall Dish Machine Operator DOH 8/3/2009
Vacation (W)		\$3.50	80		
Lunch 30min/8hrs			120		2019
Rest 15min/4hrs			120		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Total Compensable Productive Work Time		\$14.41	1,915	26,129	\$50.00
Vacation (W)	2	\$0.89	80	1,058	
Holiday (D)	6	\$0.89	48	1,270	$\mathcal{L}$
Sick/Personal (D)	6	\$0.36	40	529	· /
Jury Duty (D)	0	\$0.00	40	323	\$14.41
Bereavement (D)		\$0.00			· mag
Total Compensable Non-Productive Work Time		\$1.95	168	2,858	$l_{ m c}$
Uniforms		\$0.19		350	
Transportation		\$0.00			
Meais	0	\$0.00			
Total		\$0.19		350	
Taxes	0.125	\$2.05		3,623	TRW=\$19.95
Workers Comp	0.0349	\$0.57		1,012	Increased
Total		\$2.62		4,635	
Trust Fund Report					from 2018
Health & Welfare-		\$0.09			1.43%
Pension		\$0.69		1,324	
Total		\$0.79		1,480	* Base Pay & OT * Vacation * Holiday * Personal/Sic
Total Real Wage Per Productive					▼ Jury Duty ■ Bereavement ▼ Uniforms ■ Taxes
Hour		\$19.95	<u> </u>	35,452	™ Workers Comp

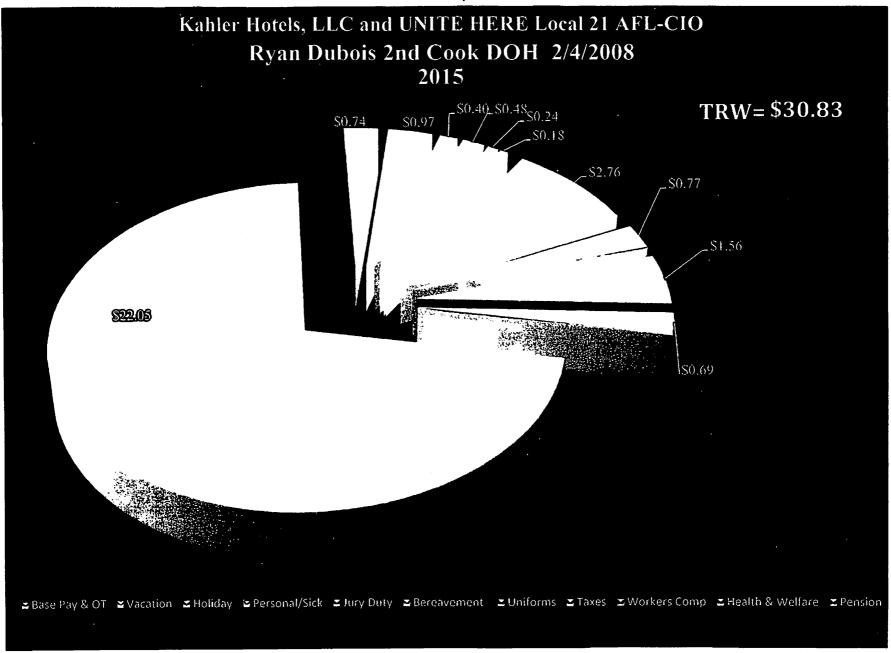


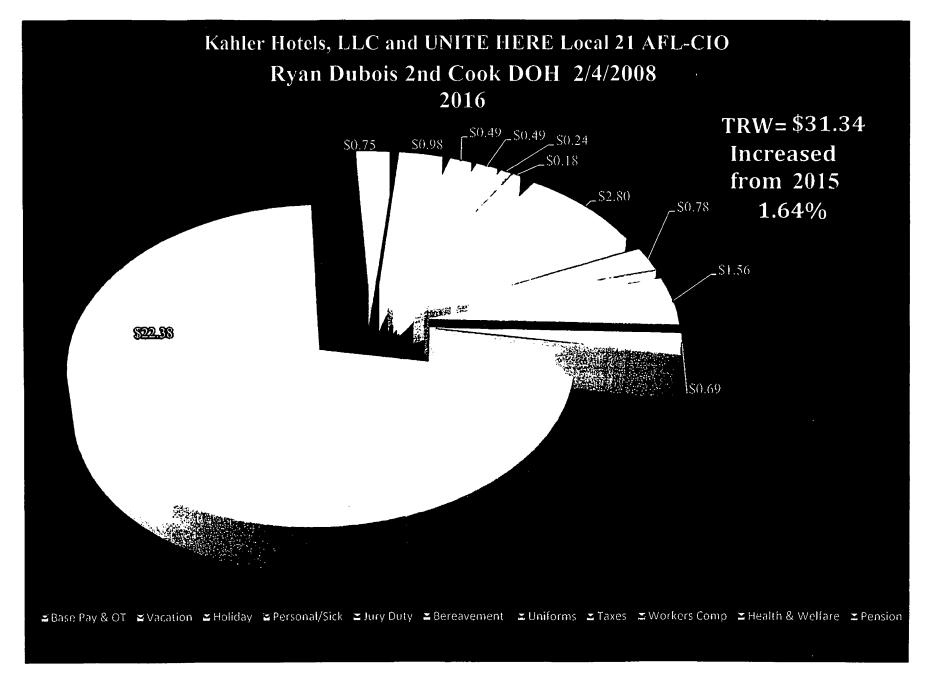
			Total Annual	Total Annual
	2020	Hourly Rate	Hours	Cost
Base Pay-		\$11.20	1,912	25,296
Vacation (W)		\$3.50	80	-
<u></u>				
Lunch 30min/8hrs			120	
Rest 15min/4hrs			120	
T-4-16		•		
Total Compensable Productive Work				
Time		\$11.69	1,915	26,129
Vacation (W)	2	\$0.72	80	1,058
	6	\$0.72	48	1,270
Holiday (D)				
Sick/Personal (D)		\$0.29	40	529
Jury Duty (D)		\$0.00		-
Bereavement (D)		\$0.00		-
Total Compensable				
Non-Productive				
Work Time		\$1.58	168	2,858
Uniforms		\$0.19		350
Transportation		\$0.00		-
Meals	0	\$0.00		-
Total		\$0.19		350
Taxes	0.125	\$1.66		3,623
Workers Comp	0.0349	\$0.46		1,012
Total		\$2.12		4,635
Trust Fund Report				
Health & Welfare-		\$0.10		-
Pension	- v	\$0.69		1,324
Total		\$0.79		1,480
Total Real Wage				
Per Productive				
Hour		\$16.37	-	35,452

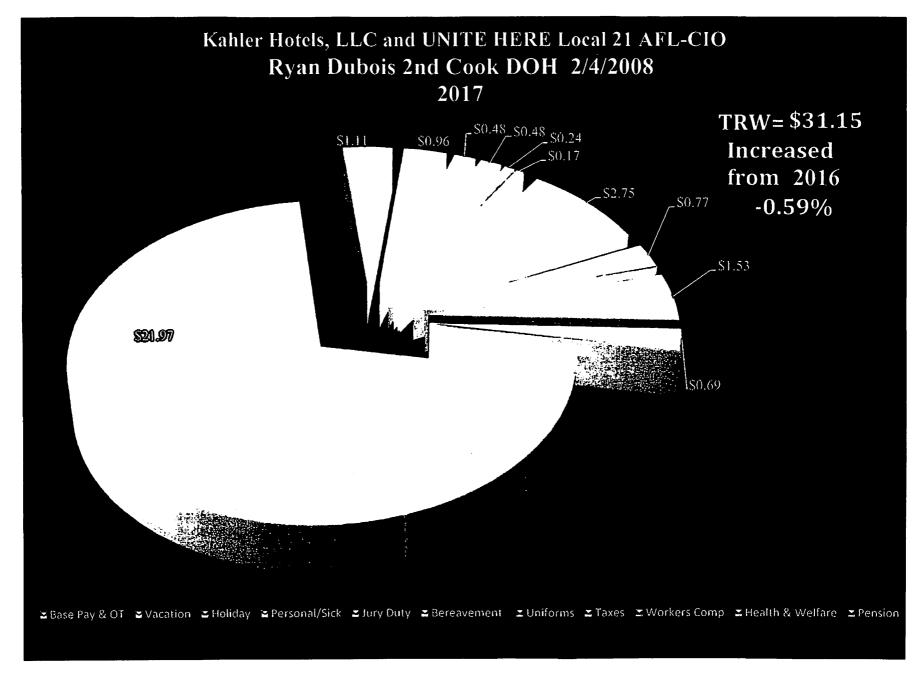


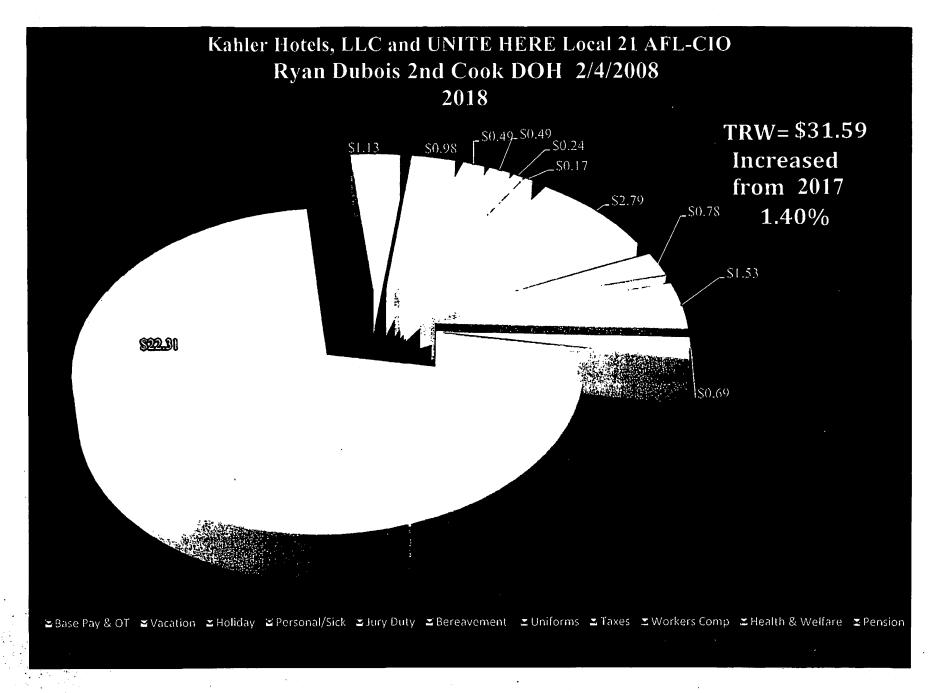


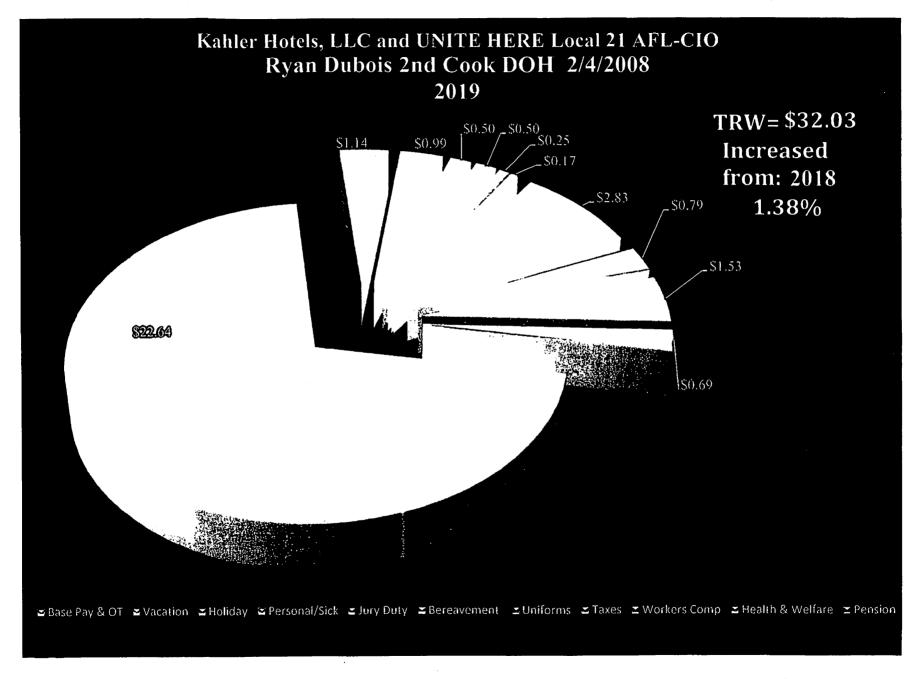
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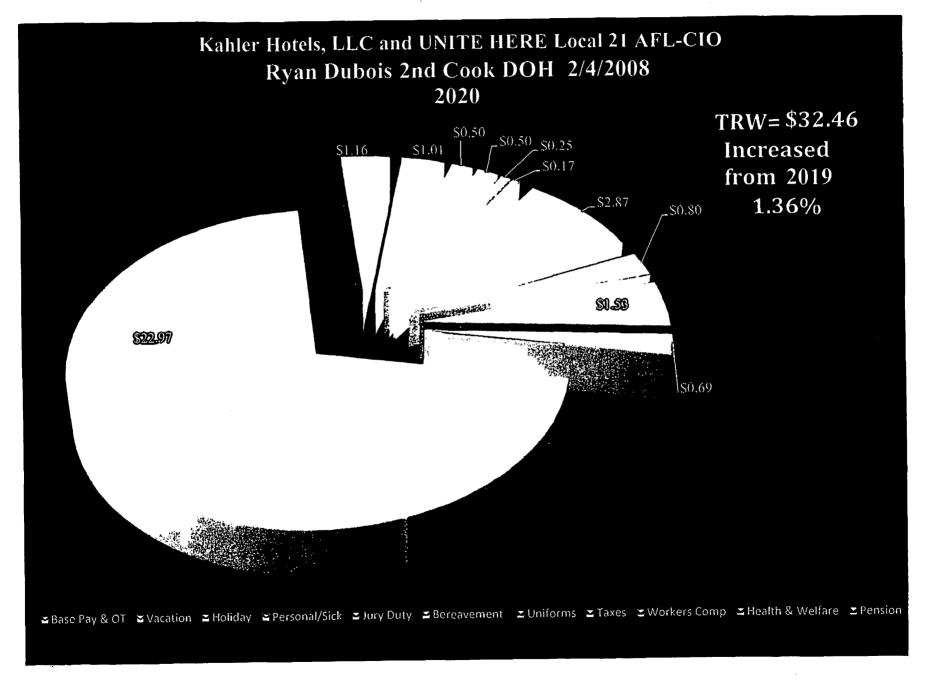


EXHIBIT NO. GC 11 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/15/15 REPORTER SMW

From:

Martin Goff

To: Subject: Wiese, Tyler

Subject:

FW: Negotiations for tomorrow. Monday, October 19, 2015 4:07:06 PM

**Attachments:** 

image001.gif

Below, fine Nancy Goldman's request for clarification and Michael Henry's response.

Martin Goff

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Monday, October 19, 2015 4:03 PM

To: Nancy Goldman <ngoldman@here17.org>; brian@local21.com; Martin Goff

<mgoff@here17.org>

Cc: Patrick Short <pshort@kahlerhospitalitygroup.com>; Mary Costello

<MCostello@kahlerhospitalitygroup.com>; Chad Decker <cdecker@kahlerhospitalitygroup.com>

**Subject:** RE: Negotiations for tomorrow.

Yes. That is correct.

Michael Henry
Area Managing Director of Human Resources **Kahler Hospitality Group**20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

Sent: Monday, October 19, 2015 3:00 PM

To: Michael Henry; <a href="mailto:brian@local21.com">brian@local21.com</a>; Martin Goff Cc: Patrick Short; Mary Costello; Chad Decker Subject: RE: Negotiations for tomorrow.

Based on your email, am I to assume that you are cancelling the negotiations for tomorrow,

Nancy Goldman
President
UNITE HERE Local 17
612-379-4730 ext 18

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Monday, October 19, 2015 1:47 PM

**To:** Nancy Goldman; <a href="mailto:brian@local21.com">brian@local21.com</a>; Martin Goff **Cc:** Patrick Short; Mary Costello; Chad Decker

**Subject:** Negotiations for tomorrow.

Dear Nancy,

After very careful review of the union's counter proposal presented at our September 24<sup>th</sup>, 2015 meeting. There is nothing we have seen and that you have said over the past few months of negotiations that dictates quid-quo-quo. We have seen your opposition to the proposed changes to tighten up the effective operation of the hotels and to make us more competitive in the Rochester market and with the Rochester competition. As we continue to manage in the competitive Rochester market we must make changes to impact the fact that we are the only union hotels.

We went through each of the line items and our responses are attached. Based on the status of the negotiations and the fact that you have not given us any significant reason to change our proposal we do not feel the need for an additional meeting to discuss the same things we have already discussed several times over the last several months. If you bring us something that is significant enough for us to move off of our last best and final offer then let us know.

Michael Henry
Area Managing Director of Human Resources **Kahler Hospitality Group**20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

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EXHIBIT NO. GC 12 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/15/15 REPORTER SMW

From: To: Martin Goff Wiese, Tyler

Subject:

FW: Negotiations for tomorrow.

Date:

Wednesday, November 04, 2015 5:24:45 PM

Attachments:

image002.gif

This was an email to Michael Henry this afternoon. Thank you, Martin

From: brian@local21.com [mailto:brian@local21.com]

Sent: Wednesday, November 04, 2015 3:43 PM

To: 'Michael Henry' <mhenry@kahlerhospitalitygroup.com>; Nancy Goldman

<ngoldman@here17.org>; Martin Goff <mgoff@here17.org>

Cc: 'Patrick Short' <pshort@kahlerhospitalitygroup.com>; 'Mary Costello'

<MCostello@kahlerhospitalitygroup.com>; 'Chad Decker' <cdecker@kahlerhospitalitygroup.com>

Subject: RE: Negotiations for tomorrow.

### Michael,

Please provide the Union with several dates over the next few weeks that the employer would be available to meet to continue the negotiations for a new CBA. We believe the Union made several proposals which warrant discussion. As soon as we have your list of available dates we can coordinate our next meetings.

### **Thanks**

Brian Brandt

President UNITE HERE Local 21

Phone: 507-288-2021 Cell: 507-254-5735 Fax: 507-281-3491

**From:** Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Monday, October 19, 2015 1:47 PM

To: Nancy Goldman <ngoldman@here17.org>; brian@local21.com; mgoff@here17.org

**Cc:** Patrick Short <<u>pshort@kahlerhospitalitygroup.com</u>>; Mary Costello

< MCostello@kahlerhospitalitygroup.com >; Chad Decker < cdecker@kahlerhospitalitygroup.com >

Subject: Negotiations for tomorrow.

## Dear Nancy,

After very careful review of the union's counter proposal presented at our September 24<sup>th</sup>, 2015 meeting. There is nothing we have seen and that you have said over the past few months of negotiations that dictates quid-quo-quo. We have seen your opposition to the proposed changes to tighten up the effective operation of the hotels and to make us more competitive in the Rochester market and with the Rochester competition. As we continue to manage in the

competitive Rochester market we must make changes to impact the fact that we are the only union hotels.

We went through each of the line items and our responses are attached. Based on the status of the negotiations and the fact that you have not given us any significant reason to change our proposal we do not feel the need for an additional meeting to discuss the same things we have already discussed several times over the last several months. If you bring us something that is significant enough for us to move off of our last best and final offer then let us know.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

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EXHIBIT NO. GC 13 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 3 DATE 12/15/15 REPORTER SMW

From:

Martin Goff Wiese, Tyler

Subject:

FW: Negotiations

Date:

Monday, November 09, 2015 9:26:48 AM

Attachments:

image002.gif

This is Brian's second request for dates. Martin

**From:** brian@local21.com [mailto:brian@local21.com]

**Sent:** Monday, November 09, 2015 12:38 AM

To: 'Michael Henry' <mhenry@kahlerhospitalitygroup.com>

Cc: 'Mary Costello' < MCostello@kahlerhospitalitygroup.com>; 'Chad Decker'

<cdecker@kahlerhospitalitygroup.com>; Martin Goff <mgoff@here17.org>; Nancy Goldman

<ngoldman@here17.org> Subject: Negotiations

#### Michael,

Please provide the Union with several dates over the next few weeks that the employer would be available to meet to continue the negotiations for a new CBA. We believe the Unión made several proposals which warrant discussion. As soon as we have your list of available dates we can coordinate our next meetings. Please respond by November 12<sup>th</sup>.

### **Thanks**

Brian Brandt

President UNITE HERE Local 21

Phone: 507-288-2021 Cell: 507-254-5735 Fax: 507-281-3491

From: brian@local21.com [mailto:brian@local21.com]

Sent: Wednesday, November 4, 2015 3:43 PM

To: 'Michael Henry' < <a href="mailto:mhenry@kahlerhospitalitygroup.com">mhenry@kahlerhospitalitygroup.com</a>; 'Nancy Goldman'

<ngoldman@here17.org>; 'mgoff@here17.org' <mgoff@here17.org>

Cc: 'Patrick Short' cstello'

<<u>MCostello@kahlerhospitalitygroup.com</u>>; 'Chad Decker' <<u>cdecker@kahlerhospitalitygroup.com</u>>

Subject: RE: Negotiations for tomorrow.

## Michael,

Please provide the Union with several dates over the next few weeks that the employer would be available to meet to continue the negotiations for a new CBA. We believe the Union made several proposals which warrant discussion. As soon as we have your list of available dates we can coordinate our next meetings.

### GC Exhibit 13

## Thanks

Brian Brandt President UNITE HERE Local 21

Phone: 507-288-2021 Cell: 507-254-5735 Fax: 507-281-3491

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Monday, October 19, 2015 1:47 PM

To: Nancy Goldman <ngoldman@here17.org>; brian@local21.com; mgoff@here17.org

Cc: Patrick Short <pshort@kahlerhospitalitygroup.com>; Mary Costello

< MCostello@kahlerhospitalitygroup.com>; Chad Decker < cdecker@kahlerhospitalitygroup.com>

Subject: Negotiations for tomorrow.

# Dear Nancy,

After very careful review of the union's counter proposal presented at our September 24<sup>th</sup>, 2015 meeting. There is nothing we have seen and that you have said over the past few months of negotiations that dictates quid-quo-quo. We have seen your opposition to the proposed changes to tighten up the effective operation of the hotels and to make us more competitive in the Rochester market and with the Rochester competition. As we continue to manage in the competitive Rochester market we must make changes to impact the fact that we are the only union hotels.

We went through each of the line items and our responses are attached. Based on the status of the negotiations and the fact that you have not given us any significant reason to change our proposal we do not feel the need for an additional meeting to discuss the same things we have already discussed several times over the last several months. If you bring us something that is significant enough for us to move off of our last best and final offer then let us know.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

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CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1255 of 1459

EXHIBIT NO. GC 14 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 11 DATE 12/15/15 REPORTER SMW

From: Martin Goff
To: Wiese, Tyler

**Subject:** FW: Negotiations for tomorrow.

Date: Wednesday, November 11, 2015 1:19:06 PM

Attachments: image001.gif

Unite Here Proposal Response 9 24 15 (3).docx

Here is Kahler's most recent answer below. Martin Goff

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Wednesday, November 11, 2015 12:57 PM

To: brian@local21.com; Nancy Goldman < ngoldman@here17.org>; Martin Goff

<mgoff@here17.org>

Cc: Chad Decker <cdecker@kahlerhospitalitygroup.com>; Mary Costello

<MCostello@kahlerhospitalitygroup.com>
Subject: FW: Negotiations for tomorrow.

# Hello Brian,

I have attached a copy of the Hotel's response to the Union proposal forwarded below a copy of the email that was sent to you, Nancy, and Martin responding to all the proposed items presented to us at our previous bargaining session.

As indicated in the final paragraph of that email. We are willing to meet with you and the rest of the team when you have given us a significant reason to do so. That is, presenting something different from what you have, and we have discussed at length over the past several months with you and your team.

As per your email you are not presenting anything further or different that would encourage or force us to change our position. Furthermore, we have responded to all your proposals in our meetings and also in writing, with clarification on items you or the team had.

If there are changes you would like to present that will assist us in tightening up the effective operation of the hotels and will contribute to the hotels being more competitive in the Rochester market. We look forward to discussing them.

PLEASE SEE BELOW EMAIL and the attachment on the responses of the Union's Proposal from 9/28/15

Michael Henry
Area Managing Director of Human Resources **Kahler Hospitality Group**20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Michael Henry

**Sent:** Monday, October 19, 2015 1:47 PM

To: Nancy Goldman; 'brian@local21.com'; mgoff@here17.org

Cc: Patrick Short; Mary Costello; Chad Decker

Subject: Negotiations for tomorrow.

Dear Nancy,

After very careful review of the union's counter proposal presented at our September 24<sup>th</sup>, 2015 meeting. There is nothing we have seen and that you have said over the past few months of negotiations that dictates quid-quo-quo. We have seen your opposition to the proposed changes to tighten up the effective operation of the hotels and to make us more competitive in the Rochester market and with the Rochester competition. As we continue to manage in the competitive Rochester market we must make changes to impact the fact that we are the only union hotels.

We went through each of the line items and our responses are attached. Based on the status of the negotiations and the fact that you have not given us any significant reason to change our proposal we do not feel the need for an additional meeting to discuss the same things we have already discussed several times over the last several months. If you bring us something that is significant enough for us to move off of our last best and final offer then let us know.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

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# Unite Here Local 21 Counter Proposal 9/24/2015

#4. <u>Temporary Employees</u>: Current language amended to include: The Employer shall be allowed to employ Interns to work in classifications covered by the CBA for a period of no longer than 30 days. Interns shall be paid no less than the start rate of the classification which they are assigned to and shall not be scheduled to work before regular fulltime and part time employees. Interns shall not be scheduled to avoid paying overtime to regular full and part time employees.

Response: We have heard your proposal and understand it. The current climate in our market, Rochester, makes it rather difficult to recruit and maintain employees. As a reminder, based on the reports the unemployment rate in Rochester is currently 1.6% with 1400 more new rooms scheduled in Rochester. Which has resulted in the need to explore alternative; in this case temp labor. As a result this is an area that we need to execute the only avenue we have as a management in the CBA, "Management Right" to be oscillate with the change in our market place. We will adhere to our current proposal.

## **#5.** Reject Union counter: average of 20 hours per week for insurance

Response: We understand and we have heard your rejection to the change proposed. As discussed at the length during our negotiations, the employer is proposing to make the eligibility hours for insurance benefits match what the federal government has proposed and what all other organization in the country does, especially those that are our competitors in Rochester. We will adhere to our current proposal.

## #31. Reject 90 day probationary

Response: This was TA'd 4/26/15. As per our discussion on 9/24/15 the ability to have the 90 days already existed; which has not impacted the onboarding or

evaluation process for each new employee. We understand and hear your rejection. We adhere to the TA'd section in our current proposal.

#### #37 Reduce 18 months to 12 months

Response: We heard and understand your proposal to reduce disciplinary action in files from 18 – 12 months. Due to the litigious nature of our society, where law suits are filed at the drop of a bucket, this is important to manage the hotels effectively. We adhere to our proposal.

#42 Add to b: Effect of failure to Appeal. Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration. Such abandonment by the Employer shall be deemed an acceptance of the grievance as started and the remedy requested shall be accepted and enforced.

Response: We understand your request for the addition to the current language. That would constitute a change contrary to the existing proposed language in that section. Also if the parties want to resolve the matter they can do so. We adhere to our proposed language.

## #51Reject any change to current leave language

Response: We heard and understand your rejection of the proposed leave language. The proposed language as discussed during our negotiations is in conjunction with Federal and Minnesota guideline. We adhere to our current proposal.

#54 Reject limit of 3 working days proposed up to 6 months

Response: We have heard your concerns and understand the proposal. We will adhere to our current proposal.

## #66 Reject deletion of daily overtime

Response: We understand your rejection to the proposed change. While we understand the need at times for an employee to, at times for business needs, to work more than 8 hour shifts it does not preclude the employee from being paid overtime after 40 hours for the work week. We also want to be more consistent with our competitors in Rochester, which is essential to our business. Although we also discussed the possibility of \$ by 10 hour shifts. We will still adhere to our current proposal.

## #76 Reject any change to current language

Response: We understand and hear your rejection to the change. The proposed and the expired contract covers all the hotels and while there is seniority, this proposal allows the associates in the other hotels that experience downturn at different times to be able to get additional hours. As indicated during our discussions in bargaining sessions, on the occasions when the hotels current staff is already at their forty (40) hours would be the time this language would be in effect. This allows the employee to be kept whole and assist with retention especially with the tight employment market in Rochester. We feel strongly that this proposed change will assist with the more effective management of the business.

## #79 Change cost to NO COST

Response: We understand the union's proposal for the employee to not have a cost for employee meal. The competitors and non-competitors in the Rochester market does not give or offer employee meals for free. This is a cost that typically increases annually. Remember also that the cost of labor, food, room, and other incidental charges are not included. What we intended to do or have the ability to do is to be able to manage this process at a reasonable cost as noted also in the expired contract. We adhere to our current proposal.

#90 DISCUSS (union requested the quantifications of this proposal)

Response: We understand and hear your request for quantification of the proposal. As per our discussion at the negotiation table the change does benefit our associates. Your response on just having a ball park figure on what the cost is or will be to the employer. From what we have surmised this could be a cost of about \$84k (we have the scenario of approximately a minimum of 65 associate will be impacted. At an average cost of \$1200 for the early additional week resulting in \$84k).

#93 Add: Tipped adjustment rate shall be paid for all Holiday, Report in Pay, Sick time, and Personal days. <u>REJECT deletion of selling up to 40 annually and add may rollover up to 80 hours annually to be used in the following year.</u>

Response: We heard and understand your rejection of the employers' proposal. We adhere to the current proposal.

#100 Add: There shall be no cap on the number of vacation days that may be taken at one time provided business levels allow.

Response: As we clarified in negotiations there is not a cap on the number of days that may be taken at one time. We adhere to the language I the proposal.

#103 Reject reference to employer's #5. ADD: the cost of the monthly premiums and any increases to the premiums, shall be split 80/20 with the Employer paying 80%. Effective upon ratification of the Employer shall reimburse 80% of the Employees cost toward the deductible annually. Employees shall provide proof of payments and reimbursement shall be paid within 14 days of receipt of such proof.

Response: We hear and understand your proposal. The employer will maintain the ability to move, negotiate, and manage with the changing climate in the market place. It would be irresponsible to be locked in to the proposed language especially with the constant change with insurance providers and what is directed with

Obama Care. In negotiations we were able to eloquently and elaborately explained the process that the insurance companies take to make their decision to provide coverage and at what cost. Those cost change annually, and the employer maintains the ability to discuss and negotiate any proposed change. As a result we adhere to our current proposal.

ADD: During the life of the agreement either party with 30 days written notice to the other, shall be allowed to open this section of the Contract for the purpose of bargaining a change in insurance policy or provided. \*\*\*Note that the Union has proposed 2 alternative Plans which are available.

Response: We understand the union's proposal. The employer was able to review the 2 proposed medical plan presented. As we reported in a few of our negotiations that the cost of the unions proposed alternative to our medical plan that the cost is significantly hirer. We will adhere to the current proposal.

Comment [MC1]: Typo

#### #111 Reject Banquet hourly rate

Over scale employees to be paid the same percent or cents per hour as others in their classification.

Response: We understand your rejection of the proposed banquet hourly rate. We were able to share with the negotiating committee the changes that have taken place year over year in Rochester with the increasing competition from the Canadian Honkers and the other caterers in the market. Our competition in Rochester hirers our people at a different rate to work for them. Which has contributed to our competitors being able to bid lower for business in Rochester. The proposed change allows the employer the opportunity to improve its competitive edge to capture business. The employer adheres to the current proposal.

#### #112 REJECT

Response: We recognize your rejection. We adhere to our proposal.

#118 Change 2<sup>nd</sup> day to 1<sup>st</sup> day

Response: We acknowledge your request for change. We adhere to our proposal.

#119 Change maximum accumulation/carry over to 240 hours. Clarify employers

Response: We hear and appreciate the feedback on this type "o". We will address and make the changes on this page available reflecting 240 hours for those employees hired prior to September 1<sup>st</sup>, 2005.

#132 Increase to \$350.00 in year 2 and \$375.00 in year 3

Response: We understand your proposal. We will adhere to the current proposal.

### #143 Effective date

Response: We understand the proposal. We adhere to our proposal.

#144 Reject. Add: wage increases shall be effective March 1, 2015

Response: We understand the proposal. We adhere to our proposal.

## #146 Reject date

Response: We understand the proposal. We adhere to our proposal.

## APPENDIX "A" Reject proposed increase for 2016-2020

Accept Employers proposed 2015 rates as new start rate.

Response: We understand the union's rejection of the proposed wage increase 2016-2020 proposal. We adhere to our proposal.

<u>New Employees Increases</u>-New employees who do not receive any disciplinary action during first ninety (90) days of employment will receive twenty-five cent (.25) pay increase above the new start rate.

Response: We understand the proposal. We adhere to our proposal.

## Wages Effective March 1, 2015

\*\*\*2013-12 Month rate, 2013-24 month rate,

The 42 month rate becomes a 36 month rate. The 60 month rate becomes a 48 month rate. The new 60 month rate is increased by 3% for 2015.

March 1<sup>st</sup> of each year: add 2 ½ % on each step in all classifications.

Response: We understand the proposal. We adhere to our proposal.

\*\*\*Perfect Attendance Bonus-employee's shall receive a quarterly bonus of \$25.00

Response: We understand the proposal. We adhere to our proposal.

\*\*\* New Employee Finder's Fee-If a worker refers another person to be employed at any of the Hotels that worker will receive \$100.00 upon the new person passing probation and another \$100.00 if that person makes it 6 months with no disciplinary write ups placed in their file.

Response: We understand the proposal. The employer already have this program in-place.

<u>APPENDIX "D"</u> Effective March 1, 2017 the total number of rooms assigned daily shall be fifteen (15).

- \*\*Effective on ratification the "Waste Cleanup fee" shall be \$10.00. Effective March 1, 2017 this amount shall be increased to \$15.00
- \*\*Effective March 1, 2016 housekeeping employees shall be paid \$2.00 for each extra bed, cot, rollaway or air mattress made up.
- \*\*Efficient Room Cleaning Incentive Pay- Room cleaners who complete their normal complement of rooms on time and in an efficient manner during each scheduled shift shall receive \$10.00 per week.
- \*\* Housekeeping Service Charge-\$5.00 per day shall be added to each guest room bill; \$2.00 per room cleaner, \$1.00 to the lobby Porter/Houseman and \$2.00 to the house. (The \$2.00 to the house can be used to defray other contractual costs to the employer such as Healthcare costs)

Response: We understand the proposal. This is not a common charge in the market and would put us at a disadvantage versus the competition. In addition we believe this would not be well received from our guests and impact our service scores greatly. Therefore, we reject the proposed addition and aaaadhere to our proposal.

Comment [MC2]: Typo?

<u>APPENDIX "E"</u> REJECT employers proposal of \$12-15 flat fee. Leave current language and system as is.

Response: We understand the proposal. We adhere to our proposal.

<u>APPENDIX "F"</u> Reject subcontracting/leasing out language.

Response: We understand the proposal. We adhere to our proposal.

The Union reserves the right to add to, modify, or amend these proposals.

EXHIBIT NO. GC 18 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 14 DATE 12/15/15 REPORTER SMW



One Atlantic Center 1201 W. Peachtree Street, Suite 2400 Atlanta, Georgia 30309 (404) 766-0076 Phone (404) 766-8823 Fax www.stokeswagner.com

> Karl M. Terrell kterrell@stokeswagner.com

August 3, 2015

Tyler Weiss NLRB Region 18 Via email

Re: Kahler Hospitality Group, case 18-CA-151245

Dear Tyler:

The Employer declines to produce any further evidence at this time, except as stated and shown below. We provide here a further statement of Respondent's position.

## Request to quantify Employer's vacation proposal:

Several discussions concerning this proposal and its cost were held at the bargaining table. The Employer explained it would be impossible to provide a precise quantification, and exceptionally difficult to provide a materially meaningful quantification.

The reasons for this are self-evident. It is impossible to predict over the course of a future multi-year CBA how many employees, for example, will hit the five-year mark, and when, and how many will hit the ten-year mark, and when. In addition, you have the variable of wage rates: How many, for example, will be at a \$10 wage rate when they hit the five-year mark, and how many at a \$12 wage rate.

Request for Employer to explain whether its wage proposal constitutes a 'floor' with merit increases or whether the proposal constitutes set wage rates only:

The Employer doesn't see the issue here, or understand why the question has been posed. It is abundantly clear that the Employer has made a set-wage proposal only.

GC Exhibit 18

See, sample page from the proposal attached here as **Exhibit 1**. The Employer has not made any proposals for merit increases, nor has this Employer made any merit – pay increases since assuming the CBA.

## Request for quantification regarding health care cost:

The Employer has provided quantification, and has discussed the costs at the table. *See,* attached Exhibit 2.

## Request for quantification of the cost of removing 6th & 7th day premium pay:

Several discussions concerning this proposal and its cost were held at the bargaining table. The Employer explained it would be impossible to provide a precise quantification, and exceptionally difficult to provide a materially meaningful quantification. Specifically, in this regard, the time-keeping/payroll system the Employer has in place doesn't quantify or segregate this cost. Further, it would be impossible to predict over the future course of a multi-year CBA how many employees, at varying wage rates, will be called upon to work 6th & 7th days.

<u>Verbal request for information concerning employees who have not received anniversary-date increases since contract expiration:</u>

The Union has had all the information it needs in this regard. Specifically, the Union has been provided during the course of negotiations several updated iterations of the employee census, which contains all the anniversary dates for all employees. Using this data, the Union can make an easy determination as to who, they contend, are entitled to post-expiration anniversary-date increases.

Allegation: passing over committee members for job advancement - the "banquet captain" issue:

The initial charge left us with no clue as to the allegation being made here. Your July 26 letter clarifies that the issue relates to the supposed creation of a banquet captain position.

First, no such position has been created. Second, if such a position had been created, the Employer would have been well within its management rights to do so. *See,* Article 22 of the expired CBA; *and see,* Article 14.4 (making clear that if management does create a new job classification, it is obligated only to negotiate the wage rate).

As to the first point: There have been internal discussions only concerning the possible creation of a banquet captain position. This position, however, has not been created, requisitioned, posted or advertised. The possibility of having a banquet captain position was, however, mentioned at the bargaining table.

If any discussions concerning this were held with current banquet servers, the discussions were highly informal and conducted only in the context of an exploration of the possible creation of such a position. No one was offered the job, because no such job exists.

## Removal of Union posters from non-union bulletin boards:

We have already responded to this allegation. You have noted in your June 26 letter, however, that the Union is apparently claiming a past practice that has allowed postings in locations other than designated union boards.

This Employer has no awareness of any such past practice. This Employer, prior to this winter, made no observations of any posting of union materials in places other than on the designated union boards. After negotiations did heat up, there were observations of some union postings in non-approved locations – including, for example, on the public bulletin board at the Starbucks café. These were removed, as explained before, consistent with the terms of the CBA.

In response to your question as to the Employer's process and policies related to approving and removing postings by the union – there is no policy or process. The Employer has not sought to require approval, and has not removed any postings

based on content. As has been explained, it has only removed postings mounted in inappropriate locations.

# Alleged skipping of disciplinary steps - Graham Brandon:

Attached, as **Exhibit 3**, is the discipline at issue. The discipline was originally issued on February 25, 2015, as a second written warning (*i.e.*, a 'third' level warning, following a verbal and a first written warning). Following a complaint that the discipline had been issued at an incorrect level, the adjustment was made that you will see in the attached Exhibit: It was reduced on April 29 to a first written warning. We don't understand, therefore, the nature of the ULP charge allegation. This is a matter that was resolved on April 29.

## Union buttons:

We have already responded to this issue, involving a culinary intern working in the hotel for a 12-week period. The question came up as to whether he was "a member" of the Union (the answer was 'no,' given his temporary status). He was not told to remove the button.

This Employer does not have a policy against wearing a union button. The Union will be unable to present evidence to the contrary.

# Allegation related to "steps in pay schedule":

Unlike the facts in some Board cases you may have reviewed, the wage schedule in the expired CBA does not provide for step increases or percentage increases. See, Schedule A to the expired CBA. Although reaching an anniversary date triggered the increase at issue, the increase was not percentage-based, but was for a specific amount stated in dollars and cents. The current Employer did not negotiate these 'Schedule A' rates, and can only be guided by the wage schedule it followed when assuming this contract.

These specific dollar amounts are pegged and presented, in Schedule A, to occur on the identified anniversary points occurring within the term of the contract. It is clear from the schedule and from the context that these specific dollar-amount increases were agreed to only for the term of the Agreement. Neither Schedule A

nor any other language in this Agreement provides for any dollar-amount increases beyond the term of the Agreement.

In your June 26 letter, you asked for evidence of discussion on this issue, and you referred to the contract expiration as "alleged." There is in fact no dispute as to the expiration of the CBA, as reflected in the email exchange between representatives of the Union and Employer, attached here as **Exhibit 4**.

# Alleged discrimination by not offering work to Committee member Kelli Johnson:

The Employer denies this allegation of discrimination. Johnson was given an opportunity to work in a different classification and provided the training to do so. The shifts needed at the time, however, did not fit her needs and schedule. Further, Johnson did not follow through and submit appropriate transfer-request paperwork. The department needed someone to cover the shifts, and a less-senior person who was available, and who did complete the transfer-request, was transferred into the role.

Respectfully submitted,

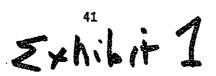
STOKES WAGNER HUNT MARETZ & TERRELL

KARL M. TERRELL

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Mechanic	\$ 18.53	\$ 18.65	\$ 18.65	\$ 18.74	\$ 18.93	\$ 19.21	\$ 19.50	
	\$ 16.09	\$ 16.25	\$ 16.25	\$ 16.33	\$ 16.49	\$ 16,74	\$ 16.99	
Apprentice	\$ 10.09	\$	\$	\$	\$	\$	S	
Preventive Maintenance	\$ 14.53	14.63 \$	14.63	14.70 \$	14.85	15.07 \$	15.30 \$	
Light/Yard Maintenance	\$ 9.82	10.00	10.00	10.05	10.15	10.30	10.46	
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Cooks	GURRENT			2017	2018	2019	2020	ĺ
	9.15.00	\$	\$	\$	\$	\$	\$	
2nd Cook	\$ 15.03	15.20 \$	15.20 \$	15.28	15.43 \$	15.66 \$	15.90 \$	
Lead Cook	\$ 13.97	14.10	14.10	14.17	14.31	14.53	14.74	
Cooks	\$ 13.49	\$ 14.00	\$ 14.00	\$ 14.07	\$ 14.21	\$ 14.42	\$ 14.64	
Line Cook	\$ 10.41	\$ 10.75	\$ 10.75	\$ 10.80	\$ 10.91	\$ 11.08	\$ 11.24	
Prep & Serving							•	
Pantry & Veg - Lead	\$ 8.88	\$ 9.20	\$ 9.20	\$ 9.25	\$ 9.34	\$ 9.48	\$ 9.62	
		\$	\$	\$	\$	\$	\$	
Pantry & Veg - Prep	\$ 8.41	9.10 \$	9.10 \$	9.15 \$	9.24 \$	9.38 \$	9.52 \$	
Snack Bar - Attend	\$ 10.07	10.50	10.50	10.55	10.66	10.82	10.98	
Storeroom Helper	\$ 8.73	\$ 9.00	\$ 9,00	\$ 9.05	\$ 9.14	\$ 9.27	\$ 9.41	
Room SV Tele & Setup	\$ 8.78	\$ 9.35	\$ 9.35	\$ 9.40	\$ 9.49	\$ 9,63	\$ 9.78	
		\$	\$	\$	\$	\$	\$	Ì
Banquet Set Up Sanitation	. \$ 8.59	9.30	9.30	9,35	9.44	9.58	9.73	
		\$	\$	\$	\$	\$	\$	
Bussperson	\$ 8.52	9.00 \$	9.00 \$	9.05 \$	9.14 \$	9.27 \$	9.41 \$	
Dishmachine Oper & Porter	\$ 8.59	9.15	9.15	9.20	9,29	9.43	9.57	
Dotymahan	\$ 8.59	\$ 9.15	\$ 9.15	\$ 9.20	\$ 9.29	\$ 9.43	\$ 9.57	
Potwasher Bartenders		7.1.3	7.13	المعه و	,,,,,	7433	7.07	
	\$ 8.85	\$ 000	\$ 0.00	\$ 9.05	\$ 9.14	\$ 9.27	\$ 9.41	
Bartenders	\$ 8.85	9.00 \$	9.00 \$	\$	\$	\$	\$	
Bartenders Hired Prior 1991	\$ 15.40	9.00	9.00	9.05	9.14	9.27	9,41	
Rooms		\$	\$	\$	\$	\$	\$	
Housekeeper	\$ 9.91	10.50	10.50	10.55	10.66	10.82	10.98	
Lobby Porter	\$ 8.23	\$ 9.30	\$ 9.30	\$ 9.35	\$ 9.44	\$ 9.58	\$ 9.73	
Door Person	\$ 7.91	\$ 9.00	\$ 9.00	\$ 9.05	\$ 9.14	\$ 9.27	\$ 9.41	
PBX Operator	\$ 10.42	\$ 11.00	\$ 11.00	\$ 11.06	\$ 11.17	\$ 11.33	\$ 11.50	



From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Saturday, April 04, 2015 11:08 AM

Plan "B" Proposal for 2015 Cost

To: Nancy Goldman; Arch Stokes (astokes@stokeswagner.com); Bill Bunce; Javon Bea

Cc: Martin Goff; Brian B (brian@local21.com); Chad Decker; Mary Costello; Leslie Hohmann

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello Nancy, please see the below information with the benefits calculation. If you have any questions don't hesitate to let us know.

The information for the employers 2015 cost includes non-union members as well.

Rgi HRS Wkd "14"	O.T. Hrs Wkd '14'	Other Hrs '14'	Total *2.75 (15)	Total *2.85 (16)
729,786.22	29827.55	79,244.68	\$ 2,306,860.74	\$ 2,390,746.58
		( <b>0</b>		
			Proposed Local 17 cost (15)	\$ 2,306,860.74
			Actual Total cost (15) for medical	\$ 939,058.80
			Difference	\$ 1,325,859.02



	"15" Plan B Proposal with # of current enrolled associates.	rate	posed Plan B for (15) to employer	ВЕ	posed Plan imployer nthly cost (15)	В	pposed Plan Employer arly cost (15)
‡ Emp only	228	\$	538.35	\$	122,745.51	\$	1,472,946.12
# Emp + one	21	\$	1,047.31	\$	21,993.61	\$	263,923.32
# Emp. Family	38	\$	1,511.48	\$	57,436.33	\$	689,235.96
and the same and a supplementary to the same of the sa	and water state as one of the Section and the section of the section and the section of the sect	***************************************	e de la companie de la companie de la companie de la companie de la companie de la companie de la companie de	er expressed to a la	al Proposed (15)	\$ 2	,426,105.40
				Tot	al cost (15) erence	\$	939058.80 .487046.60

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

Sent: Saturday, April 04, 2015 10:00 AM

To: Michael Henry; Arch Stokes (astokes@stokeswagner.com); Bill Bunce; Javon Bea

Cc: Martin Goff; Brian B (brian@local21.com)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Michael, The Union proposed 2 alternative health insurance plans at the bargaining table on March 16, 2015. You chose to reject those by email on March 20, 2015 stating only that your cost would be greater than one million dollars. I sent you an email on March 25<sup>th</sup>, and again on March 27<sup>th</sup> and a third time on March 30<sup>th</sup> asking for clarification as to which of the 2 Plans you are referring to and if you were saying that was the cost for 1 year or 5 years. I finally received a response on March 31<sup>st</sup>. I then sent the request below which you have failed to respond to. I am once again requesting information regarding your March 20<sup>th</sup> response:

The Union is requesting the quantification and calculations for the cost of each of the Plans that the Union proposed on March 16, 2015. Please supply this information by the close of business on Monday, April 6, 2015. If we do not receive the information requested we will assume that you did not do any actual quantifying and are simply rejecting the Union's proposal while refusing to provide information.

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18 From: Nancy Goldman

Sent: Tuesday, March 31, 2015 1:22 PM

To: 'Michael Henry'

Cc: Arch Stokes (astokes@stokeswagner.com); Brian B (brian@local21.com); Martin Goff

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Please send me your calculation for each year for each of the Plans that the Union proposed. Please provide this information by Friday, April 3, 2015.

Nancy Goldman

President

UNITE HERE Local 17 612-379-4730 ext 18

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Tuesday, March 31, 2015 12:39 PM.

To: Nancy Goldman

Cc: Arch Stokes (astokes@stokeswagner.com)

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello Nancy,

Sorry for the delay in responding.

Yes, the Plan B and Local 17 plan is greater than a million dollar for over the life of the proposed contract

Michael Henry

Area Managing Director of Human Resources

Kahler Hospitality Group

20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

Sent: Monday, March 30, 2015 11:03 AM

To: Michael Henry

Cc: Arch Stokes (astokes@stokeswagner.com)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Hi, I am still waiting for clarification of your email which I received on March 20<sup>th</sup>. Again, which proposal are you referring to the UNITE HERE Plan B or the Local 17 Plan? And is the cost of "greater than a million dollars" for 1 year or for the proposed 5 years?

From: Nancy Goldman

Sent: Friday, March 27, 2015 8:51 AM

To: <a href="mailto:mhenry@kahlerhospitalitygroup.com">mhenry@kahlerhospitalitygroup.com</a>; Arch Stokes (<a href="mailto:astokes@stokeswagner.com">astokes@stokeswagner.com</a>)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Hello, please respond to my question below as we are working on our proposal. Nancy

From: Nancy Goldman

Sent: Wednesday, March 25, 2015 10:20 AM

To: 'Michael Henry'; Martin Goff; <a href="mailto:brian@local21.com">brian@local21.com</a>

Cc: Arch Y. Stokes; Mary Costello; Chad Decker; Leslie Hohmann

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello, which proposal are you referring to the UNITE HERE Plan B or the Local 17 Plan? And is the cost of "greater than a million dollars" for 1 year or for the proposed 5 years?

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18

From: Michael Henry [mallto:mhenry@kahlerhospitalitygroup.com]

Sent: Friday, March 20, 2015 4:01 PM

To: Nancy Goldman: Martin Goff; brian@local21.com

Cc: Arch Y. Stokes; Mary Costello; Chad Decker; Leslie Hohmann; Erin R. Whitlock

Subject: Local 17 and Union National Plan B Health Insurance Proposal

Dear Nancy,

We have researched your alternate proposal for healthcare presented at the negotiations 3/16/15.

Your proposal has been reviewed and subsequently rejected. The proposed plan would increase our cost greater than a million dollars.

We will stick to our proposal which is consistent with the previous collective bargaining agreement.

Michael Henry
Area Managing Director of Human Resources
Kahler Hospitality Group
20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708

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Is the employee being suspended	i? 🛛 no 🗌 yes (	Intil what date:	
WHAT ACTION STEPS HAVE I SUPERVISOR TO IMPROVE O	BEEN AGREED UPON BETWEEN		
	R RESULVE THE VIOLATION: (	Action steps & adles)	
	R RESOLVE THE VIOLATION?	Action steps & aates)	

Exhibit 3

## CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1280 of 1459

I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed above, I may be subject to further discipline.

Refused to signed.

Employee's Signature

Signature of Supervisor Conducting Discussion

Signature of Human Resources Representative of

2-25-15 Data

2-25-15

2-25-15

Date

## Michael Henry

From:

Nancy Goldman <ngoldman@here17.org>

Sent:

Monday, June 22, 2015 5:34 PM

To:

Michael Henry; brian@local21.com

Cc:

Mary Costello; Chad Decker

Subject:

**RE: Ashley Rudloff** 

Michael, regardless of the fact that the Collective Bargaining Agreement has expired, under the law the Employer is obligated to continue the terms and conditions of the prior contact including the specified wage increases.

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Wednesday, June 17, 2015 12:01 PM

To: brian@local21.com

Cc: Mary Costello; Chad Decker; Martin Goff; Nancy Goldman

Subject: RE: Ashley Rudloff

Hello Brian,

In reference to your grievance on Ashley Rudloff for her 12 month pay increase.

As of 2.28.15 the mutually extended collective bargaining agreement, has expired. Currently the properties are without a contract. The employer has shared with the union that we will not be extending the previous contract.

The employer has provided a comprehensive and ready to execute last best and final offer on the table since 3.24.15 which the union has not signed.

The employers position is that we are awaiting the signed and to be executed proposal that is on the table to provide all affected associates with the appropriate negotiated increase.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com



From: brian@local21.com [mailto:brian@local21.com]

Sent: Thursday, June 11, 2015 4:28 PM

To: Michael Henry

Cc: Mary Costello; Chad Decker; mqoff@here17.org; Nancy Goldman

Subject: Ashley Rudloff

## Michael,

Ashley Rudloff, a housekeeper at the Marriott has informed me that she should have received her 12 month pay increase as of 5-12-15 but when she spoke to you she was told she would not get it until the contract is settled. The Union is filing a grievance concerning this matter. The employer is in violation of, including but not limited to, Appendix "A" of the CBA. The union requests as resolution to this grievance that Ms Rudloff's pay be increased immediately to the correct rate and that she be made whole. Please let me know when she can expect to see the pay increase and back

Brian Brandt

President UNITE HERE Local 21

Phone: 507-288-2021 Cell: 507-254-5735 Fax: 507-281-3491

EXHIBIT NO. GC 19 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 5 DATE 12/16/15 REPORTER SMW

From: Mary Costello [mailto:MCostello@kahlerhospitalitygroup.com]

Sent: Monday, March 02, 2015 5:36 PM

To: Nancy Goldman; <a href="mailto:brian@local21.com">brian@local21.com</a>; <a href="mailto:astokes@stokeswagner.com">astokes@stokeswagner.com</a>; <a href="mailto:Erin R. Whitlock">Erin R. Whitlock</a>

Cc: Michael Henry; Chad Decker

Subject: Copy of Performance Evaluation Form, non-exempt associates (evaluating 2014 performance).xlsm

Good Afternoon,

Per your request Nancy I have attached an annual review for our non-exempt associates.

Mary Kay

Mary Kay Costello Organizational Development/Human Resources Manager Kahler Hotels 20 2<sup>nd</sup> Ave SW Rochester, MN 55902

GC Exhibit 19

O: 507-285-2796 F: 507-285-2793 C: 507-254-3297

mcostello@kahlerhospitalitygroup.com













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RICHFIELD	
■ HOSPITALITY	ſ

# Performance Management Process, non-exempt associates

■ HOSPITA	ALITY				Richfield Hospita
Review period:	to				
	Information on the ASSOCI	ATE being reviewed:	Inform	ation on the MANAGER	completing this form:
Name:			Name:		
Title: Function/Department			Title: Function/Department:		
ating Scale:					
Outstanding		ed goals, expectations or standards; Co	ntributions were extraordinary;		
Above Expectations		culated Rating only) dards. Contributions were above what	was expected for the role; An		
Neets Expectations	above average performer.  Met and occasionally exceeded all go expected for the role; A successful, fu	als, expectations, or standards; Contrib	utions were in line with what was		1
Below Expectations		ctations, or standards; Contributions w	ere below minimum job		
equired Signatur	es				
	ndicate that the Associate has met	with the			
Manager to discus	s the year-end review in detail.				
Associate Signature	e	Date			
Manager Signature	9	Date			
Human Resources	Signature	Date			
Second Level Mana	ager Signature	Date			



# Performance Management Process, non-exempt associates

Richfield Hospitality

W 40 1	W	
1.20	llmai	11 B 37 274

The Richfield Hospitality Hallmarks represent the behaviors that are most critical for success. Provide written comments and ratings for the Year-End Review. Written comments are always recommended but MUST be provided for any ratings of "above" or "below".

Competency	Performance Standards	Manager Year-End Comments	Year-End Rating:
Accountable	Reports to work on time and as scheduled Follows company policies and procedures Clean, well-groomed appearance and in proper uniform		Above  Meets Below
Authentic	Communicates effectively with supervisors, associates and guests Meets productivity standards Meets quality standards		Above  Meets Below
Compassionate	Demonstrates brand/hotel standards of hospitality when interacting with guests and co-workers     Open to different points of view     Treats others with courtesy and respect		Above  Meets Below
Driven	Willingness to make decisions and solve problems     commits to assigned duties and tasks through to completion     Open to learn and apply new skills		Above  Meets Below
Original	Seeks new ways to improve operation (Service/quality/efficiencies) Can work independently without continuous supervision Seeks guidance/assistance from others when necessary		○ Above
Talentcentric	Accepts and supports organizational change     Participates cooperatively to resolve conflicts as a member of the team     Encourages and maintains effective cross-department		<ul><li>○ Above</li><li>● Meets</li><li>○ Below</li></ul>
Fun	Positive attitude Engaged and represents the Brand/Hotel's service culture Creates a positive and energizing environment for guests and coworkers		Above  Meets Below
	Hallmarks Year End Rating:		



# Performance Management Process, non-exempt associates

Richfield Hospitality

rall Performance Rating	Associate Career Pathing
the end of each review period, a Calculated Rating will appear based on the ratings wided for the Hallmarks. Under special circumstances, the manager may override the culated rating with a Recommended rating. Overall comments should be included in the tree provided. When a rating override occurs, explanatory text must be included in the mager comments.	Manager/Supervisor: Complete this section for associates who desire development for future positions within the hotel/company. Then complete the career planning section.  Associate's next desired career step:
Year-End Review	
Hallmarks Year End Rating:	
Recommended* Rating:	
Overall Manager Year-End Comments:	Timeframe
	Career Planning Use this space to outline additional steps/objectives for skill and career development
	Required Action/Training
Overall Associate Year-End Comments	
	Timeframe
	Timerane -
* If the recommended rating differs from the calculated rating, explanatory comments must be	

# OFFICIAL REPORT OF PROCEEDINGS before the NATIONAL LABOR RELATIONS BOARD

Volume 4 of

GENERAL COUNSEL EXHIBITS

In the Matter of:

RICHFIELD HOSPITALITY, INC. AS MANAGING AGENT FOR KAHLER HOTELS, LLC,

Respondent,

and Case No. 18-CA-151245

UNITE HERE INTERNATIONAL UNION LOCAL 21,

Charging Party.

Party: GENERAL COUNSEL 20-23, 25-31, 33, 34, 37, 39-41, 43-45

Date: December 15-17, 2015

Place: Rochester, Minnesota

Veritext National Court Reporting Company 1250 Eye Street NW - Suite 1201 Washington, DC 20005 (888)777-6690

EXHIBIT NO. GC 20 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 5 DATE 12/16/15 REPORTER SMW

From:

Martin Goff

To:

Wiese, Tyler

Subject:

FW: Local 17 and Union National Plan B Health Insurance Proposal

Date:

Monday, June 08, 2015 2:28:16 PM

Attachments:

image002.gif

From: Nancy Goldman

Sent: Monday, June 08, 2015 2:09 PM

To: Martin Goff

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

From: Nancy Goldman

Sent: Monday, April 06, 2015 10:31 AM

To: 'Michael Henry'; Arch Stokes (<u>astokes@stokeswagner.com</u>); Bill Bunce; Javon Bea Cc: Martin Goff; Brian B (<u>brian@local21.com</u>); Chad Decker; Mary Costello; Leslie Hohmann

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Thank you. However neither of our proposed Plans allow for non-union participation so your figures are somewhat skewed. Perhaps a more accurate quantification would have been a more realistic response to such an important and costly, to the employees, issue. We will look for the rest of the information requested tomorrow.

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Saturday, April 04, 2015 11:08 AM

**To:** Nancy Goldman; Arch Stokes (<u>astokes@stokeswagner.com</u>); Bill Bunce; Javon Bea **Cc:** Martin Goff; Brian B (<u>brian@local21.com</u>); Chad Decker; Mary Costello; Leslie Hohmann

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello Nancy, please see the below information with the benefits calculation. If you have any questions don't hesitate to let us know.

The information for the employers 2015 cost includes non-union members as well.

Local 17 Propos	sed plan Total ho	ours worked fo	r 2014	
Rgl HRS Wkd "14"	O.T. Hrs Wkd '14'	Other Hrs '14'	Total *2.75 (15)	Total *2.85 (16)

729786.22	2 29827.55	1	79244.68	ا د ء	2,306,860.74	< :	2,390,746.58
723780.22	25027.55		7,5244.00	7 2	.,550,550.74		-,,
				Pro	oosed Local		
				17	cost (15)	\$ 2	2,306,860.74
					ual Total cost		020 050 00
					) for medical	\$	939,058.80
				Diff	erence	\$ 1	1,325,859.02
<u> </u>							
Plan "B" Prope	osal for 2015 Cost	•					
	"15" Plan B						
	Proposal with #	Pro	posed Plan	Pro	posed Plan B		
	of current	Br	ate for (15)	Em	ployer	Pro	posed Plan
	enrolled	to	the	Mo	nthly cost	В	Employer
	associates.	en	ployer	(15	)	ye	arly cost (15)
	_ <del></del> -						
# Emp only	228	\$	538.35	\$	122,745.51		1,472,946.12
# Emp + one	21	\$	1,047.31	\$	21,993.61	\$	263,923.32
# Emp. Family	38	\$	1,511.48	\$	57,436.33	\$	689,235.96
				<u> </u>			
				ı	al Proposed	,	
				ь	<u>/ (15)</u>		,426,105.40
				Tot	al cost (15)	\$	939058.80
					erence		487046.60

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

**Sent:** Saturday, April 04, 2015 10:00 AM

To: Michael Henry; Arch Stokes (astokes@stokeswagner.com); Bill Bunce; Javon Bea

Cc: Martin Goff; Brian B (brian@local21.com)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Michael, The Union proposed 2 alternative health insurance plans at the bargaining table on March 16, 2015. You chose to reject those by email on March 20, 2015 stating only that your cost would be greater than one million dollars. I sent you an email on March 25<sup>th</sup>, and again on March 27<sup>th</sup> and a third time on March 30<sup>th</sup> asking for clarification as to which of the 2 Plans you are referring to and if you were saying that was the cost for 1 year or 5 years. I finally received a response on March 31<sup>st</sup>. I then sent the request below which you have failed to respond to. I am once again requesting information regarding your March 20<sup>th</sup> response:

The Union is requesting the quantification and calculations for the cost of each of the Plans that the Union proposed on March 16, 2015. Please supply this information by the close of business on Monday, April 6, 2015. If we do not receive the information requested we will assume that you did not do any actual quantifying and are simply rejecting the Union's proposal while refusing to provide information.

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18

From: Nancy Goldman

**Sent:** Tuesday, March 31, 2015 1:22 PM

To: 'Michael Henry'

Cc: Arch Stokes (astokes@stokeswagner.com); Brian B (brian@local21.com); Martin Goff

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Please send me your calculation for each year for each of the Plans that the Union proposed. Please provide this information by Friday, April 3, 2015.

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Tuesday, March 31, 2015 12:39 PM

To: Nancy Goldman

Cc: Arch Stokes (astokes@stokeswagner.com)

Subject: RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello Nancy, Sorry for the delay in responding.

Yes, the Plan B and Local 17 plan is greater than a million dollar for over

## the life of the proposed contract

Michael Henry
Area Managing Director of Human Resources **Kahler Hospitality Group**20 SW Second Avenue
Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

Sent: Monday, March 30, 2015 11:03 AM

**To:** Michael Henry

Cc: Arch Stokes (astokes@stokeswagner.com)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Hi, I am still waiting for clarification of your email which I received on March 20<sup>th</sup>. Again, which proposal are you referring to the UNITE HERE Plan B or the Local 17 Plan? And is the cost of "greater than a million dollars" for 1 year or for the proposed 5 years?

From: Nancy Goldman

Sent: Friday, March 27, 2015 8:51 AM

To: <a href="mailto:mhenry@kahlerhospitalitygroup.com">mhenry@kahlerhospitalitygroup.com</a>; Arch Stokes (<a href="mailto:astokes@stokeswagner.com">astokes@stokeswagner.com</a>)

Subject: FW: Local 17 and Union National Plan B Health Insurance Proposal

Hello, please respond to my question below as we are working on our proposal. Nancy

From: Nancy Goldman

Sent: Wednesday, March 25, 2015 10:20 AM

To: 'Michael Henry'; Martin Goff; <a href="mailto:brian@local21.com">brian@local21.com</a>

Cc: Arch Y. Stokes; Mary Costello; Chad Decker; Leslie Hohmann

**Subject:** RE: Local 17 and Union National Plan B Health Insurance Proposal

Hello, which proposal are you referring to the UNITE HERE Plan B or the Local 17 Plan? And is the cost of "greater than a million dollars" for 1 year or for the proposed 5 years?

Nancy Goldman President UNITE HERE Local 17 612-379-4730 ext 18 From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Friday, March 20, 2015 4:01 PM

To: Nancy Goldman; Martin Goff; brian@local21.com

Cc: Arch Y. Stokes; Mary Costello; Chad Decker; Leslie Hohmann; Erin R. Whitlock

Subject: Local 17 and Union National Plan B Health Insurance Proposal

Dear Nancy,

We have researched your alternate proposal for healthcare presented at the negotiations 3/16/15.

Your proposal has been reviewed and subsequently rejected. The proposed plan would increase our cost greater than a million dollars.

We will stick to our proposal which is consistent with the previous collective bargaining agreement.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

EXHIBIT NO. GC 21 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From: To: Martin Goff Wiese, Tyler

Subject:

FW: question from you

Date:

Monday, June 08, 2015 2:24:34 PM

From: Nancy Goldman

Sent: Monday, June 08, 2015 2:19 PM

To: Martin Goff

Subject: FW: question from you

From: Nancy Goldman

Sent: Wednesday, May 06, 2015 11:48 AM

To: <a href="mailto:mhenry@kahlerhospitalitygroup.com">mhenry@kahlerhospitalitygroup.com</a>; Arch Stokes (<a href="mailto:astokes@stokeswagner.com">astokes@stokeswagner.com</a>)

Cc: Brian B (brian@local21.com); Martin Goff

Subject: question from you

In addition, the Union requested the following information verbally on 4/16/15 and did not receive it.

1. The quantification of the estimated value of the Employers vacation proposal of 1-20-15 which the Union accepted.

In order to respond factually to your request, could you please further explain specifically what you are requesting. The proposed change will see an added cost in budgeting for vacation accruals. As the employer propose to shorten the length of service accrual for vacation time.

The Employer made that proposal on the first day of negotiations and as you say, it will be an added cost, we want to know what that added cost is for each year of the Employers proposal. We assume that you quantified this before proposing it to the Union.

Please respond to the email below sent to you on Monday, thanks

Nancy Goldman
President
UNITE HERE Local 17
612-379-4730 ext 18

From: Nancy Goldman

Sent: Monday, May 04, 2015 5:27 PM

EXHIBIT NO. GC 22 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/16/15 REPORTER SMW

From:

Wiese, Tyler

To:

Wiese, Tyler

Subject:

FW: Negotiations for tomorrow.

Date:

Saturday, November 21, 2015 5:31:08 PM

Attachments:

image001.gif

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

Sent: Wednesday, October 21, 2015 7:17 PM To: Nancy Goldman; brian@local21.com; Martin Goff Cc: Patrick Short; Mary Costello; Chad Decker Subject: RE: Negotiations for tomorrow.

The information provided is the ball park estimate of the potential yearly cost once the threshold are realized.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

From: Nancy Goldman [mailto:ngoldman@here17.org]

Sent: Tuesday, October 20, 2015 3:40 PM

To: Michael Henry; <a href="mailto:brian@local21.com">brian@local21.com</a>; Martin Goff Cc: Patrick Short; Mary Costello; Chad Decker Subject: RE: Negotiations for tomorrow.

Michael, is this for each year or 5 years?

#90 DISCUSS (union requested the quantifications of this proposal)

Response: We understand and hear your request for quantification of the proposal. As per our discussion at the negotiation table the change does benefit our associates. Your response on just having a ball park figure on what the cost is or will be to the employer. From what we have surmised this could be a cost of about \$84k (we have the scenario of approximately a minimum of 65 associate will be impacted. At an average cost of \$1200 for the early additional week

#### resulting in \$84k).

From: Michael Henry [mailto:mhenry@kahlerhospitalitygroup.com]

**Sent:** Monday, October 19, 2015 1:47 PM

**To:** Nancy Goldman; <a href="mailto:brian@local21.com">brian@local21.com</a>; Martin Goff **Cc:** Patrick Short; Mary Costello; Chad Decker

Subject: Negotiations for tomorrow.

#### Dear Nancy,

After very careful review of the union's counter proposal presented at our September 24<sup>th</sup>, 2015 meeting. There is nothing we have seen and that you have said over the past few months of negotiations that dictates quid-quo-quo. We have seen your opposition to the proposed changes to tighten up the effective operation of the hotels and to make us more competitive in the Rochester market and with the Rochester competition. As we continue to manage in the competitive Rochester market we must make changes to impact the fact that we are the only union hotels.

We went through each of the line items and our responses are attached. Based on the status of the negotiations and the fact that you have not given us any significant reason to change our proposal we do not feel the need for an additional meeting to discuss the same things we have already discussed several times over the last several months. If you bring us something that is significant enough for us to move off of our last best and final offer then let us know.

Michael Henry Area Managing Director of Human Resources **Kahler Hospitality Group** 20 SW Second Avenue Rochester, MN 55902

o: 507.285.2708 f: 507.285.2793

e: mhenry@kahlerhospitalitygroup.com

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EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 7 DATE 12/15/15 REPORTER SMW

Trital U
proposal



# Unite Here Local 21 – Kahler Hospitality Group / Richfield

#### **PROPOSAL**

These proposed changes to the collective bargaining agreement are presented with the understanding that any deletions and/or amendments are made in an attempt to reach settlement on all issues. Any proposal withdrawn, deleted or revised is done so without prejudice and a withdrawal, deletion or revision of any proposal shall not be a basis for argument against the Union in any future dispute between the parties. Furthermore, it is agreed between the parties that neither the fact of a withdrawal, deletion or revision of any proposal by the Union, nor its terms, nor any reference thereto, may be used as precedent, evidence, or argument, nor shall they be referred to in any manner by the Employer, or any of its representatives, with regard to the meaning or application of the terms of any collective bargaining agreements between the Employer and the Union, nor for any other purpose in the event of any grievance, arbitration, claim, dispute, or proceeding of any kind between the parties. The Employer acknowledges that the Union has relied upon these representations in agreeing to withdraw, delete or revise any proposal.

The Union further reserves the right to add proposals as the negotiations proceed.

Date: 1/20/15

#### UNION NO. 1

### Article 3 SENIORITY

- 1. Section 7, Add a sentence after first sentence of the first paragraph to read: Section The job posting will include the schedule for the position including start and stop times and days off.
- 2. Add a section: Any employee who bids on and is awarded a posted position in another classification or other property shall be transferred to the new position no later than 30 calendar days after they are awarded the position.

# Article 5 DISCHARGE OR DISCIPLINE

- 3. Add a new sentence in second paragraph after the word "employee." "Prior to any meeting which could lead to disciplinary action or any investigatory interview of an employee, the employer will present a copy of the <a href="https://doi.org/10.1007/jhtml/j
- 4. Add a section: Disciplinary actions must be given to employees no later than 3 calendar days from when the employer should reasonably have known of the incident giving rise to the discipline.
  - 2. PERIOD OF WARRING NOTICES BE REDUCED TO 1- YEAR.

# Article 6 GRIEVANCE AND ARBITRATION PROCEDURE

- 5. Section 2, step 2, delete last sentence,
- 6. Section 2, step 4, delete (or failure to respond)
- Section 2, step 4, delete last paragraph and change to read: "Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration. Such abandonment by the Employer shall be deemed an acceptance of the grievance as stated and the remedy requested shall be accepted and enforced.
- 8. Section 3, second paragraph Add a new sentence, "Failure to comply with the agreed upon time limits in correcting payroll shortages will result in a penalty of \$20.00 dollars per day for each day that the employee has not been compensated correctly which will be added to the amount owed the employee."

# Article 8 WORK WEEK

- 9. Section 3, change "fifty cents" (.50) to read "one dollar (\$1.00)" 00 ER NGHTS
- 10. Section 3, add "TCS Drivers"
- 11. Section 5, Add to the second to last sentence after the word "off" at end of sentence, "except those with doctor excuse."
- 12. Add a new section, "The employer agrees that drivers at TCS will be guaranteed eight hours pay when a utility driver rides along to train"

# Article 12 UNIFORMS AND LAUNDRY

13. Delete and replace with following: "The employer will provide an adequate number of uniforms for all employees required to wear a uniform at work. Uniforms will be of correct size. Full time employees scheduled to work five days shall receive 11 sets of uniforms, employees working less than five days a week will be prorated on the amount of uniforms provided to them. The employer also agrees to replace at no cost to employees, those uniforms which have become permanently stained or worn out."

#### ARTICLE 15 HEALTH, SAFTEY AND SICK BENEFITS

- 14. All regular employees who have completed their probationary period of employment and attained seniority status will be eligible for sick leave benefits beginning with the first day of absence. However, sick leave will be paid to any employee on the first day of hospitalization and for the first work day of any three (3) or more consecutively scheduled work day absences.
- 15. Section 2, add a sentence to end of 1<sup>st</sup> paragraph to read: Employees may use accrued sick time to cover time lost for doctors and dentist appointments.

16. Section 2, add a sentence to end of 2<sup>nd</sup> paragraph to read: In addition to their regular hourly rates, tipped employees working in the classifications of door person, bell person, bell captain, lobby porter and all servers in functions, room service and all restaurants and lounges, shall be compensated at \$5.00 per hour for all hours of sick pay.

Article 26
Entire Agreement

Delete entire article

Article 27
Duration

Open for discussion

# APPENDIX F FOOD AND BEVERAGE ADDENDUM

- 17. Delete last paragraph and replace with the following: "The employer agrees that it will not subcontract or lease any existing food and beverage operation including banquets at any of the hotels"
- 18. Add: When utilizing restaurant sections, including outdoor sections for banquet functions the servers and bartenders normally working in those sections will be offered the opportunity to work the function before on-call banquet employees.

# APPENDIX L RIGHT TO UNION REPRESENTATION ADDENDUM

This meeting or discussion may result in you receiving a disciplinary warning.

You have the right to Union representation, and this meeting will be postponed if you wish to have your Union Representative or Union Steward present. Without representation, you may refuse to answer any questions. This is your legal right.

Do you want your Union Representative to be present for this meeting?

(Circle one) Yes / No

Signature Date

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 48 DATE 12/15/15 REPORTER SMW

2/13/15 OUNS WI

# COLLECTIVE BARGAINING AGREEMENT

Between

Sunstone Hotel Properties, Inc. d/b/a/

And

**UNITE HERE Local 21 AFL-CIO** 

Rochester, Minnesota

October 1, 2011

to

August 31, 2014

This Agreement made this 1st day of October, 2011 by and between Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites, Residence Inn Rochester Mayo Clinic, and Textile Care Services located in Rochester, Minnesota, hereinafter referred to as "Employer," and UNITE HERE Local 21 AFL-CIO, hereinafter referred to as "Union."

WHEREAS, it is the desire of the respective parties hereto to avoid disruption in the service and operation of the units covered by this Agreement and to secure the benefits intended to be derived by the Employer, its employees and the Union under these articles of Agreement, it is agreed by and between the Employer and the Union as follows: TA

#### ARTICLE 1 RECOGNITION

The Employer above named, for and on behalf of the properties above named, recognizes the Union as the exclusive bargaining representative of all employees of the Employer employed at the above-properties in Rochester, Minnesota listed in the classifications set forth in Appendix A, with respect to wages, hours, and all other working conditions. All other employees, including supervisors, managers, administrative employees and confidential employees are excluded from the bargaining unit. TA

### ARTICLE 2 UNION SECURITY

- 1. The Employer agrees not to enter into any contract or agreement with the employees herein, individually or collectively, which conflicts with the terms and provisions hereof. TA
- 2. All employees covered by this Agreement who are now or who may hereafter become members of the Union shall, during the life of this agreement, remain members of the Union in good standing or pay fees in lieu thereof as a condition of continued employment. "In good standing" for the purposes of this agreement is defined to mean the payment as required by the Union of a standard initiation fee and standard regular monthly dues relating to the Union's collective bargaining function, applied uniformly to all members of the bargaining unit covered by this Agreement. TA

Provided, however, temporary summer employees hired between May 1 and September 30 will be exempt for four (4) months from initiation fees normally charged other employees. It is agreed that these temporary positions shall be posted and that any employees who take a temporary position shall not be restricted from bidding on a regular position should one become available. If such employees are retained past September 30, they will be obligated to pay the initiation fee. Such employees will be entitled to all fringe benefits for which they qualify except seniority and insurance.

3. Employees hired who average ten (10) hours or more per week in a four (4) week

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period or who have been working under a work permit shall, as a condition of employment, become and remain members in good standing of the Union or pay fees in lieu thereof after thirty (30) calendar days employment. All such employees averaging over said ten (10) hours shall have all of the benefits under this Agreement except as to insurance benefits, and such employees shall average twenty-five (25) hours or more per week. Provided, however, that employees in tip classifications who average twenty (20) hours or more per week will be covered by the insurance benefits. In determining the average, the weekly average shall be determined the last pay period of each month based upon the previous twenty-six (26) week period. The twenty-six (26) week period shall be a floating period.

- 4. The Employer shall update the dues checkoff list provided by the Union on a monthly basis to reflect new hires and terminations. TA
- 5. The Employer and the Union agree not to adopt rules or regulations or to engage in practices that conflict with the express terms of this Agreement. TA
- 6. The Employer shall check off monthly Union dues and initiation fees and/or other required fees in a manner according to procedures agreed upon between the representatives of both parties, upon receipt of written authorization form to deduct Union dues or fees signed by the employee. By the twelfth (12th) of each month the Union must submit to the Employer in duplicate a current list of deductions to be made. The Employer agrees to remit such deductions to the Union by the last Friday of the current month after receipt of deduction list. The date of dues deductions may be changed by agreement between the Employer and the Union. TA
- 7. The Employer will send copies of bargaining unit job postings which shall include the schedule, shift, and days off of the position to the Union which may refer candidates for employment. The Employer shall have no obligation to hire any person so referred.
  - 8. The designated Union representative will be allowed to visit the premises of the Employer for the purpose of administering this Agreement. The Union representative will provide the Human Resources Director or General Manager with as much advance notice as is possible prior to visiting the facility. Upon arriving at the facility, the Union representative will check in at the office. It is agreed that the work of the employees will not be interrupted by such visits. Union representatives will not meet with employees during working time without the knowledge and permission of the Employer. TA
- 9. New Member Orientation. The Union or a designated representative will be previded access to newly hired employees on the Employer's premises, after thirty (30) days of employment, to provide information about this Agreement and the employees' rights thereunder. Such access shall be for up to thirty (30) minutes, once per month, at a mustly agreed upon time and location. TA
- I0. Tip Check-Off the Employer agrees to honor political contribution deduction authorizations from employees in the following form: TA

I hereby authorize my Employer to deduct from my pay the sum of S\_ per pay period and to forward that amount as my voluntary contribution to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001 ("PAC"). My decision to participate in the UNITE HERE PAC is a

2

voluntary one and I understand that I am under no compulsion to contribute to it, since such contributions are neither a condition of my continued employment or of membership in the Union. I also understand that this authorization may be revoked by me at any time and that it is automatically revoked upon termination of my employment.

The political contribution deduction shall be made once each month during which an employee who has performed compensated service has in effect a voluntarily executed political contribution deduction authorization. The money shall be remitted within thirty (30) days after the last day of the preceding month to the UNITE HERE International Political Committee, 275 Seventh Avenue, New York, N.Y. 10001, accompanied by a form stating the name of each em ployee for whom a deduction has been made, and the amount deducted. The parties have taken into account the cost of administration of this deduction in negotiating the wage increases and benefits specified in this Agreement. TA

The Union shall indemnify, defend and save the Employer harmless against any and all claims, demands, suits, or other terms of liability that may arise out of or by reason of action taken by the Employer to comply with this Article. TA

### ARTICLE 3 SENIORITY

- 1. Seniority shall be by department—section—(see Appendix B) and by work location (e.g., The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites, or Textile Care Services), except the banquet department as set forth in the Banquet Department addendum. Employees shall not acquire seniority until they have completed their probationary period; then seniority shall revert to the date of employment.
- 2. Where qualifications to perform the available work are equal, layoff and recall will be by seniority as defined in paragraph 3. TA

When recalling employees who have been laid off because of reduction of work force, the Union shall be notified by the Employer of such employees who are to be rehired. If an employee so notified does not report for work within seven (7) days from the date his notice was mailed by certified mail, he shall forfeit seniority unless he has reasonable excuse for his failure. In the event an employee is employed elsewhere when he/she receives a notice to report for work, the employee shall not forfeit his/her seniority by not reporting unless the Employer gives the employee reasonable assurance of at least three (3) months steady employment. However, the employee must immediately notify the Employer and waive his right to the particular job that is open.

- 3. Seniority shall mean continuous length of employment with the Employer in the sections-departments listed in Appendix "B." Any employee transferred or re-employed in another section retains but does not accumulate his seniority in his original section and, in addition, commences seniority in his new section.
- 4. Where qualifications are equal, employees shall be promoted within their departments on the basis of seniority. Only when a vacancy occurs or a new position is created can seniority be exercised for purposes of shift preference, days off, and server sections. An Employee who bids on and is awarded a posted position in another classification or property shall be transferred to the new position no later than 30 calendar days after they are awarded the position.

3

- 5. Except where rotation is practiced, servers shall be given preferential stations on the basis of seniority, provided that they are sufficiently qualified. TA
- 6. As with permanent job openings under section 7, an employee laid off from his/her section department shall have preference based on seniority in hiring over any other applicant for other sections—departments of the Employer, even though it may be in another operation, provided qualifications are equal. Seniority shall be forfeited on the following grounds: TA
  - (a) Voluntarily leaving the employ of the Employer; TA
  - (b) Discharge for proper cause; TA
  - (c) Layoffs in excess of six (6) months; or TA
- (d) Failure to report for work after a layoff within a reasonable time, not to exceed seven (7) days, after the Employer has notified employee to' report for work, as previously provided. TA
- (e) Absences for any reason-longer than one (1) year, unless a longer period ls required by the Americans with Disabilities Act.
- 7. Permanent job openings in the classifications covered in this Agreement will be posted for a minimum of five (5) days on the Human Resources bulletin board at the Kahler Grand Hotel and in the break rooms at all other facilities covered by this Agreement to advise employees of the opening. Employees interested in the position must advise the Human Resources office in writing of their desire to be transferred or promoted to the open position. Where qualifications are equal, the opening will be filled by seniority, adhering to the following preferences:
- 1st Employees working in the classification at the property where the opening is available;
  - 2nd Employees working in the classification at other properties;
- 3rd Employees working outside of the classification who have demonstrated the skill or potential ability to successfully perform in the position, and who have notified the Employer of their desire to change classifications; TA
  - 4th Employees working outside of the classification. TA

For purposes of scheduling, employees moving to a new location in the same classification shall move to the bottom of the seniority list. However, prior classification seniority shall be maintained. If an employee bids for and receives a permanent job vacancy, he/she cannot bid again for a posted job opening for a period of six (6) months. The six (6) month limitation will not apply to requests for shift preferences or work schedules within a classification and section. "Qualifications," as used herein, shall be based on the Employer's reasonable judgment of the applicant's skills, abilities, aptitude, and overall work record. Notwithstanding the foregoing, the Employer may postpone a transfer or promotion where it would leave another department with an insufficient number of skilled employees or an

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excessive number of vacancies. Until a permanent job opening is filled, the Employer may use its discretion to select an employee to temporarily fill the opening.

A permanent job opening is a vacancy in a position which is scheduled for fifteen (15) or more hours per week on a regular basis. A permanent job opening will not exist when any person who has a seniority claim on that position is on vacation, sick leave or other leave of absence. TA

Employees who bid and accept a new position have a thirty (30) day trial period. At the end of the trial period if the employee is unable to meet job requirements or is unhappy in the position, he/she will be returned to the previous position. Either the employee or management may initiate the return. In the event management initiates the return, the employee shall have the right to grieve the decision under the Grievance and Arbitration Procedure contained herein, provided however, the Employer has acted in an arbitrary or capricious manner.

Any regular employee within a classification and section may exercise their seniority as it applies to shift preference schedules and/or days off up to forty (40) hours in a work week. In the Maintenance Departments, new hires may be trained on the day shift for up to thirty (30) days before shift preference can be exercised to displace that employee; provided, however, that there will be an automatic extension of an additional thirty (30) days in the event the Employer believes that additional time is required to determine the employee's ability to work off-shifts. Employees shall not be placed on the third shift until supervisor is satisfied the employee is capable of working alone. TA

- 8. The Employer shall furnish a complete up to date seniority list to the Union of all employees covered by this Agreement within thirty (30) days following a request from the Union representative. TA
- Re-employment of members of the Armed Forces shall be governed by applicable law.

  TA
- 10. The Employer and the Union shall make every effort to provide work for incapacitated employees returning from the Armed Forces. TA
- 11. When an employee is transferred to a position outside the coverage of this Agreement, he/she shall retain seniority for thirty (30) days. At the end of such time, seniority shall be forfeited if the employee retains a position outside the unit. TA
- 12. In the event the Employer rehires an employee who has been discharged, such employee shall not be reinstated in accordance with his accumulated seniority unless such action is approved by both the Union and the Employer. TA
- 13. Retirees working on an on-call or part-time basis shall receive the benefits of this. Agreement except those provided under Article 3 and Article 11. TA
- 14. If there is a reposting of a section, the Union will be notified and upon request the Employer will explain the reason for the reposting. TA

5

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### ARTICLE 4 PROBATIONARY PERIOD

The first sixty (60) days of employment shall be probationary, during which time an employee may be discharged with or without cause and without recourse to the grievance procedure. An automatic extension of the probationary period of an additional thirty (30) days will apply at the after written notification to the Union and the employeerequest of the Employer, in the event the Employer believes that additional time is required to determine the employee's qualifications.

#### 5 ARTICLE DISCHARGE OR DISCIPLINE

\*\*\*Union would agree to charts/calendars with time lines

- 1.—No employee will be disciplined or (except for a probationary employee) discharged without just cause. In the event a meeting is held for disciplinary purposes, the affected employee shall have the right to have a Union steward and/or Union Business Agent present if the employee so requests. Prior to any such meeting or investigatory interview of an employee, the Employer shall present a copy of The Right To Union Representation Form in Appendix "L"
- 2. Warning notices and other disciplinary-action which are to become part of an employee's file shall be read and signed by the employee. Such signature shall not be an admission of wrongdoing by the employee.

Copies of all warning notices and all other disciplinary action given to employees will be mailed to the Union without delay. In addition, it is agreed that if a verbal warning results in a written report by a supervisor for the employee's personnel file, a copy of such notice will be given to the employee.

If an employee avoids disciplinary offenses for a period of eighteen (18) consecutive months, offenses in his/her personal record which preceded that time will not be used as a basis for disciplinary action; such discipline may, however, be introduced in any arbitration proceeding involving the employee.

- 3. The Employer may decline to give the employee the name of the complaining party, but must, upon request, divulge such information to the Union after the Union has received a copy of the discipline, which information the Union will keep confidential. The Employer will provide this information to the employee at an arbitration hearing if so directed by the arbitrator.
- 4. The Employer shall at reasonable times and at reasonable intervals, upon the request of an employee, permit the employee to inspect such employee's personnel file on the employee's own time.

<u>Discipline and Discharge</u>. The Employer will discipline employees for just cause only. Discipline will normally be in the following form:

- a) Verbal warning
- b) Written warning
- c) Suspension/Final warning
- d) Discharge

 Progressive discipline need not be followed in incidents of violations of a serious nature as provided in the Employer Handbook, or Standards of Conduct, a copy of which shall be provided to each employee.

Written Notices. Written reprimands, notices of suspension and notices of discharge, which are to become part of the employee's file, shall be read and signed by the employee. Such signature shall in no way be an admittance of wrongdoing on the part of the employee. A copy of such reprimands and/or notices shall be given to the employee and the Union.

<u>Warning Notices - Cancellation</u>. Warning notices shall not be used as a basis for discipline after a period of twelve (12) months. All Discipline will follow two (2) tracks, time and attendance and policy and procedure.

Suspension and Discharges. All suspensions and discharges will be in written form and copies will be mailed to the Union upon issuance of such notices. Discharges will be preceded by a suspension during which an investigation of the incident leading to the discharge will be conducted. No employee shall be placed on suspension pending investigation status for an unreasonable period of time. An issue specifically brought by the employee to a Human Resources representative shall be responded to within seven (7) calendar days excluding weekends. Such time line may be extended by mutual agreement.

Confidentiality. The Employer may decline to give the employee the name of the complaining party, but must divulge such information to the Union at the time of discipline, which information the Union shall keep confidential, and to the employee at an arbitration hearing if so directed by the Arbitrator. TA

Right of Review. The Union shall have the right of review of any discharge of an employee who has completed the probationary period by following the grievance procedure of this Agreement.

<u>Posting of Rules</u>. All rules shall be conspicuously posted by time clocks or on employee bulletin boards. The Employer's rules shall not conflict with this Agreement.

<u>Personnel Files</u>. The Employer shall at reasonable times and at reasonable intervals, upon the request of an employee, permit that employee to inspect such employee's personnel files on his/her own time. TA

### ARTICLE 6 GRIEVANCE AND ARBITRATION PROCEDURE

- 1. The grievance procedure set forth in this Article is established for the specific purpose of providing prompt and amicable means of settlement of all questions arising under the terms of this Agreement or the application of them. Both the Employer and the Union intend to make every effort to settle grievances quickly and amicably and with a minimum of friction. TA
- 2. An employee may, with or without the assistance of a shop steward, first attempt to resolve workplace disputes with the employee's manager. If not resolved informally, the following shall be the grievance procedure: TA
- Step 1. The grievance shall be reduced to writing by the Union Business Agent within twenty-one (21) calendar days from the date of the incident giving rise to the grievance, or within twenty-one (21) calendar days of when the employee reasonably should have had knowledge, and shall be furnished to the Human Resources Director. The written grievance shall set forth the facts giving rise to the grievance, including the dates and persons involved, identify the Agreement provisions violated, and state the relief requested. TA
- Step 2. The Union Business Agent and the Human Resources Director shall meet within fourteen (14) calendar days of receipt of the written grievance and attempt to settle the grievance. If the grievance is not settled, the Employer shall issue a written response to the grievance with in seven (7) calendar days of the meeting. The Employer's failure to issue a written response within this time period shall be considered a denial of the grievance; provided, however, it is the Employer's intent and it will use its best efforts to provide a substantive written response to the grievance within seven (7) calendar days of the grievance meeting.

Effect of Failure to Appeal. Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration. Such abandonment by the Employer shall be deemed an acceptance of the grievance as stated and the remedy requested shall be accepted and enforced.

Failure to comply with the agreed upon time limits in correcting payroll shortages shall result in an additional penalty to the Employer of \$20.00 per day for each day that the employee has not been compensated correctly.

Step 3 (Optional). If the grievance is not settled at Step 2, the Union Business Agent may appeal the grievance to mediation within seven (7) calendar days from the date of the decision rendered in Step 2 by giving written notice of a request for mediation to the Employer and the Federal Mediation and Conciliation Service (FMCS), Minnesota Bureau of Mediation, or other neutral mediation agency. Mediation shall consist of up to two (2) Employer representatives and up to two (2) Union representatives, and a neutral mediator acceptable to both parties, who shall mediate the dispute in an attempt to have the parties reach a settlement. No attorneys or other consultants may participate in the mediation. The proceedings shall be informal and no formal record of the proceedings shall be made. If no settlement is reached, the mediator shall provide the parties with an immediate written advisory decision of the grievance, including the grounds for such decision. All offers to compromise presented during the mediation, as well as any decision of the mediator, shall be confidential and non-admissible in any subsequent proceedings. TA

Step 4. If the grievance is not settled at Step 3, or if the Union Business Agent chooses to skip Step 3, the Union may submit the matter to arbitration within fourteen (14) calendar days of the date of the mediation or the Employer's written response (or failure to respond) to the grievance by furnishing the Employer with a written request for arbitration and proposing therein the names of three (3) arbitrator(s) acceptable to the requesting party. The Union shall also state in writing the matter to be arbitrated and the relief that is sought. If the parties are unable to

7

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agree upon an arbitrator within fourteen (14) days, the Union shall request the FMCS to submit a panel of seven (7) names. The Employer and the Union shall alternate striking one name from the list submitted until only one name remains. The Union shall take the first strike. The cost of securing the list of arbitrators shall be shared equally between the Employer and the Union.

Arbitration shall be handled in the following manner:

The authority of the arbitrator shall be limited solely to the determination of the matter submitted in writing at the time of request for arbitration. The arbitrator shall not have power to add to, subtract from, or modify in any way the terms of this Agreement. If, during the course of the arbitration hearing, either party introduces any facts which were not introduced during any of the steps of the grievance procedure, the other party shall be granted an extension of hearing upon request.

The decision of the arbitrator shall be made not later than thirty (30) days after the submission of post-hearing briefs, and his/her decision shall be final and binding upon both parties and the employee(s) involved. TA

Expenses of the arbitrator shall be paid equally by the Employer and the Union. If a court reporter is used, the ordering party shall pay the cost thereof, unless the other party requests a copy of the transcript, in which case the cost of the court reporter and transcript shall be paid equally. TA

The time limitations set forth herein relating to the time for filing a grievance and the demand for arbitration shall be mandatory. Failure to follow said time limitations shall result in the grievance being permanently barred and waived. The time limitations and/or grievance steps provided for herein may be extended or waived by mutual written agreement between the parties. TA

- 3. Mathematical or mechanical mistakes on a paycheck resulting in an under or overpayment of the employee may be corrected within sixty (60) calendar days of the pay day involved. If an error is discovered, it must be corrected by payment within five (5) business days (Monday Friday); provided, however, that the Employer will make a concerted effort to make the corrected payment sooner than five (5) business days if possible. TA
- 4. The Union shall advise the Employer of the names of the Union Stewards who shall participate in the grievance procedure and who shall be recognized by the Employer as representatives of the employees for purposes of enforcing this Agreement, and who will generally act as representatives on the job of the Union. TA

The words "Union Steward" shall mean and refer only to employees who are designated by the Union in writing to the Employer as authorized representatives of the employees of a specific department for grievance procedure purposes. Whenever such authorization is withdrawn as to an individual Union steward or a new Union steward is added to the number of those authorized, the Union shall promptly notify the Employer in writing of such action. The Employer and its representatives shall be fully protected with a Union steward so authorized with respect to any grievance as to which he has at any time purported to represent the aggrieved employee and they need not deal with any Union steward not so authorized.

5. When a grievance requires the attention of a shop steward during working hours,

8

he/she shall first secure the permission of his/her supervisor. The handling of all grievances shall be done during working hours, without any deduction from wages of employees who necessarily attend. The Union agrees to attempt to minimize any disruption to the Employer's operation.

### ARTICLE 7 LEAVES OF ABSENCE

1. Employees may be granted unpaid leaves of absence by the Employer for a period of not more than thirty (30) days. Leaves of absence in excess of thirty (30) days, and any extensions of leaves beyond the thirty (30) days, shall be put into writing by the Employer and a copy kept by the employee, the Employer and the Union. Requests for such leaves and extensions shall be made to the employee's immediate supervisor. However, leaves of absence for illness or injury will be granted upon request for absences not to exceed one (1) year, unless a longer period is required by the Americans with Disabilities Act.

Illness or injury which qualifies for leave under the Federal and Family Medical Leave Act will run concurrently with and shall be governed by the Minnesota Family and Medical Leave Act leaves. TA

Employees who have been employed for at least one (1) year may be granted a leave of absence for educational purposes up to twelve (12) months, provided the leave is used for future employment with the Employer, and is approved by management. TA

- 2. The Employer agrees to grant necessary time off without pay or loss of seniority rights to any employee designated by the Union to attend a labor convention or serve in any capacity on other official Union business. The Union agrees to give in writing a minimum two (2) weeks' notice to the Employer. It is agreed that there shall be no disruption of the Employer's operation. TA
- 3. Any employee who is appointed as a full time Business Representative or is elected to a full time office of the Union, or the International Union, shall be given a leave of absence not to exceed a total of six (6) years. TA
- 4. Disability leaves of absence for employees will be granted in accordance with the recommendation of the attending physician, not to exceed one (1) year. <u>unless a longer period is required by the American with Disabilities Act.</u> Maternity /paternity leaves will not be granted beyond three (3) months unless supported by the attending physician. TA
- 5. Failure to report for work at the end of the period of a leave of absence 1s equivalent to resignation. TA
  - 6. Seniority shall accumulate during the period of leave of absence, TA
- 7. In the event of the death of a member of an employee's immediate family, he/she will be granted time off from work with pay for up to three (3) consecutive days, one of which must be the day of the funeral. The employee will be paid for that portion of his/her regular week's work which falls within the above three (3) day period if he/she was, under the terms of this Agreement, scheduled to work. If bereavement leave occurs during an employee's scheduled vacation, the employee will be permitted to substitute bereavement leave in lieu of vacation time. The Tipped Adjustment Rate as defined in Article 10 will be applied. The Employer may require an employee to provide proof of death.

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Immediate family shall mean the employee's father, mother, father-in-law, mother-in-law, spouse, previously declared (on Employer form) same-gender domestic partner, children, stepchildren, stepparents, guardian, brother or sister, grandchild, son-in-law, daughter-in-law, grandparents, half-brother, stepbrother, half-sister, stepsister, current grandparent-in-law, current brother-in-law and current sister-in-law.

In addition to the foregoing paid leave, an employee will be permitted to take one (1) day of unpaid leave in order to serve as a pallbearer. An employee also may take off up to three (3) days without pay to attend the funeral of an aunt or uncle. TA

8. Jury Duty: If a regular employee with seniority is summoned for petit or grand jury service, such employee shall be paid the difference between jury pay and the pay the employee would have earned from the Employer for each day of jury duty which falls on a day on which the employee would otherwise be scheduled to work. If on a day the employee would otherwise be working for the Employer, he or she is released from jury duty prior to the end of his or her scheduled shift, the employee will be expected to return to work as soon as possible. No employee shall be required to perform work for the employer during any twenty-four (24) hour period (11:00 p.m. - 11:00 p.m.) during which the employee is required to be present for a petit jury or grand jury service.

To be eligible for benefits under this section, the employee must endorse and turn over to the Employer the check received for jury duty. All hours spent on jury duty will be credited for purposes of calculating vacation and holiday benefits. The Employer will in turn pay the employee the pay the employee would otherwise have earned on that day. Payment for jury duty service will be limited to a maximum of six (6) calendar weeks for each Agreement year. TA

9. The Employer is obligated to continue to provide health insurance benefits during an employee's leave of absence under this Article only to the extent required by law (e.g., FMLA or Minnesota Parental Leave Law).TA

#### ARTICLE 8 WORKWEEK

1. The basic work week shall consist of five (5) days, forty (40) hours, and two (2) consecutive days of rest within a seven (7)-day period, starting the first shift on Friday Monday TA of each week; provided, however, this shall not serve as a guarantee of a minimum number of hours or a minimum number or length of shifts. Time and one-half shall be paid after forty (40) hours worked or paid as an approved holiday or vacation day in any one work week. Time and one-half shall be paid for all hours worked on the sixth (6th) and seventh (7th) day in a work week to all employees except Banquet Servers. However, Banquet Servers will not normally be required to work a sixth (6th) day until all regular full-time servers have been rescheduled for five (5) days. Double time will be paid after forty-eight (48) hours worked or paid as an approved holiday or vacation day in a work week. Employees recalled from layoff to work on the employee's scheduled days of work will be paid straight time.

Time and one-half is to be paid for any hours worked in excess of eight (8) hours worked in a work day. Provided, however, that daily overtime will 1 not apply to function and on-call employees who work less than four (4) days per week. There shall be no pyramiding of

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overtime.

Sick leave, paid or unpaid, will not count for purposes of computing overtime. TA

By mutual agreement between employees and the Employer, nonconsecutive days off may be scheduled. The nonconsecutive days off schedule will not be binding on any other employee. Irrespective of the foregoing, non-consecutive days off may be scheduled for function and on-call personnel. TA

- 2. Any employee in the maintenance departments who is called from home for an emergency condition shall be given two (2) hours minimum pay at time and one-half for such call-in work. Emergency callbacks will be handled on a seniority basis among employees qualified to correct the emergency. Employees shall be paid for all overtime work and shall not be required to take time off for extra time worked. TA
- 3. Employees in the maintenance departments, housekeepers and Kahler PBX operators and TCS Drivers shall be paid a premium of fifty-cents (50¢) one dollar (\$1.00) for all hours worked between 10:00 p.m. and 6:00 a.m., except for call-in work.
- 4. Employees who work beyond their regular daily schedule in any day shall not be required to take time off later in the work week because of such extra hours. The Employer agrees not to schedule employees for work with less than eight (8) hours between shifts, unless mutually agreed upon by the employee and the Employer; provided, however, that this provision shall not apply to employees working split-shifts pursuant to **Article 8**, Section 11?
- The Employer reserves the right to prepare work schedules and to schedule days off. Work schedules and scheduled days off shall be posted in each department as far in advance as possible, but not later than Monday at 5:00 p.m, prior to the beginning of the work week involved. Employees will have until 5:00 p.m. on Tuesday to contest any discrepancies in the schedule. After 5:00 p.m. on Tuesday, the schedule will not be changed except in emergencies and/or for business needs. When cancelling a scheduled shift, the Employer will attempt, at least two (2) hours before the start of the employee's scheduled shift, to speak with the employee directly by calling the phone number in the employee's personnel file; if the Employer gets the employee's voicemail/answering machine or another person answers, the Employer will leave a message. Work schedules and scheduled days off may be changed without notice in case of emergency and/or for business needs. Any employee who reports to work on his scheduled day off at the request of the Employer will be paid time and one-half for all hours worked on that date. Employees who are scheduled in advance to work one or both of their days off, and calls in sick earlier in the week, shall not be eligible for time and one-half on the scheduled day off. In order to secure time off for a doctor's appointment, employees must provide notice of the appointment at least a week prior to the start of the new schedule, except in cases of emergency.
- 6. Temporary Hours Reductions. In the event it is necessary to reduce staffing on a short term basis because of low occupancy, the Employer will grant employees, at their request, absent days on a voluntary basis.TA
- New extra or additional employees will not be utilized to prevent regular full-time does not constitute a guarantee of hours.

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- 8. Employees shall be granted preferential work schedules and preferential days off in accordance with their seniority within the section and the respective units consistent with the efficient operation of the section. TA
  - 9. The following reporting pay guarantees will apply: TA
- (a) A four (4) hour call-in on an employee's day off and a minimum reporting pay on a regular work day of four (4) hours for all employees normally scheduled to work in excess of twenty (20) hours per week. TA
- (b) Employees who normally are scheduled for twenty (20) or less hours per week or on-call employees including function personnel will be guaranteed two (2) hours. TA
- (c) A person-called back after having completed his work shift will receive a minim um of two (2) hours call back pay. TA
- (d) Split-shift' employees will receive a three (3) hour guarantee per shift, however, this guarantee would not apply to those employees who choose to voluntarily leave early. TA
- (e) Employees scheduled or called in for a training session or mandatory meeting will be paid a minimum of two (2) hours at the appropriate rate of pay. TA
- 10. The senior employees in a classification who are on duty shall be given first preference to work overtime. If senior employees on duty in a particular job classification reject an offer of overtime, the junior employees on duty must perform the overtime work. Involuntary overtime will be assigned based on reverse seniority.

An employee working on his/her regular day shall be required to work overtime before an employee who is working on his/her day off. TA

11. Lunch Meal periods will be scheduled for a maximum of thirty (30) minutes for all employees, except for food and beverage employees, who will be expected to take lunch when and to the extent that operations permit.

Meal periods shall be an uninterrupted one-half (1/2) hour for which the employee is not to be compensated. If employees are required to work any portion of the meal period, they shall be paid for the entire meal period. Employees are responsible for clocking in and out at the beginning and end of each thirty (30) minute meal period. TA

The Employer shall provide meals which are palatable and wholesome at a-no cost to employees which it determines. Employee meals shall be served under clean and sanitary conditions. Employees at TCS shall be paid a meal allowance of \$3.00 per day.

- 12. Employees shall be entitled to one (1) fifteen (15) minute break for each four (4) hours of work. It is understood, however, that the Employer reserves the right to schedule the breaks. Employees who work overtime beyond the end of their shift will be entitled to an additional fifteen (15) minute break after each additional two (2) hours of overtime worked. TA
  - 13. Textile Care Services shall have the option to schedule a four (4) day work week

12

13826232v.2

using ten (10) hour days in accordance with the following:

The work weeks shall consist of four (4) work days and three (3) scheduled days off.

Two (2) days scheduled off to be consecutive.

Hours in excess of ten (10) in a work day or forty (40) in a work week to be paid at one and a half (1-1/2) times base rate. Hours worked on scheduled days off to be paid at one and a half (1-1/2) times base rate.

When computing holiday pay, participating employees will have average days computed using four (4) days per week to a maximum of ten (10) hours.

When computing vacation pay, participating employees will have average days computed using four (4) days per week. Vacation taken in less than full week increments will be computed based on this average day to a maximum of ten (10) hours.

- 14. At Textile Care Services, the Employer agrees to give preference to senior employees in selecting shifts. Where operations permit, the Employer will provide a Textile Care Services driver with fourteen (14) days' notice of any reassignment to a new route.
- 15. The Employer shall not require an employee to work alone without a reasonable amount of training as provided and determined by the Employer. TA

#### ARTICLE 9 HOLIDAYS

1. The following shall be classified as holidays: Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day and New Year's Day. Any time worked on those days shall be paid for at double time. Management shall have the exclusive right to determine which holidays are to be worked.

Should one of the foregoing holidays fall on Sunday, it will be celebrated on Monday in Textile Care Services. In all other facilities covered by this agreement, Sunday holidays will be celebrated on that day and paid for accordingly.

Should one of the following holidays fall on Saturday, it will be celebrated on Friday in Textile Care Services. In all other facilities covered by this Agreement, Saturday holidays will be celebrated on that day and paid for accordingly.

2. Holiday pay will be granted to all employees with established seniority irrespective of the day of the week on which it falls. To qualify for pay, an employee must work his regular scheduled work day before and after the holiday. Pay for holidays for employees not scheduled to work will be based on the average daily hours worked by each eligible employee in the twelve (12) week period preceding the holiday up to a maxim um of eight (8) hours. An employee who is scheduled to work on a holiday and then fails to report for work will not receive holiday pay unless the absence is an excused absence. All named Holidays shall be paid at the Tipped Adjustment Rate as defined in Article 13.2.

An employee who is absent on the day before or after the holiday on compensable time

(vacation, paid sick-leave, etc.) will not be disqualified if otherwise eligible for holiday pay. In addition, an excused absence on the day before or day after a holiday will not disqualify an employee from receiving holiday pay.

Such hours paid for will be counted as hours worked for overtime purposes if the holiday falls on an employee's regularly scheduled work day.

Employees with seniority who are normally scheduled to work five (5) days will have designated sixth (6th) and seventh (7th) days and such days will not be changed in holiday weeks to avoid payment of overtime for work on the sixth (6th) and seventh (7th) day of a work week. This does not apply to function employees who work on an on call basis.

- 3. If the holiday comes during the employee's regularly scheduled vacation the employee shall have the option to convert vacation pay to holiday pay or to receive an extra day's pay.
- TA 4. An employee receiving sick leave pay on leave of absence shall not receive holiday pay.
- 5. Regular full time bartenders shall not suffer a loss of pay due to their inability to work at their usual assignment or a related assignment during scheduled hours on an election day.
- 6. Employees with eight (8) five (5) or more years of service shall be entitled to one (1) paid personal day. Employees with sixteen (16) Ten (10) or more years of service shall be entitled to two (2) paid personal days. Employees with twenty (20) fifteen (15) or more years of service shall be entitled to three (3) paid personal days and Employees with twenty (20) years of service shall have four (4) paid personal days. The paid personal days are to be scheduled by mutual agreement in advance or to cover uncompensated days of sick leave. The paid personal days must be used within the employee's anniversary year. Eligibility is based upon the employee's anniversary date of employment. Personal Days shall be paid at the Tipped Adjustment Rate as defined in Article 13.2.

Personal days may be scheduled and taken in advance of the employee's anniversary date, subject to repayment by the employee if the employee does not remain an employee until his/her next anniversary date. Each employee's check stub will reflect the employee's personal day balance. TA

#### ARTICLE 10 VACATIONS

- 1. Employees shall receive vacations at the following rates:
  After one (1) year of continuous service one (1) work week vacation;
  After three (3) years continuous service three (3) work weeks vacation;
  After nine five(95) years continuous service three (3) work weeks vacation;
  After fifteen ten (150) years continuous service four (4) work weeks
- After fifteen ten (150) years continuous service four (4) work weeks vacation. TA
- 2. Any employee whose average work week during the vacation year is between thirty-eight (38) and forty (40) hours will be paid vacation pay for forty (40) hours. Any employee whose average work week during the vacation year is less than thirty-eight (38) hours

14

or more than forty (40) hours will be paid vacation pay equal to his average work week. Time spent by members of the Union Negotiating Committee in negotiations for renewal of this Agreement at the time of its expiration shall be included in computing an employee's average work week.

Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued vacation pay for each hour on vacation.

<u>Tipped Employee Vacation Adjustment.</u> In addition to their base hourly rates, tipped employees working in the classifications of doorperson, bellperson, bell captain, all servers in functions, room service and all restaurants and lounges, shall be compensated at the rate of three dollars (\$3.00) per hour for all vacation hours taken; effective September 1, 2012, this rate will be increased to three dollars and twenty five cents (\$3.25); and effective September 1, 2013 this rate will be increased to three dollars and fifty cents (\$3.50). This rate shall increase to \$4.00 effective March 1, 2016 and effective March 1, 2017 the rate shall increase to \$5.00. "Base rate" for purposes of this Agreement means the wage rate assigned to the position excluding any premiums or differentials.

Employees shall earn vacation on a biweekly basis prorated in accordance with compensable hours for the pay period. The biweekly pay stub shall show total vacation hours accumulated. Employees will be eligible to receive their vacation benefits as they accrue it each pay period, providing, however, it can be scheduled with the employee's supervisor. A maximum of two (2) years' vacation may be accumulated. Once the employee has accumulated the maximum of two (2) years' vacation entitlement he/she shall stop accumulating additional vacation but shall not lose any vacation accumulated. Accumulation shall resume as soon as the employee uses accumulated vacation.

By mutual agreement, Employees may sell or roll over up to forty (40) eighty (80) hours of accrued vacation one time during a calendar year.

- 3. Fully earned vacation pay shall be paid in advance of the scheduled vacation if requested by the employee at least two (2) weeks in advance. TA
- 4. A vacation sign-up list shall be posted in each work unit at which time employees will sign for vacation between February 15 and March 31 for the period April I of the same year to March 31 of the following year. Thereafter, vacations will be selected on a first come, first serve basis and will not be subject to being bumped. Scheduling of vacation shall be arranged so that the functioning of the department shall not be impaired and shall be subject to the Employer's approval. Vacations can be arranged in one (1)-day increments by mutual agreement between the supervisor and the employee involved.

Vacation requests made over forty-eight (48) hours in advance will be honored whenever reasonably possible. The Employer agrees to affirm or deny in writing the employee's written request for vacation within seven (7) days of receiving such request. TA

Employees will be permitted to take vacation year round, provided, however, that the Employer may require adequate staffing levels to meet business needs. TA

5. Vacations shall be taken within the year following the date the employee becomes eligible. In case of emergency, by mutual agreement an employee may work his vacation and

15

receive his vacation pay in addition to wages for the hours worked or arrange his vacation for some other time. TA

Employees may take their vacations in one (1) hour increments if requested and approved in advance. There shall be no cap on the number of vacation days that an employee may take at one time provided that business levels allow.

- 6. If an employee's services are terminated prior to the time of the taking of his vacation, he shall be immediately paid the full amount of his accumulated vacation pay, provided such employee has completed one or more years of service. TA
- 7. If an employee becomes ill during his regularly scheduled vacation and qualifies for sick benefits he may re-schedule his unused vacation. TA

### ARTICLE 11 INSURANCE BENEFITS

- I Employees who satisfy the average hours worked requirements set forth in Article 2, Paragraph 3, will be eligible to participate in the Employer's health and life insurance plans on the same terms and conditions as all other employees of the Employer. The Employer has the right to modify or eliminate these benefits (including providers) and increase the employee contributions to same. Said changes or increases in contributions shall be the same as those applicable to all other employees of the Employer. It is also agreed that the plan year, including enrollment periods, shall be the same as is applicable to all other employees of the Employer.
- 2. Except for a violation of the express terms of this Article, any question or dispute in connection with the Employer's health insurance plans is specifically excluded from the grievance and arbitration procedures of this Agreement.

#### ARTICLE 12 <u>UNIFORMS AND</u> LAUNDRY

The Employer agrees to furnish and launder uniforms for all employees who are required to wear them. These uniforms shall not be worn off the premises unless authorized. The employee is expected to treat the uniforms with care. The Employer also agrees to replace, at no cost to the employees, those uniforms which have become permanently stained or worn out.

"The employer will provide and launder an adequate number of uniforms for all employees required to wear a uniform at work. Uniforms will be of correct size. Full time employees scheduled to work five (5) days shall receive 11 sets of uniforms, employees working less than five (5) days a week will be prorated on the amount of uniforms provided to them. The Employer replace at no cost to employees, those uniforms which have become permanently stained or worn out."

### ARTICLE 13 BULLETIN BOARDS

The Union shall be entitled to reasonable use of the bulletin boards of the Employer for the purpose of posting notices of official business. Other matters of interest to employees may be posted if approved by the Employer. It is agreed that the bulletin boards may be locked and a key maintained by the Management. TA

16

13826232v 2

### ARTICLE 14 WAGES

- l A schedule of "Appendix A" covering job classifications and base wage rates is attached and made a part of this Agreement. Additionally, the parties agree as follows:
- (a) <u>Maintenance Boiler Pay</u> \$1.00 per hour paid for all hours worked to employees with a license, working full-time at a hotel where a license is required. (TCS will continue current practice.)
- (b) If applicable state or federal minimum wage is increased, all bell persons, doorpersons, and servers will receive the same cents per hour as the minimum wage increase cents per hour. TA
- 2. Except pursuant to the Lateral Service Article of this Agreement (Article 25), an employee required to fill a higher rated job temporarily shall receive the rate for that job while on that job and must be paid such higher rate for at least three-tenths (3/10ths) of an hour. An employee required to fill a lower job temporarily shall receive his regular rate while on that job.

Employees who request hours in a lower paying job in order to more nearly reach full-time employment will be paid at the rates of the job being performed. TA

Except pursuant to the Lateral Service Article of this Agreement (Article 25), bargaining unit employees will not be required to temporarily fill in for non-bargaining unit positions.

- 3. Employees being paid over scale shall receive the same percentage increase as employees paid on the Agreement's scale, TA
- 4. If any new classifications are added during the life of this Agreement, wages for the same shall be negotiated by letter or addendum and made a part of this Agreement. TA
- 5. All rate increases shall become effective in the first pay period after the employee becomes eligible for the rate increase, it being the intention of the parties that changes in rates of pay not be made during a work week.TA
- 6. Employees who are required to leave their jobs because of occupational injury will receive pay for all hours scheduled to work on the day of the injury or accident. TA
- 7. Banquet servers working cashier/vending functions shall receive the five (5) year snack bar attendant rate of pay. Senior employees shall have the right to defer such functions to the junior employee provided there are sufficient employees to staff the event.
- 8. A split shift shall be defined as any break of more than one (1) hour during working hours. All split shifts will be completed within a twelve (12) hour period, with the exception of function employees and bell persons. A premium of twenty cents (20¢)One dollar (\$1.00) per hour will be paid for all hours worked on any split-shift except tip classifications.
- 9. Employees who assist with training and orientation of new hires shall be paid an additional \$1.50 per hour for all hours spent training with a 4 hour minimum.

17

### ARTICLE 15 HEALTH, SAFETY AND SICK BENEFITS

1. All regular employees who have completed their probationary period of employment and attained seniority status will be eligible for sick leave benefits beginning with the second first day of absence for actual illness. However, sick leave will be paid to any employee on the first day of hospitalization and for the first work day of any three (3) or more consecutively scheduled work day absences.

For employees hired prior to September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of eighty (80) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year. The maximum benefit that can be accumulated by such an employee will be three hundred (300) hours. Upon an employee reaching the maximum balance, the employee may sell up to sixty (60) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date.

For employees hired on or after September 1, 2005, sick leave benefits will be earned and accumulated bi-weekly on a pro-rata basis of forty (40) hours of sick leave for two thousand eighty (2,080) hours of work or pay during an employee's anniversary year of employment. The maxim um benefit that can be accumulated by such an employee will be three hundred (300) hours. Upon an employee reaching the maximum balance, the employee may sell up to sixty

(60) hours of sick pay at fifty percent (50%) of value on the employee's anniversary date. Sick leave shall be paid at the Tipped Adjustment Rate as defined in Article 13.2

2. Sick leave may be converted to hours in the instance of an employee becoming ill after having reported for work or to cover lost time for doctor/dentist appointments. The hours lost by such an employee on that day by reasons of illness will be accumulated to establish eligibility for sick leave after the required waiting period.

Employees in the server and bellperson sections shall be given the option to use two (2) hours of their accrued sick leave for each hour of sick leave provided they meet the sick leave eligibility requirements. TA

- 3. To receive sick pay after three (3) days of illness, an employee must present a doctor's certificate as proof of illness. Absences due to accidents covered by workers' compensation are not eligible for sick leave benefits; provided, however, that sick leave which has been accumulated can be utilized in connection with workers' compensation benefits in order to permit an employee to receive up to the employee's average income in a combination of workers' compensation and sick leave pay.TA
- 4. The Employer will do everything reasonably possible to create and maintain safe, healthful and sanitary working conditions. The Union agrees that it will endeavor to have its members observe all of the safety rules. TA
- 5. The Employer may make temporary work reassignments in order to accommodate the light duty or special work requirements of an employee returning to work from a work-related injury or illness. Such reassignments will be limited to sixty (60) days unless extended by mutual agreement. TA
- 6. The Employer agrees that employees shall be allowed to use any of their accrued sick time for those absences which would be covered by the Family and Medical Leave Act.TA

18

13826232v 2

#### ARTICLE 16 PENSION

- 1. The Employer will continue to maintain and administer a pension plan ("Plan"). The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service. The pension program will be funded by the Employer as required by ERISA and the Internal Revenue Code. Employees who work beyond age sixty-five (65) will continue to accrue the full benefits subject to the maximum accumulation of forty-five (45) years.
- 2. The following is a brief outline of pension eligibility and benefits. The Plan and Trust Document are the ruling documents in all respects:

Eligibility - Age twenty-one (21), one (1) year of service, and 1,000 hours worked within a twelve (12) month period.

Normal Retirement Age sixty-five (65) and five (5) years of participation.

Early Retirement Age sixty-two (62) and five (5) years of participation.

Disability retirements are available to qualified employees.

The normal pension benefit will be fourteen dollars (\$14.00) per month for each year of service up to forty-five (45) years.

There are a number of optional methods for payments of benefits

Vesting occurs after five (5) years of Vesting Service

3. The Employer shall have the right to amend the Plan from time to time, consistent with the foregoing terms.

#### ARTICLE 17 UNITE HERE 401(k)

Employees will be eligible to participate in the 401(k) plan created and administered by the Union ("Union 401(k) Plan"). The Employer will not match employee contributions to and the Union will be responsible for all the costs of the Union 401(k) Plan, including all costs associated with the administration of the Union 401(k) Plan. TA

#### ARTICLE 18 NO STRIKE OR LOCKOUT

1. There shall be no strike, picketing, work stoppage, slow down, sit downs, or cessation of work, including of a sympathy nature, boycotts, or any walk out of any kind or for any reason, including any dispute relating to alleged unfair labor practices, during the term of this Agreement. The provisions of this Article shall be absolute and shall apply regard less of whether the dispute is subject to arbitration under the provisions of Article 6 of this Agreement. TA

19

13826232v.2

2. It will not be a violation of this agreement for employees to refuse to go through a legally authorized picket line in any strike approved by a two-thirds (2/3) vote of the executive board of the Union. TA

#### ARTICLE 19 MAINTENANCE TOOL ALLOWANCE

Maintenance employees will be entitled to a tool allowance of up to \$325.00 \$375.00 per year to maintain and replace tools required by the Employer and light maintenance employees shall be eligible for a \$50.00 annual tool allowance. Paid receipts must be presented to the Employer before payment is received by the employee. Employees will be reimbursed within fifteen (15) business days of presenting a receipt.

The tool allowance will be paid to eligible employees who are actively employed on September 1 of each year of the Agreement. For those employed less than a full year at that time, the tool allowance will be prorated based on the number of full months worked in the preceding twelve (12) months resulting in one-twelfth (I/12th) of the allowance for each month. TA

Specialized tools and test equipment required for maintaining equipment, but not listed on the employee tool list will be provided by the Employer. These tools will not generally be issued to maintenance employees, but will be stocked in the maintenance shop to be issued on an as needed basis. TA

### ARTICLE 20 DISCRIMINATION

The Employer and the Union agree that there shall be no discrimination by either Party which violates any of the City, State or Federal laws, ordinances or regulations on Equal Opportunity Law. to abide by the federal law prohibiting discrimination in hiring practices because of sex, race, age, religion, color, national origin or union membership. The Employer further agrees that there shall be no discrimination in regard to tenure, upgrading or work assignments because of sex, race, age, religion, color, national origin or union membership. All employees shall be permitted to wear their official Union button and/or official steward button provided the button is no larger than one and one-quarter (1 -1/4) inches in diameter. However, the foregoing limitation on the number and size of buttons worn shall not apply to employees in classifications that are not visible to the public. (move to Article 2)

### ARTICLE 21 RESPECT &DIGNITY

The Union and the Employer recognize that workers in the hospitality industry are professional employees deserving of the highest regard. The Union, the Employer, the non-Union and Union employees will work together to honor the principles of respect, and dignity. The parties and non-Union and Union employees agree that the continued success and operation of this establishment is dependent upon their mutual respect for one another's work. TA

20

13826232v2

### ARTICLE 22 MANAGEMENT RIGHTS

Except as limited by the express provisions of this Agreement, and longstanding mutually agreed written custom and past practice, the management of the business and the direction of the working forces shall rest solely and exclusively with the Employer. This includes, but is not limited to, the right to hire; to determine the quality and quantity of work performed; to determine the number of employees to be employed; to determine the jobs and job classifications; to layoff employees; to assign and delegate work; to maintain and improve efficiency; to promulgate, rescind, revise and require observance of rules, regulations, and other policies; to direct the activities of all employees employed by the Employer; to schedule work and to determine the number of hours to be worked; to determine the methods and equipment to be utilized and the type of service to be provided; to create, combine and to eliminate job classifications; except as limited by Appendix F, to subcontract bargaining unit work including using temporary agency employees for same; and to change, modify or discontinue existing methods of service and equipment to be used or provided. TA

### ARTICLE 23 LAUNDRY AND DRY CLEANING WORK STABILIZATION

Notwithstanding any strike, work stoppage, interruption of work or other economic sanction instigated or conducted by the Union or employees against the Employer for any good reason, specifically including the occasion of negotiating new or different terms of collective bargaining agreements, there shall be no work stoppage or interruption of work as relates to materials being processed in the laundry and dry cleaning departments for the use of any public and private hospitals, the Mayo buildings and nursing homes.

If such a strike or work stoppage occurs, the Employer and the Union will cooperate with the other to the end that regular employees of the Employer will be made available for the processing of such uninterrupted work and services of public and private hospitals, the Mayo buildings and nursing homes.

In consideration of the foregoing no-strike agreement, the Employer agrees that it will not process any other work in its laundry and dry cleaning facilities during the term of a strike, so long as the Union and the employees do process, without interruption, all work required for the use of any public and private hospitals, the Mayo buildings and nursing homes.

This Article shall remain in full force and effect for a period equal to the life of this Agreement and/or any renewal thereof plus six (6) months in addition thereto.

Any breach or threatened breach of this Article or any of the terms thereof in addition to any remedies at law or this Agreement shall be subject to suit for specific performance by the Employer or the Union.

21

13826232v2

# ARTICLE 24 SUCCESSORSHIP

In the event the owner of a facility covered by this Agreement decides to sell, transfer or assign its interest in any of the three (3) hotels listed on page one (1) of this Agreement, or in Textile Care Services, it will, prior to closing, provide a copy of this Agreement to the purchaser, assignee or transferee. In addition, the Employer will notify the Union and bargain in good faith over the effects of the pending sale, transfer or assignment on bargaining unit employees, prior to closing. The Employer agrees to notify the Union of the owner's intent to sell, transfer or assign its interest at the earliest possible date but in any case, no later than the date, of the execution of the purchase agreement.

## 'ARTICLE 25 LATERAL SERVICE

To support the Employer's provision of a high level of service to guests of the hotels covered by this Agreement, a high degree of cooperation with managers and with workers is required. In order to promote cooperation in the workplace, managers and workers are encouraged to develop ongoing communication. Consistent with the needs of the workplace, the Union recognizes that cooperation can be beneficial to both the workers and a hotel. TA

Management may, using reasonable discretion, utilize a policy of lateral service for limited periods of time to satisfy guests' and the hotel's needs. Lateral service consists of an employee performing work which ordinarily is performed by employees in a different job classification and is designed to allow employees to help where needed until guest or other hotel needs are satisfied. TA

# ARTICLE 26 ENTIRE AGREEMENT

This Agreement incorporates the entire understanding between the parties and supersedes all prior agreements, letters of understanding, grievance settlements and past practices between the parties except for those practices identified by the parties in Appendix K that may continue to be relevant. This Agreement shall be modified or amended only by a writing referring to this Agreement executed by both parties setting forth the amendment or modification.

22

## ARTICLE 27 DURATION

This Agreement shall be effective as of October 1, 2011 and continue in full force and effect to and including the 31st day of August, 2014 and continue thereafter from year to year unless either party hereto shall, at least sixty (60) days previous to the termination of any yearly period, notify the other party in writing of its intention to amend, modify or terminate this agreement. By yearly period the parties understand that the anniversary date of this Agreement will be August 31st of any succeeding year unless changed by mutual consent of the parties.

Wage increases set forth in Appendix A will be effective on the first full pay period after September 1, 2011, the first full pay period after September 1, 2012 and the first full pay period after September 1, 2013.

In the event of actual declaration of war by the Congress of the United States, this Agreement may be reopened by either party on sixty (60) days written notice. TA

WITNESS WHEREOF, The Employer and the Union have hereto set their hands this May of October 2011.

SUNSTONE HOTEL PROPERTIES, INC.
AS AGENT FOR DBA THE KAHLER
GRAND HOTEL, ROCHESTER
MARRIOTTI MAYO CI (NIC) AREA,
KAHLER HIN & SUITES AND

UNITE HERE LOCAL 21 AFL-CIO

Regional Director of Operations

Brian Brandt

President / Business Representative

Nancy Gb Idman

International VP, UNITE HERE

#### SIDE LETTER

Sunstone Hotel Properties, Inc., as agent for d/b/a The Kahler Grand Hotel, Rochester Marriott Mayo Clinic Area, Kahler Inn & Suites and Textile Care Services located in Rochester, Minnesota, ("Employer") and UNITE HERE Local 21 AFL-CIO, enter into this Side Letter to their October 1,2011 – August 31, 2014 collective bargaining agreement.

As a result of the elimination of the retiree life insurance benefit set forth in the parties' September 1, 2005 to August 31, 2010 collective bargaining agreement, the Employer has agreed that employees who were eligible as of September 1, 2011 or would become eligible by August 31, 2014 for this benefit will receive no later than December 15, 2011, a payment of two hundred and fifty dollars (\$250.00) minus applicable legal deductions.

SUNSTONE HOTEL PROPERTIES, INC.
AS AGENT FOR DBA THE KAHLER

GRAND HOTEL, ROCHESTER
MARRIOTT MAYO CLINIC AREA,

KAHLER INN & SUITES AND

TEXTILE CARE SERVICES

-Robert LaCasse

Regional Director of Operations

UNITE HERE LOCAL 21 AFL-CIO

Brian Brandt

President / Business Representative

Nancy Goldman

International VP, UNITE HERE

### APPENDIX "A"

#### Payroll Rates

The rates of pay for classifications are set forth below. The hiring rate shall apply to employees transferring to another classification, providing there is no decrease in hourly rate. After an employee who has transferred to another classification completes three (3) months of continuous employment in the new classification, he/she will be granted the appropriate service rate based upon his/her continuous employment with the Employer. Employees will, however, be given credit for actual experience in filling in on the new position towards the three (3) months of continuous employment. TA

The Employer will have the right to select the individuals who will be classified lead and to determine the number of lead positions and the shifts where they will be utilized. The Employer will also have the right to increase or decrease the number of lead positions in the future. The minimum wage differential for lead positions will be fifty cents (50¢) per hour. Leads shall be responsible for the general direction of employees in the department and ensuring that all tasks are completed. TA

A-1

Textile Care Services Hire 12 Mg 24 Mg 42 Mg 60 Mg Production I 8.76 9.90 10.66 11.51 12.25 Feeder/Folder Inventory Preparation  Production II 9.10 10.30 11.09 11.67 12.68	H!re 8.76	<i>] [</i> Mo 10.10	f1 Mo 10.87	Мо	₹Q. Mo	18				
Production II 9 10 10 30 11 09 11 67 12 68			10.07	11.74	12.50	Hire 8.78	12 Mo 10.30	<u>24 Mo</u> 11.09	<u>42 Mo</u> 11.97	60 Mo 12.75
Cart Handler	9.10	10.51	11.31	12.11	12.93	9.10	10.72	11.54	12.35	13.19
Production III 9.54 10.74 11.56 12.34 13.17 Ianitor Oock Worker Soil Sorter	9.54	10.96	11.79	12.59	13.43	9.54	11.17	12.02	12.84	13.70
Production IV 9.90 11.12 11.96 12.77 13.62 Dry Cleaner/Presser Vlender Vearing Apparel Finisher Checker Vasher Machine Op. Soil Sort Carl Dumper	9.90	11.34	12.20	13.03	13.89	9.90	11.57	1245	13.29	14.17
Production V 10.98 11.99 12.89 13.69 14.58 Dry Cleaner Machine Operator	10.98	12.22	13.15	13.96	14.87	10.98	12.47	13.41	14.24	15.16
Production VI 11.28 12.30 13.24 14.03 14.93 Funnel Machine Operator	11.28	12.55	13.50	14.31	15.23	11.28	12.80	13.77	14.59	15.54
Distribution Vil 1402 15.19 16.34 17.07 18.13 Commercial Driver	14.02	15.49	16.67	17.42	18.49	14.02	15.80	17.00	17.76	18.88
Distribution VIII 14.98 18.22 17.45 18.18 19.29 Service Rep / Utility	14.99	18.54	17.80	18.54	19.67	14.99	18.87	18.16	18.91	20.07
Production IX 15.80 17.18 18.48 19.10 20.28 COL	15.80	17.50	18.83	19.49	20.68	16.80	17.85	19.21	19.88	21.08
TCS Maintenance           Leundry Specialist         21.34         22.88         24.62         25.31         26.78           Mechanic         18.53         19.93         21.45         22.18         23.47           Apprentice         16.09         17.35         18.67         19.40         20.57           Preventive Maintenance         14.53         15.73         18.03         17.68         18.75           LightiY and Maintenance         9.82         10.76         11.58         12.38         13.21		23.34 20.33 17.70 18.04 10.98	25.12 21.88 19.04 17.27 11.81	25.81 22.61 19.79 18.01 12.63	27.31 23.94 20.98 19.12 13.47	21.34 18.53 18.09 14.53 9.82	23.80 20.74 18.05 16.38 11.20	25.62 22.32 19.42 17.62 12.04	26.33 23.06 20.18 18.37 12.88	27.86 24.42 21.40 19.51 13.74

# APPENDIX "B" Departments And Seniority

## Sections THE KAHLER GRAND HOTEL

## Food & Beverage Department

- Cooking Section
- Preparation Section
- Room Service Serving Section
- Grand Grill Serving Section
- Function Serving Section
- Function Set-Up
- Sanitation Section
- Bartenders Section
- Stores Section
- Room Service Telephone Section
- Starbucks Section
- U-Club Section
- Martini's

### Rooms Department

- PBX Section
- Bellperson, Lobby Porter Section
- Housekeeping Section

#### Maintenance Department

Maintenance Section

## **KAHLER INN & SUITES**

### Food and Beverage Department

- Cooking Section
- Serving Section
- Sanitation Section

#### Rooms Department

- Housekeeping Section
- Beliperson Section

## Maintenance Department

• Maintenance Section

## ROCHESTER MARRIOTT

## Food and Beverage Department

- Cooking Section
- Preparation Section
- Serving Section
- Room Service Serving Section
- Function Serving Section
- Function Set-Up
- Sanitation Section
- Bartenders Section
- Stores Section

#### Rooms Department

- Bellperson, Doorperson Section
- Housekeeping Section

### Maintenance Department

- Maintenance Section
- Housekeeping Section

#### TEXTILE CARE SERVICES

#### **Production Department**

- Receiving Section
- Wash Section
- Flat/Tumble Finishing Section
- Wearing Apparel Finishing Section
- Packaging/Shipping Section
- Dry Cleaning Section
- Utility Section

## Distribution Department

• Service Representative Section

#### Maintenance Department

- Maintenance Section

#### APPENDIX "C"

#### MAINTENANCE

#### **ADDENDUM**

Apprentices in the Maintenance Department will be permitted to take a test to move to the "mechanic" classification after completing two years of service as an apprentice. The apprentice wishing to take the test will furnish the employer with a written request and will be permitted to take the test within thirty (30) calendar days following the written request. The employer will inform the apprentice of the test results within thirty (30) calendar days of taking the test. If the apprentice passes the test he/she will be promoted to the "mechanic" classification.

At Textile Care Services, the laundry specialist classification will require the employee to have a class 1-B boiler license and maintenance electrician's license. The employee will be required to demonstrate skills in electronics to troubleshoot PLC and computer control systems, make programming changes as directed by the equipment manufacturer and repair circuit boards. The employee will be required to demonstrate proficiency in welding and plumbing.

The Employer agrees to provide, at no cost to the employee, for all training and education that is required for maintenance employees to obtain and maintain licensure provided the training is approved by the Employer and the course is successfully completed. The employer will reimburse employees for the yearly cost to renew or maintain licensure if such license is required.

The Employer agrees to employ no more than the following number of employees in the classifications of light/yard maintenance and preventative maintenance:

Facility	Liht/Yard	Preventativ
The Kahler Grand Hotel	2	2
Kahler Inn and Suites		1
Rochester Marriott		1
TCS		3

Seniority Rights. The Employer will maintain separate seniority lists at each Hotel, by classification. A separate seniority list for maintenance employees working at Textile Care Services will be maintained for purposes of all seniority rights.

Staffing and appropriate coverage at all locations will be determined by management. With the exception of apprentices, advancement to a higher paid classification will only be permitted when a new position opens in a specific classification.

Any and all license requirements will be determined by management and/or applicable law.

Any maintenance employee called back to work after he or she has left the property will receive a minimum of 4 hours call back pay.

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### APPENDIX "D"

#### Housekeeping Addendum (all Hotels)

A housekeeping employee shall not be required to clean more than sixteen (16) rooms within eight (8) hours. When a housekeeping employee cleans eleven (11) or more check outs in a day, the maximum number of assigned rooms shall be reduced by one (1), and when a housekeeping employee cleans fourteen—thirteen (13) or more check outs in a day, the maximum number of assigned rooms shall be reduced by two (2). Each bedroom or separate sitting room of a suite shall count as one (1) room. Management in its sole discretion may reduce the number of rooms to be cleaned during a shift or assign a houseperson to assist a housekeeping employee with rooms where, for example, rooms are exceptionally dirty or extraordinary cleaning is required.

Except pursuant to the provisions of Article 25, Lateral Service, Room Attendants will not normally be required to perform houseperson work in addition to their normal duties.

Housekeepers assigned to clean rooms on three (3) or more floors during a shift shall have their room quota reduced by one (1) room.

A housekeeping employee who volunteers to clean more than the foregoing amount of rooms within eight (8) hours shall be paid a bought room bonus of seven dollars (\$7.00) for each additional room. However, the bought room bonus shall not apply to rooms cleaned during overtime.

Housekeeping supervisors will-make reasonable efforts to have housekeepers assigned to the room accompanying them when entering a checkout room before it is cleaned.

Housekeeping managers, supervisors, and inspectors shall not enter a checkout room before it has been cleaned unless the Room Attendant assigned to the room is present. Any proven violation of this section shall result in a \$20.00 fine which shall be paid to the Room Attendant assigned to the room.

Room Attendants shall sign for their area or floor (s) by seniority. The Employer shall not arbitrarily reassign housekeeping sections.

The Employer will install sharps containers in all Hotel public restrooms.

Bargaining unit employees shall not normally be required to clean up and/or dispose of human or animal waste, vomit or significant blood spill. Employees shall comply with the Employer's procedures whenever they encounter human or animal feces, vomit, or significant blood spill in the workplace, and shall immediately contact a qualified responder who will handle disposal. Where a bargaining unit employee is required to clean human or animal waste, vomit or a significant blood spill, they will receive a six dollar (\$6.00) a ten dollar payment.

Room Attendants shall be paid \$3.00 for each rollaway, cot, or extra bed made up

Hotel employees shall not be required to handle any items that have been placed in a bio-hazard bag. Employees shall contact their supervisor for handling of those items.

In the event Hotel employees encounter improperly discarded syringes or other sharp objects while working, they shall be disposed of in accordance with established policy. The policy will include adequate available "sharps" containers for collection.

The Employer will provide linen, equipment and cleaning materials which is sufficient for Housekeeping employees to perform their jobs. Room Attendants will not be disciplined where they could not perform a task because they did not have the necessary equipment or supplies.

The Employer will provide assistance to a housekeeper in connection with moving or lifting any furniture weighing more than twenty-five (25) pounds. No Room Attendant will be required to stand on a ladder, bath tub or vanity.

The Employer will provide at least thirty (30) days' notice to the Union and, upon the Union's request, meet and discuss any renovation or new amenities or service standards which will significantly affect the housekeeper's workloads.

D-2

#### APPENDIX "E"

## Banquet Department Addendum

<u>Banquet Definition.</u> A banquet shall be deemed to be any reserved function with a pre-set menu and a fixed cost, including receptions, supervised by the banquet department.

<u>System-Wide Seniority</u>. For purposes of lay-off, recall and filling available positions, the Employer shall maintain a master seniority list which shall contain the names of all regular full-time and regular part-time banquet servers who work in each of the Employer's hotels. Seniority shall be based on first function worked as a regular server following completion of probation.

<u>Seniority by Location</u>. The Employer will maintain at each location three (3) banquet employee seniority lists for purposes of scheduling at each of the Hotels.

#### A. First List.

The First List will contain the names of all regular full-time banquet employees. These employees must be available to work any shift at the Hotel(s), seven days per week. The seniority list for regular full-time banquet employees shall be posted every month.

Although fluctuations in business will have an impact on the Employer's ability to consistently schedule these employees on a full-time basis, it is the intention of the parties to provide First List employees with a reasonable opportunity to work a full-time schedule. Accordingly, the number of employees on the First List will be established and maintained so as to reflect this intention.

#### B. Second List.

The Second List will contain the names of banquet employees who are available to work a minimum of three (3) shifts, per week, at the Hotel(s). The days and shifts on which such employees are available will be submitted to management in writing. Second List employees will be on a separate seniority list, which will be posted. Second List employees will be scheduled only after the First List has been exhausted, when necessary, to meet staffing needs, or where use of the First List employees would result in the payment of overtime.

#### C. On-Call List.

The On-Call List will contain the names of banquet employees who are called and work on an "as needed" basis at the Hotel(s). On-Call employees may be scheduled when the First and Second Lists have been exhausted, where necessary to meet staffing needs, or where use of First or Second List employees would result in the payment of overtime. Local 21 will check hours worked on a monthly basis to see if hours worked fall under guidelines (average I0 hours/week are subject to dues).

#### D. Seniority Standing.

- I First List employees moving to the Second List will be "dove-tailed" based on seniority date. The Second List employees moving to the First List will go to the bottom of the list with seniority based on date of transfer.
- 2. First List servers will have preference for scheduling purposes at their own Hotel and preference over Second List and On-Call servers at the other Hotels.
- 3. Maximum hours available will be offered to senior First List employees, up to forty (40) hours per week, but no employee will work a-triple shift until all First List employees have been offered a double shift.
- 4. Any regular server involuntarily cut from a function shall be entitled to bump the least senior server scheduled to work at their home Hotel, in that work week.
- 5. Employee requests for days off must be received in writing by noon, forty-eight (48) hours prior to posting of the weekly schedule, and will be duly considered. A regular server will not be disciplined for his/her inability to work a shift if the server is notified less than twenty-four (24) hours before the scheduled shift.
- 6. All weekly banquet schedules shall be posted at each of the Hotels. It is understood that employees may be required to work at all locations. Banquet Servers shall not be required to transport food or dish carts.
- E. <u>Special Conditions/Scheduling</u>. Employees will be scheduled by shifts. A shift is defined as a work period of no less than three (3) hours and no more than eight (8) hours. A shift may include working one, or any combination of, the following events:
  - Breakfasts
  - Coffee Breaks
  - Lunches
  - Dinners
  - Receptions
  - Special Events

#### Banquet Employee Compensation.

A. <u>Banquet Service Charge.</u> Banquet servers shall receive fifteen and one-half percent (15.5%) of the banquet service charge on all functions, including those contracted for at the Civic Center. This service charge applies to food and beverages served to guests who have 'functions at a hotel covered by this Agreement and does not apply to any other fees and/or charges to guests who have functions at a hotel covered by this Agreement, including but not limited to room fees,

audio-visual equipment charges, etc.

- B. <u>Service Charges on Guaranteed Meals.</u> Service charges shall be paid on the guaranteed number of meals paid for by the customer.
- C. <u>Service Charge on Complimentary Functioning</u>. Servers who work a promotional function for which the Hotel does not charge the guest will be paid a service charge percentage consistent with the above schedule. The service charge will be calculated on the retail value of the function.
- D. <u>Corkage Fees.</u> When the Employer collects a corkage fee for guest-supplied alcohol, fifty percent (50%) of that fee shall be added to the service charge pool. For events where no corkage fee is collected, fifty percent (50%) of the customary fee will be added to the service charge pool. However, the foregoing shall not apply to off-site events where the customer will not pay the corkage fee.
- E. <u>Cake Cutting.</u> One-half of the cake plating charge shall be included in the tip pool. Service charge pool.
- F. <u>Service Charge Increase.</u> <u>Should the service charge be increased, the hotel will retain the full increase up to eighteen percent (18%) percent.</u> Any service charge over <u>eighteen</u>nineteen percent (18-19%) percent will be divided equally between the employees and the hotel.
- G. Off-site events: for banquet set-up, servers, bartenders, captains \$35.00 per hour flat rate for all hours worked at off-site events. This flat hourly rate shall be paid for all off-site banquet set-up, tear down, loading and travel time starting when employee punches in under a separate hourly pay code for off-site event until employee punches out after the conclusion of the event. Start time for off-site event will be indicated on work schedule

#### Tip Pooling System

A. The service charge will be pooled and divided on a daily basis based on hours worked at each hotel.

Temporary employees shall not be included in the tip pool.

B. <u>Employer Records.</u> The employer records on the amount of service charge and method of distribution shall be made available to the Union Representative or designee for purposes of monitoring the tip pooling system. The Union may request a meeting on a quarterly basis to review the system.

#### APPENDIX "F"

#### Food and Beverage Addendum

All Employees working in the cook classification will receive a meal during their shift at no cost to the employee.

The lead cook rate of pay will be applied to at least one (1) cook in the Grand Grill, Center Street Country Cafe, Crossings and Vino for all hours of operation.

It is agreed there will be no more than one (1) sous chef and one (1) Executive chef assigned per shift at each hotel.

Starbucks employees will be paid at the snack bar rate.

The Employer will not subcontract or lease out to another food and beverage operator any kitchen, bar, or restaurant in either the Marriott or Kahler Grand that is subject to this Agreement. The employer agrees that it will not subcontract or lease any existing food and beverage operation including banquets at any of the hotels.

When utilizing restaurant sections, including outdoor sections for banquet functions the servers and Bartenders who normally work in those sections will be offered the opportunity to work the function before on-call banquet employees.

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## APPENDIX "G"

#### Textile Care Services Addendum

Employees in the janitor classification shall receive the same night shift differential as the maintenance classification.

The Employer shall provide employees working in the soil sort department with OSHA-approved gowns and gloves. Employees shall not remove these items from the premises.

TCS Drivers shall be guaranteed eight (8) hours pay when a utility driver rides along to train.

TCS employees shall receive an additional \$1.50 per hour when training other employees.

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## APPENDIX "H"

## Bell Position Addendum

For groups of more than ten (10) people, bell persons shall receive a portage rate of two dollars (\$2.00) per person each coming in and two dollars (\$2.00) each for going out if negotiated and collected. It is agreed that these amounts are only minimums.

H-1.

#### APPENDIX "I"

#### **GUEST SERVICE**

The patties agree to supplement the Agreement for the following purposes:

WHEREAS, the parties recognize that premier guest service is essential to the success of the Hotel and its ability to employ persons who are paid competitive wages;

WHEREAS, the parties recognize that because most guest dissatisfaction is not reported, and most dissatisfied guests simply take their business elsewhere, the guest complaints received by the Hotel are a reflection of dissatisfaction by some who have not complained but who will not return to the Hotel:

WHEREAS, the parties agree that the Hotel shall train employees on how to provide premier guest service and that each employee may be expected to successfully complete such training;

WHEREAS, the parties agree that the Hotel should not employ or continue to employ employees who are either unable or unwilling to provide, or who do not provide, premier guest service;

NOW, THEREFORE, the parties agree as follows:

- (1) The Hotel has the right to establish service standards and appearance, grooming, and dress standards that must be adhered to by all employees and managers.
- (2) The parties agree that the Hotel may apply progressive discipline, up to and including discharge, against employees who are the subject of guest complaints other than those set forth in the following paragraph 3 (examples of complaints include, but are not limited to, misplaced luggage, guest room not completely cleaned, mishandled food or beverage order, incorrect credit card charge).
- (3) The parties agree that the Hotel shall have just cause for discharge of any employee who, among other reasons:
- a) Is the subject of two or more legitimate complaints from guests within one year of poor, rude, or discourteous service (examples include, but are not limited to, use of foul language in the presence of a guest, arguing with a guest, indifference to a guest concern, carrying on personal business while a guest is waiting);
- b) Is the subject of one legitimate complaint from a guest of extraordinarily poor, rude, or discourteous guest service (examples include, but are not limited to, directing foul language toward a guest, sexual or other harassment of a guest, refusal to assist a guest, requesting or adding a gratuity);
  - c) Fails to pass a course pertaining to the Hotel's service standards;

d) Acts in gross neglect of the Hotel's service standards on one occasion or more, unless the Hotel deems it appropriate to excuse such neglect on a non-precedent setting basis.

The parties further agree that the foregoing are examples and that employees may terminated in other circumstances, subject to the requirement that the termination be for just cause.

- (4) In the event the Hotel chooses to conduct written or oral testing of employees in connection with guest service training, such tests must be reasonable, job related, and non-discriminatory. Such tests shall be limited to guest service and communication skills and abilities, as well as employee knowledge of the services and products offered by the Hotel. The Union shall be permitted to a copy of any tests used in advance of utilization by the Hotel. The Union shall be permitted to grieve such tests if it believes they are unreasonable, not job related, and/or discriminate on an unlawful basis.
- (5) Where a guest complaint is reduced to writing, the Hotel shall not be required to compel the guest to testify during the grievance and arbitration procedure or reveal the guest's address or telephone number to the Union or to the employee. The Hotel may introduce into evidence at arbitration written guest complaints. Upon request of the Union, the Hotel shall provide the Union with a copy of any written guest complaint that resulted in disciplinary action being taken against an employee, with the guest's identity redacted from such copy. Where the Union wishes to investigate a complaint, the Hotel shall arrange for a conference call between the guest, a representative of the Union, and a representative of Hotel management. Where, however, an employee has been discharged based on one or more guest complaints the Union shall be permitted to investigate the complaint to the extent permitted by the National Labor Relations Act, as interpreted by the National Labor Relations Board and the courts.

#### APPENDIX "J"

## DRUG AND ALCOHOL TESTING

This Drug and Alcohol Testing Policy is intended to be in accordance with Minnesota law and with the terms of the Agreement.

#### OBJECTIVE:

The Company strives to maintain a work environment free from the effects of drug and alcohol abuse for the protection of our customers, employees, and the community.

The Company recognizes that alcoholism and other drug dependencies are behavioral/medical problems which can be treated.

#### POLICY STATEMENTS:

- 1. The possession, use, manufacture, transfer, or sale of illegal drugs during work hours, while operating a Company vehicle or on Company premises is prohibited. The Company premises include all SUNSTONE HOTEL PROPERTIES, INC. worksites, including parking facilities. Employees violating this provision may be terminated.
- 2. Employees are not permitted to work under the influence of alcohol or any illegal drug. Employees violating this provision are subject to disciplinary action up to and including termination.
- 3. Abuse of legally prescribed drugs or controlled substances, or over-the-counter drugs, is prohibited because it may impair an employee's ability to perform his or her job responsibilities. Depending on individual circumstances, this abuse could result in termination.
- 4. Employees suffering from drug dependency are encouraged to seek medical treatment. The Human Resources representative may be contacted for referrals for evaluation and/or treatment facilities and the application of Company medical benefits for evaluation and treatment. No employee may suffer reprisals as a result of seeking help. If an employee feels he/she has suffered reprisals, he/she should report it to the Human Resources representative immediately and an appropriate investigation and action will take place.
- 5. Every employee will receive a copy of the Drug and Alcohol Testing Policy and will be required to sign an Acknowledgment Form, Attachment A, which will be kept in the employee's personnel file. In addition, the Company shall post notices in appropriate and conspicuous locations at each of its worksites that the Company has adopted a Drug and Alcohol Testing Policy and that copies of the Policy are available for inspection during regular business hours by its employees and job applicants in the Company's Human Resources office.
- 6. An employee may be required to undergo drug and alcohol testing when at least two (2) supervisors (if feasible) have reasonable suspicion that the employee:
- a) is under the influence of drugs or alcohol. Factors that may be considered in determining whether an employee is under the influence of drugs and alcohol include but are not 13826232v.2

limited to: evidence of repeated errors on the job, Company rule violation, and unsatisfactory time and attendance patterns, if coupled with specific facts and rational inferences drawn from those facts that indicate possible drug use; or

- b) has violated the Company's written Policy Statements (numbers 1, 2, or 3 above); or
- c) has had a personal injury while working or has caused a personal injury to another person; or
- d) has caused a work-related accident or was operating or helping to operate machinery, equipment or vehicles in a work-related accident.

Post-accident or injury testing will be conducted as soon as practical following the accident, but not later than thirty-two (32) hours following the accident.

7. Drug and alcohol testing will be accomplished by the collection of hair, urine, and/or blood. The screening of hair, urine, and/or blood samples will be performed by qualified and certified testing laboratories. Testing is done for alcohol and the following drugs and drug classes:

Marijuana metabolites, cocaine metabolites, the opiates morphine and codeine, phencyclidine (PCP, angel dust), and amphetamines (amphetamine and methamphetamine), and/or all other drug classes as described in Schedules I through V of Minn. Stat.Section 152.02.

The detection levels of confirmatory tests shall be those established under Minnesota Rules.

- 8. Every employee has the right to refuse to undergo drug and alcohol testing. Employees who refuse to undergo testing are subject to disciplinary action up to and including termination.
- 9. Any employee who tests positive shall have the right to explain the positive test result of a confirmatory test or request and pay for a confirmatory retest of the original specimen sample.
- 10. If a positive test result on a confirmatory test was the first such result for the employee on a drug or alcohol test by the Company, the employee will be immediately suspended without pay. The employee can be reinstated upon participation in either a drug or alcohol counseling or rehabilitation program, whichever is more appropriate as determined by the Company after consultation with a certified chemical use counselor or a physician trained in the diagnosis and treatment of chemical dependency. The cost for the evaluation will be paid by the Company. Costs for the recommended treatment will be the employee's responsibility. Employees who refuse to participate in the counseling or rehabilitation program or fail to successfully complete the program, as evidenced by withdrawal from the program before its completion or by a positive test result on a confirmatory test after the completion of the program, may be subject to termination.

- 11. An employee who is referred by the Company for chemical dependency treatment or evaluation or is participating in a chemical dependency treatment program may be requested or required to undergo drug or alcohol testing without prior notice during the evaluation or treatment period and for up to one (1) year following completion of any prescribed chemical dependency treatment program. An employee testing positive during this period may be subject to termination.
- 12. A Medical Review Officer (M.R.O.) will review all test results. All positive test results shall be confirmed by a Gas Chromatography Mass Spectrometry analysis of the original specimen sample. The M.R.O. will review and interpret analytical (laboratory) results, validate the results scientifically, and determine if there is a legitimate medical explanation for a positive test result, and notify the Company of the results. The M.R.O. is a third party licensed physician with specialized knowledge of substance abuse.
- 13. The Company reserves the right to change or terminate this Policy and Procedures at any time, after prior notice and negotiation with the Union. Every employee will be given a copy of the amended policy if a change is made.
- 14. Test result reports and other information acquired in the drug and alcohol testing process are confidential information. Disclosure of the results to third parties may be done with the employee's prior written consent. Notwithstanding the above, test results may be disclosed to any federal agency or other unit of the United States government as required under federal law, regulation or order, or in accordance with compliance requirements of a federal government contract. The test results may also be disclosed to a substance abuse treatment facility for the purpose of evaluation or treatment of the employee, or may be disclosed to the Union or other necessary persons in connection with a potential or actual grievance or threatened or actual litigation. An employee has the right to request and receive from the Company, a copy of the test result report on any drug or alcohol test.

No employee may be required to undergo drug or alcohol testing without the prior approval of the Director of Human Resources or the General Manager or his/her designee.

#### PROCEDURES:

- 1. When at least two (2) supervisors (if feasible) have reasonable suspicion to test an employee as stated in Policy Statement #6, the request must go to the applicable Human Resources representative or his/her designee to arrange for the collection and begin the required paperwork designating the need for hair, urne, and/or blood specimen.
- 2. Before a test is administered, the Company will ensure that the employee has completed a Drug and Alcohol Acknowledgment Form.
- 3. The employee will go to the collection site and provide a hair, urine, and/or blood specimen and appropriate identification. The collection site staff will begin the chain of custody paperwork and forward the specimen to the certified laboratory for testing. If an employee appears impaired and unable to safely go to the collection site on his/her own, the Company will arrange for transportation to the collection site and home following the collection procedure. Under no circumstances should an employee suspected of being impaired be allowed

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to drive. The employee will be reimbursed for any out-of-pocket expense incurred in taking the test, with proper documentation.

- 4. Test results will be reviewed to determine if there is evidence of the use of alcohol, drugs or controlled substances and forwarded to the M.R.O. If the specimen sample shows a positive result, the original sample will be kept for additional confirming tests.
- 5. The M.R.O. will communicate the results to the Company Human Resources representative.
- 6. The Human Resources representative and/or the employee's supervisor will communicate the results of the test to the employee or job applicant, as the case may be, within three working days upon receipt of the results.
- 7. If an employee tests positive for drug use, the employee will be notified in writing of his/her right to explain the positive test and the Company may request that the employee indicate any over-the-counter or prescription medication that the individual is currently taking or has recently taken and any other information relevant to the reliability of, or explanation for, a positive test result.
- 8. Within three (3) working days after notice of a positive test result on a confirmatory test, the employee may submit information to the Company, in addition to any information already submitted under paragraph 7, to explain that result, or may request a confirmatory re-test of the original sample at the employee's own expense.
- 9. The Human Resources representative will follow up on any recommended treatment and determine whether the employee has successfully completed the treatment.

J-4

#### Attachment A

#### DRUG AND ALCOHOL POLICY ACKNOWLEDGMENT FORM

I, the undersigned, certify that I have received and read a copy of the Company's Policy regarding drug and alcohol abuse.

As part of my employment with the Company, I understand that my position is subject to drug and alcohol testing and that I may be requested to provide a hair, urine, and/or blood specimen for a drug or alcohol test.

I understand that I may refuse to take the drug and alcohol test and that such refusal may result in termination.

Employee		
Social Security Number	Date	
Witness		

#### APPENDIX "K"

# Mutual Agreements (Custom, Past Practice, Letters of Understanding)

As part of the 2001 contract negotiations and settlement, the parties added a new Article 25 entitled, "Entire Agreement" to the Agreement. In connection with adding Article 25 to the Collective Bargaining Agreement, both parties made a reasonable and good faith effort to locate, identify and discuss all separate mutually agreed upon custom, past practices, or letters of agreement understanding which they believe were part of the collective bargaining agreement. As a result, the parties agree that the following items are part of the Collective Bargaining Agreement:

- 1. From letter of August 19, 1988 to Dan Skinner, HR Manager, Kahler Hotel, from Terry Weivoda, Local 21 any employee with prior service and seniority in a room (restaurant) is permitted to use that seniority to bid on any posted jobs in that room (restaurant).
- 2. From letter of September 24, 1991 to Terry Weivoda, Local 21, from Kevin Molloy, Senior VP Operations,
- a. It is agreed that no absolute room quota exists for room housekeepers, but the employer has the right to set reasonable performance standards.
- b. Consistent with past practice, it is agreed that full time housekeepers shall be able to use their seniority to select work areas, part time housekeepers shall be assigned as needed.
- c. It is agreed that when employees have been requested to work on their day off, such designation shall be made on the employee's work schedule noting any sixth or seventh day worked.
- d. It is agreed that tipped employees shall not be required to share gratuities with other Hotel employees.
- e. It is agreed that the Employer will continue for the life of their 2011 2014 Collective Bargaining Agreement the current employee discount for meals purchased in restaurants in accordance with the established policy, i.e. employee cafeteria, or if not available, from employee menu.
- 3. From letter of October 23, 1997 to Dave Blanchard, Local 21, from Kevin Molloy, Regional VP Sunstone.
- a. It is agreed that a shift chef will not be used to fill a regular shift while a cook is on lay off. It is understood, however, that under such circumstances a laid off cook will not be recalled to cover short periods of cooking that could occur during the heavy volume periods of the day.
- b. It is agreed that when an employee is required to work through the lunch, the supervisor will be advised, and will attempt to reschedule the lunch periods. Employees will not, however, have lunch periods scheduled during the last one half hour of the shift.
- c. With respect to Article 9, Section 1, it is agreed that the language will be interpreted to require that employees who work on a holiday will be paid double time for all hours worked on that

K-1

1

day.

- 4. From letter of October 5, 1998 to Kevin Molloy, Senior VP Operations, from Brian Brandt, Local 21. Concerning the issue of the employer using workers obtained through temporary employment agencies, it is understood that the Union would not consider it to be a violation of the Collective Bargaining Agreement should the Employer choose to utilize such workers provided that the Employer has made every reasonable effort to fill all positions with regular employees and, where practicable, to offer the work to all bargaining unit employees in the job classification first. The temporary workers will have no seniority rights and will not be used to prevent overtime or additional hours for Union members. Any temporary worker utilized by the Employer for more than thirty (30) calendar days will immediately become a Sunstone employee subject to the Collective Bargaining Agreement and the thirty (30) days as a temporary worker will be considered the probationary period. The Employer will provide the Union with a record of all temporary workers' names and hours worked upon request.
- 5. From letter of June 22, 1994 to Jim Porrett, VP (Textile Care Services), from Terry Weivoda, Local 21 it is agreed that maintenance employees with boiler operator responsibilities will be paid \$1.00 per hour for those hours during which they perform boiler operator job duties.
- 6. From letter of January 4, 1 993 to Randy Lacey, Plant Manager (TCS), from Terry Weivoda, Local 21 the union has accepted the employer's proposal to adopt an absence control policy.
- 7. From letter of August 1, 2001, to Frank Heavlin, from David Blanchard, Local 21, regarding treatment of biohazard bags at Textile Care Services in Rochester, Minnesota. It is agreed that employees at TCS will no longer be required to handle any bio-hazard bags when they come to the plant. Any such bags will be placed, unopened, into a special bin that will be picked up by Mayo to be handled by them. This policy will not change during the life of the 2001-2005 Agreement unless required by law.
- 8. As a result of discussions between the parties during the 2001 contract negotiations, the Employer agrees that bargaining unit employees will no longer be required to push wheelchairs occupied by non-hotel guests, or wheelchairs occupied by guests off company premises.

In addition, the parties recognize and agree that despite their efforts, there may be other separate documented mutual agreements which the Union or the Employer did not locate, identify or discuss, but which one party may believe is a part of the Collective Bargaining Agreement. If, after the 2001 Agreement is settled, either party discovers a previously undiscovered, documented mutual agreement, which they believe is part of the Collective Bargaining Agreement, Article 26 will not operate as a waiver of their right to assert their rights under the newly discovered and documented mutual agreement.

The Union reserves the right to add to, amend, or modify these proposals.

Opeiu#12/NG/mt

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/15/15 REPORTER SMW

## UNITE HERE Local 21 Wage/ benefit Proposal 2-19-15

Term of Agreement: 5 years

ARTICLE 11 Insurance Benefits: Under the current Plans offered by Richfield Hospitality, the Union proposes that the Employer pay \$4,000 annually towards the deductible for single coverage and \$8,000 annually towards the deductible for family coverage.

APPENDIX A Wages: The Union proposes to delete ALL current start rates in all classifications and make the 12 month rate the new start rate, the 24 month rate to be the new 12 Month rate, the 42 month rate to be the new 36 month rate and the 60 month rate be at 48 month step and the increases below be added to create a new 60 month step:

3-1-15	5%
3-1-16	4.25%
3-1-17	4%
3-1-18	4.5%
3-1-19	5%

<sup>\*\*</sup>In addition the Union proposes that at TCS Wages for the Production 1-3 classifications be the same rates as the current Production 4.

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/15/15 REPORTER SMW

### **UNION PROPOSAL 2-27-15**

Probation Period - New Classification. An employee promoted to another classification shall serve a thirty (30) working day probationary period. During the probationary period, the Employer may return the employee to their previously held classification, room and schedule, for inability to perform the duties of the new job, or the employee may elect to return to their previously held classification, room and schedule if the position is not permanently filled. Otherwise, the employee shall be given available work in that classification. Employees so returning to previous work shall suffer no loss of seniority.

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 4 DATE 12/15/15 REPORTER SMW

## **UNITE HERE Local 21 Counter Proposal 4/16/15**

#4. Temporary Employees: Current language amended to include: The Employer shall be allowed to employ Interns to work in classifications covered by the CBA for a period of no longer than 30 days. Interns shall be paid no less than the start rate of the classification which they are assigned to and shall not be scheduled to work before all regular fulltime and part time employees. Interns shall not be scheduled to avoid paying overtime to regular full and part time employees.

```
#5. Reject any change - INSURANCE
```

- #31. Reject any change PROBATIONARY PERIOD
- #37. Reduce 18 months to 12 months WARAGE NOTICES
- #42, Add to b: Effect of Failure to Appeal. Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration. Such abandonment by the Employer shall be deemed an acceptance of the grievance as stated and the remedy requested shall be accepted and enforced. IF WE ARE HELD TO TIME LIMITS, SO SHOULD THEY,
- #51. Reject any change to current language LEAUE OF ABSENCE/MEDICAL LESUE
- #54. Reject limit of 3 working days CONUENTION/POLITICAL LEGUES
- #66. Reject deletion of daily overtime
- #76. Reject any change to current language OFFERIDG TO EMPLOYEES FROM OTHER HOTEL TO DOT PAY OT.
- #79. Change cost to NO COST MEALS IN HOTELS.

#90 DISCUSS - VACASION PAY UPGRADE

#91 DISCUSS - MEMBERS IN NEGOTIATIONS-HOURS BACKIN

#93 Add: Tipped adjustment rate shall be paid for all Holidays, Report in Pay, Sick time, Personal Days. <u>REJECT deletion of selling up to 40 hours annually and add:</u> may rollover up to 80 hours annually to be used in the following year.

#100. Add: There shall be no cap on the number of vacation days that may be taken at one time provided business levels allow.

GC Exhibit 23(e)

#103 Reject reference to employer's #5. ADD: The cost of the monthly Premiums, and any increases to the premiums, shall be split 80/20 with the Employer paying 80%. Effective upon ratification the Employer shall reimburse 80% of the Employees cost toward the deductible annually. Employees shall provide proof of payments and reimbursement shall be paid within 14 days of receipt of such proof.

ADD: during the life of the Agreement either Party, with 30 days written notice to the other, shall be allowed to open this section of the Contract for the purpose of bargaining a change in Insurance policy or provider \*\*\*Note that the Union has proposed 2 alternative Plans which are available.

Overscale employees to be paid the same percent or cents per hour as others in their classification.

#119. Change maximum accumulation/carry over to 240 hours. Clarify employers NOTE: omit from C ? THIS

#132. Increase to \$350.00 in year 2 and \$375.00 in year 3 Tool Allowwell

#144. Reject. Add: wage increases shall be effective March 1, 2015

#146. Reject date

## APPENDIX "A" Reject proposed increases for 2016-2020.

accept Employers proposed 2015 rates as new start rate.

Current 12 month rate, the current 24 month rate, the 42 month rate becomes a 36 month rate and the 60 month rate becomes the 48 month rate and the new 60 month rate is increased by 3% for 2015.

Years 2-5 add 21/2 % on each step in all classifications

- \*\*\* On Time Bonus- any employee who has reported to work as scheduled with no tardies, shall receive \$100.00 every 3 months
- \*\*\* New Employee FINDERS FEE-If a worker suggests another person to be employed at any of the facilities that worker will receive \$100.00 upon the new person passing probation and another \$100.00 if that person makes it 6 months with no disciplinary write ups placed in their file.

APPENDIX "D". Effective March 1, 2017 the total number of rooms assigned daily shall be fifteen (15) Houseusspens

- \*\*Effective on ratification the "waste cleanup fee" shall be \$10.00. Effective March 1, 2017 this amount shall increase to \$15.00.
- \*\* Effective March 1, 2016 Housekeeping employees shall be paid \$2.00 for each extra bed, cot, rollaway or air mattress made up.
- \*\*Efficient Room Cleaning Incentive Pay- Room Cleaners who complete their normal complement of rooms on time and in an efficient manner during each scheduled shift shall receive \$10.00 per week.
- \*\* Housekeeping Service Charge- \$5.00 per day shall be added to each guest room bill; \$2.00 per room to Room Cleaner, \$1.00 to the Lobby Porter/Houseman and \$2.00 to the house. (The \$2.00 to the house can be used to defray other contractual costs to the employer such as Healthcare costs)

APPENDIX"E" REJECT employers proposal of \$12-\$15 flat fee. Leave current language and system as is Badqued Serves

**APPENDIX "F"** Reject subcontracting/leasing out language.

\*\*\*\*\* Kitchen Incentive Pay—Each cook will receive a monthly bonus of \$50.00 and each dishwasher \$20.00 for every month that the monthly food cost is within 2% of the set food cost budget. The monthly food cost budget shall be communicated to the Union each month. Incentive Pay to be paid on the first paycheck of the following month.

## TCS:

Sheet Quota Bonus: 3¢ per sheet over daily quota

<u>Safe Driver Bonus:</u> All drivers who have no accidents or tickets shall receive a \$50.00 per month bonus to be paid on the first paycheck of the following month.

<u>Clean Truck Bonus:</u> Drivers who maintain a clean vehicle, inside and out, shall earn a \$25.00 per month bonus.

<u>Full day Pay:</u> any employee who completes the production limit before the end of the shift shall be paid for the full 8 or 10 hour shift. TCS

Deliveries over 100 miles: Drivers assigned a route that is over 100 miles away shall receive an additional 2 hours pay.

- \*\*\*On Time Bonus- any employee who has reported to work as scheduled with no tardies, shall receive \$100.00 every 3 months
- \*\*\* New Hire FINDERS FEE-If a worker suggests another person to be employed at any of the facilities that worker will receive \$100 upon the new person passing probation and another \$100 if that person makes it 6 months with no disciplinary write ups placed in their file.

The Union reserves the right to add to, modify, or amend these proposals

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 9 DATE 12/15/15 REPORTER SMW

#### Unite Here Local 21 Counter Proposal 9/24/2015

#4. <u>Temporary Employees</u>: Current language amended to include: The Employer shall be allowed to employ Interns to work in classifications covered by the CBA for a period of no longer than 30 days. Interns shall be paid no less than the start rate of the classification which they are assigned to and shall not be scheduled to work before regular fulltime and part time employees. Interns shall not be scheduled to avoid paying overtime to regular full and part time employees.

Response: We have heard your proposal and understand it. The current climate in our market, Rochester, makes it rather difficult to recruit and maintain employees. As a reminder, based on the reports the unemployment rate in Rochester is currently 1.6% with 1400 more new rooms scheduled in Rochester. Which has resulted in the need to explore alternative; in this case temp labor. As a result this is an area that we need to execute the only avenue we have as a management in the CBA, "Management Right" to be oscillate with the change in our market place. We will adhere to our current proposal.

#### #5. Reject Union counter: average of 20 hours per week for insurance

Response: We understand and we have heard your rejection to the change proposed. As discussed at the length during our negotiations, the employer is proposing to make the eligibility hours for insurance benefits match what the federal government has proposed and what all other organization in the country does, especially those that are our competitors in Rochester. We will adhere to our current proposal.

#### #31. Reject 90 day probationary

Response: This was TA'd 4/26/15. As per our discussion on 9/24/15 the ability to have the 90 days already existed; which has not impacted the onboarding or

evaluation process for each new employee. We understand and hear your rejection. We adhere to the TA'd section in our current proposal.

#### #37 Reduce 18 months to 12 months

Response: We heard and understand your proposal to reduce disciplinary action in files from 18 – 12 months. Due to the litigious nature of our society, where law suits are filed at the drop of a bucket, this is important to manage the hotels effectively. We adhere to our proposal.

#42 Add to b: Effect of failure to Appeal. Any grievance not appealed to a succeeding step within the time limits specified shall be deemed abandoned and not entitled to further consideration. Such abandonment by the Employer shall be deemed an acceptance of the grievance as started and the remedy requested shall be accepted and enforced.

Response: We understand your request for the addition to the current language. That would constitute a change contrary to the existing proposed language in that section. Also if the parties want to resolve the matter they can do so. We adhere to our proposed language.

#### #51Reject any change to current leave language

Response: We heard and understand your rejection of the proposed leave language. The proposed language as discussed during our negotiations is in conjunction with Federal and Minnesota guideline. We adhere to our current proposal.

#54 Reject limit of 3 working days proposed up to 6 months

Response: We have heard your concerns and understand the proposal. We will adhere to our current proposal.

### #66 Reject deletion of daily overtime

Response: We understand your rejection to the proposed change. While we understand the need at times for an employee to, at times for business needs, to work more than 8 hour shifts it does not preclude the employee from being paid overtime after 40 hours for the work week. We also want to be more consistent with our competitors in Rochester, which is essential to our business. Although we also discussed the possibility of \$ by 10 hour shifts. We will still adhere to our current proposal.

### #76 Reject any change to current language

Response: We understand and hear your rejection to the change. The proposed and the expired contract covers all the hotels and while there is seniority, this proposal allows the associates in the other hotels that experience downturn at different times to be able to get additional hours. As indicated during our discussions in bargaining sessions, on the occasions when the hotels current staff is already at their forty (40) hours would be the time this language would be in effect. This allows the employee to be kept whole and assist with retention especially with the tight employment market in Rochester. We feel strongly that this proposed change will assist with the more effective management of the business.

#### #79 Change cost to NO COST

Response: We understand the union's proposal for the employee to not have a cost for employee meal. The competitors and non-competitors in the Rochester market does not give or offer employee meals for free. This is a cost that typically increases annually. Remember also that the cost of labor, food, room, and other incidental charges are not included. What we intended to do or have the ability to do is to be able to manage this process at a reasonable cost as noted also in the expired contract. We adhere to our current proposal.

#90 DISCUSS (union requested the quantifications of this proposal)

Response: We understand and hear your request for quantification of the proposal. As per our discussion at the negotiation table the change does benefit our associates. Your response on just having a ball park figure on what the cost is or will be to the employer. From what we have surmised this could be a cost of about \$84k (we have the scenario of approximately a minimum of 65 associate will be impacted. At an average cost of \$1200 for the early additional week resulting in \$84k).

#93 Add: Tipped adjustment rate shall be paid for all Holiday, Report in Pay, Sick time, and Personal days. <u>REJECT deletion of selling up to 40 annually and add may rollover up to 80 hours annually to be used in the following year.</u>

Response: We heard and understand your rejection of the employers' proposal. We adhere to the current proposal.

#100 Add: There shall be no cap on the number of vacation days that may be taken at one time provided business levels allow.

Response: As we clarified in negotiations there is not a cap on the number of days that may be taken at one time. We adhere to the language I the proposal.

#103 Reject reference to employer's #5. ADD: the cost of the monthly premiums and any increases to the premiums, shall be split 80/20 with the Employer paying 80%. Effective upon ratification of the Employer shall reimburse 80% of the Employees cost toward the deductible annually. Employees shall provide proof of payments and reimbursement shall be paid within 14 days of receipt of such proof.

Response: We hear and understand your proposal. The employer will maintain the ability to move, negotiate, and manage with the changing climate in the market place. It would be irresponsible to be locked in to the proposed language especially with the constant change with insurance providers and what is directed with

Obama Care. In negotiations we were able to eloquently and elaborately explained the process that the insurance companies take to make their decision to provide coverage and at what cost. Those cost change annually, and the employer maintains the ability to discuss and negotiate any proposed change. As a result we adhere to our current proposal.

ADD: During the life of the agreement either party with 30 days written notice to the other, shall be allowed to open this section of the Contract for the purpose of bargaining a change in insurance policy or provided. \*\*\*Note that the Union has proposed 2 alternative Plans which are available.

Response: We understand the union's proposal. The employer was able to review the 2 proposed medical plan presented. As we reported in a few of our negotiations that the cost of the unions proposed alternative to our medical plan that the cost is significantly hirer. We will adhere to the current proposal.

Comment [MC1]: Typo

#### #111 Reject Banquet hourly rate

Over scale employees to be paid the same percent or cents per hour as others in their classification.

Response: We understand your rejection of the proposed banquet hourly rate. We were able to share with the negotiating committee the changes that have taken place year over year in Rochester with the increasing competition from the Canadian Honkers and the other caterers in the market. Our competition in Rochester hirers our people at a different rate to work for them. Which has contributed to our competitors being able to bid lower for business in Rochester. The proposed change allows the employer the opportunity to improve its competitive edge to capture business. The employer adheres to the current proposal.

#### #112 REJECT

Response: We recognize your rejection. We adhere to our proposal.

#118 Change 2<sup>nd</sup> day to 1<sup>st</sup> day

Response: We acknowledge your request for change. We adhere to our proposal.

#119 Change maximum accumulation/carry over to 240 hours. Clarify employers

Response: We hear and appreciate the feedback on this type "o". We will address and make the changes on this page available reflecting 240 hours for those employees hired prior to September 1<sup>st</sup>, 2005.

#132 Increase to \$350.00 in year 2 and \$375.00 in year 3

Response: We understand your proposal. We will adhere to the current proposal.

### #143 Effective date

Response: We understand the proposal. We adhere to our proposal.

#144 Reject. Add: wage increases shall be effective March 1, 2015

Response: We understand the proposal. We adhere to our proposal.

# #146 Reject date

Response: We understand the proposal. We adhere to our proposal.

## APPENDIX "A" Reject proposed increase for 2016-2020

Accept Employers proposed 2015 rates as new start rate.

Response: We understand the union's rejection of the proposed wage increase 2016-2020 proposal. We adhere to our proposal.

<u>New Employees Increases</u>-New employees who do not receive any disciplinary action during first ninety (90) days of employment will receive twenty-five cent (.25) pay increase above the new start rate.

Rèsponse: We understand the proposal. We adhere to our proposal.

## Wages Effective March 1, 2015

\*\*\*2013-12 Month rate, 2013-24 month rate,

The 42 month rate becomes a 36 month rate. The 60 month rate becomes a 48 month rate. The new 60 month rate is increased by 3% for 2015.

March 1st of each year: add  $2 \frac{1}{2} \frac{1}{2}$  on each step in all classifications.

Response: We understand the proposal. We adhere to our proposal.

\*\*\* Perfect Attendance Bonus-employee's shall receive a quarterly bonus of \$25.00

Response: We understand the proposal. We adhere to our proposal.

\*\*\* New Employee Finder's Fee-If a worker refers another person to be employed at any of the Hotels that worker will receive \$100.00 upon the new person passing probation and another \$100.00 if that person makes it 6 months with no disciplinary write ups placed in their file.

Response: We understand the proposal. The employer already have this program in-place.

<u>APPENDIX "D"</u> Effective March 1, 2017 the total number of rooms assigned daily shall be fifteen (15).

- \*\*Effective on ratification the "Waste Cleanup fee" shall be \$10.00. Effective March 1, 2017 this amount shall be increased to \$15.00
- \*\*Effective March 1, 2016 housekeeping employees shall be paid \$2.00 for each extra bed, cot, rollaway or air mattress made up.
- \*\*Efficient Room Cleaning Incentive Pay- Room cleaners who complete their normal complement of rooms on time and in an efficient manner during each scheduled shift shall receive \$10.00 per week.
- \*\* Housekeeping Service Charge-\$5.00 per day shall be added to each guest room bill; \$2.00 per room cleaner, \$1.00 to the lobby Porter/Houseman and \$2.00 to the house. (The \$2.00 to the house can be used to defray other contractual costs to the employer such as Healthcare costs)

Response: We understand the proposal. This is not a common charge in the market and would put us at a disadvantage versus the competition. In addition we believe this would not be well received from our guests and impact our service scores greatly. Therefore, we reject the proposed addition and aaaadhere to our proposal.

Comment [MC2]: Typo?

<u>APPENDIX "E"</u> REJECT employers proposal of \$12-15 flat fee. Leave current language and system as is.

Response: We understand the proposal. We adhere to our proposal.

<u>APPENDIX "F"</u> Reject subcontracting/leasing out language.

Response: We understand the proposal. We adhere to our proposal.

The Union reserves the right to add to, modify, or amend these proposals.

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

<u>Linda Henry</u>

To: Subject: Wiese, Tyler Fwd: site visits

Date:

Thursday, November 12, 2015 2:31:28 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com>

Date: Wed, Mar 25, 2015 at 9:00 PM

Subject: site visits

To: mhenry@kahlerhospitalitygroup.com, Mary Costello

<mcostello@kahlerhospitalitygroup.com>

I will be at the Kahler, KIS and the Marriott tomorrow.

Thanks, Linda

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

<u>Linda Henry</u>

To: Subject: Wiese, Tyler
Fwd: site visits

Date:

Thursday, November 12, 2015 2:33:54 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com >

Date: Thu, Apr 2, 2015 at 10:43 AM

Subject: Fwd: site visits

To: Brian Brandt < brian@local21.com>

----- Forwarded message -----

From: Carissa Gisi < Carissa G@textilecs.com>

Date: Thu, Apr 2, 2015 at 8:16 AM

Subject: RE: site visits

To: Linda Henry < matre 24@gmail.com >

Cc: "brian@local21.com" <bri>brian@local21.com>, Mandy Jacobson < Mandy J@textilecs.com>

Linda, could you start emailing mandyi@textilecs.com with this information? My last day at TCS will be April 9<sup>th</sup>.

Thank you,

Carissa

From: Linda Henry [mailto:matre24@gmail.com]
Sent: Wednesday, April 01, 2015 9:42 PM

To: mhenry@kahlerhospitalitygroup.com; Mary Costello; Carissa Gisi

Subject: site visits

I will be at TCS the Kahler and KIS Thursday.

Thanks, Linda

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

Linda Henry

To: Subject: Wiese, Tyler
Fwd: site visits

Date:

Thursday, November 12, 2015 2:35:11 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com >

Date: Mon, Apr 6, 2015 at 9:19 PM

Subject: site visits

To: mhenry@kahlerhospitalitygroup.com, Mary Costello

<mcostello@kahlerhospitalitygroup.com>, Mandy Jacobson < Mandy J@textilecs.com>

I will be at TCS, the Kahler, Residence Inn and KIS Tuesday.

Thanks, Linda

GC Exhibit 25(c)

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

Linda Henry

To: Subject: Wiese, Tyler Fwd: site visits

Date:

Thursday, November 12, 2015 2:36:21 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com >

Date: Wed, Jun 3, 2015 at 6:39 PM

Subject: site visits

To: mhenry@kahlerhospitalitygroup.com, Mary Costello

<mcostello@kahlerhospitalitygroup.com>, Mandy Jacobson < Mandy J@textilecs.com>

I will be at the Kahler, TCS, KIS and Residence Inn on Thursday.

Thanks, Linda

GC Exhibit 25(d)

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

Linda Henry

To: Subject: Wiese, Tyler Fwd: site visits

Date:

Thursday, November 12, 2015 2:41:50 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com >

Date: Wed, Jun 24, 2015 at 10:14 AM

Subject: site visits

To: mhenry@kahlerhospitalitygroup.com, Mary Costello

<mcostello@kahlerhospitalitygroup.com>

I will be at KIS 11:00 to 12:15 and at Marriott until 1:00.

Thanks, Linda

GC Exhibit 25(e)

EXHIBIT NO. RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

From:

Linda Henry

To: Subject: Wiese, Tyler Fwd: site visits

Date:

Thursday, December 03, 2015 3:37:44 PM

----- Forwarded message -----

From: Linda Henry < matre24@gmail.com>

Date: Wed, Sep 2, 2015 at 10:33 AM

Subject: site visits

To: mhenry@kahlerhospitalitygroup.com, Mary Costello

<mcostello@kahlerhospitalitygroup.com>

I will be at the Kahler and Marriott today between 11:00 and 1:30.

Thanks, Linda

EXHIBIT NO. GC 26 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW

Ericka (507) 202-2156 11 49 av

Hello Ericka. Kelli Johnston here.

Tyler said a bartender is going to be gone in March. Would like to pick up hours if I can. I was going to stop yesterday but our mtgs are lengthy. I can stop in if you like or let me know where I can fill in.

Feb 27

Hello Ericka. Kelli Johnston here.
Tyler said a bartender is out and
I would like to fill in please. I txt
you before and Havent heard
anything.

Mar 9

GC Exhibit 26

Type message

Page 1 of 1



EXHIBIT NO. GC 27 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/16/15 REPORTER SMW

RICHFIELD HOSPITALITY	Documentation Changed to ?! WITHING MA EMPLOYEE P	9/2//		N .
	Graham Brandon	DATE:		
name of employee:	Salute Kitchen lead	cook Light .		•
employee's position & dep	ARIMENI: WITH	Ac Vicor		
TYPE OF ACTION FOR THIS DIS Verbal Warning Will Write Suspension Tetrain	ten Warning And Written  2 1/15  attion	Warning 🗌 Fin	al Warning	
on monday	Feb. 16th I Woke	uponmyd	oy off	
at 8:30 am. 1	thad a missed to	ext message	.from	
	I feel like I'm dyin			
from Sophia Sta	" I also had a n ting G-raham was no	nt here é u	message.	
Call, no show.	without getting	into the de	chate of	
the accuracy o	f that statement =	I want to	clairity	
the proper proc	educe moving for	rwald,	.ook.or	
We need	Graham to connect in the proper amou	in the state of	being	
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team Ewant to	foster communication	iv É bartreismir	77.027	
the team				
EMPLOYEE HAS BEEN WARNE Date(s):ROC	D PREVIOUSLY: Urbal	☐ Written		
Is the employee being placed on p	probation? NO TYES Unit	il what date:		
Is the employee being suspended?	n ⊠ no □ yes <i>u</i> ni	til what date:		•
WHAT ACTION STEPS HAVE B SUPERVISOR TO IMPROVE OR	EEN AGREED UPON BETWEEN T RESOLVE THE VIOLATION? (Ac	HE EMPLOYEE AND tion steps & dates)		
	4 1 L 194			-
200				

Exhibit 3

Emp\_perf.dac - 11/12

I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed above, I may be subject to further discipline.

Refused to signed.

Employee's Signature

Signature of Supervisor Conducting Discussion

Signature of Human Resources Representative of

2-25-15 Date

Date

2-25-15 Date

2-25-15

EXHIBIT NO. GC 28 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/15/15 REPORTER SMW

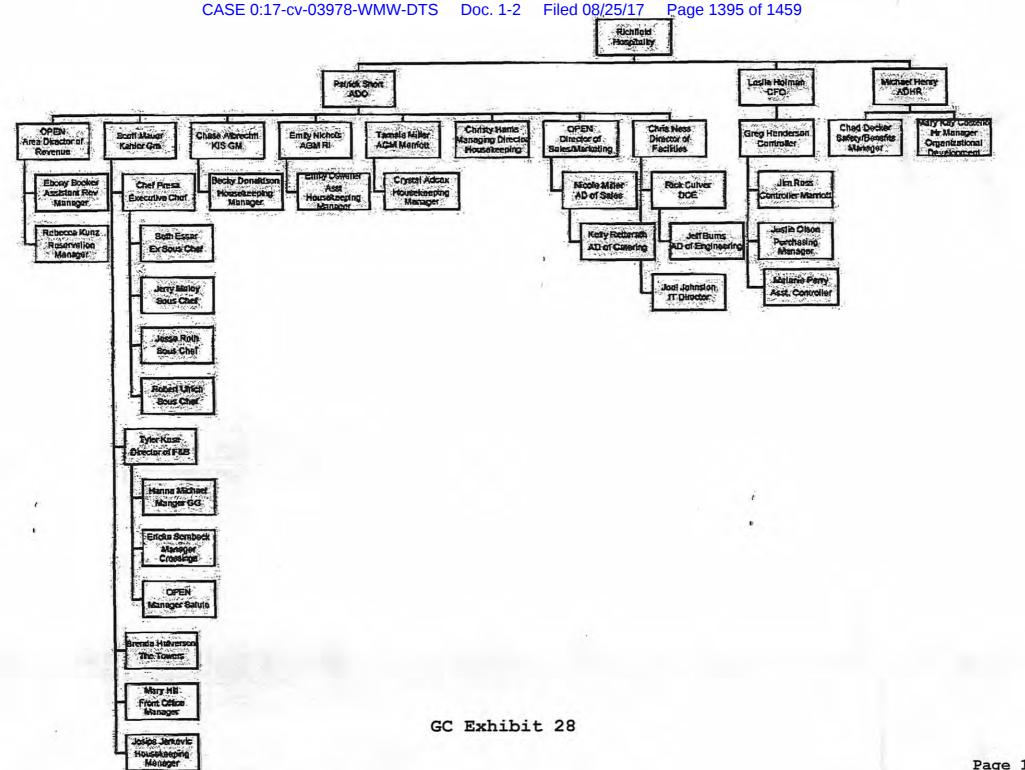


EXHIBIT NO. GC 29 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

flash

NO. OF PAGES drive DATE 12/16/15 REPORTER SMW



EXHIBIT NO. GC 30 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/15/15 REPORTER SMW

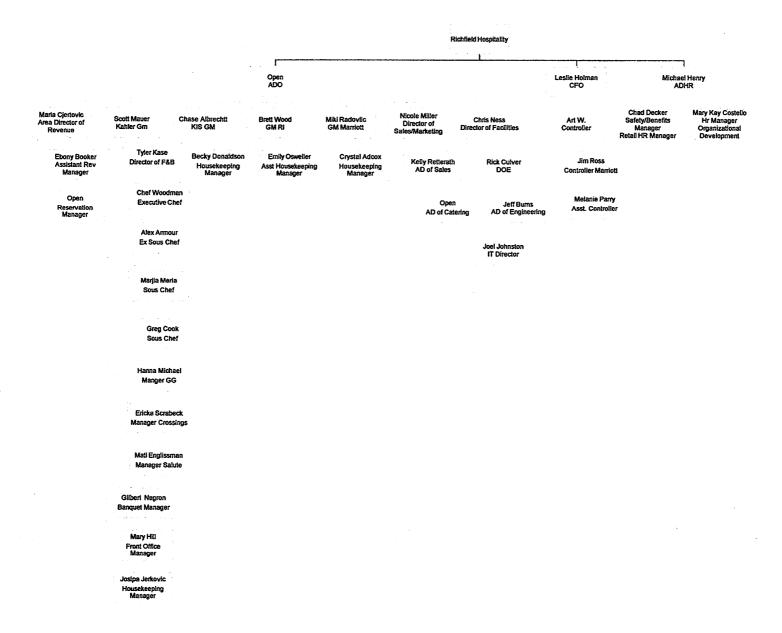


EXHIBIT NO. GC 31 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/17/15 REPORTER SMW

# C8B - Marriott Rochester Mayo Clinic 101 First Avenue Rochester, Minnesota 55902

Hours Worked Summary With Shifts - Worked Hours
By Property, Department, Position, Employee for 01/01/2015 To 12/13/2015
105 - Kahler Marriott C8B / Department Worked

	HOURS				PAY			
-	Regular	ОТ	DT	Total	Regular	ОТ	DT	Total
Department Worked: 200 - Rooms						-		
Position Worked: 2006055003 - Houskeepe	er							
105169 - Rudloff, Ashley	1,677.93	000.47		4 007 40				
105500043 - Messner, Susan	1,596.42	209.17	0.00	1,887.10	16,628.29	3,129.58	0.00	19,757.86
105500072 - Abdulamin, Basma	1,925.11	162.80 416.46	0.00	1,759.22	22,082.52	3,392.25	0.00	25,454.78
105500073 - Allen, Rayshalonda	70.39	0.65	0.00	2,341.57	26,605.02	8,768.94	0.00	35,373.98
105500075 - Danielson, Janice	1,423.70	71.35	0.00	71.04	794.00	11.00	0.00	805.00
105500076 - Givens, Jamila	1,706.49		0.00	1,495.05	19,675.53	1,481.44	0.00	21,156.98
105500077 - Karic, Advija		115.51	0.00	1,822.00	22,133.18	2,264.08	0.00	24,397.25
105500077 - Ranc, Advija 105500078 - Kevljanin, Enisa	1,734.43	212.39	0.00	1,946.82	23,969.82	4,418.64	0.00	28,388.46
105500076 - Revijanin, Erisa 105500079 - Mohamed, Iman	1,691.11	34.56	0.00	1,725.67	23,371.14	716.92	0.00	24,088.06
105500079 - Monamed, Imari 105500080 - Nguyen, Phuc	1,906.90	143.33	0.00	2,050.23	26,353.36	2,978.71	0.00	29,332.07
105500081 - Patel, Bhavna	1,762.60	141.96	0.00	1,904.56	24,359.13	2,957.20	0.00	27,316.33
105500082 - Fatel, Briavria 105500082 - Smailovic, Mejra	1,835.04	197.46	0.00	2,032.50	23,565.63	3,854.03	0.00	27,419.66
	60.46	0.00	0.00	60.46	835.56	0.00	0.00	835.56
105500132 - Soto Abreu, Issamar	110.40	27.46	0.00	137.86	1,094.06	408.19	0.00	1,502.26
105500136 - LaFromboise, Shelby	70.89	3.54	0.00	74.43	702.52	52.62	0.00	755.14
105500141 - Moore, Alyse	1,492.45	190.39	0.00	1,682.84	14,790.18	2,851.01	0.00	17,641.19
105500147 - Townsend, Robyn	13.25	0.00	0.00	13.25	131.31	0.00	0.00	131.31
105500148 - Henry, Anita	428.68	69.67	0.00	498.35	4,248.22	1,057.27	0.00	5,305.49
105500170 - Britt, Ronald	421.07	23.95	0.00	445.02	4,172.80	356.75	0.00	4,529.56
105500173 - Sartori, Kayla	742.71	95.69	0.00	838.40	7,360.26	1,430.17	0.00	8,790.42
105500175 - Sanchez, Maria	46.68	2.13	0.00	48.81	462.60	31.66	0.00	494.26
105500193 - Connatser, Jennifer	515.25	58.24	0.00	573.49	5,106.13	866.06	0.00	5,972.19
105500196 - Luevano, Rebecca	227.24	11.50	0.00	238.74	2,251.95	170.95	0.00	2,422.90
105500205 - Gomez Campos, Amy	40.00	5.66	0.00	45.66	396.40	84.14	0.00	480.54
105500211 - Brown, Lavonta	15.87	0.00	0.00	15.87	157.27	0.00	0.00	157.27
2006055003 - Position Totals:	21,515.07	2,193.87	0.00	23,708.94	271,226.88	41,281.62	0.00	312,508.49
Position Worked: 2006055004 - Housepers	on							
105500042 - Abdi, Safiya	279.42	1.98	0.00	281.40	3,861.58	41.05	0.00	3,902.63
105500043 - Messner, Susan	82.40	0.44	0.00	82.84	1,138.77	9.12	0.00	1,147.89
105500055 - Tadic, Zoran	79.97	0.19	0.00	80.16	1,105.19	3.94	0.00	1,109.12
105500076 - Givens, Jamila	8.00	0.20	0.00	8.20	103.76	3.89	0.00	107.65
105500081 - Patel, Bhavna	43.62	0.28	0.00	43.90	542.55	5.32	0.00	547.88
105500132 - Soto Abreu, Issamar	16.00	0.06	0.00	16.06	158.56	0.89	0.00	159.45
105500136 - LaFromboise, Shelby	375.17	29.08	0.00	404.25	3,717.93	434.79	0.00	4,152.72
105500141 - Moore, Alyse	108.77	11.39	0.00	120.16	1,077.91	170.06	0.00	1,247.97
105500148 - Henry, Anita	913.57	31.34	0.00	944.91	9,053.48	466.77	0.00	9,520.25
105500150 - Darrell, Anthony	16.00	0.82	0.00	16.82	158.56	12.19	0.00	170.75
105500173 - Sartori, Kayla	125.31	1.55	0.00	126.86	1,241.82	23.08	0.00	1,264.91
2006055004 - Position Totals:	2,048.23	77.33	0.00	2,125.56	22,160.11	1,171.11	0.00	23,331.23
Position Worked: 2006055005 - Public Area	s Housekeer	er						
105500024 - Heckman, Zach	1,870.18	86.97	0.00	1 057 15	24 005 62	1 474 40	0.00	00 500 50
105500053 - Colakhodzic, Aziz	1,615.07			1,957.15	21,095.63	1,474.10	0.00	22,569.73
105500072 - Abdulamin, Basma	40.00	74.96 12.29	0.00	1,690.03	22,320.27	1,555.46	0.00	23,875.73
105500136 - LaFromboise, Shelby	1,314.75	63.58	0.00	52.29	552.80	272.53	0.00	825.33
105500150 - Darrell, Anthony	277.25	03.56 13.17	0.00	1,378.33	13,029.17	946.81	0.00	13,975.98
105500193 - Connatser, Jennifer	263.02	16.47	0.00	290.42	2,747.55	195.77	0.00	2,943.32
2006055005 - Position Totals:	5,380.27		0.00	279.49 5 647 71	2,606.53	244.95	0.00	2,851.48
200 - Department Totals:	28,943.57	267.44	0.00	5,647.71	62,351.95	4,689.63	0.00	67,041.57
	۷۵, <del>۵4</del> ۵.۵/	2,538.64	0.00	31,482.21	355,738.94	47,142.36	0.00	402,881.29
C8B - Marriott Rochester Mayo Clinic - Property Totals:	28,943.57	2,538.64	0.00	31,482.21	355,738.94	47,142.36	0.00	402,881.29

Printed: December 14, 2015 12:07PM

6 CX 31

# C8B - Marriott Rochester Mayo Clinic 101 First Avenue Rochester, Minnesota 55902

Hours Worked Summary With Shifts - Worked Hours
By Property, Department, Position, Employee for 01/01/2015 To 12/13/2015
105 - Kahler Marriott C8B / Department Worked

	HOURS				PAY			
R	Regular	OT	DT	Total	Regular	ОТ	DT	Total
Hours Worked Summary With Shifts R	Report - To	otals						
	Regu	lar Hours:	28,9	43.57	Regu	ular Pay:	9	355,738.94
	(	OT Hours:	2,5	38.64		OT Pay:		\$47,142.36
	[	OT Hours:		0.00		DT Pay:		\$0.00
	То	tal Hours:	31,4	82.21	To	otal Pay:	9	402,881.29

EXHIBIT NO. GC 33 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/17/15 REPORTER SMW

RICHF	IELD ITALITY	PERSON	NEL AC	TION FO	ORM (PA DATE:	AF) \ ;	28-14			
1	11.		· · · · · · · · · · · · · · · · · · ·		DATE	<del></del>				
Name /	211: Johnsta	$\mathcal{M}$	SSN			Birth Date				
Street Address										
( )										
City, State, Zip Γ	Exempt	Full Time	☐ Part T	lme	EOC	☐ Yes ☐ Yes				
STATUS:	Non-Exempt	☐ On-Call	Tempo	orary	Member:	□No	Union: No			
		☐ NEW HIRE		☐ RE-HIRE		Effective Da	te:			
Position Title:				Location:			1			
Department:				Dept./Job Nu	mber:	Salary Grade:				
	Pay Rate: \$ ☐ hourly ☐ weekly ☐ b				-monthly	annual Tipped: Yes No				
Badge #: (if a		ANOTO OTA	EEO Race C		eren	tion Code:				
	ROMOTION TITLE CH	ANGEL SIA	TUS CHANG	I		Effective Da	te;			
Prior Location:				New Location						
	Prior Position/Title: New Position/Title:									
	Prior Department:  Salary Grade:  Status:									
Salary Grade: PAY CHAN	GE: Merit Equity	Transfer	Promo 🔲 (	Other		Effective Da				
Prior Pay Rate:		hourly w	eekly	veekly []se	emi-monthly	annual				
New Pay Rate:		☐ hourly ☐w	eekly Dbi-v	veekly 🔲 se	emi-monthly	□annual				
Percentage Inc		Salary Grade:	· · · · ·	Performance	Rating:					
Exception to Po		☐ Yes, Please E	Explain							
		TERMINA	TION OF E	MPLOYMEN	T					
Termination Date: Termination Code:					ode: (see back of form)					
Type of Separa	ation:   Voluntary	Involuntary .	Eligible for R	ehire: 🔲 Ye	s No					
, F	Regular Pay - Hours:		. ×	Hourly Rate:	\$	=	\$			
\	Vacation Pay - Hours:		. ×	Hourly Rate:	\$	_ =	\$			
ď	Overtime Pay - Hours:		. ×	Hourly Rate:	\$	_ =	\$			
	Other Pay - Hours:		×	Hourly Rate:	\$	_ =	\$			
COMMENTS		1 - 1	1	0 0 0	1	`	005 000			
-	Cicle	w BONS	tindu	1C (30)	T U	<u> </u>	10020 md			
APPROVALS  Department Head Director of HR President/CEO										
CORPOR	RATE:		Doparune	an riodu	<i>5</i> ,700.	01 01 11 N	71030018020			
PROPER	RTY: Department Proof	Director of AIR	Genera	d Menager	Corp. Functional Sy	pvr (EOC positions)	Operations Spvr (EOC positions)			

GCX 33

1/29/17 P 01595

EXHIBIT NO. GC 34 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/17/15 REPORTER SMW

#### Mary Costello

From:

Mary Costello

Sent:

Tuesday, May 05, 2015 3:39 PM

To:

Michael Henry

Subject:

FW: Kelli Johnston - banquet server

Dialogue between Crystal and I.

I never did anything do to the lack of clarity.

mk

From: Crystal Adcox

Sent: Monday, April 06, 2015 1:38 PM

To: Mary Costello

Subject: RE: Kelli Johnston - banquet server

If you feel like she would be a good candidate. I had some attitude issues with her in banquets....

Crystal Adcox Housekeeping Manager **Rochester Marriott** 101 1<sup>st</sup> Ave SW Rochester, MN 55902

o: 507.280.6000 f: 507.280.8531

e: cadcox@kahlerhospitalitygroup.com

Recei came into my office and strated that she intovided with Crystal & Starts new training as nowemen togethis while— That promoted the amount to Crystal—

KAHER HOSPITALITY GROUP Serving you with all our heart.





Kahler









The contents of this e-mail message and any attachments are confidential and are intended solely for addressee. The information may also be legally privileged. This transmission is sent in trust, for the sole purpose of delivery to the intended recipient. If you have received this transmission in error, any use, reproduction or dissemination of this transmission is strictly prohibited. If you are not the intended recipient, please immediately notify the sender by reply e-mail or phone and delete this message and its attachments, if any.

From: Mary Costello

Sent: Monday, April 06, 2015 1:29 PM

**To:** Crystal Adcox

Cc: Tamala Miller; Chad Decker

Subject: Kelli Johnston - banquet server

Hi Crystal,

6 CX 1 3 Y

Kelli dame over here saying that she is going to start training for a housemen positon. Is that correct?

I have filled out a PAF for a secondary job code. Is that what you need me to do?

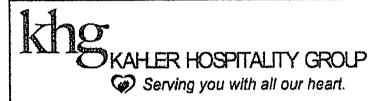
Please advise....

mk

Mary Kay Costello Organizational Development/Human Resources Manager Kahler Hotels 20 2<sup>nd</sup> Ave SW Rochester, MN 55902

O: 507-285-2796 F: 507-285-2793 C: 507-254-3297

mcostello@kahlerhospitalitygroup.com















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EXHIBIT NO. GC 37 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 5 DATE 12/15/15 REPORTER SMW

FORM NLRB-31

#### SUBPOENA DUCES TECUM

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

То	Richfield Hospitality, I	nc. As Managing Agen	t for Kahler Hotels	, LLC		
	Michael Henry, HR Ma	nager and/or Custodiar	of Records, 20 SV	W Second Ave.,	Rochester,	MN 55902
	As requested by Tyl	er J. Wiese, Counsel fo	r the General Cour	isel, NLRB Reg	gion 18,	
whose	e address is Federal C	office Building, 212 3rd	Avenue South, Sui	ite 200 Minneap	oolis, MN 5	5401
	(Street		(City)		(State)	(ZIP)
YOU	ARE HEREBY REQUIRED	AND DIRECTED TO AP	PEAR BEFORE a	n Administrativ	e Law Judg	e
				of the Nati	ional Labor R	elations Board
at _	Olmsted County, Gover	nment Center - Confere	ence Room 3103A,	151 4th St SE		
in the	City of Rochester, MN					
on $T$	uesday, December 15, 20	015	at	9:00 AM	or	any adjourned
		RICHFIELD HOSPI				
		AGENT FOR KAHL	ER HOTELS, LLO	$\mathcal{C}$		
or res	cheduled date to testify in	Case 18-CA-151245	Coop Name and New			
	Amal		(Case Name and Nu	•		
corres	and you are nereby req spondence, and documents	uired to bring with you a ::	nd produce at said t	ime and place th	ie following b	ooks, records,
		SEE AT	TACHMENT			

If you do not intend to comply with the subpoena, within 5 days (excluding intermediate Saturdays, Sundays, and holidays) after the date the subpoena is received, you must petition in writing to revoke the subpoena. Unless filed through the Board's E-Filing system, the petition to revoke must be received on or before the official closing time of the receiving office on the last day for filing. If filed through the Board's E-Filing system, it may be filed up to 11:59 pm in the local time zone of the receiving office on the last day for filing. Prior to a hearing, the petition to revoke should be filed with the Regional Director; during a hearing, it should be filed with the Hearing Officer or Administrative Law Judge conducting the hearing. See Board's Rules and Regulations, 29 C.F.R Section 102.31(b) (unfair labor practice proceedings) and/or 29 C.F.R. Section 102.66(c) (representation proceedings) and 29 C.F.R Section 102.111(a)(1) and 102.111(b)(3) (time computation). Failure to follow these rules may result in the loss of any ability to raise objections to the subpoena in court.

**B-1-PA60RN** 

Under the seal of the National Labor Relations Board, and by direction of the Board, this Subpoena is

Issued at Minneapolis, Minnesota

this 23<sup>rd</sup> day of November 2015

THE STATE OF THE S

Chairman, National Labor Relations Board

NOTICE TO WITNESS. Witness fees for attendance, subsistence, and mileage under this subpoena are payable by the party at whose request the witness is subpoenaed. A witness appearing at the request of the General Counsel of the National Labor Relations Board shall submit this subpoena with the voucher when claiming reimbursement.

#### **PRIVACY ACT STATEMENT**

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is mandatory in that failure to supply the information may cause the NLRB to seek enforcement of the subpoena in federal court.

6CX }/

B-1-PA60RN

RE	TURN	RETURN OF SERVICE
I certify that, being a person over 18 y age, I duly served a copy of this subpoena	a perso	n over 18 years of subpoena
		by person
	7	by certified mail
		by registered mail
		by telegraph
(Check method used.)		by leaving copy at principal office or place of business at
	, ,	
on the named person on	' د	
2	lovembe	November 23, 2015
(M	onth, da	(Month, day, and year)
	/s/Dean	/s/Deann Helget
(Name o	of persor	(Name of person making service)
ö	mpliano	Compliance Assistant
9)	Official ti	(Official title, if any)
CERTIF	FICATIO	CERTIFICATION OF SERVICE
I certify that named person was in	person	was in
attendance as a witness at	#	
uo		
(Month,	, day or	(Month, day or days, and year)
(Nam	e of pers	(Name of person certifying)
	(Official title)	al title)

#### SUBPOENA DUCES TECUM B-1-PA60RN Case 18-CA-151245 Page 2

- 1. A copy of Respondent's current employee handbook, and all versions of that handbook that have been in existence since September 1, 2014.
- 2. All documents in Respondent's possession which refer in any way to the posting of union fliers on bulletin boards in any of the hotel properties in existence since September 1, 2014.
- 3. All documents in Respondent's possession which refer in any way to union representative access of any of the hotel properties in existence since September 1, 2014.
- 4. All documents in Respondent's possession which refer in any way to Respondent's decision to cease granting wage increases after the expiration of the parties' previous collective-bargaining agreement in existence since September 1, 2014.
- 5. Documents reflecting all overtime worked by employees in the Mariott Housekeeping department since January 1, 2015.
- 6. Documents reflecting hours worked, including the names of employees, at the bar in the Kahler Inn and Suites since January 1, 2015.
- 7. A current copy of Kelli Johnston's personnel file.
- 8. A current copy of Graham Brandon's personnel file.
- 9. Copies of all discipline issued to Graham Brandon by Respondent.
- 10. Copies of all discipline and terminations issued to employees due to attendance issues since September 1, 2013.
- 11. All documents in Respondent's possession which refer in any way to Respondent's decision(s) to discipline Graham Brandon at any time since January 1, 2015.
- 12. Copies of all transfer request paperwork filed at the hotel properties since January 1, 2015.
- 13. All bargaining notes in possession of Respondent, including original copies of such documents.
- 14. All proposals made by Respondent to the Union regarding employee leave for union conventions and events since January 1, 2015.

SUBPOENA DUCES TECUM B-1-PA60RN Case 18-CA-151245 Page 3

- 15. All documents in possession of Respondent which refer in any way to proposals made by Respondent regarding employee leave for union conventions and events since January 1, 2015.
- 16. All proposals made by Respondent to the Union regarding wages since January 1, 2015.
- 17. All documents in the possession of Respondent which refer in any way to proposals made by Respondent regarding wages since January 1, 2015.
- 18. All communications to employees by Respondent regarding all wage proposals made by Respondent since January 1, 2015.
- 19. All communications to employees by Respondent regarding all leave proposals made by Respondent since January 1, 2015.
- 20. All wage and/or employee compensation pie charts provided to the Union since January 1, 2015.
- 21. A copy of the current organizational chart for Respondent and all hotel properties.
- 22. A copy of the current seniority list for all employees in the hotel properties.

#### **DEFINITIONS AND INSTRUCTIONS**

- 1. When used in this subpoena, the word "document" or "documents" means any existing printed, typewritten, handwritten or otherwise record material of whatever character, including, but not limited to, letters, correspondence, electronic correspondence (emails) memoranda, telegrams, mailgrams, minutes, notes, statements, affidavits, agreements, summaries, recordation of personal conversations, interviews or meetings, transcripts, diaries, reports, charts, contracts, calendars, interoffice communications, books, records, journals, photographs, microfilm, audio or video tapes, voice mail messages, material existing on computer software or hardware, computer tapes or disks, electronic mail and all data contained thereon that may be retrieved including material stored on hard disks, and any carbon photographic or other duplicate copy of such material in the possession of, control of, or available to the subpoenaed party or any attorney, agent, representative or other person acting in cooperation with, in concert with, or on behalf of the subpoenaed party.
- 2. When used in this subpoena, the term "hotel properties" shall refer to the Kahler Grand Hotel; Rochester Mariott Mayo Clinic Area; Residence Inn Rochester Mayo Clinic Area; and the Kahler Inn and Suites.

#### SUBPOENA DUCES TECUM B-1-PA60RN Case 18-CA-151245 Page 4

- 3. Richfield Hospitality, Inc. as Managing Agent for Kahler Hotels, LLC shall be referred to as "Respondent."
- 4. UNITE HERE Local 17 shall be referred to as "the Union."
- 5. Any copies of original documents which are different in any way from the original, whether by interlineations, receipt, stamp, notations, indication or copies sent or received, or otherwise, shall themselves be considered original documents and must be produced separately from the originals or copies of originals.
- 6. All documents provided pursuant to this subpoena shall be organized by the subpoena paragraph to which each document or set of document is responsive.
- 7. Electronically stored information shall be produced in hard copy or in other reasonably usable form or forms.
- 8. This subpoena is not intended to request privileged documents and therefore should be read to exclude documents authorized by counsel for Respondent where the privilege has not been waived. It is also not intended to request documents that are otherwise protected by law from disclosure.
- 9. For any documents over which a privilege or other legal protection is asserted, identify the document, the person who created it, the date it was created and the nature of the privilege or other legal protection being asserted.
- 10. In the event that any document requested has been destroyed or discarded or otherwise disposed of, please identify the document as completely as possible, including without limitation the date, author(s), addressee(s), recipient(s), title, subject matter, and the reason for disposal of the document and the identity of all persons who authorized disposal of the document.
- 11. This subpoena is intended to cover all documents that are available to Respondent, and its officers and agents, including but not limited to documents in the possession of its attorneys, accountants, advisors, investigators, and other persons and entities directly or indirectly employed by or connected with Respondent, or its parents corporations or entities, subsidiaries or other subordinate entities, or other related companies or entities, and anyone otherwise subject to its control.
- 12. This request is continuing in character and if additional responsive documents come to your attention following the date of production, such documents must be promptly produced.

GC 39(d)-39(f),39(h)-39(m)
EXHIBIT NO. \_\_\_\_\_RECEIVED \_\_\_\_\_REJECTED \_\_\_\_

CASE NO. \_18-CA-151245 \_ CASE NAME \_\_\_\_ Richfield

NO. OF PAGES \_\_\_\_\_23 \_\_\_ DATE \_\_\_\_12/17/15 \_ REPORTER \_\_\_\_ SMW



Signature of Human Resources Representative or Witness

## EMPLOYEE PERFORMANCE DISCUSSION

Emp\_perf.doc - 11/12

DATE: 16-0C+-2015
NAME OF EMPLOYEE: Walter Hardy
EMPLOYEE'S POSITION & DEPARTMENT: Dishuasher (Stewarding
7
TYPE OF ACTION FOR THIS DISCUSSION:  Verbal Warning 1st Written Warning 2nd Written Warning Final Warning
Suspension Termination
FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time):
Walter was one hour late for work.
EMPLOYEE'S EXPLANATION: Over Sept
•
EMPLOYEE HAS BEEN WARNED PREVIOUSLY:
Date(s):
Is the employee being placed on probation? NO YES · Until what date:
Is the employee being suspended?
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOYEE AND SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & dates)
Not be late again.
I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed
above, I may be subject to further discipline.
W. Hady Sr. 10-16-209
Employee's signature  Date
10716/29
Signature of Supervisor Conducting Discussion





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### **Disciplinary Action (Union Associates)**

Associate's Name: <u>Kent Hagan</u>	Action being taken:
Job Classification: Grand Grill	Documented Verbal Warning  First Written Warning
Supervisor: Hanna Michael	Second Written Warning Final Warning
Location: KGH	Optional -Probation / Suspension  Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
Paragraph #1 states: The associate is respons	Violation of the Attendance and Punctuality Policy, in ible for reporting all absences from work to his/her edance with department standards but not less than 3
operation. As a result Kent's attendance plays to be at work at his scheduled time and if he	is a critical part of the success of the food and beverage s a vital role in establishing that success. Kent is expected cannot make it at that time Kent is required to rvisor so the appropriate arrangements can be made.
ready to perform his duties.	been tardy to work. Kent needs to show up on time and be
Kent is expected to review the attendance policy of Kent will be expected to be at work when sch action up to and including termination.	nce more and clarify any questions that he might have as neduled. Failure to do so will and can result in disciplinary
•	to the violation: <u>Hanna Michael</u>
Has the violation occurred before?	Yes No When: 03/28/15, 3/29/15
Has the associate been warned about it before New Hire orientation	e? Yes No When: Associate handbook,
What is the next disciplinary action if this vio	olation occurs again? _ First Warning
What is the next disciplinary measure should	any other violation occur? Depends on the nature of violation
Associate's comments:	
Date: 48/15  Date:	*Signature of Associate  Signature of Supervisor
Human Resources Approval:	Signature of Witness (if associate refuses to sign)  Date:



### **EMPLOYEE PERFORMANCE DISCUSSION**

DATE: 11/15
NAME OF EMPLOYEE: WYNES, ANDYGA
EMPLOYEE'S POSITION & DEPARTMENT: CYOUP WOY dinator
TYPE OF ACTION FOR THIS DISCUSSION:
Verbal Warning 1st Written Warning 2nd Written Warning Final Warning
Suspension Termination
FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time): EXCESSIVE TOUCH OF
3 Absentecism. Several Dates in the month
Of October you were late 10/6, 10/7, 10/8, 10/9,
10/12, etc., See Highlighted dates on Time Card.
Also Absent from work the most recent date "liplis.
EMPLOYEE'S EXPLANATION:
EMPLOYEE HAS BEEN WARNED PREVIOUSLY:
Date(s):
Is the employee being placed on probation? NO YES Until what date:
Is the employee being suspended?
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOYEE AND SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & dates)
I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above
to correct my performance. I also understand that if my performance does not improve by the designated date listed
above, I may be subject to further discipline.
Employee's Signature  Date
Signature of Supervisor Conducting Discussion  Date
Signature of Human Possesses Possesses III
Signature of Human Resources Representative or Witness  Date  Emp_perf.doc - 17/12 1608

Time Card

Employee: 103600143 - James, Rhonda
Pay Group:103 - Kahler Grand Hotel C8D
Start Date: 10/05/2015 End Date:10/18/2015

#### **Hours Paid**

Day In Date	PROP	PDEPT - POSN	In	Out	Pay Code	Reg	OT	DT	Unit Total Rate A	$egin{array}{c} \mathbf{Worlor} \\ \mathbf{Day} \end{array}$
Mon 10/05/201	5 C8D	200-2006065004	09:29 AM	05:27 PN	1	8.00	0.00	0.00	8.00	
Tue=10/06/201	5 C8DE	-200-2006065004	209:36 AM	05:31:PN	1ŧ	8.00	0.00	0.00	8.00	
Wed=10/07/201	5 €8D**	200-2006065004	09:38 AM	05:37 PN	T <sup>r</sup>	8.00	0.00	0.00	8.00	
Thu-10/08/201	5*€8D#	200=2006065004	09:36 AM	05:3FPN	Ĭ	8.00	0.00	0.00	8.00	
Fri 10/09/201	5-C8D**	200-2006065004	09:32 AM	05:31 PN	Per v	8.00	0.00	0.00	8.00	
Mon 10/12/201	5 C8D	200-2006065004	09:35 AM	05:54 PN	1 <del>1</del>	8.25	0.00	0.00	8.25	
Tue 10/13/201	5 C8D	200-2006065004	11:42 AM	07:31 PN	1	7.75	0.00	0.00	7.75	
Wed 10/14/201	5 C8D	200-2006065004	09:21 AM	06:03 PN	1	8.75	0.00	0.00	8.75	
Thu 10/15/201	5 C8D	200-2006065004	09:30 AM	06:00 PN	1	8.50	0.00	0.00	8.50	
Fri 10/16/201	5 C8D	200-2006065004	09:30 AM	06:04 PN	1	6.75	1.75	0.00	8.50	
					Totals:	80.00	1.75	0.00	81.75	\$0.00

Time Card

Employee: 103600143 - James, Rhonda
Pay Group:103 - Kahler Grand Hotel C8D
Start Date: 10/19/2015 End Date:11/01/2015

#### **Hours Paid**

Day	In Date	PROI	PDEPT - POSN	In	Out	Pay Code	Reg	ОТ	DT	Unit Total Rate	amount Korced	Vork ay
Mon	10/19/2015	C8D	200-2006065004	-		7I - Incentive		,			65.00	
	10/19/2015	C8D	200-2006065004	11:29	AM 07:30 PN	1	8.00	0.00	0.00	8.00		
Tue	10/20/2015	C8D	200-2006065004			7I - Incentive					70.00	
	10/20/2015	C8D	200-2006065004	10:03	AM05:58 PN	1	8.00	0.00	0.00	8.00		
Wed	10/21/2015	C8D	200-2006065004			7I - Incentive					35.00	
	10/21/2015	C8D*	~200-2006065004	09:33	AM06:02 PN	ſ₽.	8.50	0.00	0.00	8.50		
"Thu	10/22/2015	C8D	~200=2006065004°	09:43	AM05:54 PN	<b>f</b>	8.25	0.00	0.00	8.25		
Fri	10/23/2015	C8D	200-2006065004	09:32	AM05:59 PN	f	7.25	1.25	0.00	8.50		
Mon	10/26/2015	C8D	200-2006065004			4S - Sick	4.00			4.00		
;	10/26/2015	C8D	200-2006065004	09:33	AM01:45 PN	12: \$	4.25	0.00	0.00	4.25		
Tue	10/27/2015	C8D	200-2006065004	09:27	AM05:59PN	1	8.50	0.00	0.00	8.50		
Wed	10/28/2015	C8D	200-2006065004	11:21	AM 07:29 PN	1	8.25	0.00	0.00	8.25		
Thu	10/29/2015	C8D	200-2006065004	11:24	AM 06:36 PN	1	7.25	0.00	0.00	7.25		
Fri	10/30/2015	C8D	200-2006065004	09:06	AM06:03 PN	1	9.00	0.00	0.00	9.00		
						Totals:	81.25	1.25	0.00	82.50	\$170.00	

Time Card

Employee: 103600143 - James, Rhonda Pay Group:103 - Kahler Grand Hotel C8D

Start Date: 11/02/2015 End Date:11/15/2015

Hours Paid								
Day In Date	PROPDEPT - POSN	In	Out	Pay Code	Reg OT	DT Uni	t Total R	Work Amount Forced Day
Mon 11/02/2015	C8D 200-200606500	4 11:13 AM 0	7:30 PM		8.25 0.00	0.00	8.25	
Tue \$11/03/2015	C8D 200-200606500	4 11:46 AM 0	7:33 PM	<del></del>	7.75 0.00	0.00	7.75	
Wed 11/04/2015	5 C8D 200-2006065004	4		7I - Incentive				105.00
11/04/2015	C8D 200-200606500	4 11:33 AM	7:32 PM	·F	8.000.00	0.00	8.00	
Thu 11/05/2015	C8D 200-2006065004	4 08:52 AM	7:35 PM	•	10.75 0.00	0.00	10.75	
Fri ≈ 11/06/2015	5: C8D~ 200-2006065004	4 10:21 AM	6:04 PM	李. 厚	5.25 2.50	0.00	7.75	
Mon 11/09/2015	- 5 C8D 200-200606500	4		7I - Incentive				110.00
11/09/2015	C8D 200-2006065004	4 09:09 AM	)5:30 PM	•	8.25 0.00	0.00	8.25	
				Totals:	48.25 2.50	0.00	50.75	\$215.00

# CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1421 of 1459

Reservations Office Schedule For the Week of 09-Nov-15	Monday 09-Nov	<u>Tuesday</u> 10-Nov	<u>Wednesday</u> 11-Nov	Thursday 12-Noy	Friday 13-Nov	Saturday-Closed 14-Nov	Sunday 15-Nov
Venessa Hampshire	08:30 AM	08:30 AM	08:30 AM	08:30 AM	08:30 AM	CLOSED	CLOSED
Amanda Bradley // Amanda Bradl	.11:30 AM 07:30 PM	09:00 AM 05:00 PM	09:30 AM 05:00 PM	09:00 AM 05:00 PM	09:00 AM 05:00 PM	CLOSED -	CLOSED •
Mary Smith School (1997) Reservations Agent (2007)	07:30 AM - 04:15 PM	07:30 AM - 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	CLOSED	CLOSED
Lenny Fobert Sys	08:30 AM - 05:00 PM	OFF - OFF	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	CLOSED	CLOSED
BJ Miller (A) (B) (B) (B) (B) (B) (B) (B) (B) (B) (B	05:00 PM - 07:30 PM	05:00 PM - 07:30 PM	05:00 PM - 07:30 PM	05:00 PM - 07:30 PM	OFF	CLOSED	CLOSED
Rhonda James 20 Control of the Contr	09:30 AM 05:30 PM	09:30 AM - 05:30 PM	09:30 AM - 05:30 PM	09:30 AM - 05:30 PM	10:00 AM - 06:00 PM	CLOSED	CLOSED
The second of th							
Office Hours:	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 06:00 PM		
						· · · · · ·	•
			· 1	1			

# CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1422 of 1459

Reservations Office Schedule For the Week of . 05-Oct-	15 Monday 05-Oct	Tuesday 06-0c:	Wednesday 07-Oct	Thursday 08-Oct	Friday 09-Oct	Saturday-Closed 10-Oct	Sunday 11-Oct
Venessa Hampshire St. 1884		OFF	08:30 AM	08:30 AM .	08:30 AM	CLOSED	CLOSED
Reservations Manager						CLOSED -	CLOSED -
Mary Smith	07:30 AM - 04:15 PM	07:30 AM - 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	07:30 AM <sup>1</sup> 04:15 PM	ÇĻOSED	CLOSED
Lenny Fobert	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	CLOSED	CLOSED
BJ Miller (1). Reservations Agental (1)	04:45 PM - 07:30 PM	04:45 PM - 07:30 PM	04:45 PM - 07:30 PM	04:45 PM - 07:30 PM	OFF	CLOSED	CLOSED
Rhonda James in Maria Salas Agencia Group Coordinator Salas Ag	oran .	09:30 AM - 05:30 PM	09:30 AM - 05:30 PM	09:30 AM - 05:30 PM	09:30 AM - 05:30 PM	CLOSED	CLOSED
Section of the sectio	FEE						
Office Hours:	07:30 AM 07:30 PM	07:30 AM 06:00 PM					
						·	
	_					•	

## CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1423 of 1459

## Reservations Office Schedule For the Week of

12-Oct-15	Monday .	Tuesday	Wednesday	Thursday 15-Oct	<u>Friday</u> 16-Oct	Saturday-Closed 17-Oct	<u>Sunday</u> 18-Oct
Venessa Hampshire	12-Qct 09:00 AM	13-Oct 09:00 AM	14-Oct 09:00 AM	09:00 AM	09:00 AM	CLOSED	CLOSED
Amanda Bradley (Amanda Bradley	09:30 AM 05:30 PM	09:30 AM 05:30 PM Training	09:30 AM 05:30 PM Training	09:30 AM 05:30 PM Training	09:30 AM 05:30 PM Training	CLOSED -	CLOSED -
Mary Smith Reservations Agent	07:30 AM - 04:15 PM	07:30 AM - 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	OFF OFF	CLOSED	CLOSED
Lenny Fobert	08:30 AM - 05:00 PM	CB:30 AM - 05:00 PM	08:30 AM - 05:00 PM	11:00 AM - 07:30 PM	07:30 AM - 04:00 PM	CLOSED	CLOSED
BJ Miller Reservations Agent	05:00 PM - 07:30 PM	OFF -	05:00 PM - 07:30 PM	OFF -	OFF	CLOSED	CLOSED
Rhonda James (1975) for the Group Coordinator (1975) for the Coordinator (1	09:30 AM 06:00 PM	11:00 AM - 07:30 PM	09:30 AM - 08:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	CLOSED	CLOSED
Office Hours:	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 06:00 PM		
			•	•			

# CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1424 of 1459

Reservations Office Schedule For the Week of 19-Oct-15	Monday 19-Oct	Tuesday 20-Oct	<u>Wednesday</u> 21-Oct	Thursday 22-Oct	Friday 23-Oct	Saturday-Closed 24-Oct	Sunday . 25-Oct
Venessa Hampshire	09:00 AM	09:00 AM	09:00 AM	09:00 AM	09:00 AM	CLOSED	CLOSED
Amanda Bradley	09:30 AM 05:30 PM	09:30 AM 05:30 PM Treining	09:30 AM 05:30 PM Training	09:30 AM 05:30 PM Training	09:30 AM 05:30 PM Training	CLOSED -	CLOSED -
Mary Smith Reservations Agent	07:30 AM - 04:15 PM	07:30 AM - 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	CLOSED	CLOSED
Lenny Fobert 37	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	CLOSED	CLOSED
BJ Miller H Reservations Agent	OFF -	05:00 PM - 07:30 PM	05:00 PM - 07:30 PM	05:00 PM - 07:30 PM	OFF	CLOSED	CLOSED
Rhonda James S	11:00 AM 07:30 PM	09:30 AM - 08:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	09:30 AM - 06:00 PM	CLOSED	CLOSED
Office Hours:	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 06:00 PM		
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### CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1425 of 1459

## Reservations Office Schedule For the Week of

26-Oct-15	Monday 26-Oct	Tuesday 27-Oct	Wednesday 28-Oct	Thursday 29-Oct	Friday 30-Oct	Saturday-Closed 31-Oct	Sunday 01-Nov
Venessa Hampshire (1976)	08:30 AM	CLOSED	CLOSED				
Amande Bradley 11.  Reservations Agent 13.  Training	08:30 AM 05:00 PM Training	CLOSED	CLOSED				
Mary Smith Agent	07:30 AM - 04:15 PM	07:30 AM - 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	07:30 AM 04:15 PM	CLOSED	CLOSED
Lenny Fobert	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	08:30 AM - 05:00 PM	11:00 AM - 07:30 PM	08:30 AM - 05:00 PM	CLOSED	CLOSED
BJ Miller Reservations Agent	05:00 PM - 07:00 PM	05:00 PM - 07:30 PM	OFF -	OFF -	OFF	CLOSED	CLOSED
Rhonda James	09:30 AM 06:00 PM	09:30 AM - 06:00 PM	11:30 AM - 07:30 PM	09:30 AM - 08:00 PM	09:30 AM - 06:00 PM	CLOSED	CLOSED
Office Hours:	07:30 AM 07:30 PM	07:30 AM 06:00 PM					
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			1	<u></u>		1	1

# CASE 0:17-cv-03978-WMW-DTS Doc. 1-2 Filed 08/25/17 Page 1426 of 1459

Reservations Office Schedule		•	•					
For the Week of 02-Nov-15	Monday 02-Nov	Tuesday	Wednesday 04-Nov	Thursday 05-Nov	<u>Friday</u> <u>06-Noy</u>	Saturday-Closed 07-Nov	Sunday 08-Nov	
Venessa Hampshire	08:30 AM	08:30 AM	08:30 AM	08:30 AM	08:30 AM	CLOSED	CLOSED	
Amanda Bradley Amanda	09:00 AM 05:00 PM	09:00 AM 05:00 PM Treining	09:00 AM 05:00 PM Training	09:00 AM 05:00 PM Training	09:00 AM 05:00 PM Training	CLOSED	CLOSED -	
Mary Smith	OUT -	OUT -	ОИТ	OUT	OUT OUT	CLOSED	CLOSED	
Lenny Foberty Reservations Agent	07:30 AM - 04:00 PM	07:30 AM - 04:00 PM	07:30 PM - 04:00 PM	07:30 PM - 04:00 PM	07:30 AM - 04:00 PM	CLOSED	CLOSED	
BJ Miller Reservations Agent	OFF -	OFF	OFF -	OFF -	OFF	CLOSED	CLOSED	
Rhonda James () Group Coordinator	11:30 AM 07:30 PM	11:30 AM - 07:30 PM	11:30 AM - 07:30 PM	11:30 AM - 07:30 PM	10:00 AM - 06:00 PM	CLOSED	CLOSED	
Office Hours:	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 07:30 PM	07:30 AM 06:00 PM			
						•		
							<u> 1 </u>	



Associate's Name: Derrick Kotvazs





# **Disciplinary Action (Union Associates)**

Action being taken:

Job Classification: Server	Documented Verbal Warning  First Written Warning
Supervisor: Mary Althoff	Second Written Warning Final Warning
Location: Crossings Bistro	Optional -Probation / Suspension Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
On 11/22/15 Derrick called me few minutes before his 9 helping the other server. He then sent me a text asking if I told him no (refer to attached text conversation). I told me he could be here. He told me he was not going to "ru shift of the day. He did not come to his first shift at all.  Any further violation of a similar nature may lead to further told.	f I found anyone to cover his shift for that morning, and him I would see him at 11, since that is when he told ish his ex-girlfriend" and he would be in for his second
termination.	
Date of Violation 11/22/15 Witness to the	e violation: Mary Althoff
Has the violation occurred before? before	Yes No When: 11/19/15 and many times
Has the associate been warned about it before?	Yes No When: multiple times
What is the next disciplinary action if this violation	n occurs again? Final Written Warning
What is the next disciplinary measure should any o	other violation occur? <u>Depends on the nature of violation</u>
Associate's comments:	
Date:	
Date: 11/24/15  Sign	gnature of Associate nature of Supervisor
Human Resources Approval:	nature of Witness (if associate refuses to sign)  Date:  P 016(81)







\*A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.



Associate's Name: Derrick Kotvazs





# **Disciplinary Action (Union Associates)**

Action being taken:

Job Classification: Server	Documented Verbal Warning  First Written Warning
Supervisor: Mary Althoff	Second Written Warning Final Warning
Location: <u>Crossings Bistro</u>	Optional -Probation / Suspension Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
that he had his daughter, and his ex-girlfriend's mothe on time for his 9am shift. However, she was not able to keep me posted on when he would be coming in. I text lunch hour and to keep me posted. He called again a fe in, and I said yes.	he would be late for his 9am shift. He explained to me if was supposed to be there to pick her up so he could be to leave work to pick her up until after 10am and he would sted him a little after 10am saying I still need him for the two minutes before 11 to ask if I still wanted him to come
Any further violation of a similar nature may lead to fi termination.	urther disciplinary action up to and including
Date of Violation 11/19/15 Witness to	the violation: Mary Althoff
Has the violation occurred before? before	Yes ⊠ No ☐ When: 11/15/15 and many times
Has the associate been warned about it before?	Yes No When: multiple times
What is the next disciplinary action if this violation	ion occurs again? Discharge
What is the next disciplinary measure should any	y other violation occur? <u>Depends on the nature of violation</u>
Associate's comments:	
Date: 11/24/15	Signature of Associate  ignature of Supervisor  ignature of Witness (If associate refuses to sign)  Date:
	• \



Associate's Name: Derrick Kotvazs





# **Disciplinary Action (Union Associates)**

Action being taken:

Documented Verbal Warning

Job Classification: Server	Documented Verbal Warning  First Written Warning
Supervisor: Mary Althoff	Second Written Warning
Location: Crossings Bistro	Optional -Probation / Suspension Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
On 11/19/15 I went into the Kitchen around 11:45am and what he was doing, and he told me he had not yet clocked to leave. I told him that's not how it works and to go clocked helping Maria with her schedule. When I came back to the Derrick was. Deb told me he cut himself and left, without Any further violation of a similar nature may lead to furt termination.  Date of Violation 11/19/15  Witness to the	d in and was not going to take tables in case he decided ok in immediately. About 1pm I was in the office again he server station about 1:45pm I asked Deb where at telling me.
Has the violation occurred before?	Yes No When:
Has the associate been warned about it before?	Yes No When: multiple times
What is the next disciplinary action if this violation	
What is the next disciplinary measure should any o	•
Associate's comments:	
Date: 11/24/15 Sign	pnature of Associate  mature of Supervisor
Human Resources Approval:	nature of Witness (if associate refuses to sign)  Date: (()

P 01621

<sup>\*</sup>A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.







\*A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.









### RECORD OF CONVERSATION

Coaching is an on-going process designed to deliver feedback, motivate associates, and help them become successful. This optional form can be used to record conversations related to coaching. It should not be used to document disciplinary action.

For all disciplinary action, please use the Corrective Disciplinary Record (CDR) form.

Associate Name: Derrick Kotvasz

**Position: Server** 

On 11/17/15, I discussed the following with the associate:

On Tuesday 11/17 Derrick told me that he would be late for his 9am – 1pm shift on 11/22. I then informed him that he was responsible for finding someone to work for him. He then said I am the "manager" and I need to find someone because it was a union rule. We didn't discuss it any further that night. On Thursday 11/19 I reminded Derrick that he needed to find someone to cover his shift, and he said okay. Sunday morning 11/22 he called a few minutes before his shift at 8:54am to "make sure" we found someone to cover his shift. I told him no, that it was his responsibility. I texted him saying I would see him around 11am after his daughter was picked up. He told me he was not going to rush his ex-girlfriend so he could get here for his first shift. He then told me he would be in for his second shift.

Name of Coach (Manager):

P 01623

Cc: Personnel file

Hey I'm just making sure you guys found somebody for my shift today, if not I my daughter is getting picked up at 1030

Yesterday 8:59 AM

We didn't have to find anyone that's your job and no we didn't. See you at 11 guess

Sent

Donick

Yesterday 9:00 AM

OK good managing for 6 days notice

Terriek.

Yesterday 9:05 AM

can no call no show three days in a row and be alright.

derrick

Yesterday 9:05 AM

And actually I'm not going to rush my ex I gave you guys fair notice I couldn't work this morning

And actually I'm not going to rush my ex I gave you guys fair notice I couldn't work this morning so I'll be in for my shift tonight. Especially if Nick

Yesterday 9:16 AM

It's not by union our job to find someone to work for you. I am asking you to be here for your first shift and Nicks situation has nothing to do with anything

Sent

Derrick

Yesterday 9:17 AM

I'm not signing a write up this time, I gave y'all plenty of notice.

Yesterday 9:18 AM

I didn't say I was gonna write you up. But you also didn't make an effort to find someone to cover for you today.



#### RECORD OF CONVERSATION

Coaching is an on-going process designed to deliver feedback, motivate associates, and help them become successful. This optional form can be used to record conversation related to coaching. It should not be used to document disciplinary action.

For all disciplinary action, please use the Corrective Disciplinary Record (CDR) form. Associate Name: \_\_\_\_Ray Allen \_\_\_\_ Position: \_\_\_\_Room Attendant\_\_\_\_\_ On \_\_\_\_\_\_12/15/15\_\_\_\_\_\_, I discussed the following with the associate: Ray was a rehire on November 23<sup>rd</sup> under the condition that she has improved her attendance and punctuality record since her last separation from the company. I chose to rehire Ray based on her ability to contribute to the success of our operation. She is pleasant to work with and has great cleaning skills. It's unfortunate that since the 23<sup>rd</sup> Ray has had excessive attendance issues. She has called off 3 days 11/25, 12/11, 12/14. She was 30 minutes tardy her second day of work, and has called off her third day. She has left early on 12/6 and 12/7 due to personal issues. It appears Ray's attendance has not improved, and is continuing to develop a pattern similar to her last employment with the company. Ray was hired under the condition that no attendance issues will occur during her first 90 days. It is expected for Ray to be at work as scheduled on time. If Ray is unable to report to work she will need to find a replacement prior to the start of her shift in order to cover her work responsibilities. Moving forth any further absences or tardiness will result in separation from the company.

P 01626







# **Disciplinary Action (Union Associates)**

Action being taken:

Associate's Name: Alyse Moore	Action being taken:
Job Classification: Housekeeper Supervisor: Crystal Adcox Location: Rochester Marriott	Documented Verbal Warning  First Written Warning  Second Written Warning  Final Warning  Optional -Probation / Suspension  Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
Nature of the violation - state specifically what or	ccurred:
scheduled to work her scheduled shift from 8:30 – 5pm. Alyse failed to notify her manager prior to her schedule per our attendance policy Alyse has 2 unexcused absence an absence an accused tardiness on May 2 <sup>nd</sup> and June 5 <sup>th</sup> this puts hardship onto the department of the departme	ed shift so possible arrangements could be made. As ces. Her last call off was on May 3 <sup>rd</sup> 2015 and two her into a first written warning. Your team members ent. Moving forth Alyse needs to adhere to our dance issues excluding emergencies and deaths for the
Date of Violation: June 6 <sup>th</sup> 2015 Witness to th	ne violation: Crystal Adcox, Brenda Vasquez
That the violation occurred below.	No x When:
Has the associate been warned about it before? Yes N	No x When:
What is the next disciplinary action if this violation occurs again?	DISCHARGE
What is the next disciplinary measure should any other violation occur?	Depends on the nature of violation
Associate's comments:	
Date: 6 19 15.	ignature of Associate  gnature of Supervisor
Date:	gnature of Witness (if associate refuses to sign)
Human Resources Approval: Date:	

<sup>\*</sup>A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.





### **RECORD OF CONVERSATION**

Coaching is an on-going process designed to deliver feedback, motivate associates, and help them become successful. This optional form can be used to record conversation related to coaching. It should not be used to document disciplinary action.

For all disciplinary action, please use the Corrective Disciplinary Record (CDR) form. Position: Housekeeper\_\_\_\_\_ Associate Name: \_\_Alyse Moore\_\_\_\_\_ On Dec 9<sup>th</sup> 2014 I discussed the following with the associate: Alyse Moore has been working here almost 60 days. Management wanted to come together and reiterate rules and policies as well as give some feedback so far on her progress. Alyse completes her work in a timely manner and is always willing to help out her fellow room attendants complete their rooms. She is friendly and hard working. As a reminder, I would like Alyse to focus on her attendance issues, grooming standards, and cell phone usage In the past month, Alyse has had two unexcused call offs. Alyse know she must call at least two hours prior to the start of her shift. All visible tattoos must be covered. This is a grooming standard for all Marriott hotels. On Saturday, November 22, 2014, it was witnessed by management as the second time Alyse improperly used her cellphone. Cellphones are not allowed on the floors. It must remain in the associates' locker until they have lunch or a break. They cannot be used as a radio or clock. Alyse is a hard worker and we value her as a team member. Without strong team members we would not be as successful as we are.

Manager/Supervisor:

1919114

12-9-14

EXHIBIT NO. GC 40 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/16/15 REPORTER SMW



## EMPLOYEE PERFORMANCE DISCUSSION

DATE: \_06-26-14\_\_\_

NAME OF EMPLOYEE	3:Graham Brandon		****	······	
EMPLOYEE'S POSITI	on & department:	_Cook /Culinary			····
TYPE OF ACTION FO	R THIS DISCUSSION:				
☐ Verbal Warning	Written Warning		/arning	☐ Suspension	☐ Termination
	VIOLATION (Include spe Calling out on to many shi		date & time)	:	
excessive call out has c with Graham calling ou made it tough to fully exceptional hospitality.	Praham has called out an eaused unnecessary over the caused unnecessary over the case of t	me, and hardsh ate for shifts at of the Restau it associates ge	nip for his on the having to the rant to run the sick and v	ther coworkers due to leave in the midd successfully and p will have matters a	to being shorthanded le of his shifts. It has rovide the guest with rise, but the excessive
upon his return from be came to work and punc	14, Graham Being the sho eing sick for the last 3 da hed in, we had to send Gra e from him having to leave	ys, did not brin sham home to g	g a doctor's	s note excusing him ors note for him to	n from work. Graham return. This cause the
	ck nours into shift because hi ecause his son had a docto sick sick		nkle 1 K	dentills dicetion deviol:	in Tile & Drettern
(23-25 with tendinitis)	to get doctors note to retu	um		]	
employee's explan	NATION:				
	·				
EMPLOYEE HAS BEEN	N WARNED PREVIOUSLY	Y: 🗌 Verba	ıl ∏Wr	itten	
s the employee being p	laced on probation? N	O YES	Until what	date:	

P 69630 X4D

EXHIBIT NO. GC 41 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 2 DATE 12/16/15 REPORTER SMW

	DATE: _06-26-14
NAME OF EMPLOYEE:Graham Brandon	
EMPLOYEE'S POSITION & DEPARTMENT:Cook /Culinary	
TYPE OF ACTION FOR THIS DISCUSSION:	
☐ Verbal Warning     ☑ Written Warning     ☐ Final Warning	☐ Suspension ☐ Termination
FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time Excessive Absentees: Calling out on to many shifts in a month	
Over the past month, Graham has called out an excessive amount of times, excessive call out has caused unnecessary over time, and hardship for his count of Graham calling out. Graham has called off incorrectly by not callicalling his supervisor, as well as being late for shifts and having to leave tough to fully staff and fulfil the needs of the Restaurant to run successfull hospitality. It is understandable that associates get sick and will have matter enabled the operation from running successfully and has hindered us frow kitchen staff.	other coworkers due to being shorthande ing outside the 2hour minimum and no in the middle of his shifts. It has made by and provide the guest with exceptions
On 6/26/14, Graham, did not follow proper protocol as established by h handbook, upon his return from being sick for the last 3 days, did not bring Associates including Graham is required to bring documentation prove of h his own safety and the safety of other.  Graham is expected in the future to make sure he has the correct docume Furthermore Graham is expected to call and speak to his supervisor at least is calling off. We understand that life happens however, we have the respon functions effectively so it does not adversely affect the guest and other associated.	a doctor's note excusing him from work his clearance to return to work, this is for entation available for his return to work t 2hours prior to his scheduled shift if he isibility of making sure that the constitu-
5/2 Graham was late 5/3 Graham called off sick 5/4 Graham called off sick 6/12 Graham left a few hours into shift because his son hurt his ankle 6/19 Graham was late because his son had a doctors apt 6/23 Graham called off sick 6/24 Graham called off sick 6/25 Graham called off sick (23-25 with tendinitis)	/
6/26- had to leave work to get doctors note to return	
EMPLOYEE'S EXPLANATION: This is growly	rateretc

^ **^** 

~CXSE~:17=cv=03978-w/Mw	DTS""C	o <del>c</del> . 1-2	" Filed 08/25/17	Page 1442 of 1459
Date(s):				
Is the employee being placed on probation	on? 🗌 NO	☐ YES	Until what date:	
Is the employee being suspended?	□ио	☐ YES	Until what date:	
WHAT ACTION STEPS HAVE BEEN ACSUPERVISOR TO IMPROVE OR RESO				ND
。现代在自然的1975年中 <b>发出的19</b> 75年的	eranian kuku			
I have reviewed and discussed this performance. I also under	rmance viola	ation with	my supervisor and under	rstand the terms listed above by the designated date listed
above, I may be subject to further discipl		, person		C
C - C - C - C - C - C - C - C - C - C -				6-26-14
Employee's Signature				Date
la Re	>			C-Harry
Signature of Supervisor Conducting Disc	ussion			Date
_ Dridsay lanter K	lom			Ce/21e/14.
Cian Elma of Hansey Danseyson Danseys	tation on Mit	54455		Data

EXHIBIT NO. GC 43 RECEIVED REJECTED

CASE NO. 18-CA-151245 CASE NAME Richfield

NO. OF PAGES 1 DATE 12/17/15 REPORTER SMW



DATE: 1025 15
NAME OF EMPLOYEE: TYQUIS ALLEN
EMPLOYEE'S POSITION & DEPARTMENT: BOX 1880 Star BUCK S
TYPE OF ACTION FOR THIS DISCUSSION:  Verbal Warning
Suspension Termination  FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time): NCNS on 6/24/15  text at 4pm Stating he was local pm.  Scheduled Shift was local pm.
EMPLOYEE'S EXPLANATION:
EMPLOYEE HAS BEEN WARNED PREVIOUSLY:
Is the employee being placed on probation? \( \sum NO \) YES Until what date: \( \sum Sept 25^{\this} 20 \)
Is the employee being suspended? YES Until what date:
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOYEE AND SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & dates)
I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed above, I may be subject to further discipline.
Employee's Signature  Collision  Date  Collision  Date  Date
Signature of Human Resources Representative or Witness  Date









## **RECORD OF CONVERSATION**

Coaching is an on-going process designed to deliver feedback, motivate associates, and help them

become successful. This optional form can be used to record conversations related to coaching. It should not be used to document disciplinary action.
For all disciplinary action, please use the Corrective Disciplinary Record (CDR)form.
Associate Name: Daniel McIntrye Position: Steward
On January 6th, 2015, I discussed the following with the associate:
On July 9th, 2015, I Chef Seth Essar & Mattie Eggiman, Coached Daniel McIntyre, on the following, When Daniel needs to leave the kitchen for anything, he needs to inform the Chef, if the Chef is not around, he needs to inform a member of the management team that he is leaving the kitchen. It is important that Daniel communicates to the team when he leaves for anything.
Name of Coach (Manager): Chef Seth Essar & Mattie Eggiman
Associates Signature:

Cc: Personnel file



1-21-15 DATE: Daniel McIntyre NAME OF EMPLOYEE: Salute Kitchen **EMPLOYEE'S POSITION & DEPARTMENT:** TYPE OF ACTION FOR THIS DISCUSSION: Verbal Warning 1st Written Warning ☐ 2<sup>nd</sup> Written Warning ☐ Final Warning ☐ Suspension ☐ Termination Daniel did not show up for work on 2-14-15 until 11:53 am. He was scheduled at 8:00 am. We asked him to stay until 8:00 pm, which he agreed to and he left at 5:23 Pm without permission or approval. This is nothing short of abandoning a shift. Daniel knows the importance of being on time to work and working ones shift. Daniel needs to show commitment to the job, others including our guests rely on him being here to do the job. EMPLOYEE'S EXPLANATION: Date(s): ROC Is the employee being placed on probation? NO YES Until what date: Is the employee being suspended? NO ☐ YES Until what date: \_\_\_\_ WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOYEE AND SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & dates) I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed above I may be subject to further discipline. Signature of Supervisor Conducting Discussion Signature of Human Resources Representative or Witness Date

Doc. 1-2









#### RECORD OF CONVERSATION

Coaching is an on-going process designed to deliver feedback, motivate associates, and help them become successful. This optional form can be used to record conversations related to coaching. It should not be used to document disciplinary action.

 $For all \ disciplinary \ action, \ please \ use \ the \ Corrective \ Disciplinary \ Record \ (CDR) form.$ 

Associate Name: Daniel McIntrye Position: Steward

On July 27th, 2015, I discussed the following with the associate:

On July 27th, 2015, I Chef Seth Essar & Tyler Kase, Coached Daniel McIntyre, on the following, When Daniel needs to leave the kitchen for anything, he needs to inform the Chef, if the Chef is not around, he needs to inform a member of the management team that he is leaving the kitchen. It is important that Daniel communicates to the team when he leaves for anything. This is the 4th conversation in the last week, that we have spoken with Daniel on properly communicating when he leaves the kitchen, a member of the management team is aware that he is leaving.

Name of Coach (Manager): Chef Seth Essar & Tyler Kase	
Associates Signature:	

Cc: Personnel file

P 01639 44(C)



	DATE:	8/4/15
NAME OF EMPLOYEE:Daniel McIntyre		
EMPLOYEE'S POSITION & DEPARTMENT:Steward, Marriott, Salute		
TYPE OF ACTION FOR THIS DISCUSSION:		
☐ Verbal Warning ☐ 1 <sup>st</sup> Written Warning ☐ 2 <sup>nd</sup> Written Warning		l Warning
☐ Suspension ☐ Termination		
FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time): On Friday, July 31 <sup>st</sup> , at 10:15 am, Mattie asked Graham and Michelle if they knowere. Michelle informed her that she hadn't seen Daniel since he arrived to wo was found him sitting in the break room watching tv. When asked him what he work for 15 minutes, Daniel did not answer but got up and walked out of the break kitchen, Dan was again asked what he was doing sitting in the break room after He responded that he was really tired and that he had been up all night. Mattie re and he is getting paid to work, so he needs to keep busy or he needs to go home he was going to go home. There have been several instances over the past few warea and cannot be located by the chef or a supervisor. He has been warned about	rk at 10am was doing akroom. W only being minded him At that ti reeks that E this behav	and clocked in. Daniel, as he had only been at hile walking back to the at work for 15 minutes. In that he is at work now, ime, Daniel decided that Daniel is not in his work.
EMPLOYEE'S EXPLANATION:		
EMPLOYEE HAS BEEN WARNED PREVIOUSLY: Urbal Written		
Date(s):		
Is the employee being placed on probation? \( \sum \text{NO} \subseteq \text{YES} \) Until what date:		
Is the employee being suspended?		
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOY SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & do	(EE AND ates)	
I have reviewed and discussed this performance violation with my supervisor and to correct my performance. I also understand that if my performance does not improve, I may be subject to further discipline.  Employee's Signature  Signature of Supervisor Conducting Discussion	prove by th	d the terms listed above the designated date listed  Date  Date  Date
Signature of Human Resources Representative or Witness	_{	5-4-15

Hed

Associate's Name: Daniel McIntyre

Job Classification: Dishwasher

Doc. 1-2

Filed 08/25/17

Action being taken:

Documented Verbal Warning

Page 1450 of 14594/70/Muc







#### **Disciplinary Action (Union Associates)**

Supervisor: Greg Cook  Location: Marriott	First Written Warning  Second Written Warning  Final Warning  Optional -Probation / Suspension  Discharge
	Note: The disciplinary action to be taken in each case shall be determined by human resources.
Nature of the violation –	
Daniel has been a valued member of the F&B team. Howe cause for great concern.	ver, Daniel's action on Saturday 09/26/2015 is a
On Saturday 09/26/2015 Daniel was scheduled to arrive to approximately 11:40am Maria called him. He answered hiway. Daniel never showed up to work and missed his entire	s phone and stated that he overslept and was on his
As a result of Daniel's action on Saturday 09/26/2015 we of the same nature will result in progressive discipline, up	
Date of Violation: <u>09/26/2015</u> Witness to the v	violation: <u>Maria Mejia</u>
Has the violation occurred before?	Yes No When:
Has the associate been warned about it before? when given associate handbook	Yes No When upon date of hire
What is the next disciplinary action if this violation occurs What is the next disciplinary measure should any oth	
Associate's comments:	
Date: 4-65 13	ature of Associate  GOOL  Ture of Supervisor  P 01644

The Kahler Kahler

Marrioff.



Date: 9-28-15

Human Resources Approval:

Signature of Witness (if associate refuses to sign)

Date: 9.70.15

\*A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.

David McInture

09 28 2015 P 01642







#### **Disciplinary Action (Union Associates)**

Associate's Name: <u>Daniel McIntyre</u>	Action being taken:
Job Classification: <u>Dishwasher</u> Supervisor: <u>Greg Cook</u> Location: <u>Marriott</u>	Documented Verbal Warning  First Written Warning  Second Written Warning  Final Warning  Optional -Probation / Suspension  Discharge  XXX  Note: The disciplinary action to be
	taken in each case shall be determined by human resources.
Nature of the violation -	
On the morning of 10/23/15 Daniel was scheduled to work stating he had just been released from jail. I told him to get had to go pay some fines and it would only take "30 minut come back. He did not return to work until over 4 hours lat not answer his phone. Daniel worked 2:13pm-4pm of his s	this uniform on and go to work. Daniel told me he es max." I told him to go take care of that and ter. Multiple people tried calling him and he did
On the morning of 10/25/15 Daniel was scheduled to work 5:53pm.	at 4:30pm. He did not report to work until
On the night of 11/01/15 Daniel was witnessed by Mattie I watching TV. This was only an hour into his shift, and he	
Last night, 11/02/15, Daniel went to Norma, over at the Kahome. Maria Mejia is Daniels immediate supervisor, and s and Daniel came to speak with Mattie, telling her that he has the dish pit. Mattie told him if it did not get any better he cobeing discussed and told Daniel that he was not telling the her, stating it was from bed bugs at his new residence. Mainformation being given to Mattie was not truthful. Daniel could leave. He then clocked out and left work at 6:40pm, of the night (he was scheduled until 12:3,40am).	he was here but instead went to Norma. Norma ad a rash and it was possibly from chemicals in ould go home. At this point Maria heard what was truth. He had previously shown that same rash to ria told Daniel that he could not leave because the told Maria that Mattie already told him that he
Daniel has been counseled, warned and documented on muthis point, we have decided to terminate employment of Daniel has been counseled, warned and documented on muthis point, we have decided to terminate employment of Daniel	- · · · · · · · · · · · · · · · · · · ·
Date of Violation: 11/02/2015 Witness to the v	riolation: <u>Maria Mejia/ Mattie Eggimann</u>
Has the violation occurred before?	Yes ⊠No ☐ When:
Has the associate been warned about it before? 07/27/15, 08/04/15	Yes ⊠ No ☐ When <u>01/16/15</u> , <u>02/26/15</u> ,







What is the next disciplinary measure should	l any other violation occur? <u>Discharge</u>
Associate's comments:	
Date:	*Signature of Associate?
Date: 11 5 15  Date: 11 5 15	Signature of Supervisor
Human Resources Approval:	Signature of Witness (if associate refuses to sign)  Date: 11 5 15

<sup>\*</sup>A signature by the associate does not mean that the associate agrees or disagrees with the disciplinary action; the signature indicates that the associate understands and is aware that the disciplinary action was given and filed into their personnel file.

#### 11/2/15

Around 6:30pm, Daniel McIntryre came to my office with Norma. He told me that he had developed a rash on his arm. His right arm had large red bumps on it, he also pulled up his pant leg and had similar but smaller red bumps on his right leg. He told me that he had been doing dishes and filled the sanitizer, and then he started to get red spots on his arm and his leg and it was itching and burning. I asked him if he spilled anything on himself, trying to figure out if it could be some type of chemical burn. He told me that, no, he had no spilled anything on himself that he could remember. I asked him if it was too painful for him to work or if it was just itching. He said it mostly itched. I asked him to look in the first aid kit for itch cream, and that if that didn't work to come tell me if he needed to go home. He said ok and walked away. Norma went back to the Kahler.

A few minutes later, Maria came to me and told me that Daniel was lying. She said that the rash on his arm is from bug bites he got at the new place he moved into, and that he has had it for several days. She said that she told him he could not leave because she knew he was lying about the spots on his arm. She said that he told her "Mattle already told me I could leave" and she told him that no, he could not leave. I went to look for Daniel and could not find him anywhere. I went to the Kahler to see If he was talking to Norma and did not find him. I Informed Norma of what was going on so she knew the story. When I returned to the Marriott a few minutes later, I looked at the time clock and saw that Daniel had clocked out at 6:40pm. He did not speak with me before leaving and did not have permission to leave early.

Mattie Eggimann

Food and Beverage Manager

GC 45(a) - 45(c)

EXHIBIT NO. \_\_\_\_\_ RECEIVED \_\_\_\_ REJECTED

CASE NO. 18-CA-151245 CASE NAME \_\_\_ Richfield

NO. OF PAGES 4 DATE 12/17/15 REPORTER \_\_\_ SMW



		n	ATE:9/1/2015
NAME OF EMPLOYEE:	Direen Hodges		
EMPLOYEE'S POSITION & DEPA			
TYPE OF ACTION FOR THIS DISC			
☐ Verbal Warning X☐ 1 <sup>st</sup> Writte	en Warning 🔲 :	2 <sup>nd</sup> Written Warning	Final Warning
☐ Suspension ☐ Terminati	ion		
business we need all of our associate a part in our success. Direen have attendance sheet.  Unexcused absence; 3/28,4/8,4/19,5 Tardy; 3/3, 4/10,4/18,4/27,6/26,7/20 These absences are detrimental to the Direen needs to know her schedule, proper call off procedures.	e 5 unexcused absence 5/3,5/17,7/18 2015,8/28 2015 are entire department, work her scheduled sh	e and 7 tardy in the	an integral part of our team and last 6 months. Please see attach
Any further attendance violation will	result in disciplinary	action, up to and includ	ling termination.
EMPLOYEE'S EXPLANATION:			
Date(s):Final Warr  Is the employee being placed on prob  Is the employee being suspended?  WHAT ACTION STEPS HAVE BEEN  SUPERVISOR TO IMPROVE OR RES	ation? NO Y	ES Until what date:	
		ON: (Action steps & aat	es)
er (1988). De kriste krije en krije krije de poekte poet hee viet en besk krij van kommen.			
have reviewed and discussed this are			
have reviewed and discussed this per to correct my performance. I also und above, I may be subject to further disci		th my supervisor and to communication the communication of the communica	understand the terms listed above to the designated date listed
Employee's Signature			
		•	Date
ignature of Supervisor Conducting Di	scussion		
			Date
ignature of Human Resources Represe	entative or Witness		
:			Date P 0
			Emp_perf.doc - 11/12



	<b>DATE:</b> 9/8/2015
NAME OF EMPLOYEE:Direen Hodges	
EMPLOYEE'S POSITION & DEPARTMENT:Housekeeper/ Ho	pusekeeping
TYPE OF ACTION FOR THIS DISCUSSION:	
☐ Verbal Warning ☐ 1 <sup>st</sup> Written Warning ☐ 2 <sup>nd</sup> Written	Warning X Final Warning
☐ Suspension ☐ Termination	
FULLY EXPLAIN THE VIOLATION (Include specific behavior, dat scheduled to receive documentation and conversation regarding her a Since that time Direen has had a no-call not show for work (on M supervisor/manager called off in an untimely manner for two of her is excessive and causes serious hardship on the department, her co-we	stendance on September 1 <sup>th</sup> 2015.  Sonday 8/31/15) and after being called by the
Direen has been spoken to on several occasions about her attendance	and we have not seen any improvement.
Any further attendance violation will result in disciplinary action, up	
EMPLOYEE'S EXPLANATION:	
Date(s):9/1/15 1st Written WFinal Warning	
s the employee being placed on probation? NO YES Until	<del></del>
s the employee being suspended?  NO YES Until	what date:
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN TH SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? <i>(Actic</i>	E EMPLOYEE AND on steps & dates)
·	\$
have reviewed and discussed this and	
have reviewed and discussed this performance violation with my sup a correct my performance. I also understand that if my performance of bove, I may be subject to further discipline.	ervisor and understand the terms listed above loes not improve by the designated date listed
mployee's Signature	. Date
	Dute
gnature of Supervisor Conducting Discussion	Date
gnature of Human Resources Representative or Witness	
- Toposi ood teepi eseinanye or py imess	Date P 0164



<b>DATE:</b> 9/23/2015
NAME OF EMPLOYEE:Direen Hodges
EMPLOYEE'S POSITION & DEPARTMENT:Housekeeper/ Housekeeping
TYPE OF ACTION FOR THIS DISCUSSION:
☐ Verbal Warning ☐ 1 <sup>st</sup> Written Warning ☐ 2 <sup>nd</sup> Written Warning ☐ Final Warning
☐ Suspension X ☐ Termination
FULLY EXPLAIN THE VIOLATION (Include specific behavior, date & time): In order to be a successful business we need all of our associates working their scheduled shifts. Direct is an integral part of our team and has a part in our success. On September 21 and 22, 2015, Direct you did not call or show and your scheduled shifts was 9am. Also Direct have unexcused absence on.  Unexcused absence;3/28,4/8,4/19,5/3,5/17,7/18 2015 September 5,8, 2015 No call no show 8/31/2015 Tardy; 3/3,4/10,4/18,4/27,6/26,7/20,8/28 2015 These absences are detrimental to the entire department.
Direct needs to know her schedule, work her scheduled shifts, and if she is going to be absent, she needs follow the proper call off procedures.
Any further attendance violation will result in disciplinary action, up to and including termination.
· .
EMPLOYEE'S EXPLANATION:
EMPLOYEE HAS BEEN WARNED PREVIOUSLY:
Date(s):9/1/15 1st Written W9/1/15_Final Warning
Is the employee being placed on probation? NO YES Until what date:
is the employee being suspended?   NO TYES Until what date:
WHAT ACTION STEPS HAVE BEEN AGREED UPON BETWEEN THE EMPLOYEE AND SUPERVISOR TO IMPROVE OR RESOLVE THE VIOLATION? (Action steps & dates)
·

Emp\_perf.doc - 11/12

I have reviewed and discussed this performance violation with my supervisor and understand the terms listed above to correct my performance. I also understand that if my performance does not improve by the designated date listed above, I may be subject to further discipline.

Emplo<del>yee's S</del>ignature

signature of Supervisor Conducting Discussion

Signature of Human Resources Representative or Witness

P 01650

Date